

REPRESENTATIONS OF INTEGERS BY TERNARY QUADRATIC FORMS

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ABSTRACT. We investigate the representation of integers by quadratic forms whose theta series lie in Kohnen's plus space $M_{3/2}^+(4p)$, where p is a prime. Conditional upon certain GRH hypotheses, we show effectively that every sufficiently large discriminant with bounded divisibility by p is represented by the form, up to local conditions. We give an algorithm for explicitly calculating the bounds. For small p we then use a computer to find the full list of all discriminants not represented by the form. Finally, conditional upon GRH for L -functions of weight 2 newforms, we give an algorithm for computing the implied constant of the Ramanujan-Petersson conjecture for weight $3/2$ cusp forms of level $4N$ in Kohnen's plus space with N odd and squarefree.

1. INTRODUCTION

Let Q be a positive definite integral quadratic form in m variables and let

$$\theta_Q(\tau) := \sum_{x \in \mathbb{Z}^m} q^{Q(x)}$$

be the associated theta series, where $q = e^{2\pi i\tau}$. We will omit the subscript Q when it is clear. Throughout this paper, a theta series will always mean θ_Q for some (mostly ternary) positive definite integral quadratic form Q . It is well known that θ is a modular form of weight $\frac{m}{2}$. For general information about quadratic forms, a good source is [19].

The natural question of which positive integers n are *represented by the form* Q , that is whether there exists $x \in \mathbb{Z}^m$ such that $Q(x) = n$, has been studied extensively since Gauss.

One such well known result of Lagrange shows that every positive integer can be represented as the sum of four squares. The amazing "15 theorem", proven first but unpublished by Conway and Schneeberger and recently shown by a much simpler method by Bhargava, asserts that a positive definite integral quadratic form represents every positive integer if and only if it represents the integers 1,2,3,5,6,7,10,14, and 15 [1]. Bhargava and Hanke have since shown that every integer valued quadratic form is universal if and only if it represents every integer less than 290 [2].

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An *eligible integer* for a positive definite quadratic form Q is a positive integer n for which $Q(x) = n$ always has a local solution. We will call D_Q (resp. D_{θ_Q}) a *good bound* for Q (resp. θ_Q) if Q represents every eligible integer greater than D_Q (resp. $a_{\theta}(D) > 0$) where we have used $a_f(n)$ to denote the n -th Fourier coefficient of f .

Relying on the fact that θ is a modular form, Tartakowsky [29] effectively showed that every sufficiently large eligible integer is represented by Q when $m \geq 5$. The corresponding result for $m = 4$ was shown by the bounds of Kloosterman (the celebrated result of Deligne proved the optimal bound [5]).

We will study here the case $m = 3$. Up to a technical complication at *anisotropic primes* and Schulze-Pillot's classification of finitely many *spinor exceptional classes* [26], bounds by Iwaniec [13] and Duke [7] for coefficients of half-integral weight cusp forms have shown that every sufficiently large eligible integer is represented.

Theorem (Duke- Schulze-Pillot [9]). *If Q is a positive definite quadratic form in 3 variables, then every sufficiently large eligible integer represented primitively by the Spinor Genus with bounded divisibility at the anisotropic primes is represented by Q .*

This result is ineffective because it relies on a lower bound for the class numbers, while the best known effective result, due to Oesterlé [22], is insufficient for our purposes. Assuming GRH for Dirichlet L -functions, the result becomes effective, and hence under this assumption an algorithm must exist to determine which integers are represented.

By using a deep connection of Waldspurger [30] between half integer weight cusp forms and special values of L -series of weight 2 newforms, under the additional assumption of GRH for weight 2 modular forms, Ono and Soundararajan obtain a feasible bound of 2×10^{10} for Ramanujan's ternary quadratic form $x^2 + y^2 + 10z^2$. With the help of a computer, they were able to prove the following.

Theorem (Ono-Soundararajan [24]). *Conditional upon GRH, the eligible integers which are not represented by $x^2 + y^2 + 10z^2$ are exactly*

$$3, 7, 21, 31, 33, 43, 67, 79, 87, 133, 217, 219, 223, 253, 307, 391, 679, 2719.$$

In this paper, we generalize the results of Ono and Soundararajan to develop an explicit algorithm for ternary quadratic forms Q such that $\theta_Q \in M_{3/2}^+(4p)$, the space of modular forms of weight $3/2$ and level $4p$ in Kohnen's plus space (Ramanujan's form does not satisfy this condition). By the theory of modular forms, θ decomposes into

$$(1.1) \quad \theta = E + \sum_{i=1}^m b_i g_i,$$

where E is an Eisenstein series, $b_i \in \mathbb{C}$ and g_i are fixed Hecke eigenforms in $S_{3/2}^+(4p)$. We have already seen that the assumption of GRH for Dirichlet L -functions gives a bound of $D^{1/2-\epsilon}$ for $a_E(D)$. The fact that $\theta \in M_{3/2}^+(4p)$ allows us to determine the coefficient explicitly. It then remains to bound the coefficients of g_i .

Theorem 1.1. *Let N be squarefree and odd, $\delta > 0$, and $g \in S_{3/2}^+(4N)$ in the orthogonal complement of the space of lifts of one dimensional theta-series. Then, assuming GRH for L -functions of weight 2 newforms, there is an explicitly computable function c_δ such that*

$$|a_g(n)| \leq c_\delta \|g\| c_N \dim(S_2(\Gamma_0(N)))^{\frac{1}{2}} N^{\frac{\delta}{2}} n^{\frac{1}{4} + \delta},$$

where $a_g(n)$ denotes the n -th Fourier coefficient of g , $\|g\|$ denotes the Petersson norm of g , and c_N is an explicitly computable function which grows like N^ϵ .

Remark 1.2. *Taking $\delta = \epsilon$, we obtain an explicit algorithm to determine the implied constant for the Ramanujan-Petersson conjecture in the n aspect under GRH. However, c_δ is too large for practical purposes when δ is small.*

We can now basically combine Theorem 1.1 with Littlewood's bound [20] for the Eisenstein series to obtain our desired (explicit) algorithm.

Remark 1.3. *In practice, we will not bound $a_E(D)$ and $a_{g_i}(D)$ separately, but will rather bound the ratio, to obtain a better bound for our algorithm.*

Theorem 1.4. *Let $\theta \in M_{3/2}^+(4p)$ be given. Assume GRH for Dirichlet L -functions and L -functions of weight 2 newforms. Then there exists an explicit algorithm which determines all fundamental discriminants $-D$ so that D is not represented by θ . Moreover, this algorithm is "computationally feasible" for small p .*

In another paper [16], we will give many examples where the good bound obtained by Theorem 1.4 is computationally feasible as well as discuss in detail a connection to lifting supersingular elliptic curves to CM elliptic curves coming from the Deuring lift [6] and a correspondence of Gross [10]. We will list here the choices of p for which the bound is computationally feasible for every $\theta \in M_{3/2}^+(4p)$, namely $p = 11$, $p = 17$, and $p = 19$. For simplicity, when we write D henceforth, $-D$ will be a fundamental discriminant and d will denote that $-d$ is any discriminant.

Theorem 1.5. *The following table lists all eligible integers T (or the size of the set and the largest element) divisible at most once by p not represented by the given quadratic form $Q := [a, b, c, d, e, f] = ax^2 + by^2 + cz^2 + dxy + exz + fyz$. Moreover, d is represented if and only if dp^2 is represented and the supersingular elliptic curve corresponding to Q lifts to an elliptic curve with CM by \mathcal{O}_{-D} if and only if D is not in the set T .*

p	Quadratic Form Q	T
11	$[4, 11, 12, 0, 4, 0]$ $[3, 15, 15, -2, 2, 14]$	$\{3, 67, 235, 427\}$ $\#T = 21, \max_{t \in T} t = 11803$
17	$[7, 11, 20, -6, 4, 8]$ $[3, 23, 23, -2, 2, 22]$	$\{3, 187, 643\}$ $\#T = 88, \max_{t \in T} t = 89563$
19	$[7, 11, 23, -2, 6, 10]$ $[4, 19, 20, 0, 4, 0]$	$\{4, 19, 163, 760, 1051\}$ $\#T = 40, \max_{t \in T} t = 27955.$

In Section 4, we deal with $-d$ not fundamental, using the Shimura lift [27], the Hecke operators (cf. [23]), and Deligne's optimal bound for integral weight cusp forms [5]. Fixing a discriminant $-d$ and exploring the representability of $d' = dF^2$, the Hecke operators lead to an equivalence between the following system of equations and the representability of d by Q .

Theorem 1.6. *There is a recursively defined polynomial $P_{k,m,\pm 1}(x)$ and a function $Q'(x, y)$, defined as a rational function in terms of the Fourier coefficients of the decomposition of θ and its Shimura lift, such that $a_\theta(dF^2) = 0$ if and only if for every $f = \prod_l l^{r_l}$ dividing F and $\tilde{f} = \prod_l l^{s_l}$ with $s_l \leq \frac{1}{2}v_l(d)$,*

$$\prod_{l \text{ prime}} P_{r_l, s_l, \left(\frac{-D}{l}\right)}(l) = Q'(f, \tilde{f}).$$

Remark 1.7. *The power of Theorem 1.6 is that the left side is growing like l , while the right side grows like $2\sqrt{l}$, so that the resulting system of equations is seldom consistent.*

Theorem 1.8. *Fix a discriminant $-d$. Consider $\theta = E + \sum_i b_i g_i$, where g_i are fixed Hecke eigenforms. If $a_\theta(dF^2) = 0$ with $(F, p) = 1$,*

$$F \ll_\epsilon (p-1)^{2+\epsilon} \left(\sum_{i=1}^m |b_i| \right)^{2+\epsilon} d^{\alpha+\epsilon},$$

where $\alpha = \frac{6}{7}$ unconditionally, $\alpha = -\frac{1}{7}$ under GRH for Dirichlet L -functions, and $\alpha = -\frac{1}{2}$ under GRH for Dirichlet L -functions and L -functions for weight 2 newforms.

Combining Theorem 1.1 and Theorem 1.8 along with an argument of Duke [8] to remove the dependence on θ yields the following result.

Theorem 1.9. *Let p be a prime, $\theta \in M_{3/2}^+(4p)$, and $\epsilon > 0$. Assuming GRH for Dirichlet L -functions and weight 2 modular forms, $a_\theta(d) \neq 0$ for every discriminant $-d$ with $\left(\frac{-d}{p}\right) \neq 1$ and $p^2 \nmid d$ such that*

$$d \gg_\epsilon p^{14+\epsilon}.$$

Here the implied constant depends only on ϵ and is effective. Moreover, $a_\theta(d) = 0$ if and only if $a_\theta(dp^2) = 0$.

We now interpret Theorem 1.9 in terms of lifts of supersingular elliptic curves.

Theorem 1.10. *Let p be a prime and $\epsilon > 0$. Assume GRH for Dirichlet L -functions and weight 2 modular forms. Let $E/\overline{\mathbb{F}}_p$ be a supersingular elliptic curve. Then E lifts to a elliptic curve over a number field with CM by \mathbf{O}_{-D} for every $\left(\frac{-D}{p}\right) \neq 1$ with*

$$D \gg_\epsilon p^{14+\epsilon}.$$

A more detailed introduction and further details of the proofs may be found in [15].

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2. NOTATION AND BRIEF OVERVIEW OF THE PROOF OF THEOREM 1.4

We give useful notation here as well as the background needed for Theorem 1.4. We will denote half integral weight cusp forms with lower case letters and their Shimura lift with capital letters.

Let p be an odd prime and $\theta \in M_{3/2}^+(4p)$ be a theta function. Suppose $-D < -4$ is a fundamental discriminant with $\left(\frac{-D}{p}\right) \neq 1$ and $a_\theta(D) = 0$. Using an explicit formula for the coefficients of the Eisenstein series (cf. [10]) in terms of the class number, and Dirichlet's Class Number Formula [4],

$$(2.1) \quad a_E(D) = \frac{12}{(p-1)2^{v_p(D)}} H(-D) = \frac{12}{(p-1)} \cdot \frac{L(1) \cdot \sqrt{D}}{\pi 2^{v_p(D)}}.$$

Here $L(s)$ is the Dirichlet L -function of the character $\chi(n) := \chi_{-D}(n) = \left(\frac{-D}{n}\right)$ and $v_p(D)$ is the power of p dividing D . Using equation (1.1) we have decomposed the cuspidal part into $\sum_{i=1}^m b_i g_i$, where g_i are a fixed set of Hecke eigenforms. Plugging in and using Schwarz's inequality yields

$$(2.2) \quad \frac{12}{(p-1)\pi 2^{v_p(D)}} \cdot |L(1)| \cdot \sqrt{D} \leq \sqrt{\sum_{i=1}^m |b_i|^2} \sqrt{\sum_{i=1}^m |a_{g_i}(D)|^2}.$$

A variant of the Kohnen-Zagier formula (3.1) gives $|a_{g_i}(D)|^2 = c_i 2^{-v_p(D)} D^{\frac{1}{2}} \cdot L_i(1)$, where

$$(2.3) \quad c_i := \frac{|a_{g_i}(m_i)|^2}{L(G_i, m_i, 1) m_i^{\frac{1}{2}}},$$

with m_i the first coefficient of g_i such that $a_{g_i}(m_i) \neq 0$ with $(p, m_i) = 1$, and

$$(2.4) \quad L_i(s) := L(G_i, -D, s) := \sum_{n=1}^{\infty} \frac{\chi(n) a_{G_i}(D)}{n^s}.$$

is the L series of G_i twisted by the character χ , where G_i is the normalized Shimura lift of g_i (the unique newform with the same eigenvalues as g_i normalized so that $a_{G_i}(1) = 1$).

