

Shape-weighted Landscape Evolution Index: An improved approach for simultaneously analyzing urban land expansion and redevelopment

Abstract: Urban redevelopment and the improvement of urban green coverages have become an important form of urban landscape evolution and have led to a decline in imperviousness. However, in the quantitative analyses of landscape patterns, such form has not been as widely considered as urban expansion dynamics represented by the growth of impervious surfaces. Furthermore, existing metrics ignore the different patch shapes in the identification of spatial evolution types, thereby significantly affecting the recognition of spatial relationships between new and existing patches. This study proposes a shape-weighted landscape evolution index (SWLEI) for simultaneously analyzing the landscape expansion and shrinkage types of patches in two or more periods. Compared with existing landscape expansion metrics, the SWLEI can depict the spatial relationships between new and old patches from a more detailed perspective and is thus more comprehensive and meaningful in terms of geospatial recognition. Empirical analysis in Hubei Province in central China indicated that dramatic urban expansion and small-scale urban built-up land use change occurred in 1990–2015. The changed urban patches can reflect the spatial patterns and distribution of urban redevelopment, and indicate the characteristics of the spatial optimization of urban land uses and urban greening. The characteristics of urban expansion and redevelopment patterns showed a distinctive disparity in different cities and periods. Urban growth became increasingly compact after 2005, and most cities experienced redevelopment at the early stage of urbanization and after 2005. Furthermore, the newly developed and changed urban patches were clustered in the central and eastern areas with advantageous physical and economic conditions.

Keywords: Patch shape; Landscape evolution patterns; Impervious surfaces; Urban expansion; Urban redevelopment

2 Tremendous changes in urban landscapes have been observed around the world, especially in
3 megacities, where urban growth and decay processes occur simultaneously (Bennett and Smith,
4 2017; Pan et al., 2019). On the one hand, rapid urban development has led to dramatic urban
5 land sprawl over the past several decades (Herold et al., 2003; Li and Yeh, 2004; Xia et al.,
6 2019a; Zeng et al., 2017), and this condition is accompanied by serious challenges in rural and
7 urban environments (Deng et al., 2017; Fang et al., 2017; Fujii et al., 2017; Weng, 2007; Xu and
8 Yang, 2019). On the other hand, accelerated urbanization has revealed an increasing demand for
9 reusing urban lands once occupied by deteriorating villages, underused factories and low-density
10 slums (He and Wu, 2009; Loures and Vaz, 2018; Pan et al., 2019; Shahtahmassebi et al., 2018;
11 Wu, 2015). Considerable effort has been exerted to analyze urban evolution because of its
12 substantial effects on human and nature systems (Dietzel et al., 2005b; Wang et al., 2018).
13 Satellite remote sensing presents an effective way to investigate and reveal those alterations and
14 characteristics of the Earth's surface (Fu et al., 2019; Qi et al., 2017; Sun et al., 2019; Zhang et
15 al., 2014a). However, previous studies were largely centred on urban expansion represented by
16 the growth of impervious surfaces, and conversion from impervious surfaces to other lands, such
17 as green spaces, has been insufficiently studied. The characteristics of urban land use change
18 could be explored using landscape metrics, which are useful tools for understanding
19 urbanization (Aguilera et al., 2011; Jia et al., 2019; Lausch et al., 2015; Li and Wu, 2004). By
20 contrast, quantitative analysis and metrics with regard to urban redevelopment remain lacking.

21 1.1 Remotely sensed data and urban redevelopment

22 Remotely sensed data have drawn considerable attention in the analysis and modelling of
23 urban expansion. In this regard, the growth of impervious surfaces is a major indicator of the
24 degree of urbanization (Ewing and Hamidi, 2015; Fan and Fan, 2014; Seto et al., 2012). Image
25 pixels with more than half of built-up land represented by impervious surfaces are often defined
26 as urban pixels. On the contrary, pixels dominated by vegetation (such as green parks) are not
27 considered urban even though these areas may function as urban spaces in terms of land use
28 (Schneider and Woodcock, 2008). Moreover, urban expansion is generally recognized as an

29 irreversible geographical process, that is, there is no existing urban areas that deurbanize
30 (Dietzel et al., 2005). However, the ‘disappearance’ of urban pixels can be observed during
31 urbanization, which is mainly related to the phenomenon of urban redevelopment.

32 Urban redevelopment aims to improve land use efficiency of underused or inactive urban
33 areas inside a city, such as brownfields (Rhodes and Russo, 2013; Thomas, 2002; Weber, 2010).
34 Consequently, existing buildings are demolished and reconstructed into new structures that offer
35 additional functions for cities (Chrysochoou et al., 2012; Rizzo et al., 2015). In China, urban
36 redevelopment has led to the large-scale demolition of old, low-density urban areas and city
37 villages in the past few decades (Dowall, 1994; Wu, 2015; Yu et al., 2019). In the United States
38 and European countries, the redevelopment of brownfields has become an effective way to
39 improve land use efficiency and alleviate the accelerated problem of land supply scarcity (Pan et
40 al., 2019). Furthermore, the improvement of urban green coverages by building more green
41 spaces has become a common phenomenon with the increasing demand of urban residents for
42 sustainable and liveable urban environments (Sarkar et al., 2018; Sun and Shang, 2015). Urban
43 redevelopment usually involves transformation from old buildings (i.e. urban built-up land) to
44 demolished land (i.e. bare land), which can be regarded as an intermediate land use state. These
45 changes can be identified as short- or long-term decreases of impervious surface coverages using
46 remotely sensed data (Fu et al., 2019, Pan et al., 2019; Shahtahmassebi et al., 2018). From the
47 perspective of time span, the transformation of urban built-up land with high impervious surface
48 coverages into greens or urban vegetation can be noticed after a specific time point. Low-density
49 urban land is sometimes rapidly reconstructed for increased density or renovation. Such
50 development can be captured theoretically using short-interval images. However, the use of
51 remote sensing technologies has not received sufficient attention in this field, and the
52 quantitative analyses of the spatial evolution patterns of urban redevelopment remain limited.

53 1.2 Landscape metrics for analyzing urban evolution patterns

54 Landscape metrics are widely used as an effective analytical tool of urban evolution
55 patterns with the help of geographic information system (GIS) and remote sensing technologies

56 (Forman and Godron, 1986; Lausch et al., 2015; Li et al., 2013; Turner, 1990; Wu et al., 2014).
57 Many of these metrics can capture the spatial characteristics for single time points, but they
58 cannot analyze dynamic spatiotemporal evolution processes (Liu et al., 2010; Ma et al., 2014;
59 Xu et al., 2007). Thus, some spatial metrics have been developed to solve this problem. One
60 such metric is the landscape expansion index (LEI), which has drawn considerable attention.
61 The LEI was proposed by Liu et al. (2010) and is useful for quantifying the spatial patterns of
62 landscape evolution and indicating its structural changes. It has also been commonly employed
63 to measure urban expansion (Liu et al., 2014; Shi et al., 2012; Tian et al., 2014). Recently,
64 scholars have achieved certain improvements to measure the multi-temporal information of
65 urban dynamics and depict detailed spatial relationships between new and old urban patches
66 (Jiao et al., 2015, 2018).

67 Despite the effort of these studies to analyze landscape evolution patterns, certain problems
68 remain unsolved, particularly those related to the bidirectional changes in impervious surfaces
69 and the identification of the evolution patterns of patches with special shapes. Firstly, most
70 research in landscape evolution patterns has focused on urban impervious surfaces expansion (Li
71 et al., 2013; Li and Yeh, 2004; Pan et al., 2019), but effective quantitative indices for
72 recognizing the spatial patterns of impervious surface shrinkage in urban evolution are lacking.
73 Urban landscapes are a complex combination of impervious surfaces, greens, soil and water (Lu
74 et al., 2010; Li et al., 2016). Accelerated urbanization and industrial reconstruction have caused
75 major adjustments and structural changes in urban areas (Shahtahmassebi et al., 2016). The
76 improvement of urban green space systems and urban reconstruction in some megacities are an
77 important approach to achieving urban sustainability (Huang et al., 2019; Newman, 1999).
78 Therefore, urban impervious surfaces shrinkage has become an important landscape evolution
79 pattern in urban development and can be identified to describe the spatial distribution of
80 ecological restoration and land use optimization (Pan et al., 2019; Zhang et al., 2017).

81 Secondly, the buffer sharing rate is often used by existing landscape metrics to identify the
82 growth modes of new urban patches (Liu et al., 2010). Nevertheless, all newly developed

83 patches have the same buffer distance, which is generally small and fixed. In such cases, some
84 patches with special shapes might be misidentified using these simple spatial metrics as they
85 cannot consider the differences in sizes and outlines of new patches. For example, large, newly
86 developed plots should be a good distance away from existing construction land in the process
87 of urban development and construction, especially in densely developed urban areas, due to
88 considerations of lighting, safety factors and landscape continuity. These plots will be identified
89 as outlying growth using existing indices, given the many trees, green spaces and water bodies
90 that often lie between them and existing urban areas. However, these patches should be adjacent
91 or infilling growth in nature because they are often closely connected to existing urban areas
92 instead of being potential growth points of urban development (Dietzel et al., 2005).
93 Furthermore, the buffer distance often mismatches the spatial resolution of remote sensing
94 images, thereby possibly causing the overvaluation of outlying-type patches (when the buffer
95 distance is too small) or undervaluation of infilling-type patches (when the buffer distance is too
96 large) (Jiao et al., 2015). Although some scholars have attempted to modify the buffer distance
97 to make it equal to spatial resolution (Jiao et al., 2018), they cannot address this issue thoroughly
98 for the mismatch between buffer zones and image pixels. The key to solving these problems is to
99 propose an improved landscape index that considers patch shapes on the basis of raster data.

