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Biodegradation and Biotransformation of Wastewater Organics as Precursors of Disinfection Byproducts in Water

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Abstract

Laboratory experiments were carried out to investigate wastewater organics as the precursors of disinfection byproducts (DBPs) in drinking water supply. The focus was on the change in wastewater DBP precursors during biological degradation under simulated natural conditions. The wastewater and its treated secondary effluent were characterized for DBP formation potential (DBPFP) and DBP speciation profile, including trihalomethanes, haloacetic acids, chloral hydrate, and nitrogen-containing DBPs. Several model organic compounds, including humic acid, tannic acid, glucose, starch, glycine, and bovine serum albumin (BSA), were used to represent the different types of organic pollutants in wastewater discharge. The results show that the DBPFP of wastewater decreased after biodegradation, but the remaining organic matter had a greater DBPFP yield with chlorine. Different model organics displayed different changes in DBPFP during biodegradation. The DBPFP remained largely unchanged for the glycine solution, decreased greatly for the tannic acid and BSA solutions, and increased nearly 3-fold for the glucose and starch solutions after 10 d of biodegradation. Meanwhile, the DBPFP yield increased from 3 for glycine to 51 $\mu\text{g DBP mg}^{-1}\text{ C}$ for its degradation residue, and from 1 for glucose and starch to 87 and 38 $\mu\text{g DBP mg}^{-1}\text{ C}$ for their organic residues, respectively. Although biodegradation may effectively remove some DBP precursors, biotransformation during the process produces new DBP precursors in the form of soluble microbial products (SMPs). The experimental results reveal that SMPs may be an important source of wastewater-derived DBP precursors in natural waters.

Keywords: Biodegradation; disinfection byproducts (DBPs); DBP precursors; drinking water quality; wastewater organics; water reuse.

26 1. Introduction

27 Organic matter in the raw water supply is the primary precursor of disinfection
28 byproducts (DBPs) in finished drinking water. The main organic DBP groups of concern
29 include trihalomethanes (THMs) and haloacetic acids (HAAs) (Singer, 1999; Xie, 2004). It
30 has been found that THMs, some HAA species such as dichloroacetic acid (DCAA), and
31 other chlorinated DBPs are carcinogenic, mutagenic, and teratogenic (Bull, 1993; Koivusalo
32 et al., 1997; Waller et al., 1998; Xie, 2004). Natural organic matter (NOM) is the major
33 reservoir of organic DBP precursors in surface water (Singer, 1999; Chang et al., 2001; Hua
34 and Reckhow, 2007). Numerous studies have been conducted on the characteristics, reactivity,
35 and DBP yield of NOM following water chlorination (Singer, 1999; Xie, 2004).

36 Due to the worldwide decline of water resources, treated wastewater now represents a
37 growing portion of the water supply. Many surface water bodies, such as rivers, lakes, and
38 reservoirs, are used for both the disposal of treated wastewater and the withdrawal of fresh
39 water for human consumption. The regulations for wastewater disposal were generally
40 developed to protect the quality of the receiving waters and people using such waters for
41 recreational purposes. However, there is limited information about the DBP precursors arising
42 from wastewater discharge. Organic matter in wastewater effluent is likely to contribute to
43 the DBP precursors of the receiving water, resulting in greater DBP formation in drinking
44 water (Galapate et al., 1997; Galapate et al., 1999; Rostad et al., 2000; Chu et al., 2002;
45 Krasner et al., 2009a; Krasner et al., 2009b). Hence, to ensure the safety of the drinking water
46 supply, the problem of wastewater-derived DBP formation needs to be specifically addressed.

47 Moreover, discharged wastewater organics undergo further biodegradation in the

48 receiving water under natural conditions. During the biotransformation process, wastewater
49 organics are expected to change in terms of their reactivity with chlorine and their DBP
50 formation characteristics (Chang et al., 2001; Chen et al., 2009). In this experimental study,
51 wastewater organics were characterized for their DBP formation potential (DBPFP) and the
52 resulting DBP speciation in chlorinated water. The process of biological organic degradation
53 was conducted under laboratory conditions, and several model organics, including
54 carbohydrates, proteins, humic acid, tannic acid, and glycine, were used to simulate organic
55 pollutants. The aims of the study were to determine the DBPFP of different types of organic
56 substances in wastewater and the resulting DBP species, and to investigate the changes in the
57 DBP formation behavior of different wastewater organics during the biodegradation process.

58

59 **2. Materials and Methods**

60

61 *2.1 Wastewater samples*

62 Wastewater samples of raw sewage and secondary effluent were collected from a
63 full-scale municipal biological sewage treatment plant (Stanley Sewage Treatment Works,
64 Hong Kong). The activated sludge process was adopted in the treatment system, which had a
65 sludge age of around 15 d and produced an effluent with a BOD of around 5 mg L⁻¹ and a
66 suspended solids (SS) concentration of about 5 mg L⁻¹. The raw sewage influent had a BOD
67 of 130 mg L⁻¹, an SS of about 70 mg L⁻¹, a dissolved organic carbon (DOC) of 40 mg L⁻¹, and
68 a UV absorbance at 254 nm (UV₂₅₄) of 0.201 cm⁻¹. The secondary effluent had a DOC of 14
69 mg L⁻¹ and a UV₂₅₄ of 0.077 cm⁻¹. The wastewater samples were filtered immediately after

70 collection through 0.45 μm filter paper to remove any suspended matter, and the filtrates
71 were stored in a refrigerator at 4 $^{\circ}\text{C}$ for later experimental use.

72 73 *2.2 Model wastewater organic compounds*

74 Six types of model organic chemicals were chosen to simulate the typical organic
75 components found in municipal wastewater. They included humic acid (product no. 2S101H,
76 IHSS Suwannee River Standard, St. Paul, MN, USA), tannic acid (Sigma, St. Louis, NC,
77 USA), glucose (Unichem, Haw River, MO, USA), starch (Riedel-de Haen, Seelze, Hanover,
78 Germany), glycine (BDH, Yorkshire, UK), and bovine serum albumin (BSA) (USB,
79 Cleveland, OH, USA). Humic acid usually results from organic degradation and plant
80 mineralization, and tannic acid is one of the humic precursors in organic degradation. Both
81 types of chemical substances have been found at various levels in wastewater ([Dignac et al.,](#)
82 [2000](#)). Carbohydrates and proteins are believed to be the two predominant organic groups in
83 wastewater ([Dignac et al., 2000](#); [Dignac et al., 2001](#)). In this study, glucose and starch were
84 used to represent the carbohydrate group. Glucose is the simplest carbohydrate molecule, and
85 starch is a polymeric carbohydrate with the molecular structure $(\text{C}_6\text{H}_{10}\text{O}_5)_n$. BSA is a typical
86 protein used in numerous commercial products, and the amino acid glycine
87 $(\text{NH}_2\text{-CH}_2\text{-COOH})$ is one of the simplest protein degradation products. Each model organic
88 was dissolved in water to make a synthetic wastewater solution sample for the DBP study.
89 The water used for making the organic solutions was ultrapure water produced by the Milli-Q
90 water purification system (Millipore, Billerica, MA, USA). The initial DOC concentrations of
91 the humic acid and tannic acid solutions were set at 3 and 10 mg L^{-1} , respectively, and the

92 initial DOC concentrations of the other four organic solutions were all 80 mg L⁻¹.

