



# Prognostic Factors for Overall Survival in Nasopharyngeal Cancer and Implication for TNM Staging by UICC: A Systematic Review of the Literature

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This study aims to identify prognostic factors in nasopharyngeal carcinoma (NPC) to improve the current 8th edition TNM classification. A systematic review of the literature reported between 2013 and 2019 in PubMed, Embase, and Scopus was conducted. Studies were included if (1) original clinical studies, (2)  $\geq$  50 NPC patients, and (3) analyses on the association between prognostic factors and overall survival. The data elements of eligible studies were abstracted and analyzed. A level of evidence was synthesized for each suggested change to the TNM staging and prognostic factors. Of 5,595 studies screened, 108 studies (44 studies on anatomical criteria and 64 on non-anatomical factors) were selected. Proposed changes/factors with strong evidence included the upstaging paranasal sinus to T4, defining parotid lymph node as N3, upstaging Ncategory based on presence of lymph node necrosis, as well as the incorporation of non-TNM factors including EBV-DNA level, primary gross tumor volume (GTV), nodal GTV, neutrophil-lymphocyte ratio, lactate dehydrogenase, C-reactive protein/albumin ratio, platelet count, SUVmax of the primary tumor, and total lesion glycolysis. This systematic review provides a useful summary of suggestions and prognostic factors that potentially improve the current staging system. Further validation studies are warranted to confirm their significance.

Keywords: nasopharyngeal carcinoma, prognostic factors, AJCC/UICC staging system, TMN classification, systematic review, anatomical criteria

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# INTRODUCTION

Nasopharyngeal carcinoma (NPC) is an important global health burden with approximately 130,000 new cases diagnosed and more than 70,000 deaths in 2018 (1). It is a unique disease with distinctive natural behavior, epidemiology, and histopathology that differs from other head and neck cancers. Estimation of prognosis is a fundamental step in patient management. Among the various prognostic factors, the tumor-node-metastasis (TNM) staging, which has been jointly adopted by the American Joint Committee on Cancer (AJCC) and the Union for International Cancer Control (UICC), remains the most robust factor for global application. The TNM 5<sup>th</sup> Edition issued in 1997, which introduced a customized staging system for NPC by merging the strengths of the AJCC/UICC 4<sup>th</sup> edition and Ho's system, is a historic milestone with worldwide acceptance. Subsequent revisions refined the staging system based on diagnostic and therapeutic advances (2, 3); the current 8<sup>th</sup> Edition, released in 2017, is another milestone with the unification of the TNM and the Chinese staging systems (4).

In addition to the refinement of TNM parameters, there is a growing interest in the incorporation of non-anatomical prognostic factors that reflect biological tumor behavior. These factors are potentially useful for providing biomarkers on personalized risk stratification, especially with regard to metastatic risk, for tailoring the treatment intensity. There is increasing evidence that incorporation of these factors/biomarkers with TNM staging system could further improve risk stratification (5, 6).

To provide the best available evidence for the upcoming TNM 9<sup>th</sup> Edition and associated prognostic grouping, a comprehensive systematic review was carried out to identify potentially important suggestions on anatomic and non-anatomic prognostic factors. These suggestions will then be confirmed by a multicenter validation study before the final recommendation to UICC and AJCC for consideration. The current paper is our summary of suggested prognostic factors that warrant further validation.

# MATERIALS AND METHODS

# Study Protocol

This review adhered to the Preferred Reporting Items for Systematic Reviews and Meta-Analysis (PRISMA) guideline (7). A systematic search of PubMed, Scopus, and Embase for relevant literature published from January 1, 2013, to September 13, 2019, was performed. This timeframe was selected because the construction of TNM 8<sup>th</sup> Edition was based on literature reviews up to December 31, 2012. Both English and Chinese literatures were accepted, although unpublished studies were not included in the search. The search terms (**Supplementary Table 1**) were as follows: ("staging" or "TNM" or "prognostic") and ("nasopharyngeal carcinoma" or "nasopharyngeal cancer" or "nasopharyngeal neoplasm").

# Inclusion Process and Criteria

From the literature identified in the initial search, the following studies were excluded after screening their titles and citations:

duplicated studies, conference abstracts, reviews, letters, editorials, case reports, book chapters, and basic science studies. The remaining studies were further assessed to determine eligibility, which included original clinical studies, either prospective or retrospective, with a sample size of at least 50 NPC patients, treated with intensity modulated radiotherapy (IMRT) or equivalent, and showing a significant association between prognostic factors and overall survival (OS). Novel prognostic markers with limited potential for global applicability (e.g., radiomics, micro-RNA, circulating tumor cells, and genetic signatures) were excluded from this review. In cases of multiple studies from one institution, the study with the largest number of patients and the most recently published study was prioritized.

Two independent teams (University of Hong Kong-Shenzhen Hospital and Fujian Cancer Hospital) performed the first review to exclude the ineligible studies. Three independent reviewers (AL, W-TN, and C-LC) further assessed papers that generated disagreements based on the inclusion/exclusion before a final decision was made on the list of studies to be selected for inclusion in this review.

# **Data Extraction and Analyses**

The primary data from the articles were extracted. The primary endpoint for the assessment of prognostic value in this review was OS; the secondary endpoints of distant-metastasis-free survival (DMFS) and local-relapse-free survival (LRFS) were included if they were reported by the original study.

We used the QUality In Prognosis Studies (QUIPS) tool to assess the risk of bias within individual studies (8). The QUIPS tool was originally designed to assess bias in studies of prognostic factors. The tool originally comprised six domains—Study Participation, Prognostic Factor Measurement, Outcome Measurement, Statistical Analysis and Reporting, Study Confounding, and Study Attrition—each of which is guided by three to seven prompting items. Based on the risk of bias, the overall quality of each study was determined as high (score 5–6), moderate (score 3–4), or low (score 0–2); low-quality studies were excluded from this review.

The criteria adopted in this systematic review were designed to synthesize the level of evidence (9), which was defined as "strong," if there were consistent recommendations ( $\geq$ 75%) in multiple high-quality cohorts; "moderate," if recommendations were consistent in  $\geq$ 67% of multiple high-quality cohorts; "limited," if the recommendation was based on a single cohort; and "inconclusive," if there were inconsistent recommendations.

# RESULTS

# **Study Selection**

An initial search of the three databases identified 5,595 studies that fit the search terms. Following the exclusion of ineligible articles (based on the predefined study eligibility criteria), two independent teams were constituted to identify new suggestions for improving the current TNM 8<sup>th</sup> Edition. Among the 2,200 studies evaluated, 34 original studies were selected for inclusion

by both teams, whereas 198 studies were selected by only one team. The studies with a discrepancy in agreement were further reviewed by three independent reviewers, and 74 were accepted for inclusion. Thus, a total of 108 original studies were included in this in-depth systematic review.

# **Study Characteristics**

The characteristics of the 108 studies are presented in Supplementary Tables 2-6. Only six studies are prospective analyses, while the rest (n = 102) were retrospective. The majority of studies (n = 101) included only patients without distant metastasis. Forty-four studies focused on anatomical criteria: 22 studies on primary tumor (T-classification) (6, 10-30), 20 on nodal disease (N-classification) (14, 22, 23, 31-47), 5 studies on metastatic disease (M-classification) (48-52), and 3 studies included more than one category. In the 64 studies that evaluated non-anatomical factors, 22 studies focused on plasma Epstein-Barr virus (EBV) deoxyribonucleic acid (DNA) level (53-71), 12 studies on tumor volume (63, 65, 72-81), 18 studies on inflammatory/hematological factors (54, 82-98), and 15 studies on the parameters of fluorodeoxyglucose (FDG) positron emission tomography (PET) (99-113). Three studies had more than one non-anatomical category.

