

REVIEW ARTICLE

## **Type-2 diabetes mellitus, metabolic control, serum inflammatory factors, lifestyle and periodontal status**

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Running title: Type 2 DM and periodontitis

Date of submission: September 11, 2013

### **Conflict of interest and source of funding statement**

The authors declare that there are no conflicts of interest in this study. The work described in this paper was in full supported by the University of Hong Kong, Small Project Funding (10204278) and partially supported by the Research Grants Council of the Hong Kong Special Administrative Region, China (772110M).

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**Abstract** Type-2 Diabetes mellitus and periodontal disease are complex human diseases. Pathogenesis of both ailments is multi-factorial, taking chronic disease courses with varied clinical presentations. It is well established in the scientific literature that both diseases are interrelated, in particular, individuals suffering from diabetes are at higher risk of developing periodontitis. The present review analyzed, using a hypothetical model, the complex factors which may indirectly influence the two diseases, including lifestyle, obesity, diabetes control, oral health behavior and serum inflammatory factors, and even quality of life. Special attention was given in this review to explore plausible theoretical or practical explanations of the interrelations and the contemporary evidence base underpinning these. As the societal burden of both diabetes and periodontitis are high, while individual general or oral health quality of life impacts are substantial, it is very important for healthcare professionals to appreciate the influence of any relevant factors, appreciating and/or controlling these, which may assist management of both diseases in those affected, or prevent development of these two complex diseases and the negative impacts arising among the at risk individuals in various populations.

**KEYWORDS**

Diabetes mellitus, type 2; inflammation; life style; periodontitis; quality of life

## Introduction

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4 Periodontitis is complex human disease clinically expressed as chronic inflammation  
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6 at tooth supporting structures resulting from interactions between subgingival plaque  
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8 biofilm and susceptible host defense system. Diabetes mellitus (DM) is yet another  
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10 human complex disease characterized by metabolic disorders leading to various levels  
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12 of chronic hyperglycemia resulting from insufficient insulin production, ineffective  
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14 insulin action or both. Even though possible contributory interactions between  
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16 diabetes and periodontitis have been established and the support for a bi-directional  
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18 link appears to be rather clear, one needs to be aware that available scientific evidence  
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20 supports controversies over the association between these two complex diseases.  
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22 Clinical evidence has been presented in the literature supporting direct, indirect or no  
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24 association between DM and clinical periodontal status. The probable reason over  
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26 these different findings of associations could be due to the complex and multi-  
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28 factorial nature of these two diseases, such as level of diabetic control,<sup>1</sup> differences  
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30 arising from different periodontal disease data collection protocols,<sup>2</sup> and differing  
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32 numbers of clinical subjects used in the studies.<sup>3</sup> Due to the complex nature of these  
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34 two diseases, there seem to be other factors indirectly associated, apart from the direct  
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36 basic science mechanisms linking both diabetes and periodontitis. These other factors  
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38 include general health behavioral, lifestyle and level of serum inflammatory  
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40 mediators.<sup>4</sup>  
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51 The effect of periodontitis on general health quality of life remains ill-defined  
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53 despite having been studied. Type-2 DM subjects however, were reported to  
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55 experience dry mouth and in general had inferior physical, role and social  
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57 functioning.<sup>5</sup> The same study indicated other than type-2 DM, dry mouth,  
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1 dissatisfaction with mouth or teeth and poor financial status were significantly  
2 associated with inferior general life quality among the 204 Scandinavians surveyed.  
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6 The associated health behavior and lifestyle factors that play major roles in  
7 development of both the type-2 DM and periodontal disease could be general health  
8 behavior, diet and obesity, oral health behavior, psychological factors and their  
9 associations with serum inflammatory factors such as tumor necrosis factor alpha  
10 (TNF- $\alpha$ ), respectively. The factors, established, theoretical or conceptual, that may  
11 contribute to type-2 diabetes, and periodontitis and their interactions, and may  
12 potentially modify the corresponding disease(s) outcomes are summarized (Fig. 1).  
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24 The aim of this present review is to summarize the clinical evidence available  
25 reporting the associations between the above factors with both type-2 DM and poor  
26 periodontal health. In particular, attention is drawn to the interactions between these  
27 two diseases and the aspects that healthcare professional should play attention to in  
28 attempting to promote good general and oral health for those who are affected.  
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#### 40 **The general health behavior**