93
94 *2.3 Organic degradation experiment*

95 The natural degradation of the wastewater organics and the model organic compounds
96 was simulated in a temperature-controlled incubator at 20 °C. The setup and approach of the
97 biodegradation experiment were similar to those used for the conventional BOD test. The
98 biodegradation of the sample solutions was carried out in a batch reactor with an initial water
99 volume of 5 L and placed in a BOD incubator (Velp Scientifica, Usmate, Italy). N, P and
100 trace nutrients were added to the model organic solutions according to the guidelines given
101 by Velp Scientifica for running the BOD test with its incubation setup. The activated sludge
102 from the sewage treatment works was dosed as the seed biomass into the bio-reactors at an
103 initial SS concentration of 2 mg L⁻¹. Aeration was conducted by air pumps to provide oxygen
104 to the water, and the water pH was controlled at about 7 with a phosphate buffer consisting of
105 8.5 g L⁻¹ KH₂PO₄, 33.4 g L⁻¹ Na₂HPO₄·7H₂O, and 21.7 g L⁻¹ K₂HPO₄. During the
106 biodegradation experiment, mixed solution samples were collected from a bioreactor after 1,
107 2, 3, 4, 5, 7, and 10 d. Two duplet samples of 250 mL each were withdrawn each time from a
108 reactor. The samples were filtered through 0.45 µm membranes to remove any suspended
109 solids before the subsequent DBP formation potential tests.

110
111 *2.4 Determination of the DBPFP*

112 The DBPFP of the wastewater organics was measured for the water samples after
113 different periods of biodegradation. DBP formation tests were carried out on the filtered

114 water samples upon chlorine disinfection in accordance with the Standard Methods (APHA,
115 1998). For each DBPFP test, a 100 mL water sample was chlorinated with NaOCl, and the
116 resulting solution incubated for 7 d at pH 7.0±0.2 with a 0.5 M phosphate buffer. The dose of
117 NaOCl was determined such that a free chlorine residue of between 3 and 5 mg L⁻¹ in the
118 water would be ensured by the end of the incubation period (APHA, 1998). After chlorination,
119 the samples were sealed without headspace in a container with a Teflon-lined screw cap and
120 incubated in the dark at 25±0.5 °C. Immediately after the 7-d incubation, excess chlorine in
121 the water samples was quenched with 10% Na₂SO₃, and the DBP compounds formed were
122 extracted and measured.

123 An HP 6890 gas chromatograph (GC) (Agilent, Santa Clara, CA, USA) coupled with an
124 HP electron capture detector was used to analyze the DBP compounds (Li and Chu, 2003).
125 The GC system was equipped with a DB-35MS capillary column (Agilent) with a
126 configuration of 30 m × 0.32 mm and a film thickness of 0.25 μm. An HP 6890 Series
127 automatic liquid sampler was used for the sample injection, and an HP GC ChemStation was
128 used for the data processing. For the liquid-liquid extraction and GC procedure, the samples
129 were analyzed for the following types of DBP compounds: THMs such as chloroform (CF),
130 HAAs such as DCAA and trichloroacetic acid (TCAA), trihaloacetaldehydes such as chloral
131 hydrate (CH), halopropanones such as trichloropropanone (TCP), and nitrogen-containing
132 DBPs (N-DBPs) including haloacetonitriles such as dichloroacetonitrile (DCAN) and
133 trihalonitromethanes such as trichloronitromethane (TCNM).

134 The method of liquid-liquid extraction and GC analysis for the THMs,
135 trihaloacetaldehydes, halopropanones, and N-DBPs was developed according to EPA Method

136 551.1 (USEPA, 1995) that had been used by others (Weber et al., 2005; Hua et al., 2006).
137 Methyl tert-butyl ether (MTBE) was used as the solvent for liquid extraction, and the
138 chemicals extracted in the solvent were analyzed by the GC. One μL of the extract solution
139 was introduced into the GC by splitless injection at 200 °C. The carrier gas was N_2 , which
140 was delivered at a constant flow-rate of 0.8 mL min^{-1} . The initial oven temperature was set at
141 35 °C and held for 9 min. The temperature was gradually increased first to 40 °C at a rate of 2
142 °C min^{-1} , then to 80 °C at 20 °C min^{-1} , then to 160 °C at a rate of 40 °C min^{-1} , held for 4 min,
143 and finally to 200 °C, held for 2 min. The detector temperature was set at 290 °C for detection
144 of the four THM compounds, trihaloacetaldehydes, halopropanones, and haloacetonitriles and
145 trihalonitromethanes.

146 The method used to analyze the HAA compounds was developed based on EPA Method
147 552.3 (USEPA, 2003) with some modifications by others (Xie et al., 2002; Domino et al.,
148 2004). In brief, the HAAs in the water samples were extracted with MTBE. Derivatization
149 was then performed on the extract by adding acidic methanol at a 1:1 (v/v) ratio. One μL of
150 the sample was introduced into the GC by splitless injection at 200 °C. The carrier N_2 gas was
151 maintained at a flow-rate of 0.9 mL min^{-1} . The temperature program began at 35 °C for 10
152 min and increased at a rate of 5 °C min^{-1} to 70 °C, where it was held for 10 min, then to 120
153 °C for 5 min, then to 135 °C for 10 min, and finally to 170 °C, where it was held for 5 min.
154 The detector temperature was 260 °C for the HAA detection.

155

156 *2.5 Analytical methods*

157 The SS concentration for the biomass content in a bioreactor during the wastewater

158 biodegradation process was measured in accordance with the Standard Methods (APHA,
159 1998). The UV_{254} and DOC of the organic content were measured for each water sample after
160 filtration. UV_{254} has been used as an index of aromatic structures, which are closely related to
161 the DBPFP of a water sample (Reckhow et al., 1990). A UV-visible spectrophotometer
162 (UV/VIS Lambda 12, Perkin Elmer, Waltham, MA, USA) with a 1 cm cuvette cell was used
163 to determine the UV_{254} . The DOC was determined by a TOC analyzer (IL550, Lachat,
164 Loveland, CO, USA) using the catalytic combustion-infrared method.

165

166 **3. Results and Discussion**

167

168 *3.1 Initial DBP formation characteristics of the wastewater and model organic compounds*

169 Seven DBP species were detected at a significant level in the water samples tested,
170 including CF for the THMs, DCAA and TCAA for the HAAs, CH for the
171 trihaloacetaldehydes, TCP for the halopropanones, DCAN for the haloacetonitriles, and
172 TCNM for the trihalonitromethanes. The last two are both N-DBPs. According to the DBPFP
173 test, the raw wastewater and its secondary effluent and the six model organics – humic acid,
174 tannic acid, glucose, starch, glycine, and BSA – had rather different DBPFP values upon
175 chlorination in terms of the DBPFP yield per unit amount of DOC (Fig. 1a). Humic acid had
176 the highest DBPFP yield at $493 \mu\text{g mg}^{-1}$ DOC, suggesting a strong DBP formation reactivity
177 with chlorine. The DBPFP yields of tannic acid ($365 \mu\text{g mg}^{-1}$ DOC) and BSA ($193 \mu\text{g mg}^{-1}$
178 DOC) were comparably lower. The other three model organics – glucose, starch, and glycine
179 – had much lower DBPFP yields with values of 1, 1, and $3 \mu\text{g mg}^{-1}$ DOC, respectively.

180 The organic matter in the actual wastewater had a DBPFP yield that was significantly
181 lower than that of humic acid, tannic acid, and BSA but much higher than that of glucose,
182 starch, and glycine. In comparison, the DBPFP yield of the organic in the treated wastewater
183 effluent ($47 \mu\text{g mg}^{-1}$ DOC) was higher than that of the raw wastewater ($37 \mu\text{g mg}^{-1}$ DOC).
184 Sirivedhin and Gray (2005) tested the DBPFP of the secondary wastewater effluent and found
185 a THM yield of around of $23 \mu\text{g mg}^{-1}$ DOC and a HAA yield of about $21 \mu\text{g mg}^{-1}$ DOC.
186 While their THM result is comparable to the value obtained for the secondary effluent in the
187 present study, their HAA result is higher than the value of this study, probably due to the
188 different organic composition of the wastewater effluents tested.