# **Risks of Bias**

The assessment on study quality using the QUIPS tool showed that 62 (57.4%) of the included articles were classified as high quality and 46 (42.6%) as moderate quality. **Supplementary Figure 1** presents an algorithm of the study selection process, and **Supplementary Tables 2–6** list the QUIPS scores of the included studies. Suggestions from well-conducted studies with large sample sizes or with evidence supported by multiple studies were identified for inclusion in this review.

# Proposed Changes and Prognostic Factors

Summary of the level of evidence on the recommendations and studied prognostic factors is summarized in **Table 1**. Among the 44 reports on TNM parameters (**Table 2**), 13 proposed changes to current TNM-8 were identified: six on T-category, eight on N-category, and one on M-category. The recommendations that were considered to have a strong level of evidence included the involvement of the paranasal sinus (PNS) as T4 disease (16, 18–20), parotid lymph node (PLN) as N3 disease (34, 35), and the upstaging of N-classification in the presence of lymph node necrosis (LNN) (39, 40, 42).

Among the 64 studies on non-TNM factors, 18 proposed parameters were identified. Prognostic factors with consistent support from multiple studies included EBV-DNA level (**Table 3**), primary gross tumor volume (GTV) (63, 72–74, 76, 78–81), nodal GTV (**Table 4**) (74, 75, 77, 81), neutrophillymphocyte ratio (NLR) (**Table 5**) (83, 85, 91, 92, 97), Creactive protein (CRP)/albumin ratio (83, 89, 98), anemia (84, 87, 96), platelet count (82, 86), lactate dehydrogenase (LDH) (88, 95), and SUV<sub>max</sub> of the primary tumor (99–101, 103, 108, 111, 113) and total lesion glycolysis (TLG) (**Table 6**) (104, 111).

# DISCUSSION

To our understanding, this systematic review that evaluated the prognostic factors for NPC patients in 108 articles published from 2013 to 2019 is the most comprehensive review on this topic. The TNM 8<sup>th</sup> Edition, based entirely on the anatomical tumor extent, is the most widely used prognostic tool for NPC and remains the most robust factor for guiding treatment decisions, evaluating treatment results, and comparing outcomes between institutions worldwide. However, continuous improvement is necessary in view of the advances in investigations and treatments. Furthermore, refinement of prognostic tools by the incorporation of novel proposals based on functional imaging, plasma biomarkers, and molecular tumor characteristics is desirable in the current era of personalized oncology. For tumors with disease sites such as the prostate, breast, and skin (i.e., melanoma), non-anatomical factors have been successfully incorporated while still maintaining essential anatomical information. For NPC, considerable progress on both anatomical and non-anatomical prognostic factors have been made since the publication of the TNM 8<sup>th</sup> Edition. This systematic review reviewed the latest evidence to facilitate the formulation of a comprehensive proposal for designing the upcoming TNM 9<sup>th</sup> Edition.

# **T-Classification**

A major change in the TNM 8<sup>th</sup> Edition was the replacement of the ambiguous terms IF/masseter space involvement with a clear specification of extensive soft tissue infiltration beyond the lateral surface of LP as T4, and the downstaging of MP/LP/ PM to T2. This change was supported by two studies (10, 13, 14). However, five studies showed that MP and/or LP involvement was associated with a worse prognosis than T2 and should be upstaged; suggestions included categorizing MP as T3 and LP as T4 disease (n = 1) (16), MP as T2 and LP as T4 (n = 3) (13, 15, 30), and both MP and LP as T3 disease (n = 1) (10). Thus, further validation of the prognostic significance of MP/LP is recommended.

Three studies, comprising a total of 1,348 patients, showed that PNS involvement should be upstaged from current T3 to T4 disease given its poorer outcomes (5-year OS rate of 53.7–83.7%) (16, 19, 20). Of note, Zhang et al. reported worse prognoses among patients with ethmoid sinus or maxillary sinus involvement as T4 disease, but better prognosis in those with sphenoid sinus invasion alone as T3 disease (18); further studies on the relapse risks of various PNS are warranted.

The widespread use of magnetic resonance imaging (MRI) has improved the accuracy of detection of the extent of involvement of the skull base and of intracranial extension. With better disease characterization, Li et al. proposed the subdivision of skull base involvement into T3-slight (pterygoid process and/or base of the

	Strong*	Moderate*	Inconclusive*	Limited*
T-classification	PNS involvement (T4)		MP (upstaged to T3/4)	Skull base (T3 slight
			LP (upstaged to T3/4)	Intra-cranial extension (T4a vs. T4b)
			Merging of T-classification (Merging of T1/T2, T1/T2/T3, or T2/3)	,
N-classification	PLN (N3)	ENE (upstaging of N- classification or N3)	RLN	PLV LN
	LNN (upstaging of N- classification)	,		Cervical LN level
	,			Number of LN regions Merging of N classification (N2/N3)
M-classification			Subclassification of M-stage (based on number of lesions, number of organ involvement, liver involvement)	. ,
EBV-DNA level	Pretreatment EBV-DNA level (Range: 1,500–25,000 copies/ml)			
Tumor volume	Primary GTV volume (Range: 20–50 ml) Nodal GTV volume (Range: 7.2–35.7 ml)			
Inflammatory or hematological markers	NLR (Range: 2.28–3.0) CRP/albumin ratio (Range: 0.03–0.141)			Hs-CRP PNI and AGR
	Anemia (Range: 11–13 g/dl) Platelet count (Range: 266– $300 \times 10^9$ /L)			D-dimer TIL
FDG-PET parameters	LDH (Range: 220–229 U/L) SUV <sub>max</sub> of the primary tumor (Range: 8–18 8)		SUV <sub>max</sub> of nodal disease	PDW MTV
	TLG (Bange: 322.7–382.2)		SUV <sub>max</sub> of metastatic disease	

TABLE 1 | Proposed prognostic factors and the level of evidence for recommendations.

PNS, paranasal sinus; IF, infratemporal fossa; PM, prevertebral muscle; MP, medial pterygoid; LP, lateral pterygoid; PLN, parotid lymph node; LNN, lymph node necrosis; ENE, extra-nodal extension; PLV LN, posterior to level V lymph node; LN, lymph node; RLN, retropharyngeal lymph node; EBV-DNA, Epstein-Barr virus deoxyribonucleic acid; GTV, gross tumor volume; NLR, neutrophil-lymphocyte ratio; CRP, C-reactive protein; PDW, platelet distribution width; LDH, lactate dehydrogenase; Hs-CRP, high-sensitivity CRP; PNI, prognostic nutrition index; AGR, albumin/globulin ratio; TIL, tumor-infiltrating lymphocytes; SUV<sub>max</sub>, maximum standardized uptake ratio; TLG, total lesion glycolysis; MTV, metabolic tumor volume. \*Level of evidence: "strong," if there were consistent recommendations ( $\geq$ 75%) in multiple high-quality cohorts; "imited," if the recommendation was based on a single cohort; and "inconclusive," if there were inconsistent recommendations.

pterygoid bone only) and T3-severe (others) (24); similarly, Cao et al. suggested the subdivision of T4 into T4a (without intracranial extension) and T4b (with intracranial extension) based on the presence of intracranial extension (29). Further studies are needed to validate these findings.

With the technological advances in both diagnostics and treatment, the differences in survival and local control in the T-category has diminished. Eight of the included studies proposed the simplification of the T-category (6, 21–27); these included three studies that suggested the merging of T1 and T2 disease (21, 23, 24), one suggested combining of T1, T2, and T3 disease (22), and one proposed a merging of T2 and T3 (27). Other studies proposed simplification of the definition of T-classification, refinement of T2–T4 disease, and reclassification as T1 and T2 only (6, 25, 26).