100 Therefore, the present study aims to propose a shape-weighted landscape evolution index
101 (SWLEI), an improved approach for simultaneously analyzing urban land expansion and
102 redevelopment patterns. Hubei Province, a fast-growing region in China, is regarded as a case
103 study. We link urban evolution types with the theory of urban growth phases in Section 2. The
104 SWLEI and its application methods, the study area and data process are described in Section 3.
105 The results and discussions are presented in Sections 4 and 5, respectively, and the conclusions
106 are summarized in Section 6.

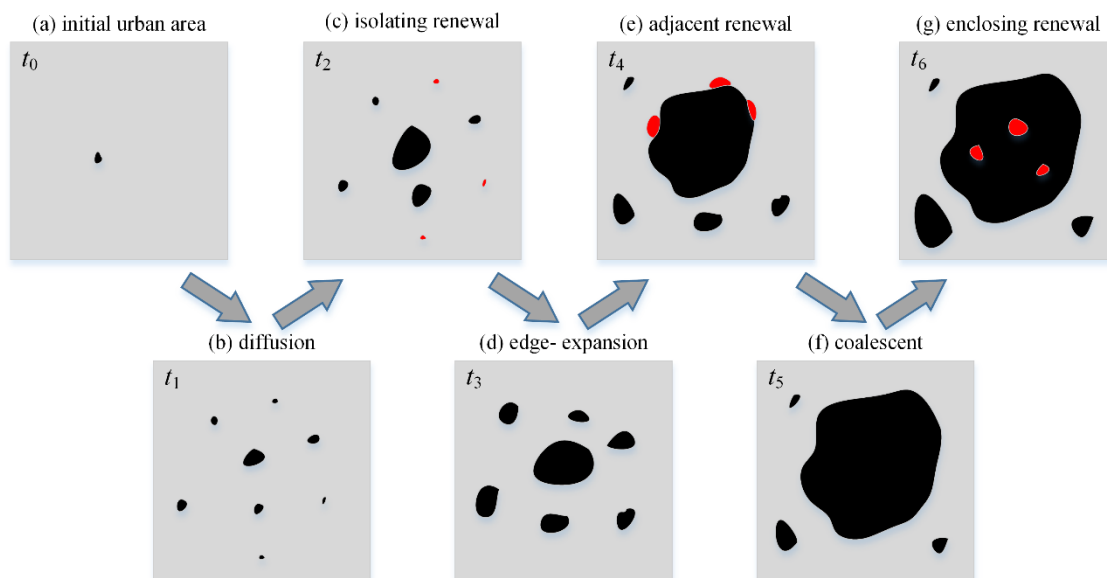
107 **2 Urban evolution types and the processes of diffusion and coalescence**

108 The quantitative analysis of landscape dynamic characteristics with metrics provides useful

109 descriptions for understanding landscape patterns in response to landscape change processes
110 (Xiang and Clarke, 2003; Zhang et al., 2014b). Landscape processes and patterns tend to
111 produce different landscape ecologies with added complexities caused by typically simultaneous
112 expansion and shrinkage (Pan et al., 2019). Landscape expansion mainly involves three
113 categories of spatial patterns, namely, outlying, edge–expansion and infilling and their
114 combination or variants (Forman, 1995; Ewing, 1997; Schneider and Woodcock, 2008; Wilson
115 et al., 2003). In this study, spatial patterns are extended to analyze landscape shrinkage, which is
116 correspondingly defined as isolating, adjacent and enclosing types. A new patch that fills the
117 gaps or holes between old patches or within an old patch can be regarded to be of infilling type.
118 Similarly, an enclosing patch is an extinct patch that becomes a gap or a hole between or within
119 existing patches. A new or extinct patch that is isolated from old patches can be defined to be of
120 outlying or isolating type. An edge–expansion patch is a newly developed patch spreading
121 around the periphery of initial patches. An extinct patch located in an edge is classified as an
122 adjacent type.

123 Urban evolution, which involves urban expansion and redevelopment, has attracted
124 considerable attention in the field of landscape ecological analysis. The spatial evolution of
125 urban areas can be characterized as the oscillated processes of diffusion and coalescence of
126 individual urban areas (Dietzel et al., 2005). At the early stages of cities, evolution starts with
127 the growth of an urban seed or core area. As the seed spreads, it diffuses to new city
128 development centers or cores, and this process is comparable to the pattern of outlying growth
129 (Liu et al., 2010). Then, urban centers or cores spread unidirectionally from an edge, and this
130 process is comparable to the edge–expansion pattern. As the diffusion process continues, organic
131 growth leads to the infilling of gaps amongst existing urban areas, which is called the process of
132 coalescence. Urban areas substantially change during these processes, where growth and
133 redevelopment occur simultaneously (**Figure 1**). In the initial stage, reusing occupied urban land
134 away from urban development centers or core areas refers to the isolating renewal type.
135 Thereafter, urban growth tends to connect old urban areas around the periphery of urban cores.

136 However, these old urban areas seem unsuitable for new urban development and would thus be
 137 redeveloped, thereby becoming an adjacent renewal type. After cities enter the middle and late
 138 stages, the existing urban areas become contiguous, and urban redevelopment is increasingly
 139 likely to occur within the existing urban patches; this characteristic describes the enclosing
 140 renewal type. In this study, we assume that these redeveloped urban patches can be observed as
 141 the ‘disappearance’ of urban pixels derived from remotely sensed data. The three renewal types
 142 are related to the three landscape shrinkage types.



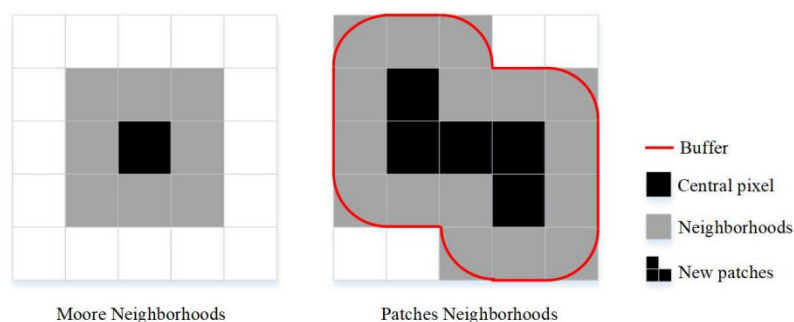
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 144 **Figure 1.** Urban evolution according to the theory of urban growth phases.

145 The diffusion and coalescence processes of urban development can be detected with
 146 landscape metrics (Liu et al., 2010). Landscape metrics are quantitative measurements that
 147 quantify the spatial patterns and morphology of a landscape using digital categorical maps with
 148 specific scales and resolutions. These measures are set to be definitive and patch-based to depict
 149 a landscape (Gustafson, 1998). Patches can be regarded as homogeneous regions classified as
 150 one category (i.e. urban, vegetation and water). This process involves the assumptions that the
 151 spatial transitions between different categories of patches are not gradual and that the edges
 152 between patches are distinct.

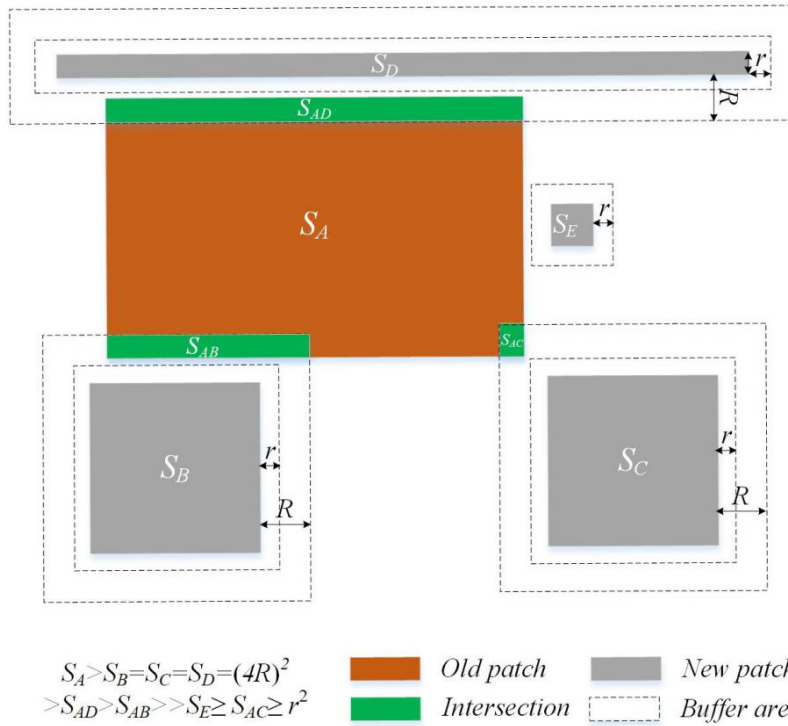
153 3 Methodology and data sources

154 3.1 SWLEI

155 In this study, buffer areas are defined on the basis of patch neighborhood, which refers to
 156 the set of all the neighborhoods of the pixels contained in a patch. In such cases, the landscape
 157 metrics can be calculated on the basis of raster data, which keep the features of landscape
 158 patches consistent with image pixels. As shown in **Figure 2**, mismatches between buffer zones
 159 and image pixels can be solved using patch neighborhoods. Existing dynamic metrics use one
 160 fixed buffer distance for all new patches, i.e. the geographical characteristics of new patches are
 161 not considered. As shown in **Figure 3**, when the distances between new patches (S_B , S_C , S_D) and
 162 the closest old patch (S_A) are slightly greater than the buffer distance ($r = \text{spatial resolution}$), the
 163 values calculated by existing metrics (i.e. LEI) are zero, and all the three new patches are
 164 identified as outlying ones. However, defining the new patches as outlying patches is not
 165 reasonable in terms of geographical cognition if the distance between new and old patches is
 166 negligible compared with the shape of the new patches (S_B , S_C). Moreover, the shape of new
 167 patches is not only about their size (i.e. area) but also about their outline (i.e. perimeter). For a
 168 new patch S_D , the distance between patches S_D and S_A cannot be ignored even though the area of
 169 patch S_D is equal to those of patches S_B and S_C . Furthermore, the relationship of patches S_A and
 170 S_C may have to be defined as outlying rather than adjacent in the geographic context if their
 171 intersection is negligible relative to the buffer area in the use of the neighbourhood distance (R)
 172 whilst considering shapes. In this study, an outlying grown patch can be defined using a pre-set
 173 threshold value to solve such issue.