This gives

$$(2.5) \quad \frac{12}{(p-1)\pi 2^{\frac{v_p(D)}{2}}} \cdot D^{\frac{1}{4}} \leq \sqrt{\sum_{i=1}^m |b_i|^2} \sqrt{\sum_{i=1}^m c_i \frac{L_i(1)}{L(1)^2}}.$$

As in [24], we define

$$(2.6) \quad F(s) := F_i(s) := \left(\frac{\sqrt{q}}{2\pi} \right)^{s-1} \frac{L_i(s)\Gamma(s)}{L(s)L(2-s)},$$

where q is the conductor of L_i . Notice that $F(1) = \frac{L_i(1)}{L(1)^2}$. By the functional equation of $L_i(s)$ (assuming without loss of generality that the sign of the functional equation is $+1$ as we automatically get a better bound if $L_i(1) = 0$), we know that $F(s) = F(2-s)$ and GRH for Dirichlet L -functions implies that $F(s)$ is analytic for $\frac{1}{2} < \operatorname{Re}(s) < \frac{3}{2}$. Therefore, for $\frac{1}{2} < \operatorname{Re}(s) = \sigma < \frac{3}{2}$ fixed, we know by the Phragmen-Lindelöf principle that the maximum is attained on the boundary of $\operatorname{Re}(s) = \sigma$ and $\operatorname{Re}(s) = 2 - \sigma$. Thus, for $1 < \sigma < \frac{3}{2}$, the functional equation gives

$$F(1) \leq \max_t |F(\sigma + it)|.$$

We will bound $L(s)$ from below in Section 6 and $L_i(s)$ from above in Section 7.

For $d \in \mathbb{N}$, with prime factorization $d = \prod_l l^{e_l}$, we will denote for notational ease

$$(2.7) \quad \Omega(d) := \sum_l e_l, \quad v_l(d) = e_l, \quad v(d) = \#\{l : e_l > 0\}, \quad \text{and} \quad \sigma_k(d) = \sum_{n|d} n^k.$$

We recall the Euler constant

$$(2.8) \quad \gamma := -\frac{\Gamma'}{\Gamma}(1) \approx .5772$$

and denote the Riemann Zeta function by $\zeta(s)$. Finally, we denote

$$(2.9) \quad \psi(x) := \sum_{n \leq x} \Lambda(n).$$

3. A KOHNEN-ZAGIER TYPE FORMULA

Let N be odd and square-free and let $g \in S_{k+1/2}^{\text{new}}(4N)$ be a newform in Kohnen's plus space. Let $G \in S_{2k}^{\text{new}}(N)$ be the Shimura lift of g normalized so that $a_G(1) = 1$. Let w_l be the sign of the Atkin-Lehner involution W_l for each prime l dividing N .

Lemma 3.1. *Let $(-1)^k D$ be a fundamental discriminant such that for each prime divisor l of N , either $\left(\frac{D}{l}\right) = w_l$ or $\left(\frac{D}{l}\right) = 0$. Then*

$$(3.1) \quad \frac{|a_g(D)|^2}{\langle g, g \rangle} = 2^{v\left(\frac{N}{(N,D)}\right)} \cdot \frac{(k-1)!}{\pi^k} \cdot D^{k-1/2} \cdot \frac{L(G, (-1)^k D, k)}{\langle G, G \rangle},$$

Remark 3.2. *If the conditions of Lemma 3.1 are not satisfied, then Kohlen proved in [18] that $a_g(D) = 0$.*

Proof. This follows Kohlen's proof in [17], where the result is shown whenever $(D, l) = 1$. Noting that $\left(\frac{(-1)^k D}{t}\right) = 0$ for $t \mid \gcd(D, N)$, before evaluating $(G|W_t)(z)$ allows us to remove the relatively prime condition. Further details may be found in [15]. \square

4. BOUNDING NON-FUNDAMENTAL DISCRIMINANT COEFFICIENTS

In this section we employ the power of the Hecke operators and the Shimura lift to obtain information about $-d$ non-fundamental. The argument also repeatedly uses the simple fact that $a_\theta(Dl^2) = 0$ implies $a_\theta(D) = 0$. Notice that many of the results in this section do not require GRH.

Lemma 4.1. *Fix a fundamental discriminant $-D$ and F with $(F, p) = 1$. Define $F' := \prod_{l|F} l$. Then the coefficients of the newform $g_i \in S_{\frac{3}{2}}^+(4p)$ satisfy*

$$(4.1) \quad |a_{g_i}(DF^2)| \leq \sigma_{-\frac{1}{2}}(F') F^{\frac{1}{2}} \sigma_0(F) |a_{g_i}(D)|,$$

where $\sigma_k(n)$ is defined in equation (2.7).

Proof. First note that if $a_{g_i}(D) = 0$, then $a_{g_i}(DF^2) = 0$ by the Hecke operators, so the result follows trivially.

We will use here the D -th Shimura correspondence [27] instead of the Shimura lift, similar to the argument in [9]. We will show that if $R = l^m$ such that $m \geq 1$ and $(R, F) = 1$, then

$$(4.2) \quad a_{g_i}(D(FR)^2) = \frac{a_{g_i}(DF^2)}{a_{g_i}(D)} \left[a_{G_{D,i}}(R) - \left(\frac{-D}{l}\right) a_{G_{D,i}}\left(\frac{R}{l}\right) \right].$$

Using equation (4.2), we get the result easily by multiplicativity and Deligne's optimal bound [5] for integer weight eigenforms, which shows that

$$\left| a_{G_{D,i}}(R) - \left(\frac{-D}{l}\right) a_{G_{D,i}}\left(\frac{R}{l}\right) \right| \leq \left(1 + \frac{1}{l^{\frac{1}{2}}}\right) \sigma_0(R) R^{\frac{1}{2}} |a_{G_{D,i}}(1)|.$$

We then use the fact that $a_{G_{D,i}}(1) = a_{g_i}(D)$. We now return to showing equation (4.2). Using the multiplicativity of the coefficients of $G_{D,i}$ normalized and the D -th Shimura correspondence, we obtain

$$\begin{aligned} a_{G_{D,i}}(F) a_{G_{D,i}}(R) &= a_{G_{D,i}}(FR) a_{G_{D,i}}(1) = \sum_{n|FR} a_{g_i}(D) a_{g_i}(Dn^2) \left(\frac{-D}{FR/n}\right) \\ &= \left(\frac{-D}{l}\right) a_{G_{D,i}}(F) a_{G_{D,i}}(R/l) + \sum_{f|F} a_{g_i}(D) a_{g_i}(DR^2 f^2) \left(\frac{-D}{F/f}\right). \end{aligned}$$

Rearranging and using the D -th Shimura correspondence again for $a_{G_{D,i}}(F)$, we obtain

$$0 = \sum_{f|F} \left(a_{g_i}(DR^2f^2)a_{g_i}(D) - a_{g_i}(Df^2) \left[a_{G_{D,i}}(R) - \left(\frac{-D}{l} \right) a_{G_{D,i}}(R/l) \right] \right) \left(\frac{-D}{F/f} \right).$$

Hence equation (4.2) follows by induction on the number of divisors of F . \square

Theorem 4.2. *If $a_\theta(DF^2) = 0$ with $(F, p) = 1$, then*

$$(4.3) \quad \frac{F^{\frac{1}{2}}}{2^{v(F)}\sigma_{-\frac{1}{2}}(F')\sigma_0(F)} \leq \frac{(p-1)\pi 2^{\frac{vp(D)}{2}}}{12} D^{-\frac{1}{4}} \cdot \left(\sum_{i=1}^m |b_i|^2 \right)^{\frac{1}{2}} \cdot \left(\sum_{i=1}^m c_i \frac{L_i(1)}{L(1)^2} \right)^{\frac{1}{2}}.$$

Here c_i and b_i are given by Equations (2.3) and (1.1), respectively.

Proof. If $d = DF^2$ is not represented by Q , then

$$a_E(d) = - \sum_{i=1}^m b_i a_{g_i}(d)$$

We then use the index formula (see [3], Theorem 7.24, p. 146) and Schwarz's inequality to bound

$$\frac{F}{2^{v(F)}} a_E(D) \leq \frac{a_E(d)}{a_E(D)} \cdot a_E(D) \leq \sqrt{\sum_{i=1}^m |b_i|^2} \sqrt{\sum_{i=1}^m |a_{g_i}(d)|^2}.$$

We then plug in (4.1) to bound the right hand side in terms of $a_{g_i}(D)$ and then relate $a_E(D)$ with $L(1)$ by Dirichlet's Class Number Formula and $a_{g_i}(D)$ with $L_i(1)$ by the Kohnen-Zagier variant (3.1) as in equation (2.5). \square

Proof of Theorem 1.8 (Assuming Theorem 1.4). The first part of Theorem 1.8 now follows directly by taking Duke's bound [7] for the cusp form to bound $a_{g_i}(D) \ll D^{\frac{3}{7}+\epsilon}$ and hence to bound $L_i(1)$ and using the trivial lower bound for $L(1)$. The additional assumptions give the corresponding (effective) optimal bounds for $L(1)$ and $L_i(1)$. \square

Theorem 1.6 involves showing a connection between $a_\theta(df^2) = 0$ and the following two recursively defined functions.

Definition 4.3. *Set $m, n \in \mathbb{Z}$, and $\epsilon \in \{-1, 0, 1\}$. Define the polynomial $P_{n,m,\epsilon}(x)$ recursively as follows:*

$$P_{n,m,\epsilon}(x) := \begin{cases} 0 & \text{if } n < 0 \text{ or } m < 0, \\ 1 & \text{if } n = 0, \\ (x - \epsilon)P_{n-1,1,\epsilon}(x) + \epsilon P_{n-1,0,\epsilon} & \text{if } m = 0, n > 0, \\ xP_{n-1,2,\epsilon}(x) + \left(\frac{x}{x-\epsilon}\right) P_{n-1,0,\epsilon} & \text{if } m = 1, n > 0, \\ xP_{n-1,m+1,\epsilon}(x) + P_{n-1,m-1,\epsilon} & \text{if } m \geq 1, n > 0. \end{cases}$$

Definition 4.4. For a discriminant $-d$ with $\left(\frac{-d}{p}\right) \neq 1$ and l a prime with $l^2 \nmid d$, define

$$Q_{n,m}(l) := \frac{\sum_i b_i a_{G_i}(l)^n a_{g_i}(dl^{2m})}{-a_E(dl^{2m})}.$$

Theorem 4.5. Let $-d$ be a discriminant with associated fundamental discriminant $-D$ and $l \neq p$ prime. Then $a_\theta(dl^{2m+2n}) = 0$ if and only if

$$P_{r,s,\left(\frac{-D}{l}\right)}(l) = Q_{r,s}(l),$$

for every $r \leq n$ and $s \leq m$.

Proof. When $n = 0$, the result is clear. We proceed by induction on n . We note first that $a_\theta(dl^{2m}l^{2n+2}) = 0$ if and only if $a_\theta(dl^{2m+2}l^{2n}) = 0$. Therefore, by the inductive hypothesis, $a_\theta(dl^{2m}l^{2n+2}) = 0$ if and only if

$$P_{r,s,\left(\frac{-D}{l}\right)}(l) = Q_{r,s}(l),$$

for every $r \leq n$ and $s \leq m+1$. These conditions match up with the assumptions above except when $s = m+1$. Thus, it suffices to show assuming $P_{r,s,\left(\frac{-D}{l}\right)}(l) = Q_{r,s}(l)$ for every $r \leq n$ and $s \leq m$ implies that

$$P_{r,m+1,\left(\frac{-D}{l}\right)}(l) = Q_{r,m+1}(l),$$

for every $r \leq n$, is equivalent to

$$P_{n+1,s,\left(\frac{-D}{l}\right)}(l) = Q_{n+1,s}(l),$$

for every $s \leq m$.