Level of Evidence: Strong: PNS involvement (T4 disease) Moderate: Nil **Inconclusive:** MP (upstaged to T3/4), LP (upstaged to T3/4), and merging of T-classification (T1–T2, T1, T2, and T3, or T2–T3) **Limited:** Skull base (T3 slight *vs.* T3 severe) and intracranial

**Limited:** Skull base (13 slight *vs.* 13 severe) and intracranial extension (T4a *vs.* T4b).

# **N-Classification**

Despite the rarity of PLN metastasis (0.4–2.8%), consistent findings were noted on its adverse prognostic outcome, which was similar to those with N3 disease, as demonstrated in two studies that included a total of 11,742 patients. Both reports recommended PLN involvement as the criteria for N3 classification (34, 35). Also, suspicion of PLN metastasis, especially in patients with advanced nodal diseases, should be raised on pretreatment imaging, and biopsy is indicated in the suspected case.

Furthermore, in five studies, there was consensus that LNN was an adverse prognostic factor (hazard ratio [HR]: 1.75–5.79) (38–42). In the largest study by Lan et al., patients with LNN had worse OS and DMFS (OS, 78.8 *vs.* 91.8%; DMFS, 78.4 *vs.* 91.6%,

TABLE 2 | Characteristics of studies of T-, N-, and M-classification prognostic factors and survival (n=44).

(I) T-classifie	cation (n=	=22)									
Study (Author/ Year of publication)	Study design (P/R)	Sample size	TNM/UICC staging	Prognostic factor	HR (high vs. low)	95% CI	P value	Survival probabilities	P value	Proposed changes	Quality score
(A) Medial p	terygoid (	(MP), latera	l pterygoid (LP),	prevertebral muscle	(PM), inf	ratemporal foss	a (IF), and o	thers			
Luo (2014) (10)	R	742	7 <sup>th</sup> Edition Stage III–IVB	MP or LP (Yes vs. No)	1.658	1.058–2.596	NA	5-year OS: 82.5% vs.70.9%	0.001	MP/LP should be staged as T3	5
Sze (2014) (11)	R	1104 (all) 434 (T3)	7 <sup>th</sup> Edition Stage I–IVB	MP ± LP	1.16	0.76-1.76	0.49	-	-	$MP \pm LP$ should be classified as T2	5
Zhang (2014) (30)	R	808	7 <sup>th</sup> Edition Stage I–IVB	Masticator space involvement	1.309	1.061-1.615	0.012	-	-	Medial involvement to be classified as T2; lateral involvement to be classified as T4	4
Xiao Y, Pan J, Chen Y (2015) (12)	R	816	7 <sup>th</sup> Edition Stage I–IVB	MP	1.572	1.191–2.074	0.007	5-year OS: w/MP: 69.6%	-	MP similar to T2 prognosis	4
Zhang (2015)(13)	R	1504	7 <sup>th</sup> Edition Stage I–IVB	MP	0.623	0.445–0.873	0.006	-	-	T2: Mild invasion (involvement of medial pterygoid muscle of masticator space or pre-styloid, carotid, prevertebral, or retropharyngeal spaces) T4: extensive invasion (involvement of lateral pterygoid muscle and beyond the masticator space or parotid space).	3
				LP	1.572	1.143–2.163	0.005	-	-		
				Posterior carotid space	1.085	0.787-1.497	0.618	-	-		
				Parapharyngeal extension	1.169	0.756-1.809	0.482	-	-		
Pan (2016) (14)	R	1609	7 <sup>th</sup> Edition Stage I–IVB	MP/LP/PM	1.008	1.004–1.013	<0.001	-	-	MP/LP/PM as T2	6
Zhou (2017) (16)	R	358	7 <sup>th</sup> Edition Stage III–IVb	MP, LP, PNS, skull base, cavernous sinus, CN	-	_	-	5-year OS: Without MP vs. with MP: 86.9% vs. 83.1% without LP vs with LP: 67% vs. 81.6%	<0.001	T3: MP and skull base T4: LP, PNS, MS beyond LP, cavernous sinus, and CN	5
Kang M, Zhou P, Liao X (2017) (15)	R	608	8 <sup>th</sup> Edition Stage I–IVB	MP/LP	3.410	2.016–5.766	<0.001	5-year OS: 75.6% vs. 86.7%	0.043	LP should be graded as T4 MP: T2	4
(B) Skull bas	se involve	ment	-th								
Li (2019) (17)	R	1225	8 <sup>°°</sup> Edition Stage I–IVB	Skull base (T3 slight: pterygoid process and/or base of pterygoid bone vs. T3 severe)	1.117	0.557–2.241	0.775	5-year OS: 93.0% vs. 83.5%	0.014	Patients with <b>T3 slight</b> (base of pterygoid bone and pterygoid process) should be T2; <b>T3 severe</b> remains as T3	6
(C) Paranasa	al sinus (F	PNS) involv	ement								
Zhang (2016) (18)	R	1811	7 <sup>th</sup> Edition Stage I–IVB	PNS	2.614	1.455–4.695	0.001	-	-	T3: Sphenoid sinus T4: Ethmoid and maxillary sinuses	5

(Continued)

(I) T-classifie	cation (n=	22)									
Study (Author/ Year of publication)	Study design (P/R)	Sample size	TNM/UICC staging	Prognostic factor	HR (high vs. low)	95% CI	P value	Survival probabilities	P value	Proposed changes	Quality score
Zhou (2017) (16)	R	358	7 <sup>th</sup> Edition Stage III–IVb	MP, LP, PNS, skull base, cavernous sinus, CN	-	-	-	5-year OS: 66.3% vs. 84.1%	<0.001	T3: MP and skull base T4: LP, PNS, MS beyond LP, cavernous sinus, and CN	5
Wang Y, Zhao J, Zhao Y (2018) (19)	R	295	8 <sup>th</sup> Edition T3–4, M0	PNS	1.919	1.128–3.264	0.016	5-year OS: 53.7% vs. 80.4%	0.001	PNS to be moved to T4	3
Cao (2019) (20)	R	695	8 <sup>th</sup> Edition Stage I–IVb	PNS	-	-	-	5-year OS: 83.7% vs. 92.2%	0.011	PNS to be reclassified to T4	5
(D) Intracrar	nial extens	sion or cra	nial nerve involv	ement					0.404		
Zong (2014) (28)	R	375	7 <sup>41</sup> Edition Stage III–IVB	Granial nerve involvement	_	-	-	5-year OS: with MRI- detected CN 71.9% vs. without MRI- detected CN 77.7%	0.134	MHI-detected CN should not be reclassified as T4 to avoid excessive treatment	4
Cao (2017) (29)	R	335	7 <sup>th</sup> Edition T4	Intracranial invasion	0.572	0.389–0.839	0.004	1- / 3- / 5-year: T4a: 95.9% / 83.1% / 71.5% vs. T4b: 91.2% / 69.7% / 51.6%	-	To subclassify T4: (a) without intracranial invasion, (b) with intracranial invasion	5
(E) Simplific	ation of T	-classificat	tion								
Zong (2015) (21)	R	1241	7 <sup>th</sup> Edition Stage I–IVB	T1 vs. T2 vs. T3	1.792	1.295–2.48	<0.001	5-year OS: 88.1%		Merge T1-T2	4
Kang (2016) (22)	R	492	7 <sup>th</sup> Edition Stage I–IVB	T1 vs. T2 vs. T3 vs. T4	1.657	1.101–2.495	0.016	5-year OS: 100% vs 98.2% vs 97.9% vs 88.2%	0.001	Merge T1–T3 to T1, T4 as T2	4
Liang (2016) (23)	R	752	7 <sup>th</sup> Edition Stage I–IVB	T1 vs. T2 vs. T3 vs. T4	-	-	-	-	-	Merge T1–T2 to T1, T3 as T2, T4 as T3	4
Kang M, Zhou P, Wei T (2017) (26)	Ρ	492	7 <sup>th</sup> Edition Stage I–IVB	T1 vs. T2 vs. T3 vs. T4	-	_	-	-	-	T1 (nasopharynx, nasal cavity, parapharyngeal space, oropharynx, skull base, and MP); T2 (LP, paranasal sinus, infratemporal fossa, orbit, cranial nerves, cavernous sinus, and intracalvarium)	5
Li (2018) (24)	R	382	7 <sup>th</sup> Edition Stage I–IVB	T1 vs. T2 vs. T3 vs. T4	2.366	1.685–3.322	<0.001	5-year OS: 92.4% vs. 92.0% vs. 87.3% vs. 76.5%	0.001	Merge T1-T2	6
Yang (2018) (25)	R	1317	7 <sup>th</sup> /8 <sup>th</sup> Edition Stage I–IVB	T1 vs. T2 vs. T3 vs. T4	-	-	-	-	-	Refinement of T2–T4 needed as no difference in LRFS in T2–T4; and OS difference in T2 and T3	6
Pan (2019) (6)	R	325	8 <sup>th</sup> Edition Stage I–IVB	T1-T4	-	_	-	-	-	Simplification of the definition of T1, T2, T3, and T4	4