175 **Figure 2.** Buffer zones and neighborhoods of patches.



176

177 **Figure 3.** Relationships between new and old patches determined using different neighborhood distances.

178 Considering the above issues, we propose an improved landscape metric called the SWLEI
 179 to define the relationships between targeted and existing patches and depict the spatial patterns
 180 of landscape expansion and shrinkage. In particular, the SWLEI can simultaneously quantify the
 181 bidirectional changes in urban landscapes (e.g. urban impervious surfaces). Moreover, the
 182 neighborhood distance is calculated for each patch in accordance with its shape and the spatial
 183 resolution of images. The SWLEI can be defined by the following equations:

184

$$SWLEI_i = (-1)^\lambda \times \frac{N_i^*}{N_i} \times 100 \quad (1)$$

185

$$N_i = D_i \times P_i + 4 \times D_i! \quad (2)$$

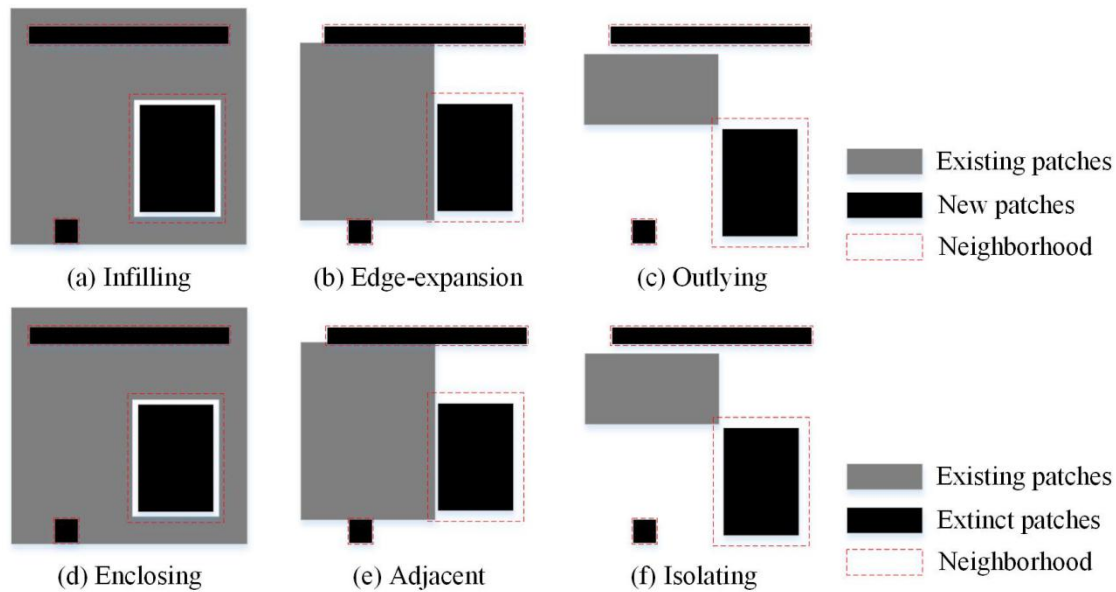
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$$D_i = \left\lceil \frac{S_i}{P_i} \right\rceil \quad (3)$$

187 where λ is a binary variable representing the status of the targeted patch (new or extinct patches)
 188 during the study period (t_0, t) . If it is a newly developed patch at time t , then $\lambda = 0$; if the patch

189 exists at time t_0 but disappears at time t , then it is defined as an extinct patch and $\lambda = 1$. N_i is the
190 number of pixels in the neighborhood of the targeted patch at time t , and N_i^* is the number of
191 pixels in the intersection area of the targeted patch's neighborhood with existing patches. D_i is
192 the neighborhood radius, S_i is the number of pixels in the targeted patch, and P_i is the ratio of the
193 targeted patch's perimeter to the spatial resolution. The LEI can be regarded as a special case of
194 the SWLEI, with $\lambda = 0$ and $D_i = 1$. When $D_i > 1$, the patch neighborhood is extended to be a
195 Moore neighborhood.

196 In this study, landscape evolution patterns are divided into two categories, namely, the
197 growth modes of new patches and the shrinkage modes of extinct patches. The growth modes
198 include infilling, edge-expansion and outlying, as shown in **Figures 4a–4c**. The shrinkage
199 modes include enclosing, adjacent and isolating, as shown in **Figures 4d–4f**. Possible SWLEI
200 values vary between -100 and 100 . Large SWLEI values (absolute) indicate that the
201 corresponding patches are closely connected to existing patches, and small SWLEI values
202 (absolute) indicate that the corresponding patches are isolated. Specifically, a patch is defined as
203 infill growth or enclosing shrinkage if at least 50% of the areas in its neighborhood are occupied
204 by old patches. If the occupied areas are no more than 50% but larger than 1%, the patch is
205 characterized as edge-expansion growth or adjacent shrinkage; otherwise, it can be identified as
206 outlying growth or isolating shrinkage. Therefore, in this study, an SWLEI value within $[50, 100]$
207 indicates an infilling new patch. If it is in the range $[1, 50)$, then the new patch is defined as
208 edge-expansion. If it is within $(-1, 1)$, then the new patch is classified as outlying, and the
209 extinct patch is defined as isolating. The extinct patch is defined as adjacent once its SWLEI
210 value is within $(-50, -1]$ or enclosing if the value is within $[-100, -50]$.



211

212 **Figure 4.** Landscape evolution patterns (a-c: patch expansion modes, d-f: patch shrinkage modes).

213 The SWLEI has the following characteristics. Firstly, it can identify the patterns of
 214 landscape shrinkage. Landscape evolution should include the generation and growth of
 215 landscape patches and the shrinkage and extinction of patches. Thus, studies on bidirectional
 216 landscape changes should attract added attention. Secondly, the SWLEI can recognize the spatial
 217 evolution patterns of patches with special shapes and describe their relationships with existing
 218 patches in terms of geographical meanings. Thirdly, this index is established on the basis of the
 219 neighborhood of image pixels, which is consistent with the spatial resolution of raster data. In
 220 this study, the LEI is selected for comparison. This index is a widely used and distinguished
 221 index of landscape expansion. It is also the foundation of the SWLEI, and other indexes
 222 designed for identifying landscape evolution types. In this study, the LEI is extended to measure
 223 the spatial patterns of extinct patches, as shown in Equations 1–3.

224 3.2 Study area and data processing

225 Hubei is a province in central China; it had a population of 59.02 million and a per-capita
 226 gross domestic product of more than US\$ 9100 in 2017. Its economy ranks intermediately in the
 227 country, and its provincial capital, Wuhan, is a major transportation hub and an important
 228 political, cultural and economic center in China. Hubei Province consists of three urban

229 agglomerations, namely, the Wuhan-centred, Xiang-Shi-Sui and Yi-Jing-Jing city groups. It is
230 an important strategic core region for promoting the policies New Urbanization and Rise of
231 Central China and ensuring national food and ecological security (Xia et al., 2019b).

232 The land use classification data of six periods (1990, 1995, 2000, 2005, 2010 and 2015),
233 with a ground resolution of 30 m, were used to provide the inputs for analysis. These data were
234 derived from the National Resources and Environment Database of the Chinese Academy of
235 Sciences (National Land Use/Cover Database of China [NLUD-C]) (<http://www.resdc.cn>). The
236 NLUD-C was built using Landsat TM and China-Brazil Earth Resources Satellite images, and
237 its classification accuracies are more than 90% according to nationwide field verification. This
238 database applies a classification system of six major land use types, namely, farmlands, forest,
239 grasslands, water, built-up land and unused land (Lai et al., 2016). The built-up land is further
240 classified as urban, rural settlement and industry-traffic land. This study focuses only on urban
241 built-up land. Urban land is defined differently in previous research; impervious surfaces in
242 terms of remotely sensed images have been widely used (Arnold and Gibbons, 1996). Therefore,
243 ‘urban built-up land’ here is synonymous with ‘urban impervious surface’, which does not
244 involve urban vegetation. Industry-traffic land is also excluded. For simplicity, the term ‘urban
245 land’ is used to represent urban built-up land interpreted from satellite images. Therefore, in this
246 study, urban built-up land use change should include the declines in urban impervious surfaces
247 and transformation of urban built-up lands to industrial-traffic lands (**Figure 5**), which can be
248 mainly attributed to urban redevelopment. Specifically, the declines in imperviousness of urban
249 land use are related to the intermediate transformation of urban land to demolished land, as well
250 as urban greening. Although most of urban greening may be due to the building of urban green
251 spaces, some could be caused by the natural evolution of urban vegetations, for example, tree
252 growth. Therefore, the identified urban redevelopment areas in this study may contain errors,
253 which may lead to some overestimation.