189 The speciation of the DBPs formed also varied among the model organics (Fig. 1b). For
190 humic acid and tannic acid, the important DBPs formed included CF, CH, DCAA, and TCAA.
191 More specifically, for humic acid CF and TCAA were the predominant DBPs, whereas tannic
192 acid had more TCAA and DCAA than CF. For the carbohydrate organics (glucose and starch),
193 CF was the predominant DBP, and no N-DBPs were formed due to the absence of nitrogen in
194 the precursor molecules. In contrast, glycine produced abundant N-DBPs (DCAN and TCNM)
195 due to the high nitrogen content in the precursor. For BSA, HAA species (TCAA and DCAA)
196 were predominant, followed by CF and CH at similar levels of abundance, and then N-DBPs.
197 As for the raw wastewater and its secondary effluent, the DBP speciation profiles were
198 similar and the chlorinated DBPs formed were both dominated by CF, followed by HAAs and
199 CH, which is consistent with the findings of Dotson et al. (2009).

200

201 *3.2 Organic transformation and DBPFP dynamics during biodegradation*

202

203 *3.2.1 Wastewater influent and effluent*

204 Various changes in organic content and related DBPFP values were observed after 10 d
205 of biodegradation for the actual wastewater samples and most of the model organic solutions
206 (Figs. 2-5). The actual wastewater influent had an initial DOC concentration of 40 mg L⁻¹ and
207 a DBPFP of 1466 µg L⁻¹. The wastewater effluent had a much lower DOC of 14 mg L⁻¹ and a
208 low DBPFP of 627 µg L⁻¹ (Fig. 2). These initial wastewater DBPFP values are comparable to
209 those reported by Chu et al. (2002), with the raw wastewater and its secondary effluent THM
210 formation potentials (THMFP) of around 1000 and 600 µg L⁻¹, respectively.

211 The DOC of the wastewater influent decreased quickly to 21 mg L⁻¹ in the first day of
212 biological incubation, and it then decreased at a relatively slower rate to 11 mg L⁻¹ eventually
213 (Fig. 2a). This implies easily-degraded organics in raw wastewater were utilized firstly and
214 refractory ones were degraded gradually thereafter. The UV₂₅₄ decreased at a nearly constant
215 rate (Fig. 2b). Similar to UV₂₅₄, the total DBPFP of the wastewater influent decreased
216 gradually to 897 µg L⁻¹ after the biodegradation (Fig. 2c). However, the mass-based DBPFP
217 yield of the wastewater organic increased from 37 to 81 µg mg⁻¹ DOC (Fig. 2d). The increase
218 in DBPFP yield indicates that the organic residues after biodegradation had a higher DBP
219 formation reactivity with chlorine. The DBP speciation of the wastewater influent was
220 dominated by CF, followed by HAAs and CH, throughout the biodegradation process (Fig.
221 2e).

222 For the wastewater effluent, its DOC decreased mainly in the first day of biodegradation
223 and showed no further degradation afterward (Fig. 2a). The UV₂₅₄ value also decreased only

224 at the beginning of the biodegradation incubation (Fig. 2b). Compared to the wastewater
225 influent, the organic in the secondary effluent was much more refractory to biodegradation.
226 The total DBPFP of the effluent decreased from 627 to 495 $\mu\text{g L}^{-1}$ after the biodegradation
227 (Fig. 2c), whereas the DBPFP yield of the residual organic increased from 47 to 82 $\mu\text{g mg}^{-1}$
228 DOC (Fig. 2d). Similar to the influent results, DBPFP speciation of the secondary effluent
229 was dominated by CF and then HAAs and CH (Fig. 2f). Chen et al. (2009) investigated the
230 fate and transport of effluent organic materials as DBP precursors in an effluent-dominated
231 stream. They also found that the DBP precursor materials could be removed to various
232 degrees along the length of the river.

233 In general, the results of wastewater biodegradation experiments indicate that biological
234 wastewater treatment can effectively reduce the DBPFP of wastewater, which is essential for
235 the protection of water resources. However, the organic residues after biodegradation become
236 more recalcitrant with a greater mass-based DBPFP yield compared to the organics in raw
237 wastewater.

238

239 3.2.2 Humic acid and tannic acid

240 Humic acid is the main component of NOM that is not considered to be biodegradable.
241 As expected, the humic acid content remained largely constant throughout the biodegradation
242 process in terms of DOC concentration, UV_{254} absorbance, and DBPFP (Fig. 3). The humic
243 acid solution with a DOC of 3 mg L^{-1} had a high DBPFP of 1428 $\mu\text{g L}^{-1}$. The large DBPFP
244 yield of humic acid is attributed to its abundant aromatic rings, which have been identified as
245 a major DBP-forming molecular structure (Arora et al., 1997; Liang and Singer, 2003; Archer

246 [and Singer, 2006](#)). Humic acid gave rise to the formation of all seven DBP species detected in
247 this study. The DBPFP speciation was dominated by THMs (CF), followed by HAAs (TCAA
248 and DCAA), and then CH, and the speciation profile was not affected by the biodegradation
249 treatment. The DBP formation result is similar to that reported by Reckhow et al. ([1990](#)) on
250 NOM, which had an order of DBP abundance of CF ~ TCAA > DCAA ([Fig. 3e](#)).

251 Tannic acid is apparently readily biodegradable, and its degradation was nearly
252 completed in the first 3 days. Tannic acid also contains abundant aromatic rings, as indicated
253 by its high initial UV₂₅₄ value and large DBPFP yield. The tannic acid solution with a DOC
254 of 9 mg L⁻¹ had an initial DBPFP as high as 3138 µg L⁻¹. With effective biodegradation, the
255 UV₂₅₄ and DBPFP values of the tannic acid solution decreased greatly after 3 d and remained
256 at a low level thereafter ([Fig. 3](#)). The DBPFP was reduced to 500 µg L⁻¹ or lower by
257 biodegradation, which was achieved mainly by a decrease in HAA formation potential
258 (TCAA and DCAA). The CH formation potential also decreased to a certain extent, whereas
259 the THMFP (CF) showed little change during the biodegradation process. By the end of the
260 10-d degradation period, CF became the dominant DBP, followed by TCAA, resulting in a
261 DBPFP profile rather similar to that of humic acid ([Fig. 3f](#)). The DBPFP yield of the organics
262 in the tannic acid solution increased slightly during the biodegradation process.

263

264 3.2.3 *Glucose and starch*

265 Carbohydrates are believed to be the major components of wastewater organics ([Dignac](#)
266 [et al., 2000](#)). Both of the model carbohydrate organics – glucose and starch – were readily
267 degraded by microorganisms. The DOC of the organic solutions decreased rapidly from a

268 high level of 80 to around 10 mg L⁻¹ after 4 d of biodegradation, and decreased only slightly
269 thereafter (Fig. 4). However, the UV₂₅₄ of the model organic solutions increased during the
270 biodegradation process. The UV₂₅₄ increase suggests a possible biological transformation of
271 the carbohydrates to other organic molecules with an aromatic or double-bonding structure.

272 In agreement with the low initial UV₂₅₄ values, the DBPFP values of the pure glucose (54
273 µg L⁻¹) and starch (74 µg L⁻¹) solutions were much lower than those of the other model
274 organic solutions. However, the DBPFP values of the glucose and starch solutions increased
275 considerably during the biodegradation process (Fig. 4). The DBPFP of the glucose solutions
276 first increased more than 15 times to over 800 µg L⁻¹ after 2 d of biodegradation and then
277 decreased to a level of between 200 and 300 µg L⁻¹. The DBPFP of the starch solution
278 increased to about 400 µg L⁻¹ after 2 d and then decreased to the level of 200-300 µg L⁻¹. For
279 both model waste organics, there was a remarkable peak of DBPFP on day two of
280 biodegradation. The increase in the DBPFP of the model organic solutions is believed to be
281 related to the soluble microbial products (SMPs) produced during the biological process.
282 SMPs are classified as a pool of organic compounds that are released by microorganisms into
283 solution from substrate metabolism and biomass decay (Barker and Stuckey, 1999). SMPs are
284 much more complicated organic compounds than the model carbohydrates, and thus the
285 biotransformation of the model organics to SMPs would apparently increase the DBPFP of
286 the water (Park et al., 2005). Dotson et al. (2009) also reported that SMPs derived from algal
287 and bacterial cultures would result in more formation of DPBs, especially the N-DBPs,
288 during drinking water treatment. In the present study, the final DBPFP yields of the organic
289 residues increased greatly from an initial value of less than 1 µg mg⁻¹ DOC to 87 and 38 µg

290 mg^{-1} DOC for the glucose and starch solutions, respectively (Fig. 4).