(Continued)

(I) T-classific	cation (n=	22)									
Study (Author/ Year of publication)	Study design (P/R)	Sample size	TNM/UICC staging	Prognostic factor	HR (high vs. low)	95% CI	P value	Survival probabilities	P value	Proposed changes	Qualit score
Tang (2019) (27)	R	2191 (training set); 414 (validation set)	8 <sup>th</sup> Edition Stage I–IVB	Merging T2 and T3 (proT1, proT2, and proT3)	proT2: 1.379 proT3: 2.644	pro T2: 0.896– 2.121 proT3: 1.667– 4.193	_	5-year OS: 93.8% vs. 87.5% vs. 76.0%	<0.001	Merging of T2 and T3 to proposed T2 (proT2)	6
(II) N-classif	ication (n	=19)									
Study (Author/ Year of publication)	Study design (P/R)	Sample size	TNM/UICC staging	Prognostic factor	HR (high vs. low)	95% Cl	P value	Survival probabilities	P value	Proposed changes	Quality score
(A) Retropha	aryngeal L	N (RPLN)									
Shi (2014) (31)	R	142	7 <sup>th</sup> Edition N1 disease	CLN+RLN	-	-	-	5-year OS: 85.3% vs. 95.1%	0.119	Better prognosis: CLN or RLN-only > CLN + RLN	5
Tang (2014) (32)	R	749	7 <sup>th</sup> Edition Stage I–IVB	RLN	-	-	-	83.9%	-	Better prognosis: RLN-only > Other N1 disease; No difference in unilateral vs. bilateral RLN	5
Huang L, Zhang Y, Liu Y (2019) (33)	R	1225	8 <sup>th</sup> Edition Stage I–IVB	RLN Bilateral vs. non- bilateral	1.628	1.178–2.250	0.003	5-year OS: Bilateral: 76.58% vs. Non-bilateral: 88.97%	<0.001	Upgrading bilateral RLN metastasis from N1 to N2	6
(B) Parotid L	.N (PLN)										
Xu (2017) (34)	R	1616	7 <sup>th</sup> Edition Stage I–IVB	PLN	-	_	-	_	0.001	PLN similar prognosis of N3	6
Zhang (2019) (35)	R	10126	8 <sup>th</sup> Edition Stage I–IVB	PLN	-	_	-	_	-	PLN similar prognosis as that of N3	5
(C) Extra-no	dal exten	sion (ENE)									
Ai (2019) (36)	R	546	8 <sup>th</sup> Edition Stage I–IVB	ENE	G1: 0.637 G2: 1.989	0.396–1.023 1.145–3.457	0.062 0.015	5-year OS: 52.4%	-	Grade 2 ENE (muscle/skin/salivary gland) classified as N3	5
Lu (2019) (37) (D) Lymph node necrosis (LNN)	R	1616	8 <sup>th</sup> Edition Stage II	ENE	_	-	-	7-year OS: 81.9% vs 89.9%	0.05	ENE associated with poorer prognosis	5
Guo (2015) (38)	R	1197	7 <sup>th</sup> Edition Stage I–IVB	Nodal level (RLN) Extracapsular spread Necrosis Laterality Maximal axial diameter	1.295 - - 1.663 1.326	1.047–1.602 - 1.200–2.304 0.995–1.767	0.017 - 0.002 0.054	-	- NS (0.629) NS (0.130) - -	ENE and LNN is not prognostic	6

(Continued)

#### (I) T-classification (n=22) Study Study Sample TNM/UICC Prognostic factor HR 95% CI P value Survival P value Proposed changes Quality design size staging (high probabilities (Author/ score (P/R) Year of vs. publication) low) 7<sup>th</sup> Edition Lan (2015) R 1800 LNN 2.03 1.50-2.75 < 0.001 5-year OS: LNN < 0.01 N1 with LNN = N2 no LNN4 Stage I-IVB 78.8% vs. non-LNN N2 with LNN = N3(39) 91.8% Luo Y. Ren R 189 7<sup>th</sup> Edition LNN 1.061-2.899 0.028 5-year OS: 75.8% vs. 0.033 N1 with LNN = N2 no LNN 5 1.754 J. Zhou P Stage III-IVB 59.5% N2 with LNN = N3(2016) (40) 7<sup>th</sup> Edition Lu L. Wei X. R 252 LNN 2.1 1.57-2.64 < 0.01 5-vear OS: LNN < 0.01 No suggestion 4 Li YH (2017) Stage II-IVB 74.6% vs. non-LNN (41) 89.7% 257 7<sup>th</sup> Edition Cystic lymph node 5.785 < 0.001 N/A CLNM can categorize N2 patients into two 5 Ting (2017) R \_ Stage I-IVB (44) metastasis (CLNM) prognostic groups 8<sup>th</sup> Edition 0.029 5-vear OS: LNN < 0.001 N1 with LNN = N2 no LNN 6 Feng (2019) R 616 LNN 2.154 1.282-3.620 Stage I-IVB 82.9% vs. non-LNN N2 with I NN = N3(42) 93.0% (E) Simplification of N-classification Kang (2016) R 492 7<sup>th</sup> Edition N3a+ N3b vs. N2 Merge N3a and N3b into N3 6 New 1.508-4.169 < 0.001 Stage I-IVB N3 vs. (22)N2: 2 507 Pan (2016) R 1609 7<sup>th</sup> Edition Caudal border of New 2.57-7.00 < 0.001 5-vear OS: < 0.001 Merge N3a and N3b into N3 5 N3: 7<sup>th</sup> edition N2/N3a/ < 0.001 Stage I-IVB cricoid cartilage to (14) differentiate N2 vs. 4.24 N3b: 75% vs. 75% N3, merging of N3a vs. 69% and N3b Proposed 8<sup>th</sup> edition: N2/N3: 75% vs 70% Liang (2016) R 7<sup>th</sup> Edition 752 N1 vs. N2 vs. N3 Merge N1 and N2 4 Stage I-IVB (23) (F) Others Jiang (2017) R 406 7<sup>th</sup> Edition Posterior to level V 1.088-10.822 0.035 3-year OS: 51.5% vs. PLV LN should be defined as a new lymph 3 3.431 N1-3M0 (43) (PLV) LN 88.4% node seament Kang (2018) P 7<sup>th</sup> Edition Cervical LN level 0.475-2.452 < 0.001 6 492 Level 5-year OS: Level II: N1 [RLN or/and unilateral upper cervical (I, Stage I-IVB VIIa: 0.934-2.474 82.7% II, III, Va, VIIb, VIII, IX, and X regions) LNs], (45) 1.080 1.041-4.335 Level III: 75.2% N2 Level 0.063-3.381 Level IVa: 67.0% [bilateral upper cervical LN] and N3 (LN in 111: 2.057-13.667 Level Va: 92.3% IVa and Vb regions and their lower regions) 1.520 2.483-44.318 Level Vb: 37.0% Level Level IVb. Vc: 33.3% Level VIIa:78.9% IVa: 2.124 Level Va: 0.462 l evel