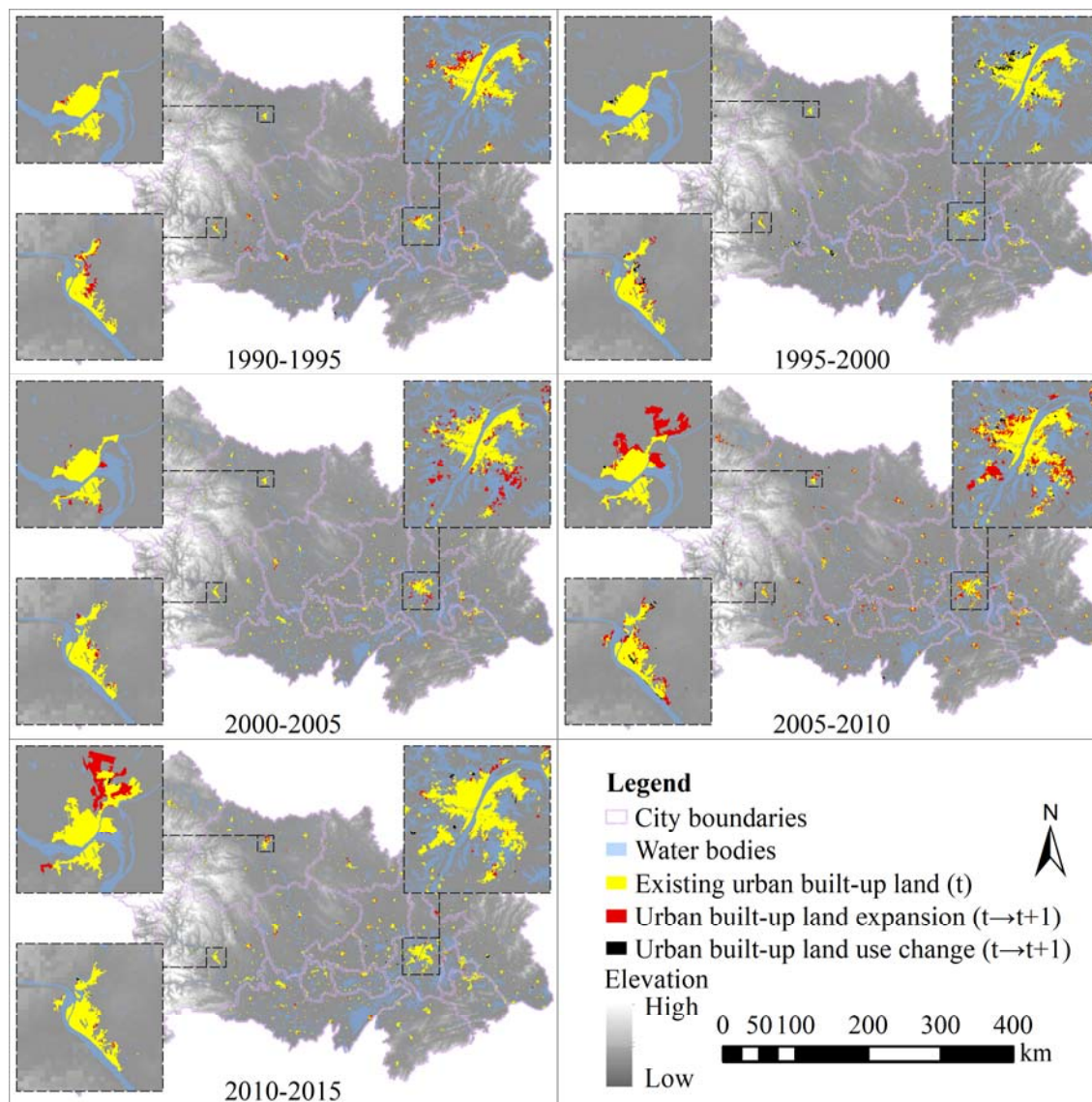


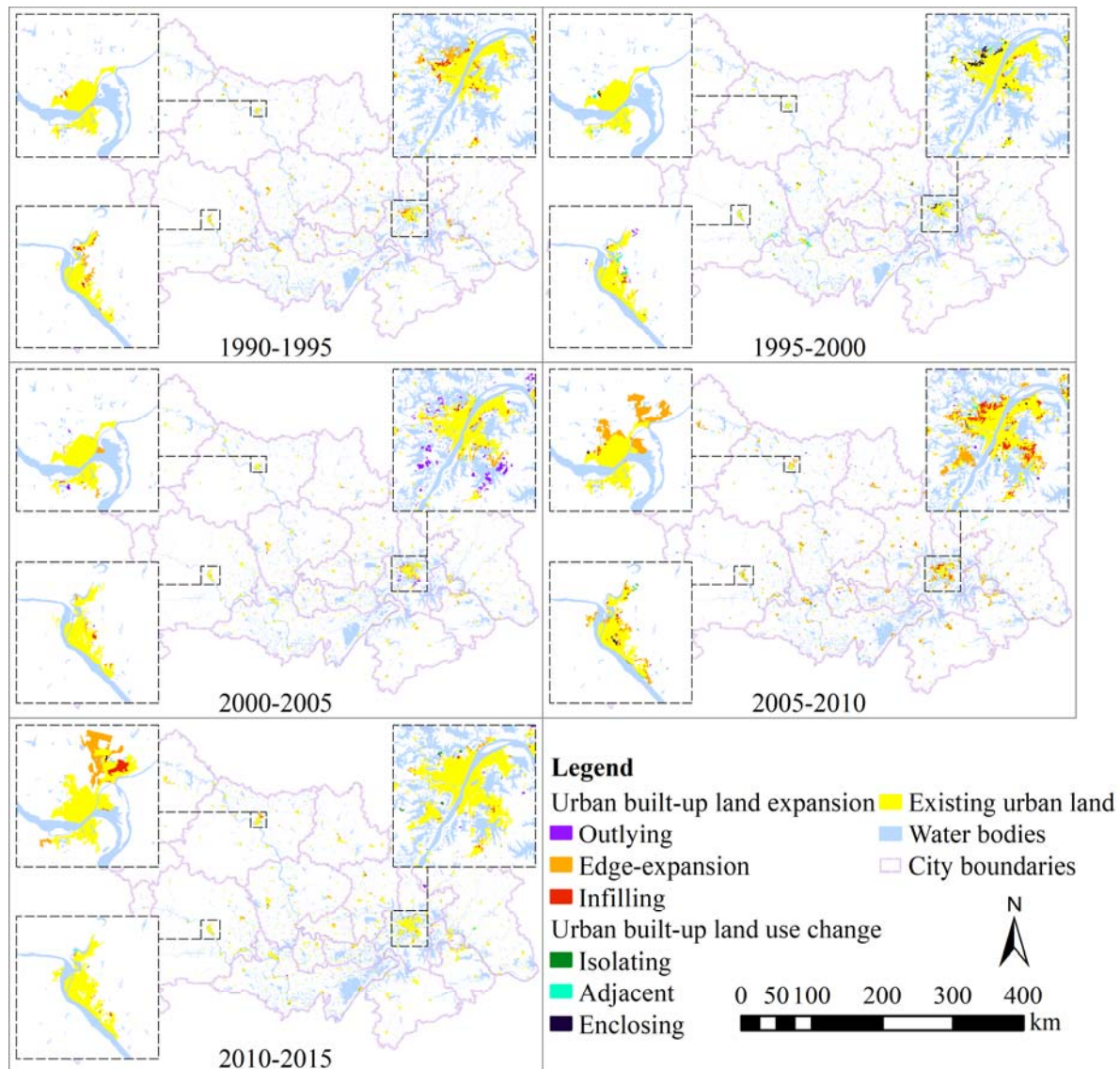
Figure 5. Urban land use evolution during different periods in Hubei Province.

256 4 Application and results

257 4.1 Characteristics of landscape evolution types based on SWLEI

258 We first identified the evolution types for each new and changed urban patch in each of the
 259 five periods (1990–1995, 1995–2000, 2000–2005, 2005–2010 and 2010–2015). **Figure 6** shows
 260 the spatial distributions of the different urban evolution types in all the cities. Three growth
 261 types and three renewal types of urban patches were identified by using the proposed SWLEI.
 262 The urban landscape of Hubei dramatically changed from 1990 to 2015. However, the urban

263 landscape showed distinct growth and redevelopment patterns in different periods. In the first
264 period (1990–1995), the patterns of urban growth were dominated by the edge–expansion and
265 infilling types. The newly developed patches mainly appeared near the existing urban areas.
266 Compared with urban growth patches, changed patches were hardly noticeable. During the
267 period of 1995–2000, urban growth suddenly slowed down, and many enclosing renewal
268 patches were found along the existing urban areas. During the period of 2000–2005, new
269 patches under outlying growth were easily found, and edge–expansion type growth became
270 predominant. Urban development occurred far from existing urban areas, exhibiting a scattered
271 and disordered pattern. In 2005–2010, outlying growth was in a decreasing trend, and
272 edge–expansion and infilling growths became dominant. Changed patches were evident,
273 especially in large cities (e.g. Wuhan). In the last period (2010–2015), edge–expansion and
274 infilling growths remained dominant in the study area. However, edge–expansion growth was
275 decreasing, especially in large cities. Meanwhile, isolating and adjacent types were easily found.
276 Consequently, the urban morphology became increasingly compact.



277

278 **Figure 6.** Spatial distribution of different urban evolution types in the five periods.

279 As shown in **Table 1**, the changes in the area and quantity proportions of the different
 280 evolution types for the five periods imply the transformation of spatial patterns. Overall,
 281 edge-expansion and adjacent renewal were the most prevalent types of urban evolution for the
 282 covered cities during the entire period. As for urban expansion, the area and quantity proportions
 283 of outlying growth experienced a significant increase in the first three periods (1990–1995,
 284 1995–2000 and 2000–2005) and then decreased during the two succeeding periods (2005–2010
 285 and 2010–2015). Opposite changes were identified in the infilling growth and edge-expansion.
 286 At the earliest stage (1990–1995), edge-expansion and infilling growth were the dominant

287 forms of growth in terms of area and quantity, respectively. In this period, the urban form of
 288 cities was compact, and land use was intensive. During the second and third periods (1995–2000
 289 and 2000–2005), the area proportion of infilling patches decreased to less than 10%, whereas the
 290 outlying patches increased to 42.63% and became the dominant type. In the meantime,
 291 edge–expansion growth experienced a significant decline in area and quantity, but it remained
 292 the main expansion type. Urban growth became increasingly scattered in these periods through
 293 edge expansion and outlying expansion represented as types of diffusion. In the period of
 294 2005–2010, the proportion of infilling growth and edge–expansion increased dramatically,
 295 whereas the outlying type decreased by more than 30% in area and quantity. This finding
 296 indicated that urban diffusion was controlled effectively and that the cities grew in a compact
 297 manner. In the last period (2010–2015), the proportions of the expansion types remained
 298 unchanged, and the urban forms stabilised.