Let $r \leq n$ be given. Using the definition of $Q_{r,m+1}(l)$, we have

$$Q_{r,m+1}(l) = \frac{\sum_i b_i a_{G_i}(l)^r a_{g_i}(Dl^{2m+2})}{-a_E(Dl^{2m+2})}.$$

Since g_i is a hecke Eigenform with G_i the normalized Shimura lift, and $a_{G_i}(1) = 1$, we have

$$\begin{aligned} \frac{\sum_i b_i a_{G_i}(l)^r a_{g_i}(Dl^{2m+2})}{-a_E(Dl^{2m+2})} &= \frac{\sum_i b_i a_{G_i}(l)^{r+1} a_{g_i}(Dl^{2m})}{-a_E(Dl^{2m+2})} \\ &- \left(\frac{-Dl^{2m}}{l}\right) \frac{\sum_i b_i a_{G_i}(l)^r a_{g_i}(Dl^{2m})}{-a_E(Dl^{2m+2})} - l \left(\frac{\sum_i b_i a_{G_i}(l)^r a_{g_i}(Dl^{2m-2})}{-a_E(Dl^{2m+2})}\right). \end{aligned}$$

Now, we note by the index formula (see [3]) that

$$\frac{-a_E(Dl^{2m+2})}{-a_E(Dl^{2m})} = l - \left(\frac{-Dl^{2m}}{l} \right).$$

Therefore, it follows that

$$\begin{aligned} \left(l - \left(\frac{-Dl^{2m}}{l} \right) \right) Q_{r,m+1}(l) &= \frac{\sum_i b_i a_{G_i}(l)^{r+1} a_{g_i}(Dl^{2m})}{-a_E(Dl^{2m})} \\ &- \left(\frac{-Dl^{2m}}{l} \right) \frac{\sum_i b_i a_{G_i}(l)^r a_{g_i}(Dl^{2m})}{-a_E(Dl^{2m})} - \frac{l}{l - \left(\frac{-Dl^{2m-2}}{l} \right)} \cdot \frac{\sum_i b_i a_{G_i}(l)^r a_{g_i}(Dl^{2m-2})}{-a_E(Dl^{2m-2})} \\ &= Q_{r+1,m}(l) - \left(\frac{-Dl^{2m}}{l} \right) Q_{r,m}(l) - \frac{l}{l - \left(\frac{-Dl^{2m-2}}{l} \right)} \cdot Q_{r,m-1}(l). \end{aligned}$$

Now, assume that $Q_{r,m+1}(l) = P_{r,m+1,\epsilon}$. By assumption, we also have $Q_{r,m} = P_{r,m,\epsilon}$ and $Q_{r,m-1} = P_{r,m-1,\epsilon}$. Therefore, rearranging the above formula gives

$$Q_{r+1,m}(l) = \left(l - \left(\frac{-Dl^{2m}}{l} \right) \right) P_{r,m+1,\epsilon}(l) + \left(\frac{-Dl^{2m}}{l} \right) P_{r,m,\epsilon}(l) + \frac{l}{l - \left(\frac{-Dl^{2m-2}}{l} \right)} \cdot P_{r,m-1,\epsilon}(l).$$

If $m \geq 2$, then the right hand side is

$$lP_{r,m+1,\epsilon}(l) + P_{r,m-1,\epsilon}(l) = P_{r+1,m,\epsilon}(l),$$

as desired. If $m = 1$, the right hand side is

$$lP_{r,m+1,\epsilon}(l) + \left(\frac{-D}{l} \right) P_{r,m-1,\epsilon}(l) = P_{r+1,m,\epsilon}(l).$$

Notice that we used $l^2 \nmid D$ above so that $\left(\frac{-D}{l} \right) = \left(\frac{-D'}{l} \right)$. Finally, if $m = 0$, we use the same observation above to see that the right hand side is

$$\left(l - \left(\frac{D}{l} \right) \right) P_{r,m+1,\epsilon}(l) + \left(\frac{-D}{l} \right) P_{r,m,\epsilon}(l) = P_{r+1,m,\epsilon}(l).$$

The reverse direction follows by reversing the argument. \square

Theorem 4.6 (Theorem 1.6). *Let $-d$ be a discriminant and $(F, p) = 1$. Then $a_\theta(dF^2) = 0$ if and only if for every f dividing F , with $f = \prod_{l \text{ prime}} l^{n_{l,f}}$ and $m_l := \lfloor \frac{v_l(d)}{2} \rfloor$, we have*

$$\prod_{l \text{ prime}} P_{r_l, s_l, \left(\frac{-D}{l} \right)}(l) = \frac{\sum_i b_i \prod_{l \text{ prime}} a_{G_i}(l)^{r_l} a_{g_i} \left(\frac{d}{\prod_{l \text{ prime}} l^{2s_l}} \right)}{-a_E \left(\frac{d}{\prod_{l \text{ prime}} l^{2s_l}} \right)},$$

for every $r_l \leq n_{l,f}$ and $s_l \leq m_l$, where $-D$ is the fundamental discriminant corresponding to the discriminant $-d$.

Proof. For F a prime power, this is exactly Theorem 4.5. The proof follows by induction on the number of prime divisors of F , following the same template as in the proof of Theorem 4.5. \square

Corollary 4.7. *If $\theta = E + g$ with g an eigenform, then $a_\theta(DF^2) \neq 0$ for every $F \nmid 6$ with $F \neq p^n$.*

Proof. For $l > 3$, Theorem 4.5 implies $2\sqrt{l} \geq a_G(l) = l$ and the cases $l = 2$ and $l = 3$ follow from inconsistencies between the polynomials for $F = l$ and $F = l^2$ obtained from Theorem 1.6. \square

Corollary 4.8. *If $\theta = E + b_1g_1 + b_2g_2$, then for $l \geq 5$ a prime and $-D$ a discriminant,*

$$a_\theta(Dl^4) \neq 0.$$

Moreover, if q is another prime with $(q, 6pl) = 1$, then

$$a_\theta(Dl^2q^2) \neq 0$$

Proof. This follows easily from contradictions in the system of equations that are obtained from Theorem 1.6, using $-D$ a fundamental discriminant. Details of the calculations may be found in [15]. \square

5. REVIEW OF THE WORK OF ONO AND SOUNDARARAJAN

In this section, we review some results of Ono and Soundararajan [24] in preparation for bounding $L(s)$ and $L_i(s)$ in the next two sections. Recall $\chi := \chi_{-D}$, $L(s) := L(s, \chi)$, $L_i(s) := L(G_i, -D, s)$, and $F(s) = F_i(s)$.

5.1. Explicit Formulas. We will use the following 2 lemmas from [24] for explicit formulas of $\frac{L'}{L}(s)$ and $\frac{L'_i}{L_i}(s)$. These formulas are derived by studying an integral and shifting the line of integration, giving $\frac{L'}{L}(s)$ or $\frac{L'_i}{L_i}(s)$ as one of the residues.

Lemma 5.1 (Ono-Soundararajan [24]). *Assume that $L(s) \neq 0$. Then*

$$-\frac{L'}{L}(s) = \mathbf{G}_1(s, \mathbf{X}) + E_{sig}(s) - \frac{L'}{L}(s-1)\mathbf{X}^{-1} - R(s),$$

where

$$E_{sig}(s) = \sum_{\rho} \mathbf{X}^{\rho-s} \Gamma(\rho-s), \text{ and } R(s) = \frac{1}{2\pi i} \int_{-Re(s)-1/2-i\infty}^{-Re(s)-1/2+i\infty} -\frac{L'}{L}(s+w)\Gamma(w)\mathbf{X}^w dw$$

and

$$(5.1) \quad \mathbf{G}_1(s, \mathbf{X}) := \sum_{n=1}^{\infty} \frac{\Lambda(n)\chi(n)}{n^s} e^{-n/\mathbf{X}},$$

with Λ the Von-Mangoldt function. Here ρ denotes a nontrivial zero of $L(s)$.

Lemma 5.2 (Ono-Soundararajan [24]). *If $L_i(s) \neq 0$, then*

$$-\frac{L'_i(s)}{L_i(s)} = \mathbf{F}_1(s, \mathbf{X}) + R_{sig}(s) + R_{tri}(s) + R_{ins}(s),$$

where

$$(5.2) \quad \mathbf{F}_1(s, \mathbf{X}) := \sum_{n=1}^{\infty} \frac{\lambda_i(n)\chi(n)}{n^s} e^{-n/\mathbf{X}}$$

with λ_i defined such that for $\text{Re}(s) > 3/2$

$$-\frac{L'_i(s)}{L_i(s)} = \sum_{n=1}^{\infty} \frac{\lambda_i(n)\chi(n)}{n^s},$$

$$R_{sig}(s) = \sum_{\rho_i} \mathbf{X}^{\rho_i - s} \Gamma(\rho_i - s), \quad R_{tri}(s) = \sum_{n=0}^{\infty} \mathbf{X}^{-n-s} \Gamma(-n - s),$$

and

$$R_{ins}(s) = \sum_{n=1}^{\infty} \frac{(-\mathbf{X})^{-n}}{n!} \cdot \frac{L'_i(s-n)}{L_i(s-n)}.$$

Here ρ_i are the nontrivial zeros of L_i .

We will fix i and investigate $F(s) := F_i(s)$. Then

$$\frac{F'}{F}(s) = \log\left(\frac{\sqrt{q}}{2\pi}\right) + \frac{L'_i(s)}{L_i(s)} + \frac{\Gamma'}{\Gamma}(s) - \frac{L'}{L}(s) + \frac{L'}{L}(2-s).$$

5.2. Bounds for $\frac{\Gamma'}{\Gamma}$. We will need bounds for $\frac{\Gamma'}{\Gamma}$, and will use the bounds obtained in [24].

Lemma 5.3 (Ono-Soundararajan [24]). *Set $s = x + iy$.*

1) *If $x \geq 1$, then*

$$(5.3) \quad \left| \frac{\Gamma'}{\Gamma}(s) \right| \leq \frac{11}{3} + \frac{\log(1+x^2)}{2} + \frac{\log(1+y^2)}{2}.$$

2) *If $x > 0$, then we have the bound*

$$(5.4) \quad \text{Re}\left(\frac{\Gamma'}{\Gamma}(s)\right) \leq \frac{\Gamma'}{\Gamma}(x) + \frac{y^2}{x|s|^2} + \log\left(\frac{|s|}{x}\right).$$

3) In general, one has

$$(5.5) \quad \left| \frac{\Gamma'}{\Gamma}(s) \right| \leq \frac{9}{2} + \frac{1}{\langle x \rangle (1 - \langle x \rangle)} + \log(2 + |x|) + \frac{\log(1 + y^2)}{2},$$

where $\langle x \rangle := \min_{n \in \mathbb{N}} |x + n|$.

Lemma 5.4. *If $0 < x < 1$, then*

$$(5.6) \quad \left| \frac{\Gamma'}{\Gamma}(s) \right| \leq \frac{11}{3} + \frac{\log(2)}{2} + \frac{1}{x} + \frac{\log(1 + y^2)}{2}.$$

Proof. This follows from

$$\left| \frac{\Gamma'}{\Gamma}(s) \right| \leq \frac{1}{|s|} + \left| \frac{\Gamma'}{\Gamma}(s+1) \right|,$$

and Lemma 5.3, since $\frac{1}{|s|} \leq \frac{1}{x}$ and $\log(1 + x^2) \leq \log(2)$. \square

Lemma 5.5 (Hadamard's Factorization Formula, Ono-Sundararajan [24]). *If $L(s) \neq 0$ then*

$$\operatorname{Re} \left(\frac{L'}{L}(s) \right) = -\frac{1}{2} \log \left(\frac{m}{\pi} \right) - \frac{1}{2} \operatorname{Re} \left(\frac{\Gamma'}{\Gamma} \left(\frac{s+1}{2} \right) \right) + \sum_{\rho} \operatorname{Re} \left(\frac{1}{s - \rho} \right),$$

where the sum is taken over all non-trivial zeros ρ of $L(s)$ and m is the conductor of χ .

Additionally, if $L_i(s) \neq 0$ then

$$\operatorname{Re} \left(\frac{L'_i}{L_i}(s) \right) = -\frac{1}{2} \log \left(\frac{q}{4\pi^2} \right) - \operatorname{Re} \left(\frac{\Gamma'}{\Gamma}(s) \right) + \sum_{\rho_i} \operatorname{Re} \left(\frac{1}{s - \rho_i} \right),$$

where the sum is taken over all non-trivial zeros ρ_i of $L_i(s)$ and q is the conductor of $L_i(s)$.

6. BOUNDING $L(s)$ FROM BELOW

Fix $1 < \sigma < \frac{3}{2}$. For notational ease, define $s := \sigma + it$, $s_0 := 2 - \sigma + it$, and $\sigma_0 := \operatorname{Re}(s_0)$. Fix $\mathbf{X} > e^{\gamma + \frac{1}{\frac{3}{2} - \sigma}}$, recalling the Euler constant γ in (2.8). In preparation for bounding $F(s)$, in this section we will find a bound from below for $\log \left(\left| \frac{L(s_0)}{L(s)} \right| \right)$, depending on \mathbf{X} , t , and σ . A philosophical explanation of the techniques used and further details may be found in [15].

Set

$$\delta(\mathbf{X}) := \max_y \left| \int_{\sigma_0 - 1/2}^{\sigma - 1/2} \mathbf{X}^{-u} \Gamma(-u + iy) du \right| \cdot \left(\frac{1}{2} \log \frac{y^2 + (\sigma - 1/2)^2}{y^2 + (\sigma_0 - 1/2)^2} \right)^{-1}.$$

We note that since Γ decays exponentially in y and the other term only has polynomial growth, $\delta(\mathbf{X})$ is well defined. Recall our definition (5.1) of \mathbf{G}_1 and denote

$$(6.1) \quad \mathbf{G}(s, \mathbf{X}) := \sum_{n=2}^{\infty} \frac{\Lambda(n)\chi(n)}{n^s \log(n)} e^{-n/\mathbf{X}} = - \int \mathbf{G}_1(w, \mathbf{X}) dw.$$

The goal of this section is to prove the following.