291 Pure glucose resulted in the formation of only THM (CF), whereas starch produced
292 mainly CF and trace amounts of CH and HAAs (DCAA and TCAA). During biodegradation,
293 the CF and CH formation potentials of the organic solutions increased significantly, and the
294 formation of other DBPs were also observed. Under biodegradation, the CF and CH
295 formation potential in the glucose solution increased to 330 and 280 $\mu\text{g L}^{-1}$, respectively, on
296 day two, resulting in a dramatic DBPFP increase. A similar trend of CF and CH formation
297 increases were also observed, although to a lesser extent, for the starch solution. The CF and
298 CH formation potential eventually decreased from the peak levels, but other types of DBP
299 species were strongly detected, including N-DBPs in the later phase of biodegradation (Fig.
300 4). Considering that there was no nitrogen in the two model carbohydrates, N-DBP precursors
301 in the solutions can be assumed to contribute to the production of SMPs during the
302 biodegradation process.

303

304 3.2.4 Glycine and BSA

305 Both glycine and BSA are biodegradable N-containing organics. BSA was rapidly
306 degraded from 70 to a low level of 6 mg DOC L^{-1} after just 2 d (Fig. 5). For glycine,
307 biodegradation began to take place after 2 d and was completed rapidly in the following 2 d.
308 During the degradation process, UV_{254} decreased for the BSA solution and increased
309 somewhat for the glycine solution.

310 The glycine solution had a moderate initial DBPFP of 176 $\mu\text{g L}^{-1}$, and contained all seven
311 of the DBP species (Fig. 5). The DBPFP of the glycine solution did not change significantly

312 through the biodegradation process, despite the substantial organic reduction. The DBPFP
313 yield of the organics in the model solution increased from 3 to 51 $\mu\text{g mg}^{-1}$ DOC. It appears
314 that the SMPs formed during glycine biodegradation had a much higher DBPFP yield than
315 that of glycine. BSA had an extremely high initial DBPFP of 13475 $\mu\text{g L}^{-1}$, probably due to
316 its abundant aromatic content. The dominant DBP species included HAAs (TCAA and
317 DCAA), THM (CF), and CH. In direct connection to its rapid biodegradation within the first
318 2 d, the DBPFP of the BSA solution decreased dramatically to less than 1000 $\mu\text{g L}^{-1}$. Most of
319 the DBPFP reduction was achieved through great decreases in the TCAA, DCAA, CF, and
320 CH formation potential. In comparison, the SMPs in the BSA solution after degradation had a
321 lower DBPFP yield (134 $\mu\text{g mg}^{-1}$ DOC) than pure BSA (193 $\mu\text{g mg}^{-1}$ DOC) (Fig. 5). It would
322 appear that biodegradation can effectively destruct and remove DBP precursors derived from
323 proteins and other similar organics in wastewater.

324

325 *3.3 Transformation of wastewater-derived DBP precursors during organic degradation*

326 Substantial organic degradation was achieved in the artificial wastewater samples after
327 the 10-d biodegradation for all of the model organics except for humic acid. More importantly,
328 biodegradation led to changes in the DBPFP for most of the model organic solutions (Figs.
329 2-5). The DBPFP remained largely unchanged for the glycine solution, despite of its great
330 degree of degradation. In contrast, the DBPFP of the tannic acid and BSA solutions decreased
331 significantly as a result of biodegradation. It is interesting to note that the DBPFP increased
332 nearly 3-fold for the starch and glucose solutions after biodegradation. These results indicate
333 that the organic residues after biological degradation of the model organics changed greatly in

334 terms of DBP formation reactivity. In other words, although the biodegradation process
335 effectively removes some DBP precursors from wastewater, such as tannic acid and proteins,
336 it may also produce new DBP precursors from carbohydrates and other similar organics with
337 a low initial DBPFP, such as starch and glucose.

338 Microbial activity played an important role in the transformation of wastewater DBP
339 precursors under simulated natural degradation conditions (Chen et al., 2009; Dotson et al.,
340 2009; Krasner et al., 2009b). The organic transformation results and related DBPFP values in
341 this study differed significantly among the different types of wastewater organic compounds.
342 The DBPFP of humic-like organic matter, which is refractory to biodegradation, was not
343 affected by biological treatment. However, for some of the biodegradable organics with a
344 high DBPFP in wastewater, such as tannic acid and BSA, biodegradation may have destroyed
345 the DBP precursors, thereby greatly reducing the DBPFP of the wastewater. For other
346 biodegradable organics with a low DBPFP, such as glucose and starch, the biological process
347 may have increased both the DBPFP of the wastewater and the DBPFP yield of the organic
348 residues. The final DBPFP of wastewater is probably thus attributable to two sources: the
349 humic-like residue of the organics originally present in the wastewater and the SMPs that are
350 formed during the biodegradation process.

351 The 10-d biological incubation changed the DBP formation characteristics of the
352 organics in the model solutions and wastewater samples. Initially, the DBPFP yields differed
353 remarkably for different organic DBP precursors. After the biodegradation, owing to the
354 production of SMPs, the DBPFP yields of the organic residues in the four readily
355 biodegradable model organic solutions – glucose, starch, glycine, and BSA – became rather

356 comparable. The resulting DBPFP yield values were similar to those of the wastewater
357 influent and effluent organics and somewhat lower than the DBPFP yields of humic
358 substances in the humic and tannic acid solutions (Fig. 6a).

359 The importance of SMP production during organic degradation to the formation of DBP
360 precursors in natural waters demands more investigations. In general, biodegradation is
361 beneficial to the removal of organic pollutants and the reduction of the DBPFP of water.
362 However, the SMPs formed during biodegradation may give rise to new DBP precursors. For
363 the biodegradable organics tested in this study, except for BSA, the DBPFP yields of the
364 remaining organic substances after 10 d of degradation in the water samples all increased. In
365 terms of DBP speciation, the final species profiles for the remaining organics became more
366 comparable with each other than the initial DBP species profiles for the model organics. It is
367 apparent that the SMPs produced in the four model organic solutions – glucose, starch,
368 glycine, and BSA – had a similar DBP speciation that was dominated by CF and had a similar
369 level of DCAA and TCAA (Fig. 6b). The comparison suggests that the SMPs, as the final
370 DBP precursors in the model organic solutions after biodegradation, had similar DBP
371 formation characteristics. The resulting DBP species profiles were largely similar to that of
372 wastewater organics, but different from that of pure humic substances which had more TCAA
373 than DCAA (Fig. 6b).

374 Wastewater organic-derived DBP precursors are greatly related to biological activity and
375 SMP production. The DBPFP of the glucose and starch solutions increased significantly
376 within the first 2 d of biodegradation, which corresponded to a rapid organic degradation (Fig.
377 7a). It is known that SMPs are produced by microorganisms during substrate metabolism for

378 microbial growth (Barker and Stuckey, 1999; Cheng and Chi, 2003). Hence, it is likely that
379 SMP production during the early stages of dynamic organic degradation contributed to the
380 large DBPFP increase. A similar trend of rapid organic degradation and N-DBPFP increase
381 was also observed in the glycine solution in the early phase of biodegradation. The final
382 DBPFP of the four model organic solutions with the same initial DOC concentration took the
383 order BSA > glucose > starch > glycine. This is in general agreement with the biomass
384 concentrations in the four bioreactors (Fig. 7b). Such a correlation gives further support for
385 the effect of SMP production during organic degradation and transformation on the formation
386 new DBP precursors.