(Continued)

#### (I) T-classification (n=22) HR 95% CI Study Study Sample TNM/UICC Prognostic factor P value Survival P value Proposed changes Quality (Author/ staging (high probabilities design size score Year of (P/R) vs. publication) low) Vb: 5.302 Level IVb, Vc: 10.491 8<sup>th</sup> Edition Zhou (2018) R 354 Number of lymph 2-6: 1.36-15.49 0.039 5-vear OS: 0-1: New N classification based on LNR: 0-1, 2-6 Stage I-IVB node regions (LNR) 97.1% 6, ≥7 (46) 4.59 2.88-33.25 0.002 ≥7: 2-6:84.9% 9.78 ≥7: 74.2% (III) M-classification (n=5) Study Study Sample Prognostic HR (95% CI) Р OS Survival P value Proposed Quality score (Author/ size factor probabilities probabilities design value changes Year of (P/R) publication) R 0.007 0.001 4 Shen LJ. 505 M1a: single M1b vs. M1a: 1.69 3-year OS M1a: 62.1% Recategorization Wang SY, (1.16-2.48) < 0.001 M1b: 36.1% lesion to of M stage: Xie GF isolated organ M1c vs. M1a: 2.64 M1c: 17.9% M1a: single (2015) (49) (except for the (1.75-3.98) lesion to isolated liver) organ (except for M1b: single the liver) lesion to the M1b: single lesion to the liver, liver, or multiple lesions in other or multiple organs lesions in other M1c: multiple organs lesions in the M1c: multiple liver lesions in the liver 5 Shen (2016) R Subdivision of 1172 M1a, a single M1b vs. M1a: 2.28 lesion in a (48) (1.71 - 3.05)the M1 stage: single organ or M1c vs. M1a: 3.65 M1a, a single location (2.75 - 4.85)lesion in a single M1b, multiple organ or location lesions in a M1b, multiple single organ or lesions in a location: and single organ or M1c. location; and metastases in M1c, metastases in multiple multiple locations locations

(Continued)

(I) T-classification (n=22)											
Study (Author/ Year of publication)	Study design (P/R)	Sample size	TNM/UICC staging	Prognostic factor	HR (high vs. low)	95% CI	P value	Survival probabilities	P value	Proposed changes	Quality score
Jiang (2016) (50)	R	347	To use ten- signature classifier * as a classifier for M1a and M1b	3.45 (2.59–4.60)	<0.001	2-year OS	71.4% vs 18.8%	0.001	To use ten- signature classifier * as a classifier for M1a and M1b	5	
Tian (2016) (51)	R	263	M1a: 5 single- organ metastases or 1–5 lesions M1b: 5 multiorgan metastases or >6 lesions	N/A	N/A	5-year OS	M1a: 38.7% vs. M1b: 7.0%	<0.001	M1a: oligo metastasis without liver involvement M1b, multiple metastases without liver involvement M1c, liver involvement irrespective of metastatic lesions	5	
Zou (2017) (52)	R	462 (training set) 272, 243 (internal and external validation set)	M1a: oligometastasis without liver involvement M1b, multiple metastases without liver involvement M1c, liver involvement irrespective of metastatic lesions	M1b vs. M1a: 1.63 (1.17–2.26) M1c vs. M1a: 2.96 (2.14–4.10)	0.004 <0.001	3-year OS	M1a: 54.5– 72.8%, M1b: 34.3– 41.6%, M1c: 2.6– 23.6%	<0.001	M1a: 5 single- organ metastases or 1– 5 lesions M1b: 5 multiorgan metastases or >6 lesions	4	

\* Ten-signature classifier: oligometastases, extra-regional LN metastases, N-stage, EB-VCA IgA, neutrophil count, platelet count, hemoglobin, glutamic-pyruvic transaminase, glutamyl transpeptidase, and monocyte count Study design: prospective (P)/retrospective (R); HR, hazard ratio; CI, confidence interval; OS, overall survival.

both p < 0.001); the authors proposed that patients with LNN should be upstaged in their respective N-category (39).

In addition to the proposals identified in the current literature search, extra-nodal extension (ENE) was recently advocated as a new criterion for N3-classification in the TNM 8th Edition for other head and neck cancers, but not for NPC. Specifically, Ai et al. proposed the categorization, as N3 disease, of ENE with infiltration into the adjacent muscle/skin/salivary gland (36). Lu et al. showed that ENE was a poor prognostic factor for NPC and proposed to categorize ENE as G0: lymph nodes without ENE; G1: tumor infiltration beyond the individual nodal capsule(s) into the surrounding fat plane; G2: coalescent nodal mass with unequivocal evidence of ENE; G3: tumor infiltration beyond the nodal capsule into adjacent structures (37). Only G2/G3 ENE, but not G1, was independently prognostic of death; the authors hence proposed a refined Nclassification: New-N1: N1/N2 without G2-/G3-ENE; New-N2: N1 with G2-ENE; New-N3: N2 with G2-ENE, N1/N2 with G3rENE, or N3. On the contrary, Guo et al. suggested that ENE was not a poor prognostic factor; but the definition of ENE was not mentioned in their study (38).

The current TNM 8<sup>th</sup> Edition categorizes retropharyngeal lymph node involvement ( $\leq 6$  cm) as N1 disease, regardless of its unilateral or bilateral involvement. Tang et al. supported the current classification (32), but Study by Huang et al. on 1,225 patients (33) suggested upstaging bilateral retropharyngeal lymph node involvement as N2 disease, as they have worse 5-year OS (89.4 *vs.* 82.6%) and DMFS (91.5 *vs.* 82.9%).

Furthermore, four studies proposed the simplification of the N-classification and supported the current N3 disease with merging of the previous N3a and N3b (14, 23, 45, 47). Other studies on PLV LN, cervical LN level, and the number of LN regions had limited evidence (22, 43, 44, 46).

Level of Evidence:

**Strong:** PLN (N3 disease), LNN (Upstaging of N-classification)

Moderate: ENE (Upstaging of N-classification or N3)

Inconclusive: RLN involvement

Limited: PLV LN, cervical LN level, number of LN regions, merging of N2 and N3

# **M-Classification**

Several suggestions have been made on the subcategorization of *de novo* oligo-metastatic disease based on the number of metastatic lesions and the site(s) of involvement (48–52). However, given the diversity of definition and management of patients with oligo-metastasis, no conclusive recommendation could be made. Most studies have shown that the number of metastatic lesions and the number of organ involvements were independent poor prognostic factors. Furthermore, both Shen et al. and Zou et al. reported that single (or oligo-) metastatic lesions without liver involvement had better prognoses compared with lesions with liver involvement (49, 52). In a multicenter study of 977 patients that was reported by Zou et al., liver metastases represented a worse prognostic factor regardless of the number of metastatic lesions with a 3-year OS rate of 34.3–72.8% *vs.* 22.6–23.6% (52).