299 **Table 1.** Area and quantity proportions of different evolution types

Periods	Area proportion (%)						Quantity proportion (%)					
	Expansion types			Renewal types			Expansion types			Renewal types		
	Type 1	Type 2	Type 3	Type 1	Type 2	Type 3	Type 1	Type 2	Type 3	Type 1	Type 2	Type 3
1990–1995	7.11	70.31	22.58	35.17	62.01	2.82	4.72	56.25	39.03	13.49	47.62	38.89
1995–2000	23.34	64.87	11.79	12.14	58.80	29.06	18.98	44.88	36.14	5.71	48.57	45.71
2000–2005	42.63	48.19	9.19	2.64	90.52	6.84	39.13	44.87	16.00	3.13	59.38	37.50
2005–2010	10.22	68.23	21.56	34.04	52.77	13.19	8.29	51.62	40.09	10.43	45.13	44.44
2010–2015	18.49	64.95	16.56	34.60	52.79	12.62	8.92	44.13	46.95	4.30	44.09	51.61

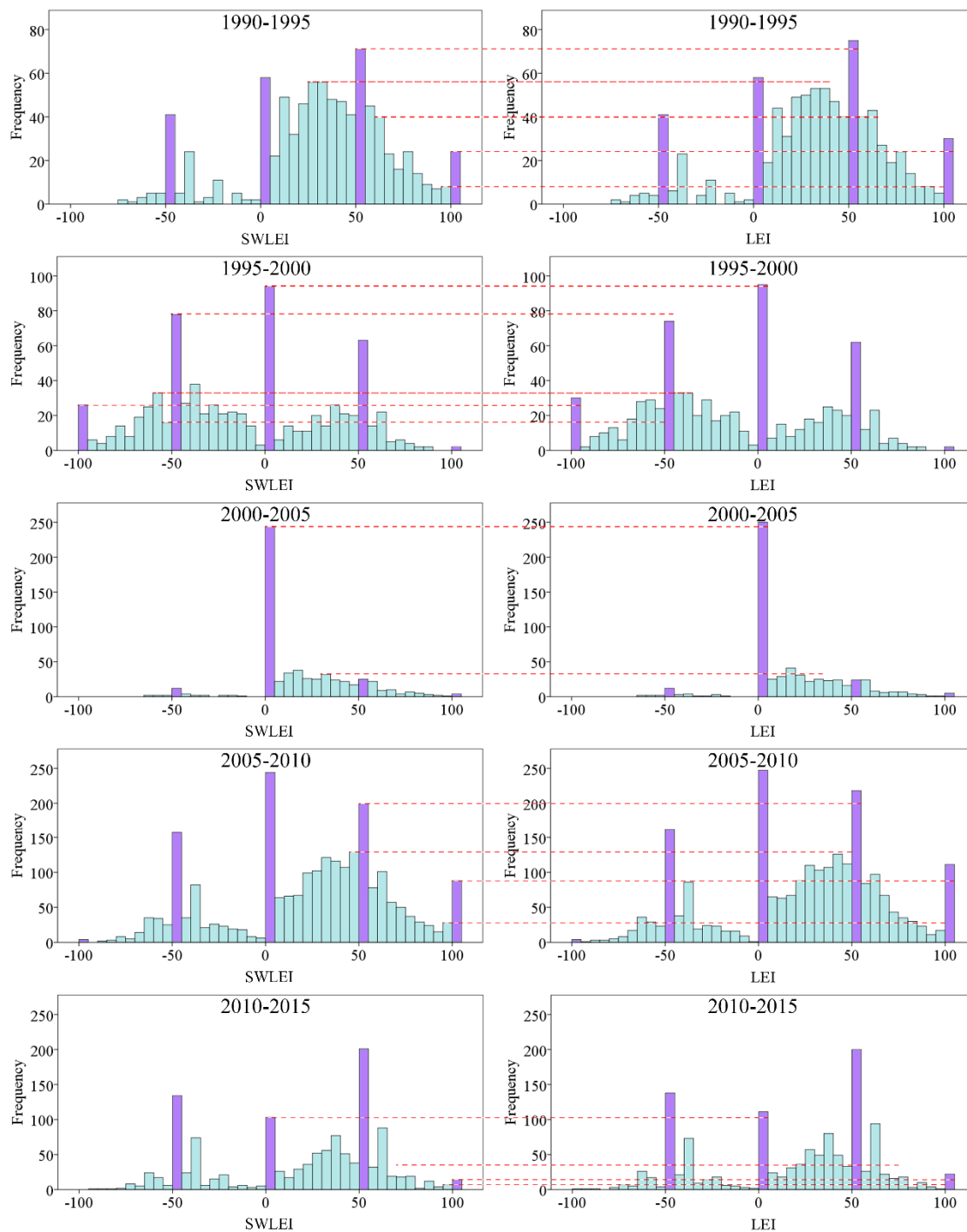
300 Expansion: Type 1: outlying, Type 2: edge expansion, Type 3: infilling. Renewal: Type 1: isolating, Type 2: adjacent,
 301 Type 3: enclosing. The same definitions are used hereafter.

302 For urban redevelopment, adjacent and enclosing renewal were the dominant types in terms
 303 of quantity, whereas isolating renewal had small quantity proportions but relatively large area
 304 proportions. Isolating renewal decreased in area and quantity proportions during the first three
 305 periods (1995–2005) but increased to its original level in 2005–2010 and then remained over
 306 34% in the area proportion. The area proportion of enclosing renewal was less than 3% in the
 307 first period (1990–1995), although it constituted 38.89% of the total amount of changed urban
 308 patches. Adjacent and isolating renewal were the main types of patch renewal in this period,

309 accounting for 62.01% and 35.17%, respectively, of the area proportion. In this period, urban
310 redevelopment occurred mainly in the urban periphery and was relatively far from the existing
311 urban areas. The urban forms of cities were compact at this stage. During the following period
312 (1995–2000), the area proportion of enclosing renewal increased to 29.06%, whereas the
313 isolating type decreased by over 20%. Although adjacent renewal remained the dominant type,
314 the landscape pattern became scattered. During the period of 2000–2005, enclosing and isolating
315 types were replaced by adjacent type, which covered more than 90% of the total area. In the last
316 two periods, enclosing and isolating types had significant increases, and the proportion structure
317 was stable.

318 4.2 Comparison of SWLEI and LEI

319 Histograms were drawn for the SWLEI and LEI in different periods to perform a statistical
320 analysis on the urban evolution patterns. The SWLEI and LEI values equal to zero indicated
321 types of outlying and isolating. As shown in **Figure 7**, the histograms of the SWLEI and LEI
322 showed similar robustness patterns. Despite the different development forms in the five periods,
323 the following five peaks were found: $[-100, -95]$, $[-50, -45]$, $[0, 5]$, $[50, 55]$ and $[95, 100]$. These
324 peaks can provide evidence for setting the thresholds of the SWLEI values in determining the
325 evolution types of targeted patches. Major differences were found near the five peaks by
326 comparing the frequency distributions of the SWLEI and LEI values in the different periods. On
327 the basis of the different definitions of the SWLEI and LEI, we can infer that the SWLEI
328 attempts to solve the misidentification of evolution types by the LEI. In other words, the SWLEI
329 and LEI have similar abilities to identify the evolution types of new patches that are adjacent to
330 old urban patches (the distance between them is less than the spatial resolution) or have small
331 shapes (the area or length is not considerably larger than the spatial resolution). However, the
332 SWLEI varies for large and non-adjacent patches, and reflects the spatial relationships between
333 new and old patches in a comprehensive way.



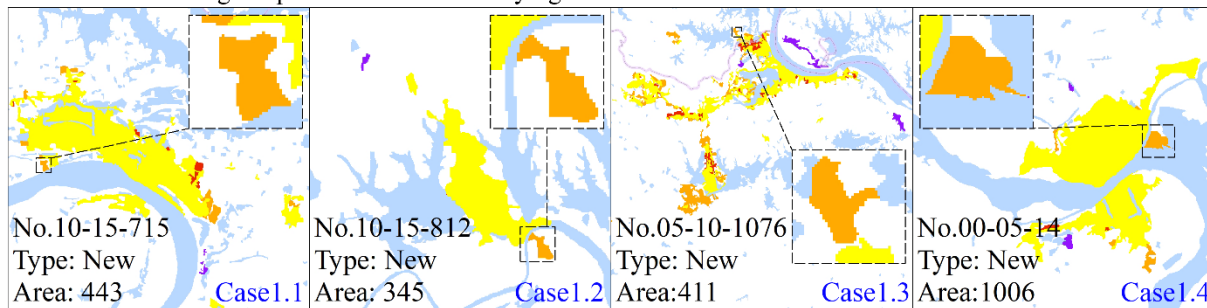
334

335 **Figure 7.** Robustness of SWLEI and LEI values based on histograms for different periods.