387 For actual wastewater, the organic matter consists of different types of organic groups.
388 The DBP formation behavior of the wastewater organic under the natural biodegradation
389 condition should be a combination of the contributions from all of the different organic
390 compounds (Chen et al., 2009; Krasner et al., 2009a; Krasner et al., 2009b), including the
391 model organics tested in this study. Biodegradation of the organic pollutants results in DBPFP
392 reduction, whereas the new DBP precursor production, like SMPs, would give rise to more
393 DBP reactivity with chlorine. Thus, SMPs can be an important source of DBP precursors in
394 water resources. For the complex mixture of organics in wastewater, the present study
395 provides a new insight into the dynamic transformation of wastewater-derived DBP
396 precursors in natural waters receiving wastewater discharge.

397

398 **4. Conclusions**

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400 The DBPFP of the raw wastewater influent and secondary effluent samples decreased
401 after the simulated biological organic degradation process, but the remaining organic matter
402 had a higher potential for DBP formation with chlorine. Different model wastewater organics
403 behaved differently in terms of the change in wastewater DBPFP during organic degradation.
404 The DBPFP of the glycine solution remained largely unchanged, that of the tannic acid and
405 BSA solutions decreased greatly, and that of the glucose and starch solutions increased nearly
406 3-fold following biodegradation. Thus, although biological organic degradation may
407 effectively remove some DBP precursors from wastewater, the process may also produce new
408 DBP precursors from carbohydrates and other organic pollutants. The DBPFP yield of the
409 organics in the BSA solution decreased from 193 for pure BSA to 134 $\mu\text{g mg}^{-1}$ DOC for the
410 organic residue after the biodegradation process. However, the DBPFP yield of the organics
411 in the glycine solution increased from 3 to 51 $\mu\text{g mg}^{-1}$ DOC for its degradation residue, and
412 the corresponding yield in the glucose and starch solutions increased from 1 to 87 and 38 μg
413 mg^{-1} DOC, respectively, for their organic residues after biodegradation.

414 The biodegradation of organic pollutants in water produces soluble microbial products,
415 and some SMP materials may become new DBP precursors with a greater DBPFP than the
416 original biodegradable organic compounds. For the DBPs formed from SMPs, THMs were
417 the predominant species, followed by HAAs, chloral hydrate, and then N-containing DBPs.
418 These results indicate that SMPs may be an important source of wastewater-derived DBP
419 precursors in natural waters that receive wastewater discharge. Thus, for the wastewater
420 effluent reused directly or indirectly into any drinking water resources, more stringent
421 discharge standards need to be adopted. In addition, advanced treatment modules with a great

422 organic removal capability, such as membrane filtration and activated carbon adsorption, may
423 be applied to the wastewater effluent or raw water intake for minimization of the
424 wastewater-derived DBP problems in drinking water supply.

425

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431

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Figure captions

520

Fig. 1. (a) Initial DBPFP yield and (b) mass-based DBPFP speciation of the wastewater and model organic solutions: HA – humic acid, TA – tannic acid, Glu – glucose, Star – starch, Gly – glycine, BSA – bovine serum albumin, Inf – wastewater influent, Eff – secondary wastewater effluent.

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Fig. 2. (a) DOC, (b) UV₂₅₄ absorbance, (c) DBPFP, and (d) DBPFP yield of the wastewater influent and effluent, and DBPFP species in (e) the wastewater influent and (f) the wastewater effluent during biodegradation.

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Fig. 3. (a) DOC, (b) UV₂₅₄ absorbance, (c) DBPFP, and (d) DBPFP yield of the humic acid and tannic acid solutions, and DBPFP species in (e) the humic acid solution and (f) the tannic acid solution during biodegradation.

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Fig. 4. (a) DOC, (b) UV₂₅₄ absorbance, (c) DBPFP, and (d) DBPFP yield of the glucose and starch solutions, and DBPFP species in (e) the glucose solution and (f) the starch solution during biodegradation.

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Fig. 5. (a) DOC, (b) UV₂₅₄ absorbance, (c) DBPFP, and (d) DBPFP yield of the glycine and BSA solutions, and DBPFP species in (e) the glycine solution and (f) the BSA solution during biodegradation.

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Fig. 6. (a) Final DBPFP yield and (b) mass-based DBPFP speciation of the wastewater and model organic solutions after 10 d of biodegradation: HA – humic acid, TA – tannic acid, Glu – glucose, Star – starch, Gly – glycine, BSA – bovine serum albumin, Inf – wastewater influent, Eff – secondary wastewater effluent.

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Fig. 7. (a) Biomass concentration during the biodegradation of the glucose and starch solutions, (b) final DBPFP and biomass concentration in the four model organic solutions after biodegradation: Glu – glucose, Star – starch, Gly – glycine, BSA – bovine serum albumin.

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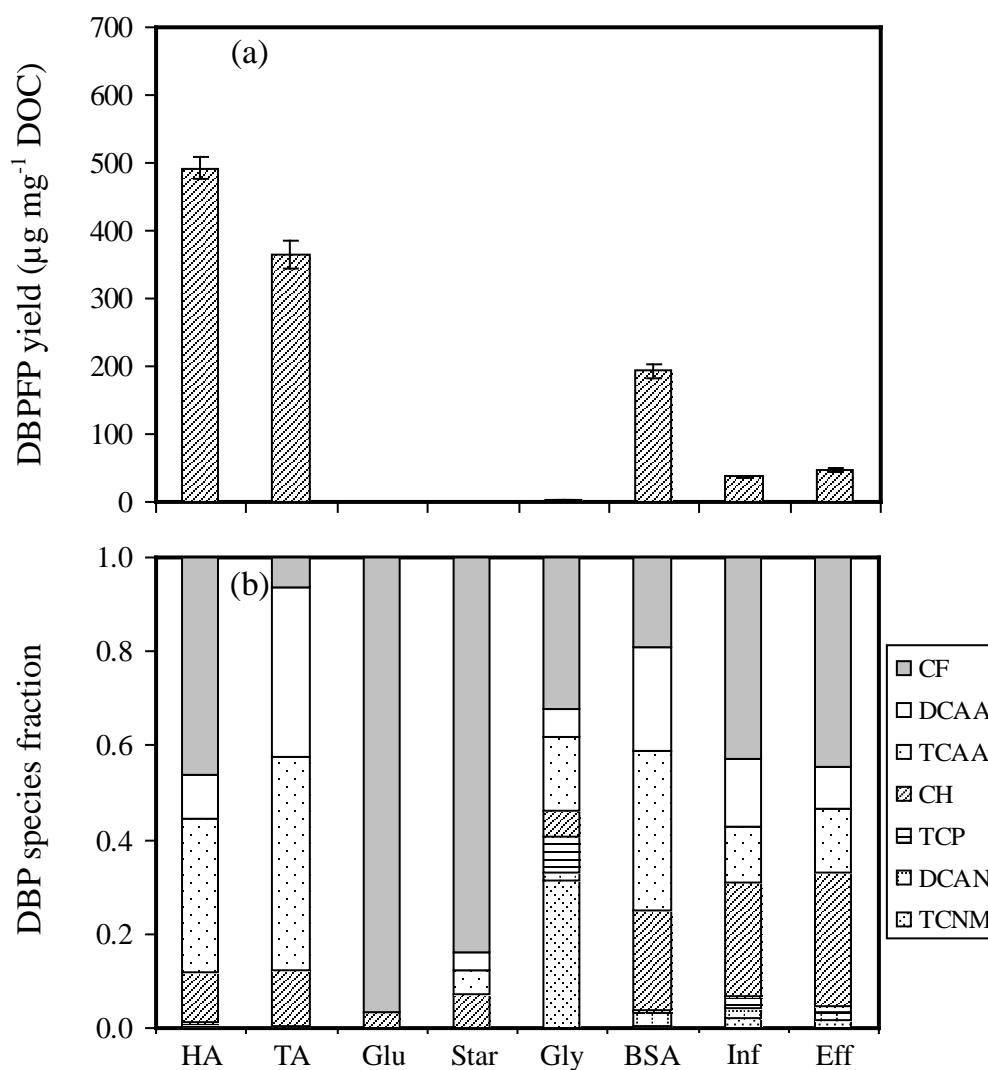