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43 The optimal general health behavior is defined as not smoking, consuming fewer than  
44 ten alcoholic drinks per week if male, and fewer than five if female, always wearing a  
45 seatbelt in a car whether as a driver or as a passenger, participating at least three times  
46 a week in an exercise activity such as brisk walking, aerobics, sports, or heavy house-  
47 work.<sup>6</sup> Healthy general health behavior measured in terms of smoking, alcohol  
48 consumption, eating breakfast, hours of sleep, hours of work, physical exercise,  
49 nutritional balance, and mental stress are associated with higher natural killer cell  
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1 activity, which constitutes the first line of immunological defense.<sup>7</sup> A healthier life  
2 style and fewer micro-vascular complications have been shown to be associated in  
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4 diabetic males with higher education.<sup>8</sup> Among diabetic women, however the more  
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6 educated perceived themselves as healthier than those with less education, regardless  
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8 of their concurrent medical status.<sup>9</sup> Diabetic patients with poor metabolic control  
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10 associated with a lower educational level, report more complications, nervous  
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12 problems, sick leave days, disability pensions and lower level of physical activity than  
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14 patients in good or acceptable metabolic control.<sup>10</sup> Females with diabetes and of low  
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16 social class faced a higher risk of mortality than did both non-diabetic females and  
17  
18 diabetic males of low social class.<sup>11</sup>

25 Poor general health behaviors, regardless of DM status, on the other hand,  
26  
27 were shown to be moderately associated with poor periodontal conditions,<sup>12</sup> while  
28  
29 those who maintained normal weight, engaged in regular exercise, and had a high-  
30  
31 quality diet experienced less periodontitis.<sup>13</sup>

## 40 **Diet and Obesity**

43 Obesity is defined as excess body weight with an abnormally high proportion of body  
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45 fat. Body mass index (BMI) is a simple measure of weight for height that correlates  
46  
47 with body fat and is used to identify overweight and obesity. Body mass index is  
48  
49 defined as weight in kilograms divided by height in meters squared. The World  
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51 Health Organization (WHO) committee and The National Institute of Health defined  
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53 overweight as a BMI of 25 to 29.9 kg/m<sup>2</sup> and obesity as a BMI of 30 kg/m<sup>2</sup> or higher.  
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56 BMI cutoffs are based on data showing that health risks increase beginning at a BMI  
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of 25 kg/m<sup>2</sup>.<sup>14</sup> The current increase in prevalence of obesity has been associated with an increase in the prevalence of type-2 diabetes.<sup>15</sup>

Obesity is a known risk factor for type-2 diabetes, heart disease, stroke, hypertension, osteoarthritis, sleep apnea, and some forms of cancer.<sup>16</sup> Overweight or obesity was the single most important predictor of type-2 diabetes.<sup>17</sup> The risk of diabetes increases approximately 9% with every kilogram increase in self-reported weight<sup>18</sup> and 4.5% with every kilogram increase in measured weight.<sup>19</sup> Duration of obesity was also positively associated with the development of type-2 diabetes.<sup>20</sup> Obesity is associated with increase in insulin resistance and glucose intolerance, and exacerbates metabolic abnormalities present in type-2 diabetes, such as hyperinsulinemia, hyperglycemia and dyslipidemia. The expression in and secretion from adipose tissue of the pro-inflammatory cytokine serum TNF- $\alpha$  is elevated in obesity, and this may have a role in the insulin resistance of obesity and type-2 diabetes.<sup>21</sup> Adiponectin is another secretory product from adipose tissue that may be involved in the development of type-2 diabetes in susceptible obese individuals.<sup>22</sup> Obesity thus complicates the management of type-2 diabetes and makes it more difficult to treat the disease pharmacologically. An additional complication is that several of the agents used to treat type-2 diabetes, such as insulin, sulphonylureas, and thiazolidinediones, promote weight gain.<sup>23</sup> Obesity thus increases morbidity and mortality of diabetes.