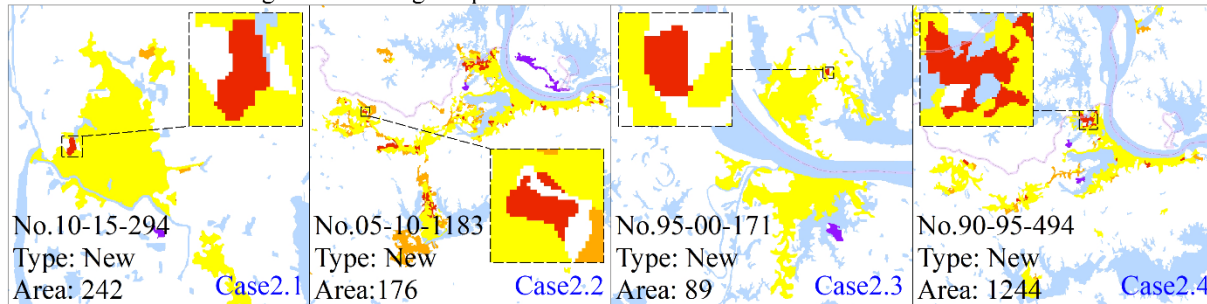
336 The different evolution modes identified by the SWLEI and LEI in the local areas were
 337 found and are shown in **Figure 8**. In Case 1, the distances between the new and existing urban
 338 patches were slightly larger than the spatial resolution (30 m) but substantially smaller than the

339 sizes of the four new patches. These new patches were identified as outlying ones using the LEI.
340 However, in terms of geographical spatial cognition, these patches were located near the old
341 patches and usually geographically connected to existing urban areas through transportation
342 networks (e.g. bridges and overpasses). In other words, these patches will not be new seeds or
343 core areas for development. As shown in Cases 1.2 and 1.4, new urban patches may not occupy
344 some important landscape spaces to keep the continuity of urban landscapes (e.g. river system
345 and green belt) and protect urban ecosystems. Therefore, these patches were identified to be of
346 the edge–expansion type by the SWLEI, and this assessment is reasonable. In Case 2, the four
347 new patches were identified as edge–expansion by the LEI, although these patches were found
348 to be of the infilling type from a broad view. If the neighborhood distance was small (i.e. 30 m),
349 then the neighborhood of these new patches might not contain too much existing urban land.
350 However, these newly developed patches were filling gaps between old patches or within old
351 patches. Classifying these patches as infilling growth using the SWLEI would be accurate. In
352 Case 3, half of the boundary for the four new patches is adjacent to the existing urban areas in
353 one side. Therefore, these new patches were identified as infilling growth using the LEI.
354 Nevertheless, such misidentifications were attributed to the irregular shapes of different patches.
355 After the shapes of the new patches were considered, these newly developed patches were
356 correctly classified as edge–expansion using the SWLEI. Overall, the spatial evolution patterns
357 identified by the SWLEI were more realistic than those by the LEI from the perspectives of
358 geographical meaning and cognition.

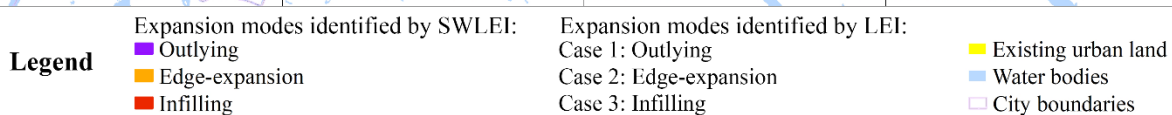
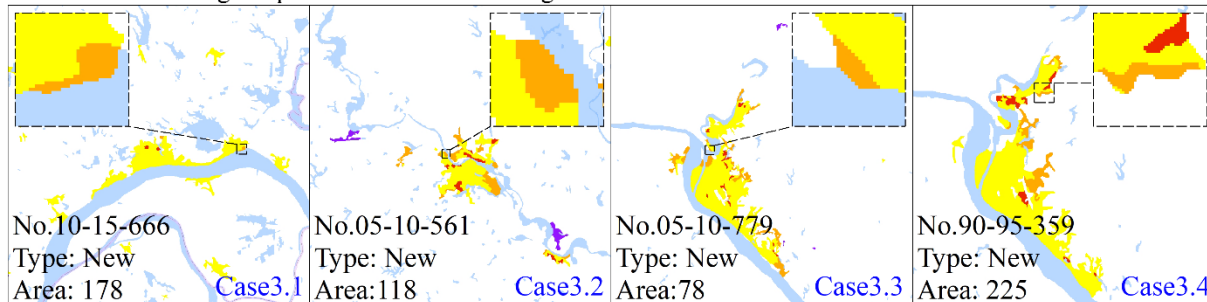
Case1- SWLEI: Edge-expansion and LEI: Outlying



Case2- SWLEI: Infilling and LEI: Edge-expansion



Case3- SWLEI: Edge-expansion and LEI: Infilling



359

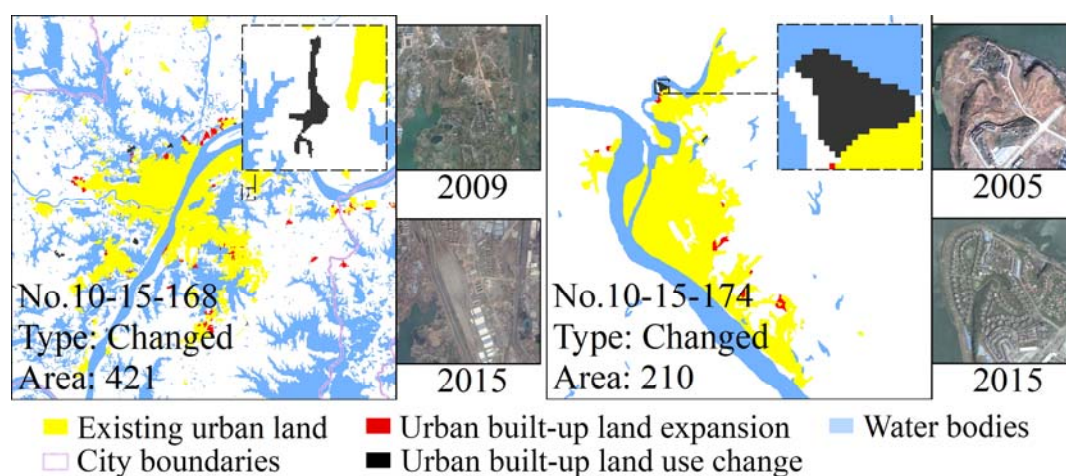
360 **Figure 8.** Evolution modes identified by the SWLEI and LEI in local areas (Area refers to the number of pixels).

361 In summary, compared with other landscape metrics (e.g. LEI), the proposed SWLEI can
 362 quantitatively identify the evolution types of all new patches in a more comprehensive and
 363 representative way; it transforms simple classification to detailed geographical spatial
 364 recognition. Therefore, the SWLEI is an improved dynamic metric with which urban evolution
 365 processes can be accurately and comprehensively understood.

366 4.3 Identification of redeveloped urban built-up land

367 With land use optimization and the construction of green spaces (e.g. parks), the enclosing
 368 and adjacent renewal of old patches inside urban areas are under the guidance of plans. These

369 patches are mostly distributed along lakes or both sides of roads. To a certain extent, the
 370 recognition of these patches can provide references for constructing urban green infrastructure
 371 and renovating residential land. In the urban periphery, scattered isolating patches are
 372 transformed in response to regional development in a process that can be regarded as a kind of
 373 natural evolution. **Figure 9** shows two examples of urban patch redevelopment. The left case
 374 shows an isolating urban patch in the city of Wuhan, which was urban residential land in 2009
 375 and rebuilt as industrial–traffic land in 2015. As Wuhan enters the era of high-speed railways, its
 376 local governments are actively updating the infrastructure around the city to meet the growing
 377 demand for travel. In this case, although the patch is still urban in terms of function, it is already
 378 defined as a changed urban patch because industry–traffic land is not included in the definition
 379 of urban land in this study. The right case shows another isolating urban patch in Yichang City,
 380 which was urban residential land with poor environments before 2010 and transformed to a villa
 381 district with a high greening rate in 2015. With the promotion of urban consumption abilities and
 382 upgrading concepts of residence, developers and governments are attempting to reactivate urban
 383 spaces through the construction of high-quality residential areas in old, low-density urban areas.
 384 In this case, the patch is identified as non-urban because vegetation dominates more than half of
 385 the pixels.