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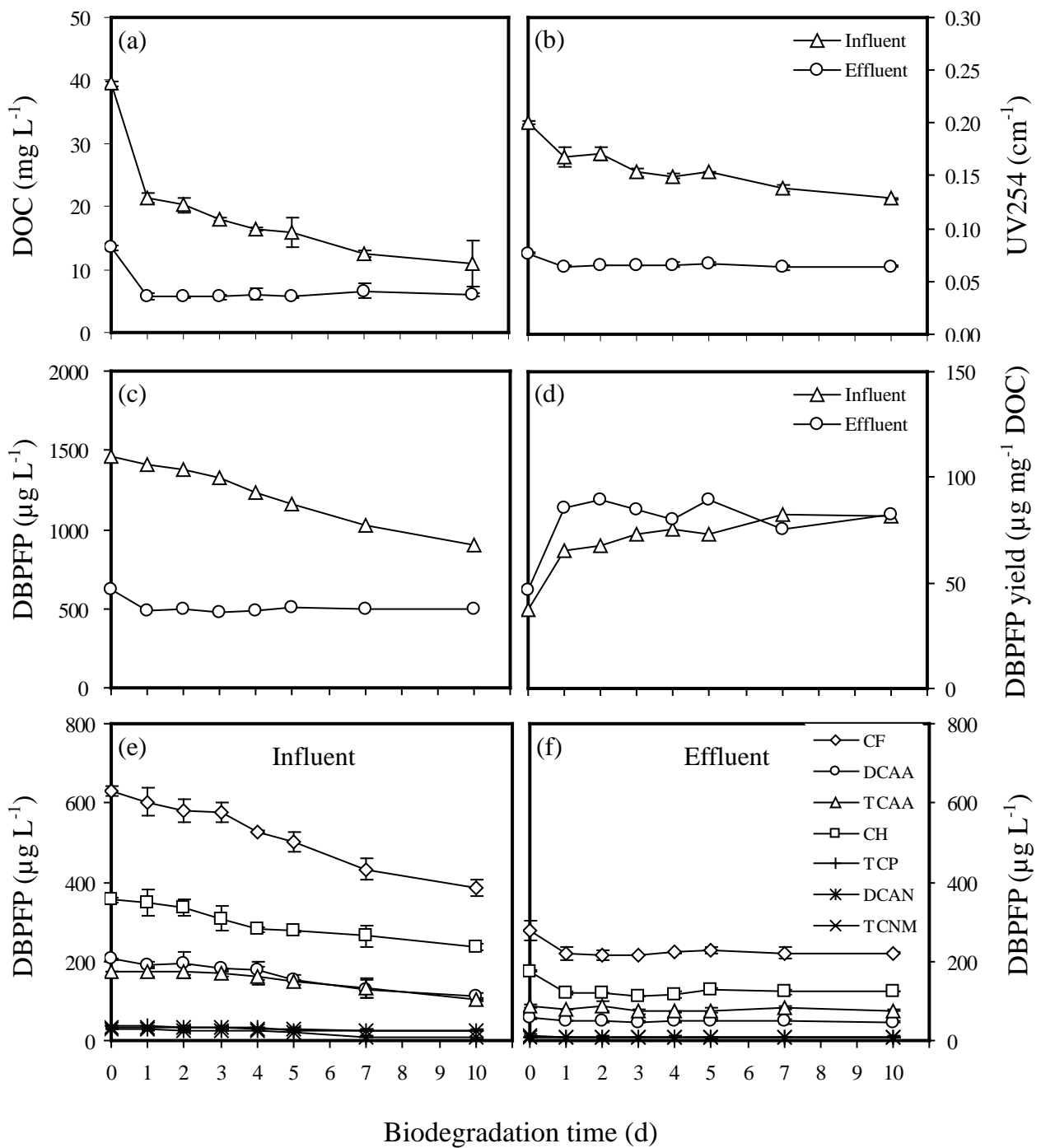


Fig. 2. (a) DOC, (b) UV₂₅₄ absorbance, (c) DBPFP, and (d) DBPFP yield of the wastewater influent and effluent, and DBPFP species in (e) the wastewater influent and (f) the wastewater effluent during biodegradation.