Patients with type-2 diabetes treated in behavioral weight loss programs who reported the highest exercise levels lost the most weight and had the largest reductions in HbA1c, independent of weight loss at one year from pre-treatment.<sup>24</sup> In persons with type-2 DM, exercise improves cardiopulmonary fitness, reduces blood glucose levels, improves insulin sensitivity, and reduces cardiovascular risk by

1 lowering blood pressure and improving dyslipidemia.<sup>25</sup> Behavioral therapy programs  
2 generally resulted in modest weight loss.<sup>24</sup>  
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4  
5 Obesity is claimed to be significantly associated with periodontal disease  
6 through the pathway of insulin resistance.<sup>26</sup> The pro-inflammatory cytokine, serum  
7 TNF- $\alpha$  produced from adipose tissues in obese patients is known to play a  
8 predominant role in inducing insulin resistance.<sup>27</sup> Conditions associated with obesity  
9 such as the metabolic syndrome may exacerbate periodontitis.<sup>28</sup> Obesity has a  
10 significant association with periodontitis in terms of BMI, body fat, and maximum  
11 oxygen consumption.<sup>29</sup> However, the association is limited to younger obese  
12 populations with increased prevalence of periodontal disease,<sup>30</sup> and periodontal  
13 disease is positively associated with total cholesterol and low density lipoprotein  
14 cholesterol.<sup>31</sup> Long term observational studies also showed increased weight and  
15 obesity to be positively associated with progression of periodontal disease<sup>32</sup> and  
16 severity of probing pocket depths.<sup>33</sup> The progression of periodontal disease also  
17 seems to be correlated with diagnosed overweight and obesity of at least 5 years,  
18 indicating the dose dependent nature of their association.<sup>34</sup>  
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#### 41 **Psychological factors**

42 Depression and psychosocial factors are considered as risk factors for both type-2  
43 DM<sup>35</sup> and periodontal disease.<sup>36</sup> Older women seem to suffer more from depression  
44 than men.<sup>37</sup> Although the highest rates of depression are found among people under  
45 the age of 45, older adults are more likely to be prescribed antidepressants.<sup>38</sup>  
46 Prolonged negative events may disturb the optimal functioning of host defenses,  
47 consequently individuals experiencing stress have the potential to develop chronic  
48 diseases.<sup>39</sup>  
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1 Stress and depressive symptoms have been shown to be predictive regarding  
2 incidence of type-2 diabetes.<sup>36</sup> The possible mechanisms include influence of  
3 depressive symptoms on behaviors such as physical activity and diet<sup>40</sup> or their  
4 influence on the activity of hypothalamic-pituitary-adrenal axis and sympathetic  
5 nervous systems.<sup>41</sup> The odds of type-2 diabetes increased by about 13% with addition  
6 of each negative stressful life event.<sup>42</sup> It was also reported that stressful life events  
7 related to finance and work are indicators of poor metabolic health.<sup>43</sup>

8 An underlying bio-behavioral mechanism of stress impact on progression of  
9 periodontal disease has been suggested to act through two pathways. Stress may  
10 activate several neuroendocrine systems including the hypothalamic-pituitary-adrenal  
11 axis and the sympathetic nervous system leading to a reduced potential of the host  
12 defense,<sup>44</sup> or may affect the development of the disease through lifestyle factors such  
13 as smoking.<sup>45</sup> Increased work and psychological stress is associated with poor oral  
14 health status.<sup>46</sup> The psychosocial measures of stress associated with financial strain  
15 and distress manifest as depression are associated with severe periodontal disease in  
16 the absence of adequate coping behavior, suggesting that the effects of stress on  
17 periodontal disease can be moderated by adequate coping behaviors.<sup>39</sup> Dental anxiety  
18 is also associated with periodontal attachment loss<sup>47</sup> and numbers of teeth with  
19 probing depths more than 6mm, and furthermore satisfaction with life is reported to  
20 be associated with flossing frequency.<sup>48</sup> Chronic job and financial strain, depression  
21 and inadequate coping are all associated with risk of increased clinical attachment  
22 loss.<sup>37</sup> This associated between stress and depression is mediated largely through the  
23 behavioral and physiologic mechanisms.<sup>49</sup> Psychological stress is also associated with  
24 periodontal disease resistant to periodontal treatment,<sup>50</sup> whereas depression is  
25 associated with tooth loss and chronic conditions associated with pain.<sup>51</sup>