Theorem 6.1. *Assume GRH for Dirichlet L -functions. Let χ be a primitive Dirichlet character of conductor m and let $L(s) = L(s, \chi)$. For $\mathbf{X} > e^{\gamma + \frac{1}{2} - \sigma}$ we have*

$$\log \frac{|L(s_0)|}{|L(s)|} \geq \frac{\mathbf{X}}{\mathbf{X} + 1 - \delta(\mathbf{X})\mathbf{X}} \left(\operatorname{Re}(\mathbf{G}(s_0, \mathbf{X})) - \operatorname{Re}(\mathbf{G}(s, \mathbf{X})) + c_{\theta, \sigma, \mathbf{X}, 1} \right. \\ \left. + c_{\theta, \sigma, \mathbf{X}, t, 1} + c_{\theta, \sigma, \mathbf{X}, m, 1} \right),$$

where the functions $c_{\theta, \sigma, \mathbf{X}, 1}$, $c_{\theta, \sigma, \mathbf{X}, t, 1}$, and $c_{\theta, \sigma, \mathbf{X}, m, 1}$ are given by

$$c_{\theta, \sigma, \mathbf{X}, 1} := -(\sigma - \sigma_0) \frac{|\Gamma(3/2 - \sigma_0)|}{2\pi \mathbf{X}^{\sigma_0 + 1/2}} \left(\frac{\pi}{r} \frac{759}{100} + \frac{\pi \log(226)}{2r^2} + \frac{2}{\sqrt{15}r^2} \right) \\ - \frac{\sigma - \sigma_0}{2\mathbf{X}} \left(\frac{22}{3} + \frac{2}{\sigma_0} \right) - \frac{1 - \sigma_0}{2\mathbf{X}} \left(\frac{\log(1 + (\frac{3-\sigma_0}{2})^2)}{2} + \frac{\log(2)}{2} + \frac{2}{\sigma_0} \right) \\ - \left(\frac{\sigma - 1}{2\mathbf{X}} \right) \left(\log(2) + \frac{2}{3 - \sigma_0} \right) - 2\delta(\mathbf{X}) \log \frac{\Gamma(\frac{\sigma+1}{2})}{\Gamma(\frac{\sigma_0+1}{2})} - 2\delta(\mathbf{X}) \log \left(\frac{\sigma + 1}{\sigma_0 + 1} \right),$$

with $r = \sqrt{(\sigma_0 + 1/2)(\sigma_0 - 1/2)}$,

$$c_{\theta, \sigma, \mathbf{X}, t, 1} := (\sigma - \sigma_0) \left(-\frac{|\Gamma(3/2 - \sigma_0)|}{4r \mathbf{X}^{\sigma_0 + 1/2}} - \frac{1}{2\mathbf{X}} - \left(\frac{\delta(\mathbf{X})}{2} \right) \right) \log(1 + t^2),$$

and finally

$$c_{\theta, \sigma, \mathbf{X}, m, 1} := |\sigma - \sigma_0| \left(\frac{\mathbf{X} - 1}{\mathbf{X}^2} - \frac{\delta(\mathbf{X})}{2} \right) \log \left(\frac{m}{\pi} \right).$$

Proof. Integrating from s_0 to s in Lemma 5.1 yields

$$\log \left(\frac{L(s_0)}{L(s)} \right) = \mathbf{G}(s_0, \mathbf{X}) - \mathbf{G}(s, \mathbf{X}) + \int_{s_0}^s E_{\text{sig}}(w) dw - \int_{s_0}^s R(w) dw + \frac{1}{\mathbf{X}} \log \left(\frac{L(s_0 - 1)}{L(s - 1)} \right).$$

We will take the real part of both sides, and bound each term.

(i) We will first bound $\int_{s_0}^s R(w)dw$. We will show

$$(6.2) \quad \operatorname{Re} \left(- \int_{s_0}^s R(w)dw \right) \geq - \left| \int_{s_0}^s R(w)dw \right| \geq -|\sigma - \sigma_0| \left[\frac{\log \left(\frac{m}{\pi} \right)}{\mathbf{X}^2} + \frac{|\Gamma(3/2 - \sigma_0)|}{2\pi \mathbf{X}^{\sigma_0+1/2}} \left(\frac{\pi}{r} \left(\frac{759}{100} + \frac{1}{2} \log(1+t^2) \right) + \frac{\pi \log(226)}{2r^2} + \frac{2}{\sqrt{15}r^2} \right) \right].$$

Rewriting $w = u + it$ and plugging in the functional equation for $\frac{L'}{L}$ gives

$$R(w) = \frac{1}{2\pi i} \int_{-u-1/2-i\infty}^{-u-1/2+i\infty} \Gamma(z) \mathbf{X}^z \left[\log \left(\frac{m}{\pi} \right) + \frac{1}{2} \cdot \frac{\Gamma'}{\Gamma} \left(\frac{2-z-w}{2} \right) + \frac{1}{2} \cdot \frac{\Gamma'}{\Gamma} \left(\frac{1+z+w}{2} \right) + \frac{L'}{L} (1-z-w) \right] dz.$$

Consider $z = -u - 1/2 + iy$. Since $\operatorname{Re} \left(\frac{1+z+w}{2} \right) = \frac{1}{4}$, $\operatorname{Re} \left(\frac{2-z-w}{2} \right)$ and $\frac{t+y}{2} = \operatorname{Im} \left(\frac{1+z+w}{2} \right) = -\operatorname{Im} \left(\frac{2-z-w}{2} \right)$, we use Lemma 5.4 to bound the term with $\frac{1+z+w}{2}$ and Lemma 5.3 to bound the term with $\frac{2-z-w}{2}$. Since $\operatorname{Re} \left(\frac{1+z+w}{2} \right) = \frac{3}{2}$, we can bound the $\frac{L'}{L}$ term by $\left| \frac{\zeta'}{\zeta} \left(\frac{3}{2} \right) \right| \leq \frac{151}{100}$. Noting that

$$\log \left(1 + \frac{(t+y)^2}{4} \right) \leq \log(1+t^2+y^2+t^2y^2) = \log(1+t^2) + \log(1+y^2),$$

we obtain

$$(6.3) \quad \left| \frac{L'}{L} (1-z-w) + \frac{1}{2} \frac{\Gamma'}{\Gamma} \left(\frac{1+z+w}{2} \right) + \frac{1}{2} \frac{\Gamma'}{\Gamma} \left(\frac{2-z-w}{2} \right) \right| \leq \frac{151}{100} + \frac{11}{6} + \frac{\log(2)}{4} + 2 + \frac{11}{6} + \frac{\log(1+25/16)}{4} + \frac{1}{2} \log(1+t^2) + \frac{1}{2} \log(1+y^2) \leq \frac{759}{100} + \frac{1}{2} \log(1+t^2) + \frac{1}{2} \log(1+y^2).$$

Since $|\Gamma(x+iy)| \leq |\Gamma(x)|$, the functional equation for Γ yields

$$|\mathbf{X}^z \Gamma(z)| = \mathbf{X}^{-u-1/2} \cdot \frac{|\Gamma(z+2)|}{|z(z+1)|} \leq \mathbf{X}^{-u-1/2} \cdot \frac{|\Gamma(3/2-u)|}{(1/2+u)(u-1/2)+y^2}.$$

It is easy to see that for $\mathbf{X} > e^{\gamma + \frac{1}{\frac{3}{2}-\sigma}}$, this function of u on the right hand side decreases in $[1/2, \sigma]$, so we get that the maximum for $u \in [\sigma_0, \sigma]$ is attained at $u = \sigma_0$.

This gives the bound

$$(6.4) \quad |\mathbf{X}^z \Gamma(z)| \leq \mathbf{X}^{-\sigma_0-1/2} \cdot \frac{|\Gamma(3/2-\sigma_0)|}{(1/2+\sigma_0)(\sigma_0-1/2)+y^2}.$$

Furthermore, shifting the line of integration in the remaining term to the far left, noting that $-2 < -\sigma - 1/2 < -u - 1/2 < -\sigma_0 - 1/2 < -1$, then for $\mathbf{X} > 1$,

$$(6.5) \quad \frac{1}{2\pi i} \int_{-u-1/2-i\infty}^{-u-1/2+i\infty} \Gamma(z) \mathbf{X}^z \log\left(\frac{m}{\pi}\right) = \log\left(\frac{m}{\pi}\right) \sum_{n=2}^{\infty} \frac{(-\mathbf{X})^{-n}}{n!} \leq \frac{\log\left(\frac{m}{\pi}\right)}{\mathbf{X}^2}.$$

Recall $r = \sqrt{(\sigma_0 + 1/2)(\sigma_0 - 1/2)}$. Plugging in the bounds from equations (6.3), (6.4), and (6.5) give

$$\begin{aligned} |R(w)| &\leq \frac{\log\left(\frac{m}{\pi}\right)}{\mathbf{X}^2} + \frac{|\Gamma(3/2 - \sigma_0)|}{2\pi \mathbf{X}^{\sigma_0 + 1/2}} \int_{-\infty}^{\infty} \frac{1}{y^2 + r^2} \left(\frac{759}{100} + \frac{1}{2} \log(1 + t^2) + \frac{1}{2} \log(1 + y^2) \right) dy \\ &= \frac{\log\left(\frac{m}{\pi}\right)}{\mathbf{X}^2} + \frac{|\Gamma(3/2 - \sigma_0)|}{2\pi \mathbf{X}^{\sigma_0 + 1/2}} \left(\frac{\pi}{r} \left(\frac{759}{100} + \frac{1}{2} \log(1 + t^2) \right) + \int_0^{\infty} \frac{\log(1 + y^2)}{y^2 + r^2} dy \right). \end{aligned}$$

Splitting the remaining integral into the range 0 to 15 and 15 to ∞ gives

$$|R(w)| \leq \frac{\log\left(\frac{m}{\pi}\right)}{\mathbf{X}^2} + \frac{|\Gamma(3/2 - \sigma_0)|}{2\pi \mathbf{X}^{\sigma_0 + 1/2}} \left(\frac{\pi}{r} \left(\frac{759}{100} + \frac{1}{2} \log(1 + t^2) \right) + \frac{\pi \log(226)}{2r^2} + \frac{2}{\sqrt{15}r^2} \right).$$

Since this is independent of w , integrating from $w = s_0$ to $w = s$ gives equation (6.2).

(ii) We will next find a bound for $\frac{1}{\mathbf{X}} \log \left| \frac{L(s_0 - 1)}{L(s - 1)} \right|$. We will show

$$(6.6) \quad \begin{aligned} \frac{1}{\mathbf{X}} \log \left| \frac{L(s_0 - 1)}{L(s - 1)} \right| &\geq \frac{1}{\mathbf{X}} \left[\log \frac{|L(s)|}{|L(s_0)|} + |\sigma - \sigma_0| \log\left(\frac{m}{\pi}\right) \right. \\ &\quad - \frac{1 - \sigma_0}{2} \left(\frac{22}{3} + \frac{\log(1 + (\frac{3 - \sigma_0}{2})^2)}{2} + \log(1 + t^2) + \frac{\log(2)}{2} + \frac{2}{\sigma_0} \right) \\ &\quad \left. - \frac{\sigma - 1}{2} \left(\frac{22}{3} + \log(1 + t^2) + \log(2) + \frac{2}{3 - \sigma_0} + \frac{2}{\sigma_0} \right) \right]. \end{aligned}$$

Again using the functional equation for $\frac{L'}{L}$ and noting that $|L(2 - s_0)| = |L(s)|$ and $|L(2 - s)| = |L(s_0)|$, we have

(6.7)

$$\log \frac{|L(s_0 - 1)|}{|L(s - 1)|} \geq \log \frac{|L(s)|}{|L(s_0)|} + |\sigma - \sigma_0| \log\left(\frac{m}{\pi}\right) - \frac{1}{2} \int_{s_0}^s \left| \frac{\Gamma'}{\Gamma} \left(\frac{3 - w}{2} \right) + \frac{\Gamma'}{\Gamma} \left(\frac{w}{2} \right) \right| |dw|.$$

For $w \in (\sigma_0, 1)$ we use equation (5.3) to bound $\Gamma'/\Gamma((3 - w)/2)$ while we use equation (5.6) to bound the other term and again to bound both terms when $w \in [1, \sigma]$, yielding equation (6.6).

(iii) Finally, we bound $\int_{s_0}^s E_{\text{sig}}(w)dw$. We will show here

$$(6.8) \quad \int_{s_0}^s \text{Re}(E_{\text{sig}}(w))dw \geq -\delta(\mathbf{X}) \cdot \left[\log \frac{|L(s)|}{|L(s_0)|} + \frac{\sigma - \sigma_0}{2} \log \left(\frac{m}{\pi} \right) + 2 \log \frac{\Gamma\left(\frac{\sigma+1}{2}\right)}{\Gamma\left(\frac{\sigma_0+1}{2}\right)} \right. \\ \left. + 2 \log \left(\frac{\sigma+1}{\sigma_0+1} \right) \cdot \frac{t^2}{t^2 + (\sigma_0+1)^2} + \left(\frac{\sigma+1}{2} - \frac{\sigma_0+1}{2} \right) \log \left(1 + \frac{t^2}{(\sigma_0+1)^2} \right) \right].$$

Since $\frac{1}{w-\rho}$ is analytic from s_0 to s , an individual zero $\rho := 1/2 + iy$ contributes

$$(6.9) \quad \int_{s_0}^s \text{Re}(\mathbf{X}^{\rho-w} \Gamma(\rho-w))dw \geq - \left| \int_{\sigma_0}^{\sigma} \mathbf{X}^{1/2-u} \Gamma(1/2-u+i(y-t))du \right| \cdot \\ \left(\log \frac{|s-\rho|}{|s_0-\rho|} \right)^{-1} \cdot \int_{s_0}^s \text{Re} \left(\frac{1}{w-\rho} \right) dw.$$

We have added the additional term (6.9), which is 1, so that we may use $\text{Re} \left(\frac{1}{w-\rho} \right)$ later in Hadamard's factorization formula (5.5).