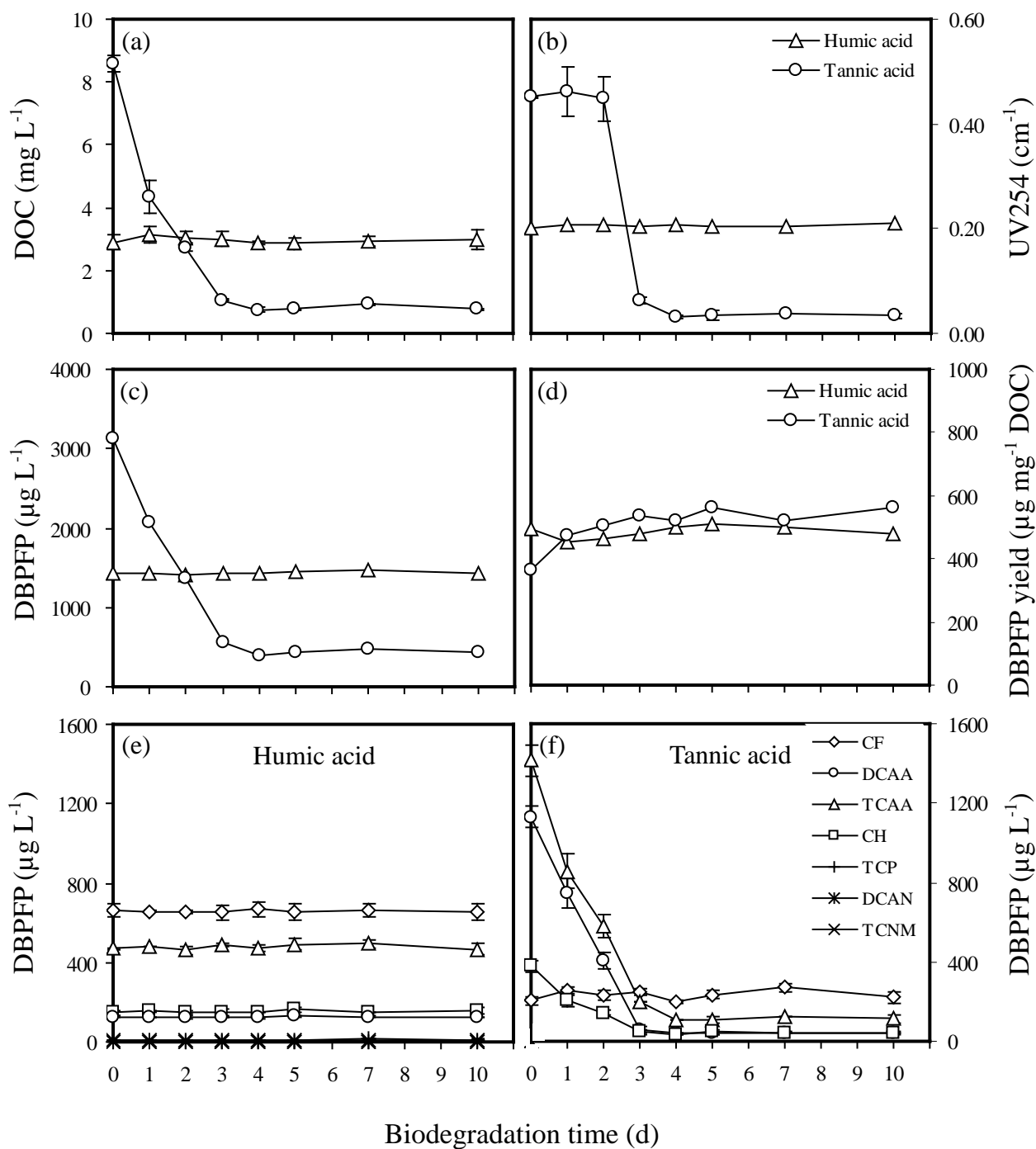


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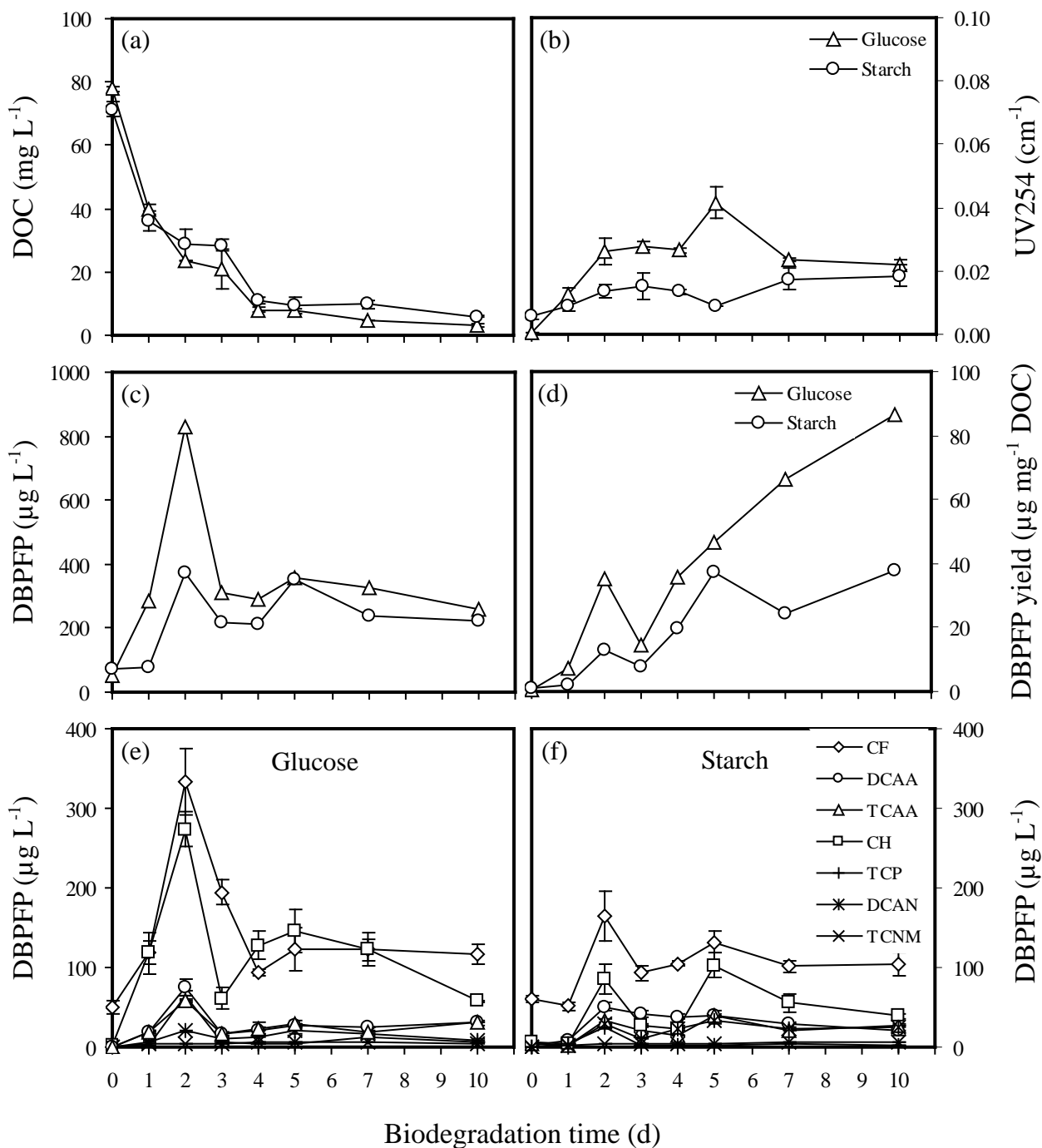


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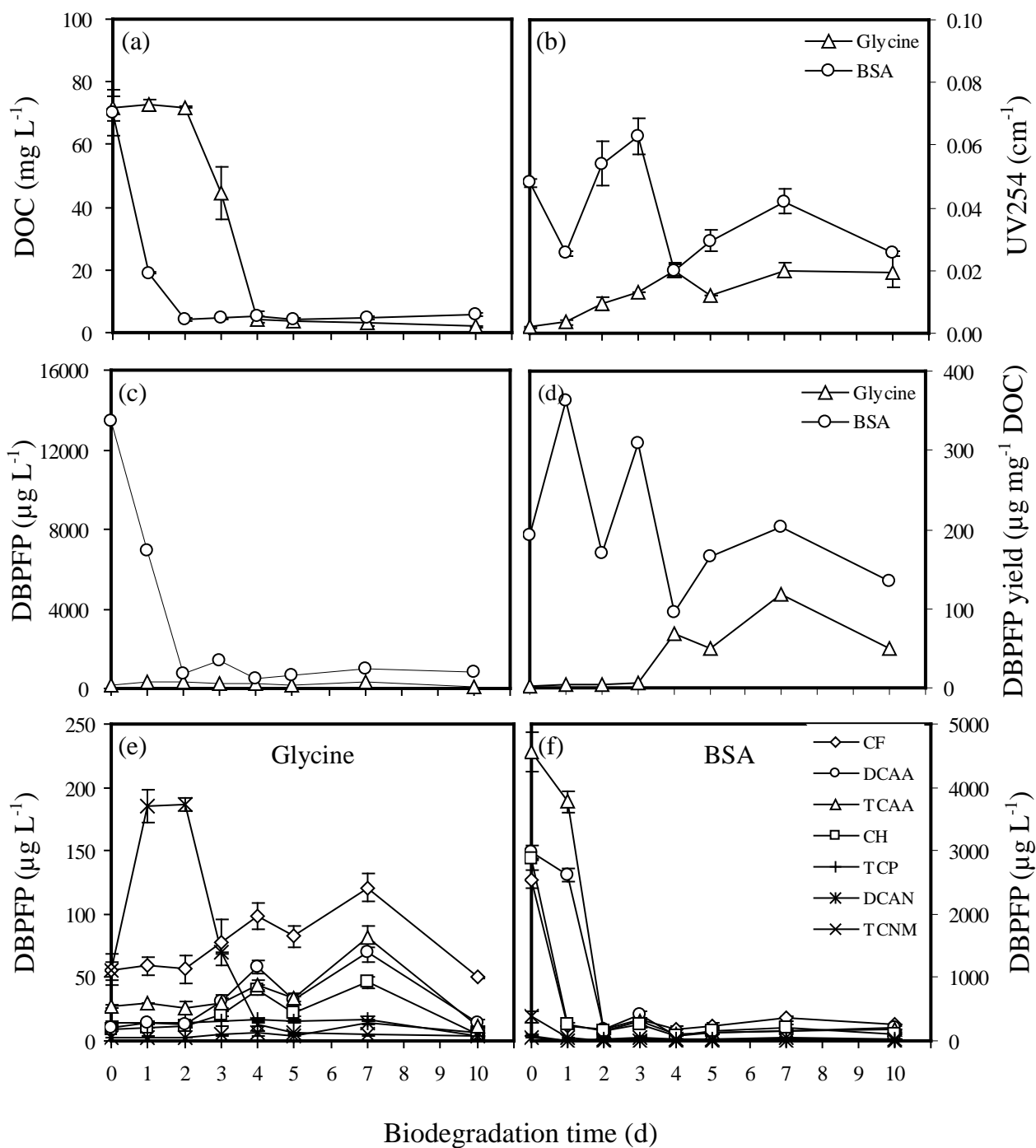


Fig. 5. (a) DOC, (b) UV₂₅₄ absorbance, (c) DBPFP, and (d) DBPFP yield of the glycine and BSA solutions, and DBPFP species in (e) the glycine solution and (f) the BSA solution during biodegradation.