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3 **Diabetic control**

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5 With proper control of type-2 DM, therapists and patients can minimize the health  
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7 risks and burdens for affected individuals and society. It is recently advocated by the  
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9 International Diabetes Federation (IDF), based on the available evidence, that an  
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11 HbA1c level of <7.0% is generally compatible with low diabetes related micro-and  
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13 macro-vascular complications.<sup>52</sup> An IDF treatment algorithm was proposed to the  
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15 medical team in achieving the target <7.0% HbA1c taking into consideration of the  
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17 local availability, access and cost of therapeutic agents.<sup>53</sup>  
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22 Other than the diabetologist or endocrinologist, general medical practitioner,  
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24 dietitian, exercise or fitness trainer, podiatrist, ophthalmologist, dentists/hygienist and  
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26 periodontist are key team members to promote and maintain overall health of those  
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28 affected by DM or even a pre-diabetic state.<sup>54, 55</sup> Study following up such  
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30 collaborative comprehensive care have confirmed that favorable DM management  
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32 outcomes can be achieved.<sup>56</sup> Obviously, to be successful in controlling type-2 DM, a  
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34 comprehensive approach appears essential because it remains arguable that oral  
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36 intervention alone could improve glycemic control.<sup>57</sup>  
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43 **Oral health behavior**

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46 The optimal oral health behavior is considered as visiting a dentist at least once a year  
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48 for an examination other than for a dental problem, at least daily tooth brushing, using  
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50 an inter-dental device such as flossing daily, wooden tooth pick once or more per day,  
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52 no snacking between meals, and consuming no more than one cariogenic food a day.<sup>6</sup>  
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55 Physical activity is positively associated with tooth brushing behavior, while alcohol  
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57 consumption and smoking correlated negatively with proper oral health behavior.<sup>58</sup>  
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1 The frequency of tooth brushing is negatively correlated with sugar consumption  
2 among adolescents<sup>58</sup> and is a predictive indicator of general health behavior.<sup>59</sup> Poor  
3 oral health behaviors affected the periodontal status through the accumulation of  
4 plaque and calculus deposits.<sup>4</sup>  
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9 Good oral hygiene habits, on the other hand, are positively associated  
10 with healthy food consumption, use of vitamins and regular physical exercise among  
11 adolescents.<sup>60</sup> It was also reported that diabetic subjects generally show poor oral  
12 health behaviors, however absence of a control group negated the test of association  
13 between poor oral health behavior and type-2 diabetes mellitus.<sup>61</sup> Nevertheless,  
14 systemically healthy non-diabetic periodontitis subjects were reported to have a  
15 significantly higher mean fasting blood glucose level.<sup>62</sup>  
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### 29 **Serum inflammatory factors**

30 A few inflammatory mediators or related serum molecules such as interleukin-1 beta  
31 (IL-1 $\beta$ ), IL-6, TNF- $\alpha$ , C-reactive protein (CRP), intercellular adhesion molecule 1  
32 (ICAM-1), vascular cell adhesion molecule 1 (VCAM-1), are reported to be  
33 associated with both type-2 DM and chronic periodontitis.  
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41 The pro-inflammatory cytokine TNF- $\alpha$ , is involved in the development of  
42 obesity linked insulin resistance<sup>21</sup> through inhibiting tyrosine kinase activity at insulin  
43 receptors. Phosphorylation of the insulin receptor by this tyrosine kinase is known to  
44 be a cardinal step in the post-receptor events that follow the binding of insulin to its  
45 receptor and interferes with the insulin signaling cascade. The normal level of serum  
46 TNF- $\alpha$  is 0.72  $\pm$ 0.28 pg/ml. In diabetes mellitus, TNF- $\alpha$  production was found to be  
47 increased<sup>63</sup> and TNF- $\alpha$  plasma levels seems to be positively associated to metabolic  
48 control.<sup>11</sup> High levels of serum TNF- $\alpha$  in patients with insulin resistance may be due  
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1 to high levels of fasting insulin.<sup>64</sup> The production and activity of serum TNF- $\alpha$  is  
2 modulated by several oral anti-diabetic agents.<sup>65</sup> Beside these relationships between  
3 serum TNF- $\alpha$ , metabolic control, anti-diabetic therapy and aging seems to be  
4 associated with an increase in serum TNF- $\alpha$  plasma levels.<sup>66</sup> This increase in serum  
5 TNF- $\alpha$  levels with aging may be due to age associated increase in percentage of body  
6 fat.<sup>67</sup> The levels of serum TNF- $\alpha$  were observed to become lower with weight loss.<sup>68</sup>