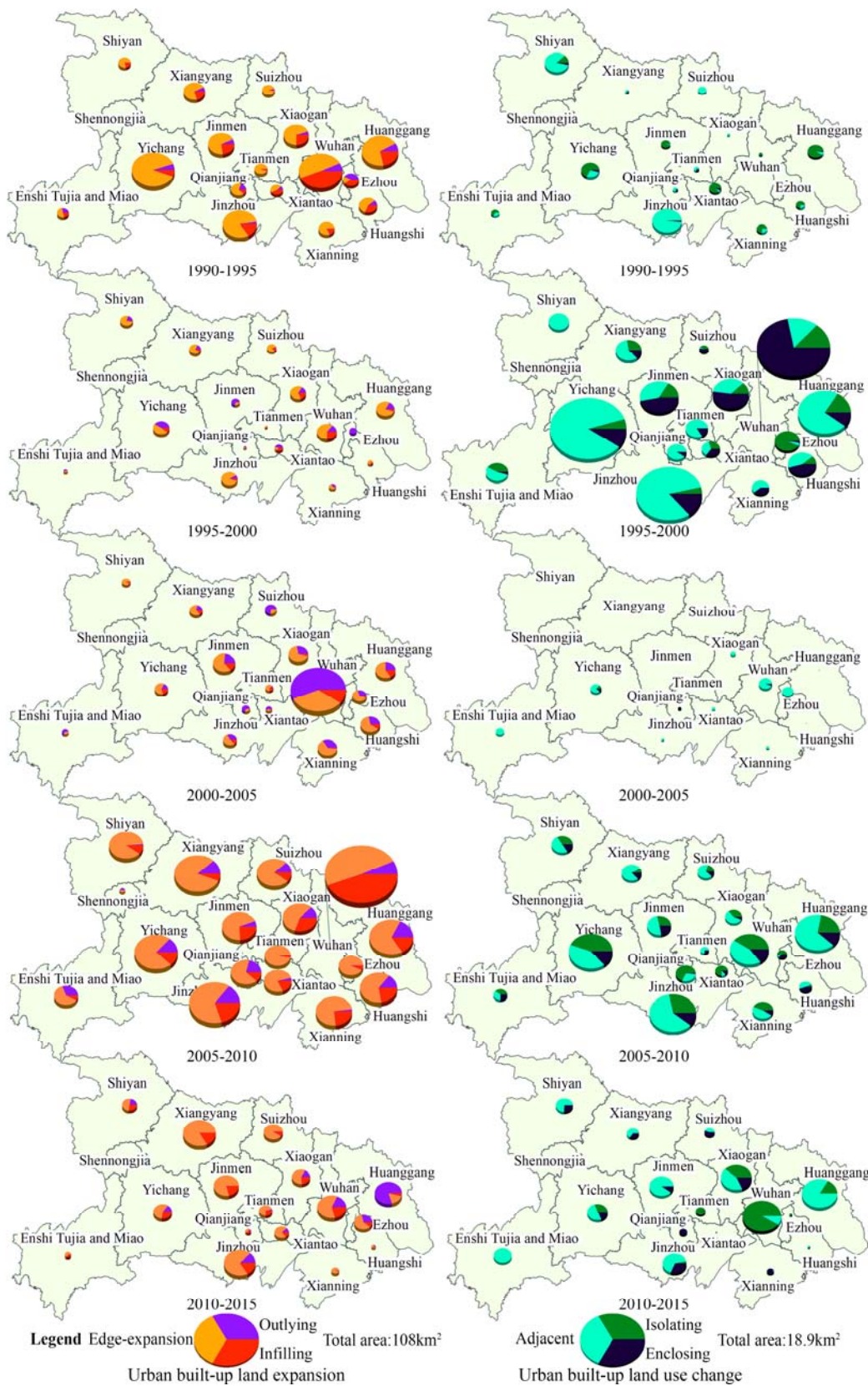


387 **Figure 9.** Examples of urban built-up land use change.

388 4.4 Evolution process and spatial disparity of urban expansion and redevelopment

389 As shown in **Figures 10–12**, the characteristics of urban expansion and redevelopment
390 patterns showed a significant spatial disparity in different cities and periods. Urban expansion
391 mainly occurred at the beginning of the 21st century. Wuhan, as the provincial capital, sprawled
392 faster than did the other cities in terms of area and amount. After 2010, urban sprawl slowed
393 down, especially for large cities (e.g. Wuhan). This phenomenon may be largely due to the fact
394 that governments began promoting compact development after realizing the social and
395 environmental problems caused by urban sprawl. In terms of spatial distribution, newly
396 developed and changed urban patches were clustered in the central and eastern regions due to
397 the restrictions of natural and economic conditions. The western cities in Hubei Province are not
398 suitable for development because of their undulating terrain, high altitudes and harsh climate
399 conditions. In addition, their lagged economies will hinder redevelopment.

400 The mean areas and area and quantity proportions of the urban expansion patterns varied in
401 the different cities and periods from the perspective of urban growth. During the period of
402 1990–1995, all the cities were dominated by edge–expansion and infilling types, and a small
403 number of outlying new patches were observed. However, the mean areas of these outlying new
404 patches were relatively larger than those of the infilling patches and close to edge–expansion
405 patches in most cities. In the following periods, the amounts and mean areas of newly developed
406 urban patches significantly decreased during 1995–2000, dramatically increased after 2000 and
407 slowed down after 2010. In 2000–2005, most cities primarily expanded through edge expansion,
408 whereas cities such as Wuhan, Tianmen, Xiantao and Suizhou grew in an outlying spread, with
409 over half of the total area of the new urban patches being outlying patches. This observation may
410 be due to the physical conditions in these cities, which naturally block contiguous growth and
411 generate many enclaves around original built-ups, thus forming a scattered urban structure. After
412 2005, most cities grew increasingly compact, with the area and quantity proportions of infilling
413 growth considerably increasing.



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Figure 10. Area proportions of different evolution types for all cities in different periods.

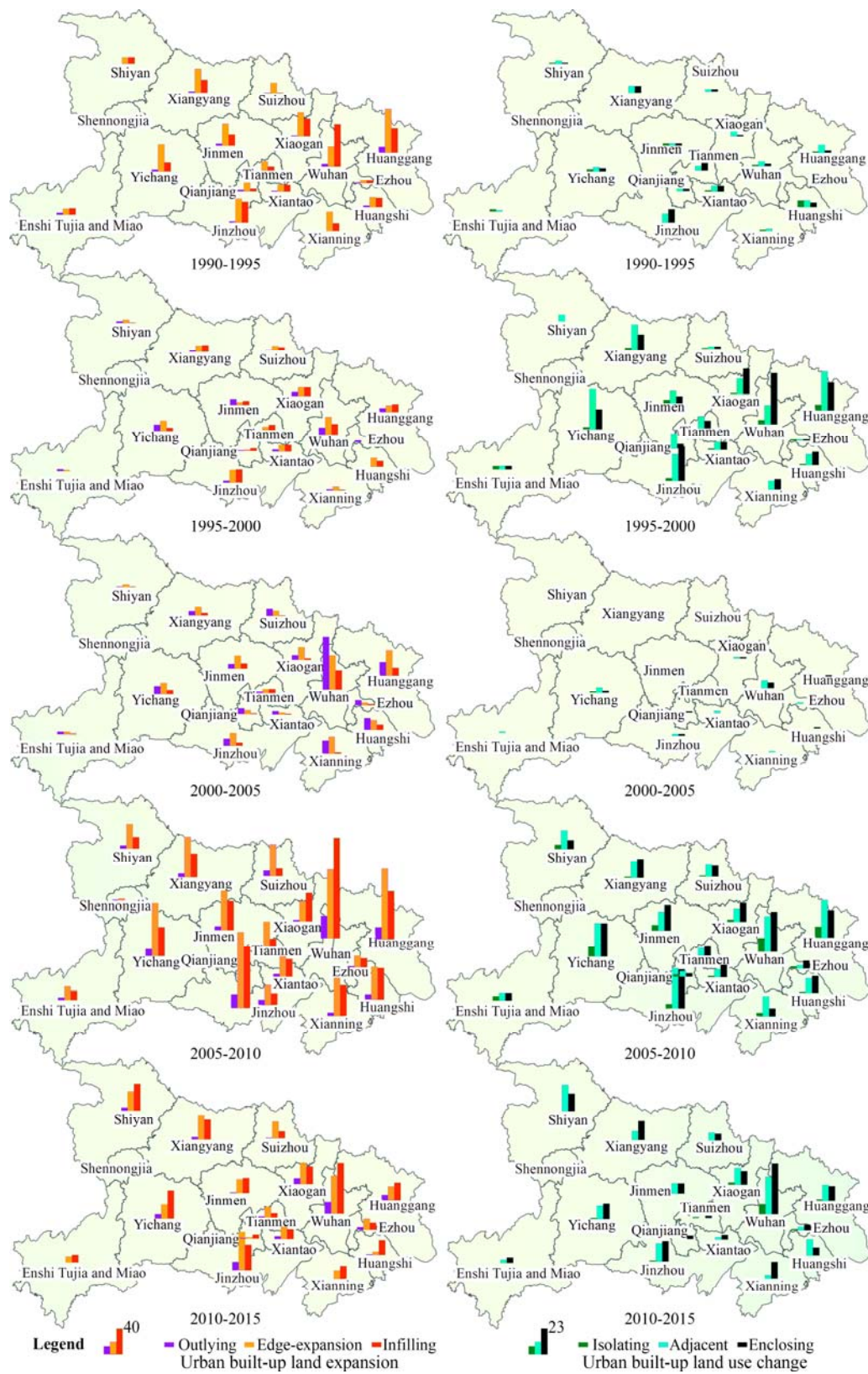
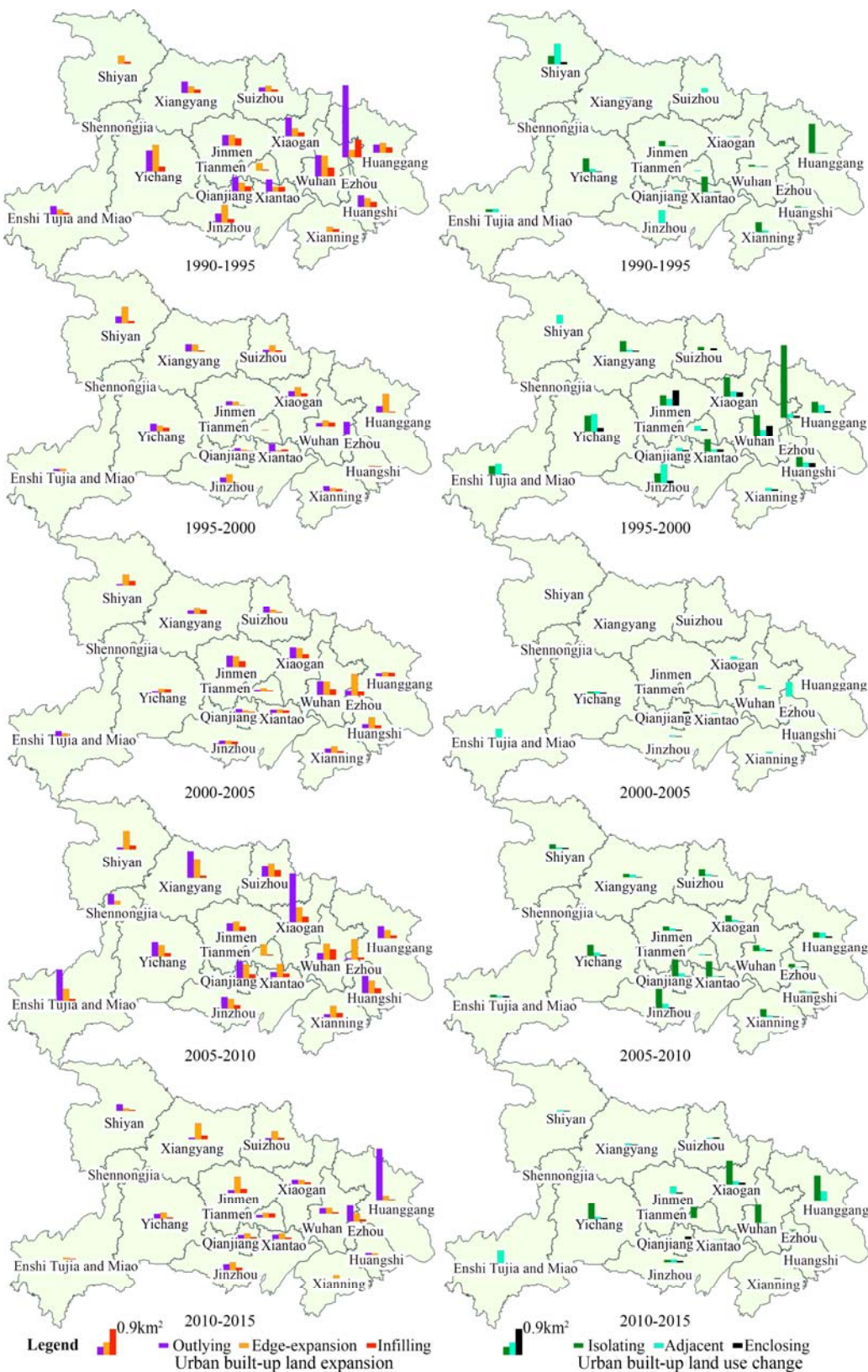