Level of Evidence: Inconclusive: Subclassification of M-category

# Plasma EBV-DNA Level

The measurement of EBV-DNA concentration is widely used in diagnosis, prognostication, treatment monitoring, and the surveillance of recurrence. In concordance with previous metaanalyses (115–117), we found that the pretreatment plasma EBV-DNA level was a prognostic factor; the risk of mortality, local failure, and metastases was 1.3- to 8.4-fold, 1.1- to 3.1-fold, and 1.4- to 8.1-fold higher, respectively, for patients with high EBV-DNA levels compared to patients with low EBV-DNA levels (53–71, 114).

Several studies have highlighted the important role of EBV-DNA to refine the prognosis of patients with similar TNM stage groups. In a study of 385 patients with Stage II (TNM 7<sup>th</sup> edition) disease, the 3-year PFS, LRFS, and DMFS rates for the detectable and undetectable EBV-DNA groups were 89.1 *vs.* 96.4%, 94.3 *vs.* 98.2%, and 94.2 *vs.* 98.6%, respectively (p = 0.005, 0.039, and 0.017, respectively) (63). For locally advanced disease, Zhang et al. revealed that patients with stage II–III (TNM 7<sup>th</sup> Edition) and a high EBV-DNA level had worse survival than those with stage IVa–b and a low EBV-DNA level (5-year OS: 82.7 *vs.* 92.9%, PFS: 70.7 *vs.* 89%) (57). Similarly, Jin et al. showed that the prognosis of patients with stage IVa–b (TNM 7<sup>th</sup> Edition) and low EBV-DNA level was similar to that of patients with Stage III disease and high EBV-DNA level (61).

Furthermore, two studies demonstrated that recursive partitioning analysis (RPA), which integrated stage groups and the plasma EBV-DNA level, had better survival predictive ability compared to the TNM 8<sup>th</sup> Edition (67, 71). Guo et al. proposed the following RPA classes: Stage RI (T1N0), RIIA (T2–T3N0 or T1–T3N1, EBV-DNA  $\geq$ 2,000 copies/ml), Stage RIIB (T2–T3N0 or T1–T3N1, EBV-DNA  $\geq$ 2,000 copies/ml; T1–T3N2, EBV-DNA  $\geq$ 2,000 copies/ml), Stage RIII (T1–T3N2, EBV-DNA  $\geq$ 2,000 copies/ml), Stage RIVA (any T and N3) (67). The 5-year PFS rate was 100, 87.9, 76.7, 68.7, and 50.4% for the proposed stages RI, RIIA, RIIB, RIII, and RIV, respectively (p < 0.001). In a similar study by Lee VH et al., RPA derived four new stages: RPA-I (T1–T4, N0–N2, and EBV-DNA  $\geq$ 500 copies/ml), RPA-III (T1–T2 and N3), and RPA-IVA (T3–T4 and N3) (71).

The EBV-DNA concentration could provide biological information of tumors beyond the anatomical factors and thereby improve the prognostic performance of the staging system. Nonetheless, the heterogeneity of cutoff values has hindered the wide application of EBV-DNA in NPC staging. The EBV-DNA cutoff values varied markedly among our included studies (1,500-25,000 copies/ml), with 4,000 copies/ml being the most frequently used cutoff value (54, 57, 59, 66). Plasma EBV-DNA is a laboratorydeveloped test with heterogeneity based on different DNA extraction, purification, and stabilization methods; different instruments used; different primers and probes that target a different part of the EBV genome; and different quantification controls (118). An earlier study showed that different PCR assays using primer/probe sets for latent membrane protein-2 (LMP-2) and BamHI-W might yield slightly different plasma EBV-DNA concentrations from that in the same sample (119). Also, the low

TABLE 3 | Association of overall survival with the pretreatment EBV DNA level (n=16).

Study (Author/Year of publication)	Study design(P/R) a	Sample size	Cutoff value <sup>b</sup>	HR (high vs. low)	95% CI	P value	Survival probabilities	P value	Quality score
Chen M, Yin L, Wu J	Ρ	165	Positive vs	-	_	-	2-year OS: Negative EBV 100%	1.000	5
(2015) (53)			negative				vs. positive EBV 94%		
Tang (2015) (54)	P/R	6337	4000	8.44	(6.15–11.57)	<0.001	-	-	4
Yang (2015) (55)	R	1168	3760	1.41	(1.06–1.88)	0.017	-	-	4
Zhao (2015) (56)	R	637	1500	1.83	(0.79–4.24)	0.161	-	-	4
Chen (2016) (57)	R	404	4000	3.75	(1.701–8.284)	< 0.001	3-year OS: 85% vs. 98%	<0.001	4
Lv (2016) (59)	R	1501	4000	1.97	(1.42 – 2.75)	< 0.001	5-year OS: 81% vs. 91%	< 0.001	6
Peng H, Chen L, Zhang Y (2016) (117)	R	1106	Positive vs negative	1.83	(1.08–3.11)	0.026	4-year OS: 86% vs. 94%	<0.001	3
Peng H, Guo R, Chen L (2016) (60)	R	584	2010	4.581	(1.58–13.26)	0.005	3-year OS: 92.3% vs. 98.9%	<0.001	3
Zhang (2016) (57)	R	1467	4000	3.44	(2.32-5.09)	< 0.001	5-year OS: 83% vs. 95%	< 0.001	6
Jin YN, Yao JJ, Zhang F (2017) (61)	R	1036	1500	1.65	(1.10–2.47)	N/A	5-year OS: 79% vs. 87%	0.002	6
Du (2019) (66)	R	607	4000	2.16	(1.25-3.71)	0.005	5-year OS: 85% vs. 97%	<0.001	4
Guo (2019) (67)	R	979	N/A	1.29	(1.13-1.48)	0.001	-	-	4
Huang CL, Sun ZQ, Guo R (2019) (68)	R	949	7000	1.86	(0.77–4.53)	0.171	3-year OS: 95% vs. 89%	0.138	5
Sun XS, Chen WH, Liu SL (2019) (70)	R	2742	1460	3.58	(2.50–5.13)	<0.001	-	-	4
Sun XS, Liang YJ, Liu SL (2019) (69)	R	226	25000	1.91	(1.23–2.96)	0.004	-	-	3
Sun XS, Liu LT, Liu SL (2019) (69)	R	502	Detectable vs undetectable	-	-	-	3-year OS: 34% vs. 69%	<0.001	4

(deleted)<sup>a</sup>Study design: prospective (P)/retrospective (R). <sup>b</sup> Cutoff values: pretreatment EBV DNA level (copies/mL).

HR, hazard ratio; Cl, confidence interval; OS, overall survival.

N/A, Not available.

sensitivity of EBV-DNA assays in patients with low-volume NPC is another concern (120). Thus, further international efforts are encouraged to harmonize the assay and validate it in large prospective cohorts to ensure that plasma EBV-DNA can unleash its full potential and be incorporated into the staging system.

Level of Evidence:

Strong: Pretreatment EBV-DNA level

# **Tumor Volume**

There were 12 studies with 8,403 patients in the current systematic review that evaluated the significance of tumor volumes (GTV-P and/or GTV-N). Seven papers focused on the primary tumor volume (GTV-P; n = 7) (63, 72, 73, 76, 78–80), two on the nodal tumor volume (GTV-N; n = 2) (75, 77), and two on the total tumor volume including primary and node (GTV-P and GTV-N; n = 2) (74, 81). One study did not include a cutoff for GTV-N and GTV-P (65).