14 More recently, the cause and effect relation between pro-inflammatory  
15 cytokines such as IL-1, TNF- $\alpha$  and periodontal attachment loss has been established.<sup>69</sup>  
16 The cellular source of serum TNF- $\alpha$  in periodontal diseases include, monocytes,  
17 polymorphonuclear leukocytes, fibroblasts, epithelial cells, endothelial cells, and  
18 osteoblasts. Once critical levels of pro-inflammatory cytokines production are reached,  
19 a local physiologic process becomes a pathologic response.<sup>70</sup> The increased serum  
20 TNF- $\alpha$  in turn may exacerbate preexisting periodontal conditions by stimulating  
21 fibroblasts to synthesize matrix degrading enzymes,<sup>71</sup> or by stimulating osteoclasts to  
22 activate bone resorption.<sup>72</sup> Serum TNF- $\alpha$  can also induce expression of other  
23 mediators that amplify or sustain inflammatory responses, such as prostaglandins, and  
24 the production of lytic enzymes, and can also enhance bacterial killing and phagocytic  
25 activity<sup>73</sup> and over production of serum TNF- $\alpha$ .<sup>70</sup> Further synergistic action with IL-1  
26 can enhance bone resorption.<sup>74</sup> Successful periodontal management decreases  
27 circulating serum TNF- $\alpha$ .<sup>75</sup> Additionally, the reduction in circulating serum TNF- $\alpha$  is  
28 correlated with an improvement in metabolic control of diabetes, possibly mediated  
29 through an improvement in insulin resistance.<sup>76</sup>

53 Along with pro-inflammatory cytokines, like TNF- $\alpha$ , other inflammatory  
54 mediators such as C-Reactive Protein (CRP) and IL-6 also play a major role in  
55 development of impaired glucose intolerance prior to the diagnosis of type-2  
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1 diabetes.<sup>77</sup> Probing pocket depths and clinical attachment loss are also linearly  
2 associated with impaired fasting glucose levels before development of type-2  
3 diabetes.<sup>78</sup> It has also been reported that increased inflammatory markers such as CRP,  
4 matrix metalloproteinases-14 (MMP-14) and tissue inhibitor of matrix  
5 metalloproteinases-2 (TIMP-2) are involved in progression of periodontal  
6 inflammation associated with type-2 diabetes.<sup>79</sup>

### 17 **General and oral health related quality of life**

19 Obesity and diabetic complications are reported to be the main factors associated with  
20 inferior health related quality of life (HRQoL),<sup>80</sup> while dissatisfaction of mouth and  
21 teeth and feeling of dry mouth were report to be affecting the HRQoL of type-2 DM  
22 subjects.<sup>5</sup> So far reports remain scarce regarding the oral health related quality of life  
23 (OH-QoL) for DM subjects. One recent report indicated that dental caries and  
24 xerostomia conferred negative impacts on the OH-QoL of adolescents with type-1  
25 DM.<sup>81</sup> The negative impact of advanced periodontal attachment loss in poor OH-QoL,  
26 however, was established.<sup>82</sup> Based upon the fact that type-2 DM subjects would  
27 experience more periodontitis,<sup>83</sup> it is fair to anticipate type-2 DM subjects may have  
28 inferior OH-QoL because of dry mouth and poor periodontal health. Further studies  
29 are needed to investigate such relationship.

### 48 **Type-2 diabetes and periodontal diseases**

51 Periodontal disease has been considered as the sixth complication of diabetes<sup>84</sup> and  
52 diabetes mellitus is considered as risk factor for periodontal disease.<sup>85</sup> However, the  
53 association between diabetes and periodontal disease is complex and to some extend  
54 controversial as the evidence has been advanced both for and against the association

1 between diabetes and periodontal disease. Emerging evidence portrays the association  
2 as bi-directional<sup>86</sup> as compared to the other belief of unidirectional association,<sup>87</sup> in  
3 which severe periodontitis is considered as a risk of poor glycemic control.<sup>88</sup> The  
4 association between these two complex diseases can be explained by two similar but  
5 distinct pathologic pathways, a direct causal association in which the consequences of  
6 diabetes act as modifiers of periodontal disease expression<sup>89</sup> or alternatively a  
7 common pathologic defect which results in a host susceptible to either or both  
8 diseases.<sup>90</sup>

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19 The prevalence of diabetes in patients with periodontitis is double (12.5%)  
20 that seen in non-periodontitis patient (6.3%),<sup>91</sup> even though no difference is detected  
21 in the number of etiologic factors or in the degree of gingival changes between the  
22 diabetic and control groups. However patients with poorly controlled diabetes suffer  
23 more from gingival bleeding than those with good or moderate control.<sup>1</sup> Calculus and  
24 poor metabolic control were thought to be the best predictors for probing depth;  
25 patients with poor metabolic control and calculus has a higher prevalence of and more  
26 extensive periodontal pockets than well or moderately controlled diabetics.<sup>92</sup>  
27 Metabolic control seems to be less important in terms of association with attachment  
28 loss in the absence of calculus.