Since the integral and the log term merely make up one such term for y fixed, we know that they are bounded above by $\delta(\mathbf{X})$. Therefore, summing the contributions of all zeros gives us

$$(6.10) \quad \int_{s_0}^s \text{Re}(E_{\text{sig}}(w))dw \geq -\delta(\mathbf{X}) \int_{s_0}^s \sum_{\rho} \text{Re} \frac{1}{w-\rho} dw.$$

Integrating Hadamard's factorization formula (5.5) yields

$$(6.11) \quad \int_{s_0}^s \sum_{\rho} \text{Re} \left(\frac{1}{w-\rho} \right) dw = \log \frac{|L(s)|}{|L(s_0)|} + \frac{\sigma - \sigma_0}{2} \log \left(\frac{m}{\pi} \right) + \int_{s_0}^s \text{Re} \left(\frac{\Gamma'}{\Gamma} \left(\frac{w+1}{2} \right) \right) dw.$$

We now use equation (5.4) to bound the Γ'/Γ term. After noting that for $w = u + it$, $4 \left(\frac{u+1}{2} \right)^2 + t^2 \geq t^2 + (\sigma_0 + 1)^2$ and integrating, we obtain equation (6.8).

Finally, rearranging equations (6.2), (6.6), and (6.8) and combining the terms involving $\log \frac{L(s_0)}{L(s)}$ yields Theorem 6.1. \square

7. BOUNDING $L_i(1)$ FROM ABOVE

We use the same notation as in Section 6. We also define $\sigma_1 := 3 - \sigma$ and $s_1 := \sigma_1 + it$. In addition, we will fix $1 < \sigma_2 < 2$ and consider $s_2 := \sigma_2 + it$. In practice we will

choose $\sigma_2 > \sigma$, but the theorem holds in general. We will find a bound from above for $\log(|L_i(D)|)$, depending on \mathbf{X} , t , and σ . Recall our definition (5.2) of \mathbf{F}_1 and denote

$$\mathbf{F}(w, \mathbf{X}) := \sum_{n=1}^{\infty} \frac{\lambda_i(n)\chi(n)}{n^w \log(n)} e^{-n/\mathbf{X}} = - \int \mathbf{F}_1(w, \mathbf{X}) dw.$$

Theorem 7.1. *Assume GRH for L -functions of weight 2 newforms, and set $L_i(s) := L(G_i, \chi, s)$ with χ a primitive character such that L_i has conductor q . Then,*

$$\begin{aligned} \log |L_i(s)| \leq & \frac{\mathbf{X}}{\mathbf{X} + 1} \mathbf{F}(s, \mathbf{X}) - \frac{\mathbf{X}((1 + 2\gamma(\mathbf{X}))\alpha(\mathbf{X}) - \gamma(\mathbf{X})\beta(\mathbf{X}))}{(\mathbf{X} + 1)(1 + \gamma(\mathbf{X}))} \mathbf{F}_1(s_2, \mathbf{X}) \\ & + \frac{\mathbf{X}}{\mathbf{X} + 1} (c_{\theta, \sigma, \mathbf{X}, 2} + c_{\theta, \sigma, \mathbf{X}, t, 2} + c_{\theta, \sigma, \mathbf{X}, q, 2}), \end{aligned}$$

where

$$\begin{aligned} c_{\theta, \sigma, \mathbf{X}, 2} := & \sum_{n=2}^N \frac{|\lambda_i(n)|}{n^{\sigma_1} \log(n)} (1 - e^{-n/\mathbf{X}}) + 2 \log(\zeta(\sigma_1 - 1/2)) - 2 \sum_{n=1}^N \frac{\Lambda(n)}{n^{\sigma_1 - 1/2} \log(n)} \\ & + \max\{|\Gamma(\sigma)|, |\Gamma(\sigma_1)|\} \cdot \frac{\mathbf{X}^{1-\sigma}}{(\mathbf{X} - 1) \log(\mathbf{X})} \\ & + |\log(\zeta(4 - \sigma_1 - 1/2)) - \log(\zeta(4 - \sigma - 1/2))| \left(\frac{1}{\mathbf{X}^2} + \frac{1}{3\mathbf{X}^2(\mathbf{X} - 1)} \right) \\ & + \frac{2}{\mathbf{X}} \log(\zeta(3 - \sigma - 1/2)) + \frac{2(\sigma_1 - \sigma)}{3\mathbf{X}^2} \left(\frac{49}{6} + \log(20) \right) + \frac{2}{\mathbf{X}} \log \frac{\Gamma(\sigma_1 + 1)}{\Gamma(\sigma + 1)} \\ & + \frac{2(\log \frac{\sigma_1 - 1}{\sigma - 1} - \log \frac{2 - \sigma_1}{2 - \sigma})}{3\mathbf{X}^2} + \frac{(1 + 2\gamma(\mathbf{X}))\alpha(\mathbf{X}) - \gamma(\mathbf{X})\beta(\mathbf{X})}{(1 + \gamma(\mathbf{X}))} \left(\frac{\Gamma'}{\Gamma}(\sigma_2) + \frac{1}{\sigma_2} \right) \\ & + \alpha(\mathbf{X}) \frac{|\Gamma(-\sigma_2)| \mathbf{X}^{1-\sigma_2}}{\mathbf{X} - 1} + \alpha(\mathbf{X}) \frac{3\mathbf{X} + 2}{3\mathbf{X}^2} \left(\frac{49}{6} + \log(20) + \frac{1}{(2 - \sigma_2)(\sigma_2 - 1)} \right) \\ & + 2 \left| \frac{\zeta'}{\zeta}(3 - 1/2 - \sigma_2) \right|, \end{aligned}$$

$$\begin{aligned} c_{\theta, \sigma, \mathbf{X}, t, 2} := & \log(1 + t^2) \left(\frac{2(\sigma_1 - \sigma)}{3\mathbf{X}^2} + \frac{(\sigma_1 - \sigma)}{\mathbf{X}} + \frac{(1 + 2\gamma(\mathbf{X}))\alpha(\mathbf{X}) - \gamma(\mathbf{X})\beta(\mathbf{X})}{2(1 + \gamma(\mathbf{X}))} \right. \\ & \left. + \frac{3\mathbf{X} + 2}{\mathbf{X}^2} \alpha(\mathbf{X}) \right), \end{aligned}$$

$$\begin{aligned}
 c_{\theta, \sigma, \mathbf{X}, q, 2} &:= \log \left(\frac{q}{4\pi^2} \right) \left(\frac{(1 + 2\gamma(\mathbf{X}))\alpha(\mathbf{X}) - \gamma(\mathbf{X})\beta(\mathbf{X})}{2(1 + \gamma(\mathbf{X}))} \right. \\
 &\quad \left. + \frac{\gamma(\mathbf{X})(\alpha(\mathbf{X}) - \beta(\mathbf{X}))}{(1 + \gamma(\mathbf{X}))} \cdot \frac{|\Gamma(-\sigma_2)|\mathbf{X}^{1-\sigma_2}}{\mathbf{X} - 1} + \frac{\alpha(\mathbf{X})}{\mathbf{X}} + \frac{\sigma_1 - \sigma}{\mathbf{X}} \right), \\
 \gamma(\mathbf{X}) &:= \mathbf{X}^{1-\sigma_2} \cdot \max_y |\Gamma(1 - \sigma_2 + iy)| \left((\sigma_2 - 1) + \frac{y^2}{\sigma_2 - 1} \right), \\
 (7.1) \quad \beta(\mathbf{X}) &:= \begin{cases} \frac{(\sigma_2-1)\mathbf{X}^{\sigma_2-1}}{\mathbf{X}^{\sigma_2-1}-\Gamma(2-\sigma_2)} \int_{\sigma}^{\sigma_1} \operatorname{Re}(\mathbf{X}^{1-u}\Gamma(1-u)) du & \text{if } \mathbf{X} < \Gamma(2-\sigma_2)^{\frac{1}{\sigma_2-1}} \\ -\frac{(\sigma_2-1)\mathbf{X}^{\sigma_2-1}}{\mathbf{X}^{\sigma_2-1}+\Gamma(2-\sigma_2)} \int_{\sigma}^{\sigma_1} \operatorname{Re}(\mathbf{X}^{1-u}\Gamma(1-u)) du & \text{if } \Gamma(2-\sigma_2)^{\frac{1}{\sigma_2-1}} \leq \mathbf{X} \end{cases},
 \end{aligned}$$

and finally

$$\begin{aligned}
 (7.2) \quad \alpha(\mathbf{X}) &:= \max_y \left| \int_{\sigma}^{\sigma_1} (\mathbf{X}^{1-u}\Gamma(1-u+iy)) du - (\beta(\mathbf{X})\mathbf{X}^{1-\sigma_2}\Gamma(1-\sigma_2+iy)) \right| \\
 &\quad \cdot \left((\sigma_2 - 1) + \frac{y^2}{\sigma_2 - 1} \right).
 \end{aligned}$$

Proof. Integrating both sides of Lemma 5.2 from s to s_1 yields

$$\log L_i(s) = \log L_i(s_1) + \mathbf{F}(s, \mathbf{X}) - \mathbf{F}(s_1, \mathbf{X}) + \int_s^{s_1} (R_{\text{sig}}(w) + R_{\text{ins}}(w) + R_{\text{tri}}(w)) dw.$$

We take real parts of both sides to bound $\log |L_i(s)|$. Since $|\lambda_i(n)| \leq 2\sqrt{n}\Lambda(n)$, we bound

$$(7.3) \quad \log |L_i(s_1)| - \operatorname{Re}(\mathbf{F}_1(s_1, \mathbf{X})) \leq (1 - e^{-n/\mathbf{X}}) \sum_{n=2}^N \frac{|\lambda_i(n)|}{n^{\sigma_1} \log(n)} + \sum_{n=N+1}^{\infty} \frac{2\Lambda(n)}{n^{\sigma_1-1/2} \log(n)}.$$

Notice that taking the logarithmic derivative of ζ and integrating yields

$$\sum_{n=N+1}^{\infty} \frac{2\Lambda(n)}{n^{\sigma_1-1/2} \log(n)} = 2 \log(\zeta(\sigma_1 - 1/2)) - 2 \sum_{n=1}^N \frac{\Lambda(n)}{n^{\sigma_1-1/2} \log(n)},$$

which can easily be computed numerically with a computer.

(i) We first bound the contribution from the trivial zeros:

Since $1 < \sigma < w < \sigma_1 < 2$ and $|\Gamma(-n-w)| < |\Gamma(-w)|$, the maximum is attained either at s or s_1 . Thus, we can factor out $\max\{|\Gamma(\sigma)|, |\Gamma(\sigma_1)|\}$ and integrate to obtain

$$(7.4) \quad \int_s^{s_1} R_{\text{tri}}(w) dw \leq \max\{|\Gamma(\sigma)|, |\Gamma(\sigma_1)|\} \cdot \frac{\mathbf{X}^{1-\sigma}}{(\mathbf{X} - 1) \log(\mathbf{X})}.$$

(ii) **We now bound the contribution from the poles of Γ :** We will show

$$(7.5) \quad \int_s^{s_1} R_{\text{ins}}(w) dw \leq |\log(\zeta(4 - \sigma_1 - 1/2)) - \log(\zeta(4 - \sigma - 1/2))| \left(\frac{1}{\mathbf{X}^2} + \frac{1}{3\mathbf{X}^2(\mathbf{X} - 1)} \right) \\ - \frac{\log(|L_i(s)|)}{\mathbf{X}} + \frac{2}{\mathbf{X}} \log(\zeta(3 - \sigma - 1/2)) + \frac{2(\sigma_1 - \sigma)}{3\mathbf{X}^2} \left(\frac{49}{6} + \log(1 + t^2) + \log(20) \right) \\ + \frac{2(\log \frac{\sigma_1 - 1}{\sigma - 1} - \log \frac{2 - \sigma_1}{2 - \sigma})}{3\mathbf{X}^2} + \frac{2}{\mathbf{X}} \log \frac{\Gamma(\sigma_1 + 1)}{\Gamma(\sigma + 1)} + \frac{\sigma_1 - \sigma}{\mathbf{X}} \log(1 + t^2) + \frac{(\sigma_1 - \sigma)}{\mathbf{X}} \log \frac{q}{4\pi^2}.$$

We use the functional equation to obtain

$$\int_s^{s_1} R_{\text{ins}}(w) dw = \sum_{n=1}^{\infty} - \frac{(-\mathbf{X})^{-n}}{n!} \left[\log \frac{L_i(2 + n - s_1)}{L_i(2 + n - s)} + (\sigma - \sigma_1) \log \frac{q}{4\pi^2} \right. \\ \left. + \int_s^{s_1} \left(\frac{\Gamma'}{\Gamma}(2 - w + n) + \frac{\Gamma'}{\Gamma}(w - n) \right) dw \right].$$