The findings suggested that large GTV-P was an independent predictor of OS (HR 1.56–3.23) (63, 72–74, 76, 78–80), DMFS (HR 1.01–3.23) (63, 65, 77–81), and LRFS (HR 1.01–2.79) (63, 73, 76, 78–81). Similarly, large GTV-N was an adverse prognostic factor for OS (HR 1.56–3.41) (75, 77) and DMFS (HR 2.72–6.33) (75, 81). However, the proposed cutoff values varied widely among the studies included in this review (**Table 4**): GTV-P ranged from 20 to 50 ml (median 33 ml), and GTV-N ranged from 7.2 to 35.7 ml (median 15 ml).

The current T- and N-classifications of the staging system are primarily based on the extent of tumor invasion and the maximum diameter of the LN, respectively. Tumor volume might correlate better with the number of clonogenic tumor cells, leading to a more accurate prediction of the chance of cure (121). Volumetric stratification has been demonstrated to improve the prognostic ability of the TNM staging system. Jeong et al. divided stage II–IV (TNM 8<sup>th</sup> Edition) into the volume subgroup and found that the 5-year OS was significantly better in participants with GTV-P  $\leq$ 33 ml compared to those with GTV-P  $\geq$ 33 ml (87.3 *vs.* 66.7%) (80); Chen et al. showed that among 385 TNM-8<sup>th</sup> Edition classified Stage II patients, those with a total GTV  $\leq$ 30 cm<sup>3</sup> (as).

Despite the growing body of evidence, tumor volume is yet to be used for cancer staging in routine clinical practice for several reasons. Firstly, there are significant intra- and inter-observer variations in volume delineation. Secondly, the malignant tumor often grows into irregular shapes, and accurate measurement of tumor volume is hard to achieve with conventional imaging. Furthermore, the cutoff value of the tumor volume is difficult to define due to the differences in assessment software, measurement timing, and methods of statistical analysis (122, 123). Future efforts are needed to overcome these challenges before tumor volume can be used as a widely applied prognostic marker.

Level of Evidence:

Strong: Primary GTV volume and nodal GTV volume

# Blood Inflammatory/Hematological Markers

In the 18 studies that were included, nine inflammatory/ hematological markers were evaluated: the most frequently

TABLE 4	Association of	overall survival	with tumor	volume (n=11).
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Study (Author/Year of publication)	Study design (P/R) <sup>a</sup>	Sample size	Primary (P) or nodal (N) cutoff volume (cm <sup>3</sup> )	HR(high vs. low)	95% Cl	P value	Survival probabilities <sup>b</sup>	P value	Quality score
Tian (2015) (72)	R	229	38 (P)	-	-	-	5-year OS: 15.2% vs. 48.7%	<0.01	4
He (2016) (73)	R	358	46.4 (P)	2.46	1.48– 4.10	0.001	3-year OS: 75.5% vs. 90.5%	<0.001	5
Lu (2016) (74)	Ρ	180	20 (P)	-	-	-	5-year OS: 70.6% vs. 95.1%	0.001	4
Qin (2016) (76)	Ρ	249	33 (P)	1.01 (per cm <sup>3</sup> )	1.003– 1.018	0.04	5-year OS: 70.5% vs. 86.1%	0.006	3
Luo Y, Gao Y, Yang G (2016) (75)	R	110	14.1 (N)	1.875	1.001– 3.512	0.050	5-year OS: 53.0% vs. 75.6%	0.028	4
Chen (2017) (77)	R	1230	7.2/35.7 (N)	1.72 (7.2–35.7 vs. ≤7.2) / 3.41(>35.7 vs. ≤7.2)	1.09– 2.69 1.93– 6.04	0.019 <0.001	5-year OS: ≤7.2: 90.2% vs. 7.2–35.7: 88.2% vs. >35.7: 62.3%	<0.001	6
Liang (2017) (79)	R	455	28 (P)	3.231	1.776– 5.878	<0.001	4-year OS: 75.4% vs. 95.1%	<0.001	4
Liu T, Lv J, Qin Y (2017) (81)	Ρ	143	43.5 (P) 15.0 (N)	7.81 3.55	1.79– 33.3 1.33– 9.43	0.006 0.011	5-year OS: 68% vs. 97% 5-year OS: 74% vs. 91%	<0.001 <0.001	5
Zhang (2017) (78)	R	393	23 (P)	2.05	1.11– 3.80	0.022	-	-	3
Chen (2018) (63)	Ρ	385	30 (P)	-	_	-	3-year PFS: 89% vs. 96%	0.008	4
Jeong (2018) (80)	R	133	33 (P)	2.93	1.16– 7.42	0.013	5-year OS: 67% vs. 87%	0.021	5

<sup>a</sup>Study design: prospective (P)/retrospective (R). <sup>b</sup>Survival outcomes: OS, overall survival; PFS, progression-free survival. HR, hazard ratio; Cl, confidence interval

proposed marker (n = 5) is NLR (83, 85, 91, 92, 97), followed by anemia (n = 3) (84, 87, 96), LDH (n = 2) (88, 95), platelet count (n = 2) (82, 86), and the CRP/albumin ratio (n = 3) (83, 89, 98). Other proposals with limited supporting evidence included highsensitivity CRP (hs-CRP; n = 1) (54), platelet distribution width (PDW) (86), prognostic nutrition index (PNI) and albumin/ globulin ratio (AGR) (n = 1) (93), D-dimer (n = 1) (94), and tumor-infiltrating lymphocytes (TIL; n = 1) (90).

The results of 2,225 NPC patients in five studies showed that elevated pretreatment NLR was consistently associated with

worse OS (HR 1.19–2.38), DMFS (HR 1.45), and LRFS (HR 1.35) (**Supplementary Table 5**) (83, 85, 91, 92, 97). Evidence suggested that proinflammatory tumor microenvironments are closely related to cancer development and progression. Lymphocytes are immune cells that exhibit an antitumor function, while neutrophils are inflammatory cells that influence the cytotoxic activity of the immune system. Therefore, an increased NLR, with an elevated neutrophil count and/or reduced lymphocyte count, is a biomarker that reflects an imbalance in pro- and antitumor activities in the host's immune system. Various cutoff

Study (Author/Year of publication)	Study design (P/R) <sup>a</sup>	Sample size	Prognostic factors	Cut off point	HR(high vs. low)	95% CI	P value	Survival probabilities	P value	Quality score
Lu AY, Li HF, Zheng YM (2019) (85)	R	140	NLR	≥2.28	2.383	1.041– 5.457	0.040	5-year OS: ≥2.28: 70.3% <2.28: 87.8%	0.010	4
Ye (2018) (91)	R	427	NLR	≥2.32	1.699	1.005– 2.873	0.048	5-year OS: ≥2.32: 81.8% <2.32: 90.0%	0.015	4
Akcay (2019) (92)	R	62	NLR	≥3	1.19	1.04– 2.35	0.002	NA	NA	5
Yao (2019) (97)	R	1550	NLR	>2.50	1.72	1.31– 2.24	<0.001	5-year OS: >2.50: 82.5% ≤2.50: 90.3%	<0.0001	6

<sup>a</sup>Study design: prospective (P)/retrospective (R).

HR, hazard ratio; Cl, confidence interval; OS, overall survival.

N/A, Not available.