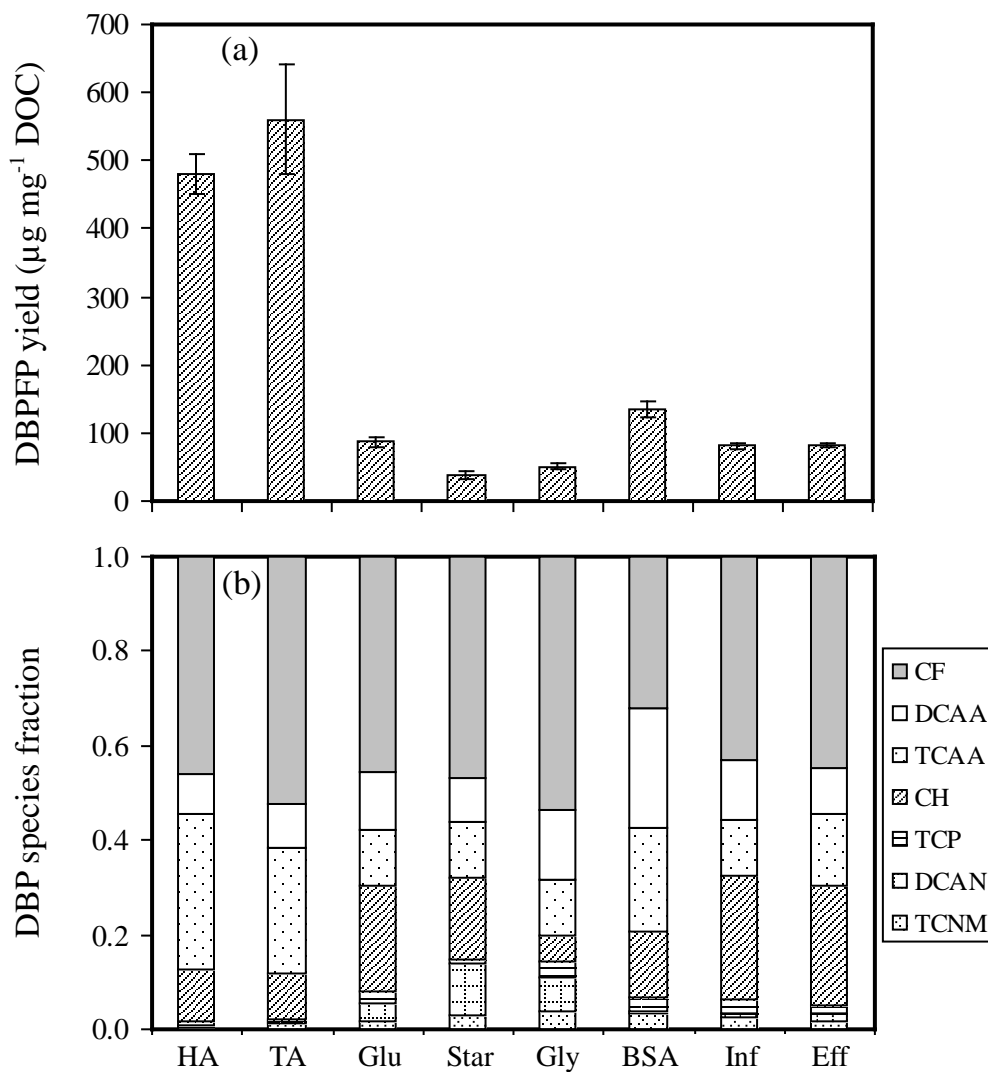


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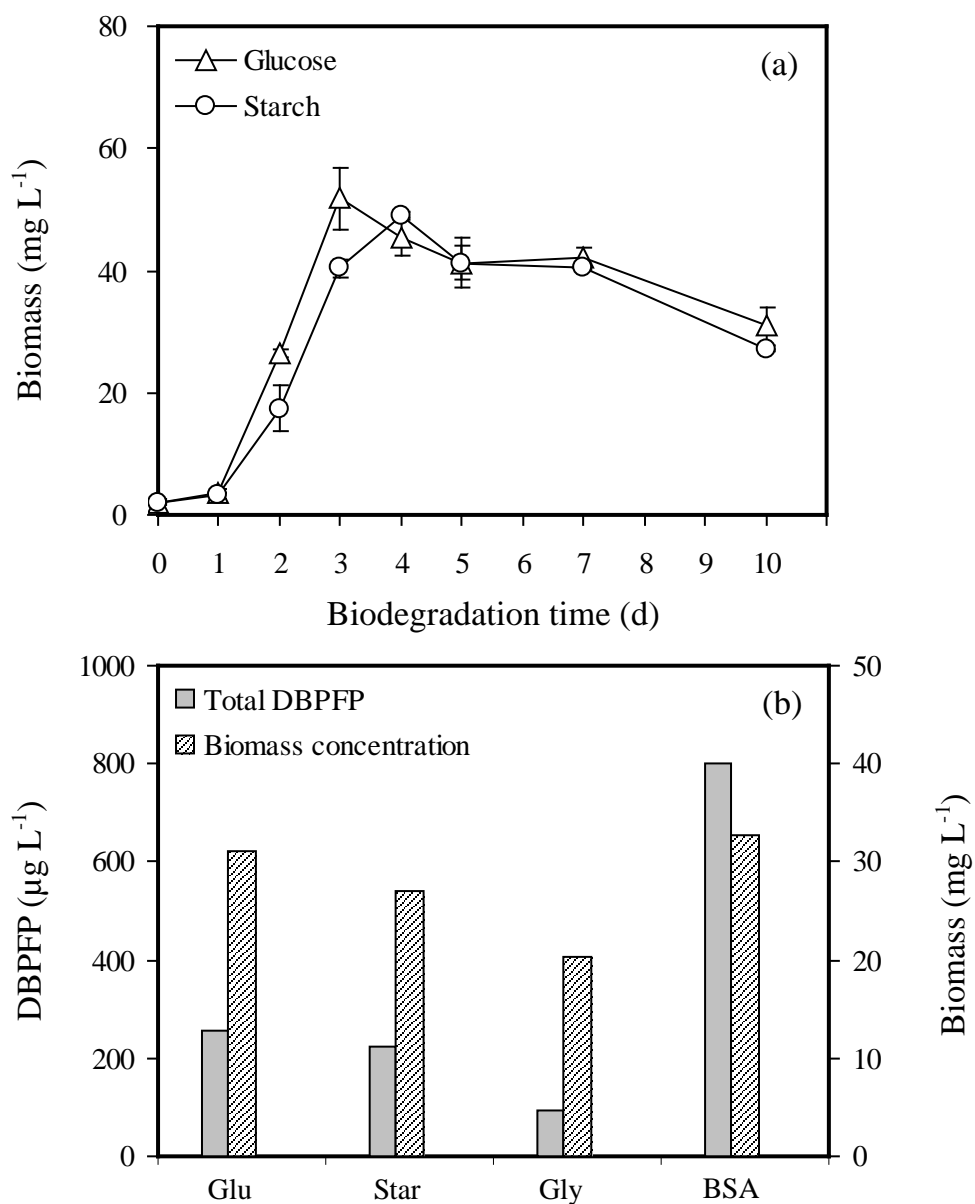


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