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44 Age and number of standing teeth were reported to significantly associated  
45 with periodontal attachment loss.<sup>2</sup> Good or moderate control of any complex human  
46 disease is attributed to patient cooperation. Patients with well controlled diabetes  
47 might also be more cooperative regarding their oral health care habits and dental  
48 care.<sup>13</sup> Clinical attachment loss is more severe in diabetics compared to non-diabetics.  
49 Diabetics with poorly controlled diabetes experience greater attachment loss  
50 compared to well controlled diabetics.<sup>93</sup> Diabetic men have poorer periodontal status  
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1 than non-diabetic men.<sup>94</sup> Diabetic women have poorer periodontal status than non-  
2 diabetic women.<sup>95</sup> Further, diabetic patients aged 55-74 have more severe periodontal  
3 disease than patients aged 45-54 and 75-90 and comparable age matched non  
4 diabetics.<sup>3</sup>  
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9 A long duration of diabetes is also considered as a risk factor for periodontitis.  
10 An association between longer duration of diabetes and severity of attachment loss  
11 has been observed.<sup>12</sup> This correlation is similar to that of other complications of  
12 diabetes, such as retinopathy and vascular disease.  
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19 Oral hygiene instructions were able to exert a positive effect on fasting  
20 blood glucose levels, gingival crevicular fluid volume, community periodontal index  
21 recordings and plaque index.<sup>96</sup> Mechanical non-surgical periodontal treatment is also  
22 reported by a recent meta-analysis to be able to assist reduction of the glycated  
23 hemoglobin levels in the blood.<sup>97</sup> Surgical periodontal treatment responses in  
24 diabetics were no different to those in age and sex matched non-diabetics.<sup>98</sup>  
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### **Interrelationship between type-2 DM and periodontitis – a simplified model**

66 So far it seems that researchers are able to decipher only part of the theoretical  
67 relationship between type-2 DM and periodontitis. To a limited extent, certain  
68 behavioral and basic science interrelations between these two complex human  
69 diseases appears better appreciated (Fig. 2)<sup>3</sup> and hopefully appropriate intervention  
70 aimed at changing key elements of the system may assist management of both type-2  
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1 DM and periodontitis. However, there remain substantial knowledge gaps, in  
2 particular the common pathogenic mechanisms between diabetic complications and  
3 periodontitis causation, as well as how impaired general and oral health life quality  
4 could at the end affect the behavior, and hence the compliance of affected individuals  
5 for diabetic control and periodontal health maintenance.  
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## 14 **Conclusions**

16 The scientific evidence presented demonstrates the association between poor type-2  
17 diabetic status and poor periodontal health. This relationship seems to be influenced  
18 by complex and multifactorial nature of both the diabetes and periodontitis. Apart  
19 from the common pathogenesis pathways of periodontitis and diabetic complications  
20 such as impaired immune responses and poor wound healing, other factors including  
21 lifestyle, obesity and psychological factors could potential negatively influence local  
22 as well as systemic inflammatory mediators, which in turn may indirectly lead to poor  
23 diabetic control and periodontal destruction. It is therefore important to understand  
24 the indirect associations between lifestyle, obesity and psychological factors for both  
25 the type-2 diabetes and periodontal disease, as the modification of these modifiable  
26 factors greatly improves the management and prevention of both type-2 diabetes and  
27 periodontal disease in our communities.  
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## 48 **Acknowledgements**

50 The work described in this paper was fully and partially supported by grants from the  
51 University of Hong Kong Small Project Funding (10204278) and the Research Grants  
52 Council of the Hong Kong Special Administrative Region, China (772110M),  
53 respectively.  
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**Legend**

**Figure 1** Simplified conceptual model regarding interactions between type-2 diabetes mellitus and periodontitis pathogenesis and diseases outcomes.

**Figure 2** Possible behavioral and basic science interrelatedness between type-2 diabetes mellitus and periodontal disease. A model modified from Kawamura et al.<sup>4</sup> highlighting possible area for disease intervention.

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