First we note that

$$(7.6) \quad \sum_{n=1}^{\infty} \frac{(-\mathbf{X})^{-n}}{n!} (\sigma - \sigma_1) \log \frac{q}{4\pi^2} \leq \frac{(\sigma_1 - \sigma) \log \frac{q}{4\pi^2}}{\mathbf{X}}.$$

Expanding $\log \frac{L_i(2+n-s_1)}{L_i(2+n-s)}$ and noting that for $n \geq 2$, $\frac{1}{m^{2+n-\sigma_1}} - \frac{1}{m^{2+n-\sigma}} \leq \frac{1}{m^{4-\sigma_1}} - \frac{1}{m^{4-\sigma}}$, we get the bound

$$(7.7) \quad \sum_{n=2}^{\infty} \frac{(-\mathbf{X})^{-n}}{n!} \log \left| \frac{L_i(2 + n - s_1)}{L_i(2 + n - s)} \right| \\ \leq 2 |\log(\zeta(4 - \sigma_1 - 1/2)) - \log(\zeta(4 - \sigma - 1/2))| \left(\frac{1}{2\mathbf{X}^2} + \frac{1}{6\mathbf{X}^2(\mathbf{X} - 1)} \right).$$

For $n = 1$, taking the real part and noting that $|L_i(3 - s_1)| = |L_i(s)|$, we have

$$(7.8) \quad (-\mathbf{X})^{-1} \log \frac{|L_i(3 - s_1)|}{|L_i(3 - s)|} \leq - \frac{\log(|L_i(s)|)}{\mathbf{X}} + \frac{2}{\mathbf{X}} \log(\zeta(3 - \sigma - 1/2)).$$

For $n \geq 1$ we have $\text{Re}(2 - w + n) \geq 1$, so we may use equation (5.3) to bound the term with that parameter. For $n \geq 2$ we use equation (5.5) to bound the other term, and for $n = 1$ we may use equation (5.6).

It remains to bound

$$\int_s^{s_1} \left(\frac{\Gamma'}{\Gamma}(2-w+n) + \frac{\Gamma'}{\Gamma}(w-n) \right) dw.$$

Since $1 < \sigma \leq w \leq \sigma_1 < 2$, we know that $2-w+n \geq 1$ for all $n \geq 1$, so that we can use equation (5.3) to bound that term. We will use equation (5.5) to bound the term with $w-n$ for $n \geq 2$. For $n=1$, we have $u-n \in (0,1)$, so that we can use equation (5.6). We note that for $u = \operatorname{Re}(w) > 1$ we have $\langle u-n \rangle (1-\langle u-n \rangle) = (2-u)(u-1)$. This yields, for $n \geq 2$,

$$\begin{aligned} & \left| \frac{\Gamma'}{\Gamma}(2-w+n) + \frac{\Gamma'}{\Gamma}(w-n) \right| \\ & \leq \frac{49}{6} + \log((3+n-u)(2+n-u)) + \log(1+t^2) + \frac{1}{(2-u)(u-1)}. \end{aligned}$$

For $n=1$, we have $u-1 > 0$ and $3-u > 0$, so that we can use equation (5.4) and the functional equation to obtain

$$\begin{aligned} & \operatorname{Re} \left(\frac{\Gamma'}{\Gamma}(w-1) \right) \leq \operatorname{Re} \left(\frac{\Gamma'}{\Gamma}(w) \right) - \operatorname{Re} \left(\frac{1}{w-1} \right) \leq \operatorname{Re} \left(\frac{\Gamma'}{\Gamma}(w) \right) \\ & \leq \frac{\Gamma'}{\Gamma}(u) + \frac{t^2}{u(t^2+u^2)} + \frac{1}{2} \log(1+t^2) \leq \frac{\Gamma'}{\Gamma}(u) + \frac{1}{u} + \frac{1}{2} \log(1+t^2) = \frac{\Gamma'}{\Gamma}(u+1) + \frac{1}{2} \log(1+t^2) \end{aligned}$$

and

$$\begin{aligned} & \operatorname{Re} \left(\frac{\Gamma'}{\Gamma}(3-w) \right) \leq \frac{\Gamma'}{\Gamma}(3-u) + \frac{t^2}{(3-u)(t^2+(3-u)^2)} + \frac{1}{2} \log(1+t^2) \\ & \leq \frac{\Gamma'}{\Gamma}(3-u) + \frac{1}{3-u} + \frac{1}{2} \log(1+t^2) = \frac{\Gamma'}{\Gamma}(4-u) + \frac{1}{2} \log(1+t^2). \end{aligned}$$

Since $u > 1$, $\log((3+n-u)(2+n-u)) \leq \log((n+1)(n+2)) < \frac{n!}{6} \log(20)$ for $n \geq 3$ so for $\mathbf{X} > 2$,

$$\begin{aligned} (7.9) \quad & - \sum_{n=1}^{\infty} \frac{(-\mathbf{X})^{-n}}{n!} \int_s^{s_1} \operatorname{Re} \left(\frac{\Gamma'}{\Gamma}(2-w+n) + \frac{\Gamma'}{\Gamma}(w-n) \right) dw \\ & \leq \frac{2(\sigma_1 - \sigma)}{3\mathbf{X}^2} \left(\frac{49}{6} + \log(1+t^2) + \log(20) \right) + \frac{2 \left(\log \frac{\sigma_1-1}{\sigma-1} - \log \frac{2-\sigma_1}{2-\sigma} \right)}{3\mathbf{X}^2} \\ & \quad + \frac{2}{\mathbf{X}} \log \frac{\Gamma(\sigma_1+1)}{\Gamma(\sigma+1)} + \frac{(\sigma_1 - \sigma)}{\mathbf{X}} \log(1+t^2). \end{aligned}$$

(iii) Finally we bound the contribution from the significant zeros of L_i : We will show

$$(7.10) \quad \int_s^{s_1} \operatorname{Re}(R_{\text{sig}}(w)) dw \leq \left(\frac{(1 + 2\gamma(\mathbf{X}))\alpha(\mathbf{X}) - \gamma(\mathbf{X})\beta(\mathbf{X})}{1 + \gamma(\mathbf{X})} \right) \left(\frac{1}{2} \log \left(\frac{q}{4\pi^2} \right) \right. \\ \left. + \frac{1}{2} \log(1 + t^2) + \frac{\Gamma'}{\Gamma}(\sigma_2) + \frac{1}{\sigma_2} - \mathbf{F}_1(s_2, \mathbf{X}) \right) + \alpha(\mathbf{X}) \frac{|\Gamma(-\sigma_2)| \mathbf{X}^{1-\sigma_2}}{\mathbf{X} - 1} \\ + \frac{\gamma(\mathbf{X})(\alpha(\mathbf{X}) - \beta(\mathbf{X}))}{1 + \gamma(\mathbf{X})} \cdot \frac{|\Gamma(-\sigma_2)| \mathbf{X}^{1-\sigma_2}}{\mathbf{X} - 1} \log \left(\frac{q}{4\pi^2} \right) + \alpha(\mathbf{X}) \frac{3\mathbf{X} + 2}{\mathbf{X}^2} \left(\frac{49}{6} + \log(20) \right) \\ + \frac{1}{(2 - \sigma_2)(\sigma_2 - 1)} + \log(1 + t^2) + 2 \left| \frac{\zeta'}{\zeta}(3 - 1/2 - \sigma_2) \right| + \frac{\alpha(\mathbf{X})}{\mathbf{X}} \log \left(\frac{q}{4\pi^2} \right).$$

Fix an individual zero $\rho := 1 + iy$.

$$\int_s^{s_1} \operatorname{Re}(\mathbf{X}^{\rho-w} \Gamma(\rho - w)) dw = \operatorname{Re}(\beta(\mathbf{X}) \mathbf{X}^{\rho-s_2} \Gamma(\rho - s_2)) \\ + \int_s^{s_1} \operatorname{Re}(\mathbf{X}^{\rho-w} \Gamma(\rho - w)) dw - \operatorname{Re}(\beta(\mathbf{X}) \mathbf{X}^{\rho-s_2} \Gamma(\rho - s_2)) \\ \leq \operatorname{Re}(\beta(\mathbf{X}) \mathbf{X}^{\rho-s_2} \Gamma(\rho - s_2)) + \alpha(\mathbf{X}) \operatorname{Re} \left(\frac{1}{s_2 - \rho} \right).$$

Now, summing over all non-trivial zeros of L_i gives the bound

$$(7.11) \quad \int_s^{s_1} \operatorname{Re}(R_{\text{sig}}(w)) dw \leq \beta(\mathbf{X}) \operatorname{Re}(R_{\text{sig}}(s_2)) + \alpha(\mathbf{X}) \sum_{\rho} \operatorname{Re} \left(\frac{1}{s_2 - \rho} \right).$$

Rearranging Lemma 5.5 gives

$$(7.12) \quad \sum_{\rho} \operatorname{Re} \left(\frac{1}{s_2 - \rho} \right) = \operatorname{Re} \left(\frac{L'_i}{L_i}(s_2) \right) + \frac{1}{2} \log \left(\frac{q}{4\pi^2} \right) + \operatorname{Re} \left(\frac{\Gamma'}{\Gamma}(s_2) \right)$$

We again use the exact formula for $\frac{L'_i}{L_i}$ from Lemma 5.2 to obtain

$$(7.13) \quad \frac{L'_i}{L_i}(s_2) = -\mathbf{F}_1(s_2, \mathbf{X}) - R_{\text{sig}}(s_2) - R_{\text{tri}}(s_2) - R_{\text{ins}}(s_2).$$

We again need to bound each of these.

Clearly, taking the absolute value and noting that $|\Gamma(-n - s_2)| \leq |\Gamma(-\sigma_2)|$, we have

$$(7.14) \quad |R_{\text{tri}}(s_2)| \leq |\Gamma(-\sigma_2)| \sum_{n=0}^{\infty} \mathbf{X}^{-n-\sigma_2} = \frac{|\Gamma(-\sigma_2)| \mathbf{X}^{1-\sigma_2}}{\mathbf{X} - 1}.$$

We next bound $R_{\text{ins}}(s_2)$. We use the functional equation of $\frac{L'_i}{L_i}$ to obtain

$$(7.15) \quad R_{\text{ins}}(s_2) = \sum_{n=1}^{\infty} -\frac{(-\mathbf{X})^{-n}}{n!} \left(\frac{L'_i}{L_i}(n+2-s_2) + \frac{\Gamma'}{\Gamma}(s_2-n) + \frac{\Gamma'}{\Gamma}(n+2-s_2) + \log\left(\frac{q}{4\pi^2}\right) \right).$$

We note that $\frac{L'_i}{L_i}(w) = -\sum_{m=1}^{\infty} \frac{\lambda_i(m)\chi(m)}{m^w}$ with $|\lambda_i(m)| \leq 2\sqrt{m}\Lambda(m)$ and again use equations (5.5), (5.3), the fact that $\frac{6\log((n+1)(n+2))}{n!\log(20)} \leq 1$ for every $n \geq 3$, and $\mathbf{X} > 2$ to obtain

$$(7.16) \quad |R_{\text{ins}}(s_2)| \leq \frac{1}{\mathbf{X}} \log\left(\frac{q}{4\pi^2}\right) + \frac{3\mathbf{X}+2}{3\mathbf{X}^2} \left(\frac{49}{6} + \log(20) + \frac{1}{(2-\sigma_2)(\sigma_2-1)} \right. \\ \left. + \log(1+t^2) + 2 \left| \frac{\zeta'}{\zeta}(3-1/2-\sigma_2) \right| \right).$$

We then use equation (5.4) to bound $\text{Re}\left(\frac{\Gamma'}{\Gamma}(s_2)\right) \leq \frac{\Gamma'}{\Gamma}(\sigma_2) + \frac{1}{\sigma_2} + \frac{1}{2} \log(1+t^2)$. Combining the terms involving $\text{Re}(R_{\text{sig}}(s_2))$, it remains to bound

$$(7.17) \quad |\beta(\mathbf{X}) - \alpha(\mathbf{X})| \text{Re}(R_{\text{sig}}(s_2)).$$

We bound $\text{Re}(R_{\text{sig}}(s_2))$ similarly to the way that we bound R_{sig} above. Bounding by the absolute value, each non-trivial zero ρ of L_i contributes at most

$$|\mathbf{X}^{\rho-s_2}\Gamma(\rho-s_2)| \leq \mathbf{X}^{1-\sigma_2} |\Gamma(1-\sigma_2+i(y-t))| \left((\sigma_2-1) + \frac{(t-y)^2}{\sigma_2-1} \right) \cdot \text{Re}\left(\frac{1}{s_2-\rho}\right).$$