#### Study(Author/Year of Study Sample Nature of PET parameters Cutoff HR (high 95% Ρ Survival probabilities P value Quality publication) design(P/ CI value (overall survival) size value vs. low) score R)<sup>a</sup> R SUV<sub>max</sub>-T Yoon (2014) (99) 53 >8.9 1.08 0.25 -074 \_ 3 MTV-T<sub>2.5</sub> ≥31.45 7.71 0.029 5.03 MTV-T<sub>3.0</sub> cm3 5.03 1.04-0.029 >23.01 24 24 cm<sup>3</sup> 1.04-24.24 Zaghloul (2014) (100) R 70 SUV<sub>max</sub>-T >8.0 0.034 3 (U) Hsieh (2015) (101) R 174 SUV<sub>max</sub>-T ≥8.35 3.91 1.45-0.007 5-year OS: 69.2% vs. 0.001 4 10.53 93.4% Shen T, Tang LQ, Luo DH R 194 (107: SUV<sub>max</sub>-T or SUV<sub>max</sub>-M ≥8.65 4.882 1.06-0.042 4 (2015) (102) LR; 87: (LR) 2.415 22.59 0.062 DM) >13.55 0.96-(DM) 6.10 Xiao W, Xu A, Han F R 179 SUV<sub>max</sub>-T ≥10.22 5-year OS: 66.6% vs. < 0.001 5 (2015) (103) 87.6% Yoon (2016) (104) R 97 TLG 322.7 0.29 0.11-0.02 5-year OS: 54.0% vs. 0.003 6 0.79 85.7% Lee (2017) (108) R 53 SUV<sub>max</sub>-T >13.2 5 SUV<sub>max</sub>-N ≥13.4 7.799 1.51-0.014 3-year OS: 33.1% vs. 0.003 40.40 55.5% Liu F, Xi XP, Wang H R 213 PET-CT-quided dose-0.425 0.18-0.052 3-year OS: 82.6% vs. 0.049 5 \_ painting IMRT vs CT-based (2017) (109) 1.009 91.8% IMRT 160 18.8 1.07 0.01 3 Alessi (2019) (111) R SUV<sub>max</sub>-T SUV<sub>mean</sub>-T 9.5 1.07 0.01 ΤΙG 382.2 1.003 \_ 0.01 Sun XS, Liang YJ, Liu SL 253 SUV<sub>max</sub>-T, SUV<sub>max</sub>-N 17.0 3-year OS: SUV<sub>max</sub>-T: SUV<sub>max</sub>-5 R (2019) (113) SUV<sub>max</sub>-M 12.7 1.40 0.95 -0.087 >17 vs. ≤17: 47.7% T: 0.48 6.9 1.72 2.06 0.012 vs. 57.3% SUV<sub>max</sub> 3-year OS: SUV<sub>max</sub>-N: 1.13-N: 0.005 2.78 >12.7 vs. ≤12.7: SUV<sub>max</sub>-46.5% vs. 65.1% M: 0.005 3-year OS: SUV<sub>max</sub>-M: >6.9 vs. <6.9: 49.2% vs. 65.4%

#### TABLE 6 | Association of overall survival with PET parameters (n=10).

<sup>a</sup>Study design: prospective (P)/retrospective (R).

HR, hazard ratio; CI, confidence interval; SUV<sub>max</sub>, maximum standardized uptake value; SUV<sub>mean</sub>, mean standardized uptake value; MTV, metabolic tumor volume; TLG, total lesion glycolysis; T, primary tumor; N, lymph node; M, metastasis; LR, local recurrence.

values of NLR have been suggested (range 2.28–3.00, median 2.32), and the analysis suggested that NLR was a reliable prognostic marker regardless of the cutoff value (124).

Other hematological markers such as hemoglobin, platelet count, LDH, and CRP have the advantages of easy accessibility, inexpensive measurement, and high reproducibility and therefore possess a promising potential for integration into the international prognostic system. In particular, the significance of LDH and CRP have long been recognized (125–127), and these parameters had been incorporated in various recently published prognostic nomograms of NPC (128–130). Accordingly, further validations of these findings are encouraged.

Level of Evidence:

**Strong:** NLR, CRP/albumin ratio, anemia, PDW and platelet count, and LDH

Limited: Hs-CRP, PNI and AGR, D-dimer, and TIL

# **FDG-PET** Parameters

Among the 15 studies on FDG-PET included in the current review, most evaluated the maximum SUV (SUV<sub>max</sub>), either alone (n = 11) (100–103, 105–108, 110, 112, 113); some also proposed other metabolic parameters, such as metabolic tumor volume (MTV; n = 1) (99) or TLG (n = 2) (104, 111) (**Supplementary Table 6**). A single study further evaluated the difference in prognosis between PET-CT-guided dose-painting intensity-modulated radiation therapy (IMRT) and CT-based IMRT (109).

Four studies consistently showed that the high SUV<sub>max</sub> of the primary tumor was associated with poor OS (HR 1.07–4.88) (99, 101, 102, 111); however, conflicting results were shown with regard to the high SUV<sub>max</sub> of nodal and metastatic disease (102, 113). High TLG was associated with inferior OS in two studies, and MTV was a poor prognostic factor in one study

(Supplementary Table 6) (99, 104, 111). Therefore, we recommend further validation of the role of the high  $SUV_{max}$  of the primary tumor and high TLG.

The metabolic information of FDG-PET could predict tumor aggressiveness and be correlated with patient survival (131). The majority of FDG-PET studies evaluated the prognostic role of the SUV<sub>max</sub> of the tumor mass; however, the SUV<sub>max</sub> was limited by representing only the maximum uptake within the volume of interest (VOI) instead of within the entire mass. Emerging metabolic parameters such as TLG and MTV have been proposed to overcome these limitations: MTV is measured by contouring margins defined by thresholds, whereas TLG is calculated by multiplying the MTV by the mean SUV. Additional studies are encouraged to define the prognostic role of the abovementioned factor. However, the diverse range of cutoff values of these PET parameters used in different studies are attributable to several reasons. First, variables such as tumor delineation and definition of VOI may affect the MTV and TLG values; second, the cutoff values are established by the statistical parameters of each institution without cross-validation. Based on the evidence in the current literature, we cannot recommend a concrete cutoff value for further validation as the wide range of values has limited its reproducibility and global applicability.

Level of Evidence:

**Strong:** High  $SUV_{max}$  of the primary tumor and TLG **Limited:** MTV

Inconclusive: High  $SUV_{max}$  of nodal disease and  $SUV_{max}$  of metastatic disease

# Limitations

The limitations of this research merit discussion. Firstly, despite the exclusion of poor-quality studies, most of the included studies had a retrospective observational design, which is prone to biases. Secondly, the majority of the included studies that evaluated the non-anatomical markers used dichotomous variables to determine the prognostic value. The cutoff value of parameters varied among different studies, as it was calculated statistically in each study to achieve the most significant prognostic effect; therefore, the generalizability of the findings is uncertain. Thirdly, due to the heterogeneity of study designs, study populations, measurement techniques, and cutoff values, we were unable to perform a meta-

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analysis to estimate a pooled value reliably. Also, some of the studies of plasma EBV-DNA in early years were not included in the present analysis; however, our conclusion remains consistent with the previous findings (115–117). Lastly, some of the novel markers, such as radiomics, micro-RNA, circulating tumor cells, and genetic signatures, were not included in this review due to their limited global applicability at present.

# **Summary Remarks**

This systematic review has identified a comprehensive list of prognostic factors and suggestions that could contribute toward more accurate risk stratification for designing personalized treatment for NPC. Further studies for the validation of these factors are needed to confirm reproducibility and define the optimal cutoff criterion, to formulate the recommendations for designing the upcoming 9<sup>th</sup> Edition of the TNM staging system.

# DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**. Further inquiries can be directed to the corresponding author.

# **AUTHOR CONTRIBUTIONS**

WN, JP, and AL: conception and design. CC, QG, TM, ZX, HC, and JL: collection and assembly of data. CC, WN, HC, JP, and AL: data analysis and interpretation. CC, WN, and AL: manuscript writing. All authors contributed to the article and approved the submitted version.

# SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fonc.2021. 703995/full#supplementary-material

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