Figure 11. Quantity proportions of different evolution types for all cities in different periods.



418

419

Figure 12. Mean areas of different evolution types for all cities in different periods.

420 As for urban redevelopment, most cities experienced two major periods, namely,
421 1995–2000 and after 2005. The first peak happened before the rapid and large-scale urban
422 expansion that began in 2000. This finding may be attributed to the fact that the renewal and
423 reconstruction of existing old urban areas and infrastructure were necessary and beneficial for
424 new development at the early stage of urbanization. The fact that enclosing and adjacent renewal
425 during this period accounted for most of the changed urban patches in area and quantity may
426 provide evidence for this point. However, the mean areas of these two kinds of patches were
427 always considerably smaller than those of isolating type. Thus, urban redevelopment that
428 occurred within the existing urban areas was usually small in scale. After 2005, urban
429 redevelopment became increasingly frequent, with isolating and adjacent renewal as the main
430 types. During this period, these patches mainly appeared in urban fringes to optimize the unused
431 or inactive urban patches. Enclosing renewal was also observed within the existing urban areas,
432 and most of it was aimed at improving the liveability and sustainability of urban systems. With
433 the accelerated urbanization process, the demand of urban residents for environment-friendly
434 development was greatly increasing. Furthermore, the redevelopment patterns in Wuhan showed
435 considerable differences from those in other cities, with isolating renewal constituting most
436 changed urban patches in 2010–2015 mainly because of its scattered urban form.

437 **5 Discussion and limitations**

438 The identification of spatial relationships between targeted patches (new or changed) and
439 old patches is useful for the analysis of urban landscape evolution. The proposed SWLEI in this
440 study solves the following problems. Firstly, the existing literature is centred on the spatial
441 patterns of urban sprawl represented by the growth of impervious surfaces, but spatial pattern of
442 urban redevelopment has not been widely explored. This study develops an improved metric to
443 describe the emerging features of urban redevelopment. Secondly, existing metrics are sensitive
444 to the spatial resolution of maps due to mismatches between buffers and image pixels (Jiao et al.,
445 2015, 2018). Determining a reasonable buffer radius with a clear geographical meaning is

446 difficult. Patch neighborhood is adopted in the SWLEI to solve this issue. The rapidly urbanized
447 Hubei Province in central China was selected as a case study to empirically analyze the
448 characteristics of urban spatial evolution. Hubei has been facing an urgent need for re-planning
449 and managing the obsolete factories and underutilized buildings in urban areas because of the
450 increasing population growth and the continuous loss of arable land resources. Moreover,
451 brownfields, urban villages and decayed downtowns cannot meet the demand of rapid
452 development of cities. Therefore, governors are determined to implement urban renewal policies.
453 For example, Wuhan City issued a policy of city village reconstruction in 2004 and attempted to
454 comprehensively redevelop its underused and low-density urban areas.

455 Our results could be utilized as reference and inform the planning practices of urban growth
456 and redevelopment in other cities. Different urban growth patterns create various impacts on
457 future urban forms, thereby influencing natural and built environments, transportation
458 infrastructure and vitality of cities (He et al., 2018). For example, edge-expansion and outlying
459 expansion, represented as types of diffusion, generate a dispersed urban form and spatial
460 structure, as well as a series of social and environmental problems, such as ecological
461 deterioration, land resource wastage and agricultural land reduction. The change of urban
462 built-up land is essentially a process of redevelopment and optimization of urban land uses. This
463 redevelopment is mostly represented by the ‘disappearance’ of scattered construction land in
464 suburbs or the improvement of urban greenery and urban upgrading in urban areas. Urban
465 redevelopment is partly due to the increasing demand of urban residents for ecosystem services
466 during the urbanization process. Urban greens play an important role in the environmental
467 sustainability of urban systems. Therefore, the identification of changed urban patches can be
468 conducive to a comprehensive understanding of evolution patterns and reveal the spatial
469 characteristics of urban ecological restoration. The unused or inactive areas inside cities should
470 be redeveloped into new and functional spaces. Thus, the change of urban patches is also related
471 to urban land use optimization, and analyzing these patches can provide effective support for
472 urban planning.

473 However, this study also has certain limitations, which need further research. Firstly, there
474 is no field verification for the identification of urban redevelopment in this study. Therefore, it is
475 still unknown whether urban built-up land use changes are real urban redevelopment or not.
476 Urban built-up land use changes identified in the present study can only reflect land use cover
477 changes, which may be attributed to the natural evolution of urban vegetations, such as tree
478 growth. Secondly, urban redevelopment not only decreases impervious surface coverages but
479 also increases imperviousness. However, thematic map techniques classify each pixel in an
480 image as a single definite type. Therefore, this study can detect inter-class conversion (i.e. new
481 or changed urban patches) but not intra-class changes (land use function change or urban
482 intensification). The drawbacks of land use classification data contribute to an underestimation
483 of urban redeveloped areas as urban redevelopment may not necessarily lead to changes in land
484 use type. Thirdly, given the data limitation, 30 m ground resolution images with an interval of 5
485 years were used in this study to reveal and measure the spatial characteristics of urban
486 redevelopment. However, many urban redevelopments can be finished in five years and cannot
487 be detected using remotely sensed images, especially in rapidly urbanizing regions in China.
488 Therefore, results obtained based on a five-year time interval may be biased and fail to represent
489 the actual spatial and temporal patterns of urban redevelopment. Overall, knowledge gaps
490 remain in monitoring urban redevelopment and greening using GIS and remote sensing
491 technologies. Finally, the assessment regarding the comparison between the SWLEI and LEI
492 could be intuitive and subjective. No quantitative measurement based on real data supports the
493 superiority of the SWLEI. Besides, the significance of SWLEI regarding urban redevelopment
494 might be vague, since there are still limited studies on different redevelopment patterns.

495 This study focuses on urban redevelopment from the perspective of urban land use change.
496 Redeveloped areas with unchanged land use categories (e.g. urban built-up land) are excluded,
497 and the identified results may also have errors. Additionally, given that most open-access
498 satellite data are median or low-resolution data, high-quality remotely sensed data can be scarce
499 and valuable. Han et al. (2019) identified and evaluated functional and morphological urban

500 redevelopment at the block level using open-source point-of-interest data and street networks.
501 For future studies, multi-temporal high-resolution satellite images and geospatial open-source
502 data can be combined to advance the quantitative analysis of urban redevelopment. Furthermore,
503 urban redevelopment can reflect the change and transformation of urban functions in urban
504 built-up areas (Zhou et al., 2016), and its spatial patterns will provide valuable contributions to
505 the analysis of urban functional agglomeration and diffusion.

506 **6 Conclusion**

507 Similar to urban expansion, urban redevelopment has become an important landscape
508 evolution pattern in urban development, especially in rapidly urbanized areas. However,
509 effective approaches that detect and quantitatively analyze such phenomenon using remote
510 sensing and GIS are lacking. In this study, the potentials of median resolution land use
511 classification data in the analysis of urban expansion and redevelopment were explored. An
512 improved and effective metric named the SWLEI was proposed to analyze the detailed dynamic
513 processes in the urban landscape of Hubei Province. Five periods were selected: 1990–1995,
514 1995–2000, 2000–2005, 2005–2010 and 2010–2015. The results showed that the SWLEI can
515 depict the spatial relationships between new and old patches via geospatial recognition in a more
516 comprehensive and meaningful way compared with existing landscape expansion metrics.
517 Furthermore, the SWLEI can discover the characteristics of urban land use optimization and
518 urban greening by capturing the spatial patterns and distribution of urban redevelopment. The
519 empirical analysis showed dramatic changes in the urban landscape in Hubei from 1990 to 2015,
520 with distinctive characteristics of urban expansion and redevelopment patterns in different cities
521 and periods. The proposed method may lead to a new understanding of urban land use change
522 and landscape patterns with regard to urban expansion and redevelopment processes. Finally, the
523 results in this work can contribute to the spatial planning of urban renewal and urban greening
524 and to the awareness of urban renewal issues in GIS and urban landscape studies.

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