We then bound $\gamma(\mathbf{X})$ so that we have shown, using the functional equation for $\frac{L'_i}{L_i}$ and the exact formula from Lemma 5.2,

$$\begin{aligned} \text{Re}(R_{\text{sig}}(s_2)) &= \text{Re} \sum_{\rho} (\mathbf{X}^{\rho-s_2}\Gamma(\rho-s_2)) \leq \gamma(\mathbf{X}) \text{Re} \left(\sum_{\rho} \frac{1}{s_2-\rho} \right) \\ &= \gamma(\mathbf{X}) \left(\frac{1}{2} \log\left(\frac{q}{4\pi^2}\right) + \text{Re}\left(\frac{\Gamma'}{\Gamma}(s_2)\right) + \text{Re}\left(\frac{L'_i}{L_i}(s_2)\right) \right) = \gamma(\mathbf{X}) \cdot \\ &\left(\left(\frac{1}{2} + \frac{|\Gamma(-\sigma_2)|\mathbf{X}^{1-\sigma_2}}{\mathbf{X}-1} \right) \log\left(\frac{q}{4\pi^2}\right) + \text{Re}\left(\frac{\Gamma'}{\Gamma}(s_2)\right) - \mathbf{F}_1(s_2, \mathbf{X}) - \text{Re}(R_{\text{sig}}(s_2)) \right). \end{aligned}$$

We have already bounded $\text{Re}\left(\frac{\Gamma'}{\Gamma}(s_2)\right)$, so combining the $\text{Re}(R_{\text{sig}}(s_2))$ terms yields

$$(7.18) \quad |\text{Re}(R_{\text{sig}}(s_2))| \leq \frac{\gamma(\mathbf{X})}{1+\gamma(\mathbf{X})} \left(\left(\frac{1}{2} + \frac{|\Gamma(-\sigma_2)|\mathbf{X}^{1-\sigma_2}}{\mathbf{X}-1} \right) \log\left(\frac{q}{4\pi^2}\right) \right. \\ \left. + \frac{\Gamma'}{\Gamma}(\sigma_2) + \frac{1}{\sigma_2} + \frac{1}{2} \log(1+t^2) - \mathbf{F}_1(s_2, \mathbf{X}) \right).$$

The inequalities (7.14), (7.16), and (7.18) bound the terms in equation (7.13). Noting that $\alpha(\mathbf{X}) \geq \beta(\mathbf{X})$ because plugging $y = 0$ into the term we are maximizing in $\alpha(\mathbf{X})$ gives exactly $\beta(\mathbf{X})$, since $(\sigma_2 - 1)\Gamma(1 - \sigma_2) = -\Gamma(2 - \sigma)$, we get equation (7.10). \square

8. FUNDAMENTAL DISCRIMINANTS AND BOUNDS FOR WEIGHT 3/2 CUSP FORMS

In this section we show how to find a bound $D_{\theta, \sigma, \sigma_2}$ such that for every fundamental discriminant $-D$ with $\left(\frac{-D}{p}\right) \neq 1$ and $D > D_{\theta, \sigma, \sigma_2}$ we have $a_{\theta}(D) > 0$. Thus, combining this result with Section 4 gives the result for all discriminants.

8.1. Bounds for Fundamental Discriminants and Half Integer Weight Cusp Forms. We now proceed to show how bounds for $\alpha(\mathbf{X})$, $\gamma(\mathbf{X})$, and $\delta(\mathbf{X})$ are obtained.

Lemma 8.1. *Fix a finite number of intervals $[y_{0,n}, y_{1,n}]$ with $0 \leq y_{0,n} < y_{1,n} < \infty$ such that $\bigcup_{n=1}^m [y_{0,n}, y_{1,n}] \cup [y_{1,m}, \infty) = [0, \infty)$. Then*

$$(8.1) \quad \delta(\mathbf{X}) \leq \max \left\{ \max_{n \leq m} \int_{\sigma_0 - 1/2}^{\sigma - 1/2} \mathbf{X}^{-u} |\Gamma(-u + iy_{0,n})| du \cdot \left(\frac{1}{2} \log \frac{y_{1,n}^2 + (\sigma - 1/2)^2}{y_{1,n}^2 + (\sigma_0 - 1/2)^2} \right)^{-1}, \right. \\ \left. \int_{\sigma_0 - 1/2}^{\sigma - 1/2} \mathbf{X}^{-u} |\Gamma(2 - u + iy_{1,m})| \frac{2}{\left((\sigma_0 - \frac{1}{2})^2 + y_{1,m}^2 \right) \log \left(\frac{(\sigma - \frac{1}{2})^2 + y_{1,m}^2}{(\sigma_0 - \frac{1}{2})^2 + y_{1,m}^2} \right)} \right\}.$$

For $\gamma(\mathbf{X})$, we obtain the bound

$$(8.2) \quad \gamma(\mathbf{X}) \leq \mathbf{X}^{1 - \sigma_2} \cdot \max \left\{ \max_{n \leq m} |\Gamma(1 - \sigma_2 + iy_{0,n})| \left((\sigma_2 - 1) + \frac{y_{1,n}^2}{\sigma_2 - 1} \right), \right. \\ \left. |\Gamma(3 - \sigma_2 + iy_{1,m})| \frac{1}{\sigma_2 - 1} \right\}.$$

Finally, for $\alpha(\mathbf{X})$ we obtain

$$(8.3) \quad \alpha(\mathbf{X}) \leq \max \left\{ \max_{n \leq m} \int_{\sigma}^{\sigma_1} \mathbf{X}^{1-u} |\Gamma(1 - u + iy_{0,n})| \left((\sigma_2 - 1) + \frac{y_{1,n}^2}{\sigma_2 - 1} \right) \right. \\ \left. - \beta(\mathbf{X}) \mathbf{X}^{1 - \sigma_2} \Gamma(1 - \sigma_2 + iy_{1,n}) \left((\sigma_2 - 1) + \frac{y_{0,n}^2}{\sigma_2 - 1} \right), \right. \\ \left. \int_{\sigma}^{\sigma_1} \mathbf{X}^{1-u} |\Gamma(3 - u + iy_{1,m})| \left(\frac{(\sigma_2 - 1) + \frac{y_{1,m}^2}{\sigma_2 - 1}}{(\sigma - 1)^2 + y_{1,m}^2} \right) \right\}.$$

Remark 8.2. Taking the limit $\mathbf{X} \rightarrow \infty$ in the lemma with the intervals $[0, 1]$ and $[1, \infty)$, we see that $\lim_{\mathbf{X} \rightarrow \infty} \delta(\mathbf{X}) = 0$ and $\lim_{\mathbf{X} \rightarrow \infty} \alpha(\mathbf{X}) = 0$ after noting that $\lim_{\mathbf{X} \rightarrow \infty} \beta(\mathbf{X}) = 0$.

Proof. We will show the result for $\delta(\mathbf{X})$, and the analogous calculation for $\alpha(\mathbf{X})$ and $\gamma(\mathbf{X})$ is left to the reader.

First define $\delta_{[y_0, y_1]}(\mathbf{X})$ to be the max taken in the interval $y_0 \leq y \leq y_1$. Further, define $f(y) := \int_{\sigma_0 - 1/2}^{\sigma - 1/2} \mathbf{X}^{-u} |\Gamma(-u + iy)| du$ and $g(y) := \left(\frac{1}{2} \log \frac{y^2 + (\sigma - 1/2)^2}{y^2 + (\sigma_0 - 1/2)^2} \right)^{-1}$. Notice first that f is strictly decreasing in $y \geq 0$, which follows easily from the fact that $\Gamma(z) = \frac{e^{-\gamma z}}{z} \prod_{n=1}^{\infty} \left(1 + \frac{z}{n}\right)^{-1} e^{\frac{z}{n}}$, while g is strictly increasing. Therefore, noting that both functions are even in y , we fix $0 \leq y_0 < y < y_1 < \infty$, then pull the absolute value inside the integral to give

$$\delta_{[y_0, y_1]}(\mathbf{X}) \leq f(y_0)g(y_1).$$

Now we deal with the case where $y_1 = \infty$. The functional equation of $\Gamma(z)$ gives us

$$f(y) = \int_{\sigma_0 - 1/2}^{\sigma - 1/2} \mathbf{X}^{-u} \frac{|\Gamma(2 - u + iy)|}{(u^2 + y^2)^{1/2} ((1 - u)^2 + y^2)^{1/2}} du.$$

We then bound $1 - u \geq 1 - (\sigma - \frac{1}{2}) = \frac{3}{2} - \sigma = \sigma_0 - \frac{1}{2}$ and $u \geq \sigma_0 - \frac{1}{2}$ and note that $|\Gamma(2 - u + iy)|$ is decreasing in y to get

$$f(y) \leq \left(\int_{\sigma_0 - 1/2}^{\sigma - 1/2} \mathbf{X}^{-u} |\Gamma(2 - u + iy_0)| du \right) \cdot \frac{1}{(\sigma_0 - \frac{1}{2})^2 + y^2}.$$

Defining $z := (\sigma_0 - \frac{1}{2})^2 + y^2$ and $a := (\sigma - \frac{1}{2})^2 - (\sigma_0 - \frac{1}{2})^2$, we see that the remaining terms with y form $\frac{2}{z \log(1 + \frac{a}{z})}$, which decreases in z since $a > 0$ and $z > 0$. \square

We have now set up the framework to show our main theorems.

Proof of Theorem 1.1. We only need to show the result for a fundamental discriminant, since the results from Section 4 extend the bound for non-fundamental discriminants. We will first show the result for an orthonormal basis of newforms g_i . Consider the completion of $L_i(s)$, which we will normalize to

$$\Lambda_i(s) := \left(\frac{q}{4\pi^2} \right)^{\frac{s-1}{2}} \Gamma(s) L_i(s).$$

Again using the functional equation $\Lambda_i(s) = \pm \Lambda_i(2 - s)$ and the analytic continuation, assuming without loss of generality that the sign of the functional equation is 1, we can

bound $L_i(1) = \Lambda_i(1) \leq \max_t |\Lambda_i(\sigma + it)|$ with $1 < \sigma < \frac{3}{2}$. We will choose $\sigma < 1 + \delta$. Since

$$\lim_{\mathbf{X} \rightarrow \infty} \alpha(\mathbf{X}) = 0 = \lim_{x \rightarrow \infty} \beta(\mathbf{X}),$$

This gives the power of q from Theorem 7.1 as

$$\frac{\mathbf{X}}{\mathbf{X} + 1} \left[\frac{(1 + 2\gamma(\mathbf{X}))\alpha(\mathbf{X}) - \gamma(\mathbf{X})\beta(\mathbf{X})}{2(1 + \gamma(\mathbf{X}))} + \gamma(\mathbf{X}) \frac{\alpha(\mathbf{X}) - \beta(\mathbf{X})}{1 + \gamma(\mathbf{X})} \cdot \frac{|\Gamma(-\sigma_2)| \mathbf{X}^{1-\sigma_2}}{\mathbf{X} - 1} + \frac{\sigma_1 - \sigma}{\mathbf{X}} + \frac{\alpha(\mathbf{X})}{\mathbf{X}} \right] + \frac{\sigma - 1}{2}$$

We then choose \mathbf{X}_0 large enough so that the resulting sum is less than δ , which is possible because every term goes to zero except $\frac{\sigma-1}{2} < \frac{\delta}{2}$. Note that this choice of \mathbf{X}_0 only depends on σ, σ_2 and not on the level or the particular form. We then choose $\mathbf{X}_1 \geq \mathbf{X}_0$ large enough so that the decay in t from $\Gamma(s)$ overwhelms the polynomial growth from the $c_{\theta, \sigma, \mathbf{X}, t, 2}$ factor in Theorem 7.1. Choosing $N = 1$ in $c_{\theta, \sigma, \mathbf{X}, 2}$, we now note that the resulting constant is independent of the form L_i , except for $\mathbf{F}(s, \mathbf{X})$ and $\mathbf{F}_1(s_2, \mathbf{X})$. Bounding $|\lambda_i(n)| \leq 2\sqrt{n}\Lambda(n)$ in each case, we are able to bound these independent of L_i using Lemma 8.5 below. Since our choice of \mathbf{X}_1 did not depend on L_i , we obtain a bound from the Kohnen-Zagier variant (3.1) of

$$(8.4) \quad |a_{g_i}(D)|^2 = \frac{2^{-v_p(D)}}{\pi \|G_i\|^2} D^{\frac{1}{2}} L_i(1) \leq \frac{1}{\|G_i\|^2} \frac{2^{-v_p(D)}}{\pi} c_\delta N^\delta D^{\frac{1}{2} + 2\delta},$$

where c_δ is the constant obtained from Theorem 7.1 after plugging in \mathbf{X}_1 and σ , multiplied by $|\Gamma(\sigma)|$, noting that $q \leq ND^2$. Thus, for the coefficient $|a_{g_i}(D)|$, the only dependence we have on L_i is $\frac{N^{\frac{\delta}{2}}}{\|G_i\|}$, where G_i is normalized to have $a_{G_i}(1) = 1$.

We then take an arbitrary form g with Petersson norm 1 and write $g = \sum_i b_i g_i$, where g_i are an orthonormal basis, so that $\langle g_i, g_j \rangle = \delta_{ij}$ and $\sum_i |b_i|^2 = 1$ and each of g_i satisfy the bound (8.4) from some level dividing the level N of g . Then we simply take $c_N := \max_i \frac{1}{\|G_i\|}$ and we get the desired result since there are at most $\dim(S_2(\Gamma_0(N)))$ terms and $c_N \ll N^\epsilon$ from Hoffstein and Lockhart [12]. □

We use the following lemma of Duke [8] to prove Theorem 1.9.

Lemma 8.3 (Duke [8]). *Fix $f \in S_{3/2}(\Gamma_0(N), \psi)$. Then*

$$\|f\|^2 \ll \Gamma(\alpha) d(N) N^{2\alpha} \sum_{n=1}^{\infty} |a_f(n)|^2 n^{-\alpha},$$

where $\alpha > \frac{1}{2}$ is any number so that the series exists, $d(\cdot)$ is the divisor function, and the constant is absolute.

Proof of Theorem 1.9. Set $g := \theta - E$. We will bound E and g independent of θ . After noting that $\dim(S_2(\Gamma_0(p))) \leq \lceil \frac{p+1}{12} \rceil \leq p$, Theorem 1.1 yields

$$(8.5) \quad |a_g(d)| \ll_\epsilon \|g\| p^{\frac{1}{2}+\epsilon} d^{1/4+\epsilon}.$$

Assuming GRH for Dirichlet L -functions, bounding the class number from below in equation (2.1) yields

$$(8.6) \quad a_E(d) \gg_\epsilon \frac{1}{p} d^{1/2-\epsilon}.$$

It remains to use Lemma 8.3 to bound $\|g\|$ independent of θ . Define

$$M(G) := \sum_{Q' \in G} \omega_{Q'}^{-1},$$

where G is the genus containing Q and $\omega_{Q'}$ is the number of automorphs.

Since $a_{\theta_{Q'}}(d) \geq 0$ for every Q' , Siegel's averaging theorem (cf. [10]) gives

$$|a_g(d)| \leq (M(G)\omega_Q + 1)a_E(d).$$

Moreover, it is well known [21] that $\omega_Q \leq 48$, so $|a_g(d)| \ll M(G)a_E(d)$. The Eichler mass formula (cf. [10]) gives

$$M(G) = \frac{p-1}{12} \leq p.$$

Therefore,

$$|a_g(d)| \ll_\epsilon pa_E(d) \ll_\epsilon d^{\frac{1}{2}+\epsilon}.$$

It is important to note here that the implied constant does not depend on g . The power of d attained allows us to choose $\alpha = 2 + 2\epsilon$ in Lemma 8.3 for the convergence of the sum. Since we know that $N = p$ is the level, this yields

$$\|g\|^2 \ll_\epsilon p^{4+4\epsilon}.$$

Therefore,

$$(8.7) \quad |a_g(d)| \ll_\epsilon \|g\| p^{\frac{1}{2}} d^{1/4+\epsilon} \ll_\epsilon p^{\frac{5}{2}+\epsilon} d^{1/4+\epsilon}.$$

Combining equations (8.6) and (8.7), $a_E(d) \gg |a_g(d)|$ if $d \gg_\epsilon p^{14+\epsilon}$, as desired. \square

Theorem 8.4 (Theorem 1.4). *Fix $\theta \in M_{3/2}^+(4p)$. Assume GRH for Dirichlet L -series and L -functions of weight 2 newforms. For every $\mathbf{X} > e^{\gamma + \frac{1}{3/2-\sigma}}$ such that*

$$\begin{aligned} & \frac{\mathbf{X}}{\mathbf{X}+1} \left[\frac{(1+2\gamma(\mathbf{X}))\alpha(\mathbf{X}) - \gamma(\mathbf{X})\beta(\mathbf{X})}{1+\gamma(\mathbf{X})} + \frac{2\gamma(\mathbf{X})(\alpha(\mathbf{X}) - \beta(\mathbf{X}))}{1+\gamma(\mathbf{X})} \right] \cdot \frac{|\Gamma(-\sigma_2)|\mathbf{X}^{1-\sigma_2}}{\mathbf{X}-1} \\ & + \frac{2(\sigma_1 - \sigma)}{\mathbf{X}} + \frac{2\alpha(\mathbf{X})}{\mathbf{X}} \Big] + \frac{\mathbf{X}(\sigma - \sigma_0)}{\mathbf{X}+1 - \delta(\mathbf{X})\mathbf{X}} \left(\frac{\delta(\mathbf{X})}{2} - \frac{\mathbf{X}-1}{\mathbf{X}^2} \right) + (\sigma - 1) < \frac{1}{2} \end{aligned}$$

there exists an effectively computable constant $D_{\sigma, \mathbf{X}}$ such that for all fundamental discriminants $-D < -D_{\sigma, \mathbf{X}}$ with $\left(\frac{-D}{p}\right) \neq 1$, one has $a_{\theta}(D) \neq 0$.

Moreover, such an \mathbf{X} exists, so, assuming GRH for Dirichlet L -functions and weight 2 modular forms, there is an effectively computable constant D_{σ} such that for all fundamental discriminants $-D < -D_{\sigma}$ with $\left(\frac{-D}{p}\right) \neq 1$, $a_{\theta}(D) \neq 0$.

Proof. By equation (2.5), it suffices to bound $F(s)$. By definition,

$$\log |F(s)| = \log(|L_i(s)|) + \log(|\Gamma(s)|) - \log(|L(s_0)|) - \log(|L(s)|) + \frac{\sigma-1}{2} \log \frac{q}{4\pi^2}.$$

Plugging in our bounds from Theorems 6.1 and 7.1 yields

$$\begin{aligned} \log |F(s)| \leq & \frac{\mathbf{X}}{\mathbf{X}+1} \mathbf{F}(s, \mathbf{X}) - \frac{\mathbf{X}((1+2\gamma(\mathbf{X}))\alpha(\mathbf{X}) - \gamma(\mathbf{X})\beta(\mathbf{X}))}{(\mathbf{X}+1)(1+\gamma(\mathbf{X}))} \mathbf{F}_1(s_2, \mathbf{X}) \\ & - \frac{\mathbf{X}}{\mathbf{X}-1-\delta(\mathbf{X})\mathbf{X}} (\operatorname{Re}(\mathbf{G}(s_0, \mathbf{X})) - \operatorname{Re}(\mathbf{G}(s, \mathbf{X}))) + c_{\theta, \sigma, \mathbf{X}, 2} + c_{\theta, \sigma, \mathbf{X}, t, 2} + c_{\theta, \sigma, \mathbf{X}, q, 2} \\ & - (c_{\theta, \sigma, \mathbf{X}, 1} + c_{\theta, \sigma, \mathbf{X}, t, 1} + c_{\theta, \sigma, \mathbf{X}, m, 1}) + \log |\Gamma(s)| - 2 \log |L(s)|. \end{aligned}$$

Using the fact that $q = pD^2$ and $m = D$, it remains to deal with $\log |\Gamma(s)|$, $2 \log |L(s)|$, and the remaining terms involving \mathbf{F} , \mathbf{F}_1 , and \mathbf{G} . We will combine the terms $c_{\theta, \sigma, \mathbf{X}, t, 1}$ and $c_{\theta, \sigma, \mathbf{X}, t, 2}$ and use the exponential decay of $\log |\Gamma(\sigma + it)|$ to remove the dependence on t . Since $\sigma > 1$, the term dealing with $\log |L(s)|$ may be bounded easily by

$$(8.8) \quad \log |L(s)| \geq -\log |\zeta(\sigma)|.$$

If we denote the sum of the terms involving \mathbf{F} , \mathbf{F}_1 , and \mathbf{G} , using the notation used in [24], as

$$(8.9) \quad \sum_{n=2}^{\infty} \operatorname{Re} \frac{\chi(n)}{n^{it} \log(n)} v(n; \mathbf{X}),$$

then, fixing a constant N_0 , we may bound the first N_0 terms by a constant, and the remaining terms we will bound separately.

The contribution to $v(n; \mathbf{X})$ from the terms involving \mathbf{F} and \mathbf{F}_1 is

$$e^{-n/x} \lambda_i(n) \chi(n) \cdot \frac{\mathbf{X}}{\mathbf{X}+1} \cdot \left(\frac{1}{n^{\sigma}} - a_{\mathbf{X}} \frac{\log(n)}{n^{\sigma_2}} \right),$$

where $a_{\mathbf{X}}$ is the term in front of \mathbf{F}_1 above. Choosing $\sigma_2 > \sigma$ and noting that $a_{\mathbf{X}} > 0$, the asymptotic growth shows us that there exists an N_0 such that for $n > N_0$ we can bound the above term by

$$\lambda_i(n) \chi(n) \cdot \frac{\mathbf{X}}{\mathbf{X}+1} \cdot \left(\frac{1}{n^{\sigma}} \right).$$

Now, using the fact that $|\lambda_i(n)| \leq 2\Lambda(n)\sqrt{n}$, we have

$$|v(n; \mathbf{X})| \leq e^{-n/x} \left(\frac{2\Lambda(n)}{n^{\sigma-1/2}} + b_{\mathbf{X}} \left(\frac{\Lambda(n)}{n^{\sigma_0}} - \frac{\Lambda(n)}{n^{\sigma}} \right) \right) \leq c_{\mathbf{X}} \frac{\Lambda(n)}{n^{\min(\sigma_0, \sigma-1/2)}} e^{-n/x}.$$

Therefore, since $c_{\mathbf{X}}$ is independent of n , it remains to bound sums of the form

$$\mathbf{H}(\alpha, \mathbf{X}) := \sum_{n=N_0+1}^{\infty} \frac{\Lambda(n)}{n^{\alpha} \log(n)} e^{-n/x}.$$

We will need the following lemma which is a small generalization of a lemma from [24] to proceed with bounding the terms $n \rightarrow \infty$. Recall our definition (2.9) of $\psi(x)$.

Lemma 8.5. *Conditional upon the Riemann Hypothesis, one has for $0 < \alpha < 1$,*

$$\mathbf{H}(\alpha, \mathbf{X}) \leq \frac{e^{-N_0/\mathbf{X}}}{N_0^{\alpha} \log(N_0)} (c_{N_0} N_0 - \psi(N_0)) + \frac{c_{N_0} \mathbf{X}^{1-\alpha}}{\log(N_0)} \Gamma(1 - \alpha, N_0/\mathbf{X})$$

where

$$\Gamma(x; y) := \int_y^{\infty} t^{x-1} e^{-t} dt,$$

and $\psi(x) < c_{N_0} x$ for every $x \geq N_0$.

Proof. Since $\psi(x)$ jumps only at prime powers, it follows that

$$\mathbf{H}(\alpha, \mathbf{X}) = \int_{N_0}^{\infty} \frac{e^{-t/\mathbf{X}}}{t^{\alpha} \log(t)} d\psi(t)$$

Using the results in Rosser and Schoenfeld [25], we have $\psi(x) < c_{N_0} x$ for $x \geq N_0 - 1/2$, and some $c_{N_0} > 1$. Since Chebyshev showed that $\psi(x) \sim x$ is equivalent to the prime number theorem, shown by Hadamard and de la Vallée-Poussin (cf. [11]), this constant goes to 1 as N_0 goes to infinity, but Rosser and Schoenfeld give an explicit constant of

$$1 + \frac{\log(N_0)^2}{8\pi\sqrt{N_0}}$$

assuming the Riemann Hypothesis.

Integration by parts now yields

$$\begin{aligned}
\mathbf{H}(\alpha, \mathbf{X}) &\leq \frac{e^{-N_0/\mathbf{X}}}{N_0^\alpha \log(N_0)} (c_{N_0} N_0 - \psi(N_0)) + c_{N_0} \int_{N_0}^{\infty} \frac{e^{-t/\mathbf{X}}}{t^\alpha \log(t)} dt \\
&\leq \frac{e^{-N_0/\mathbf{X}}}{N_0^\alpha \log(N_0)} (c_{N_0} N_0 - \psi(N_0)) + \frac{c_{N_0}}{\log(N_0)} \int_{N_0}^{\infty} \frac{e^{-t/\mathbf{X}}}{t^\alpha} dt \\
&= \frac{e^{-N_0/\mathbf{X}}}{N_0^\alpha \log(N_0)} (c_{N_0} N_0 - \psi(N_0)) + \frac{c_{N_0} e^{-N_0/\mathbf{X}} \mathbf{X}^{1-\alpha}}{\log(N_0)} \Gamma(1 - \alpha, N_0/\mathbf{X}).
\end{aligned}$$

□

We now return to the proof of Theorem 1.4. Notice that we have now shown that the only terms involving D are the terms $\frac{\sigma-1}{2} \log\left(\frac{q}{4\pi^2}\right)$, $c_{\theta, \sigma, \mathbf{X}, q, 2}$ and $-c_{\theta, \sigma, \mathbf{X}, m, 1}$.

Investigating equation (2.5) shows that if the constant in front of $\log(D)$ is less than $\frac{1}{2}$, then we will have a result of the form $D \leq c$. Therefore, it only remains to show that there is an \mathbf{X} such that the constant in front of $\log(D)$ is less than or equal to $\frac{1}{2}$. Plugging in $m = D$ and $q = pD^2$, and using our bounds for $\alpha(\mathbf{X})$, $\gamma(\mathbf{X})$ and $\delta(\mathbf{X})$ obtained in Lemma 8.1, we see that the limit of the power of D as $\mathbf{X} \rightarrow \infty$ is $\sigma - 1$. Since $\sigma < \frac{3}{2}$, such an \mathbf{X} exists. □

Remark 8.6. *In practice, we will fix a constant N_0 and use cancellation between the first N_0 terms of the sum in equation (8.8) and the first N_0 terms of (8.9) to get a better explicit bound (see [16]).*

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