

# Current Issues in Library Collections

圖書館館藏當前專題研究

Peter Sidorko



The 12th Annual Library Leadership Institute  
**Library Leadership**  
in the Asia Pacific Century

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Shanghai, China | 16-20 May, 2014

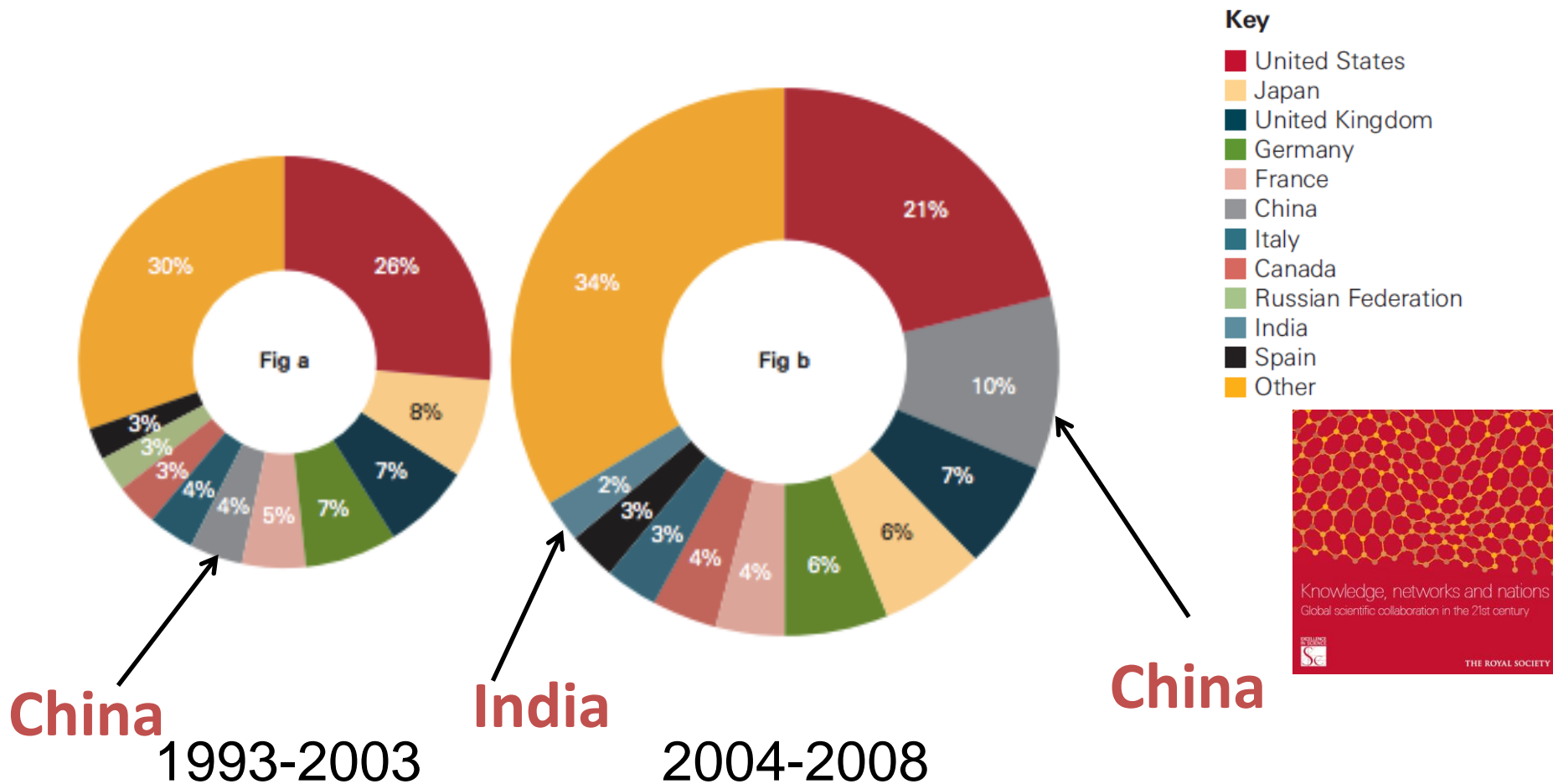
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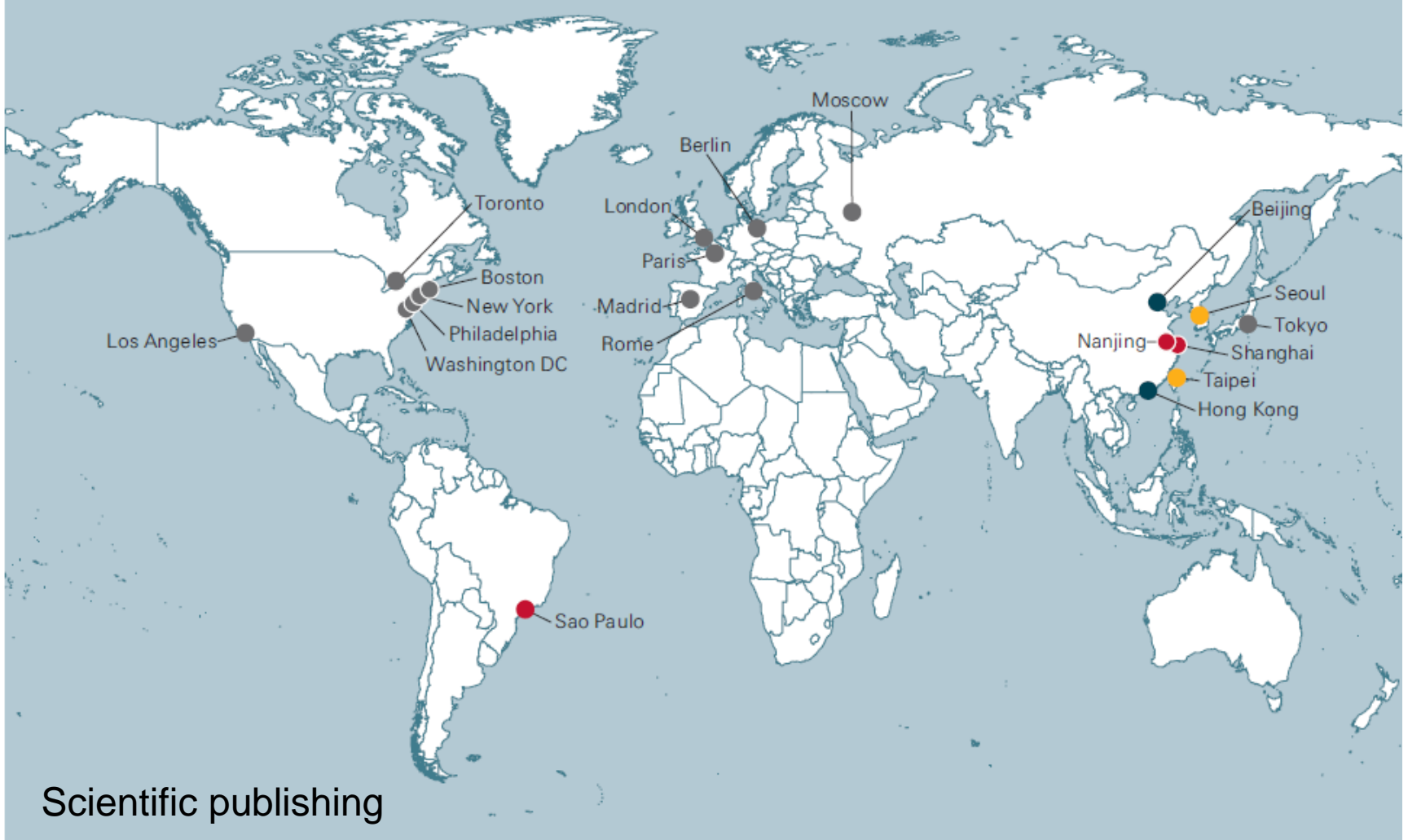
In conjunction with:



**First let's look at this Asia Pacific  
Century!**

# Proportion of global publication authorship by country





**Key** City with highest publication output in the period 2004-2008; growth is since period 1996-2000.

- Decreased or stayed constant
- Increased 5-10 places
- Increased 10-20 places
- Increased 20+ places

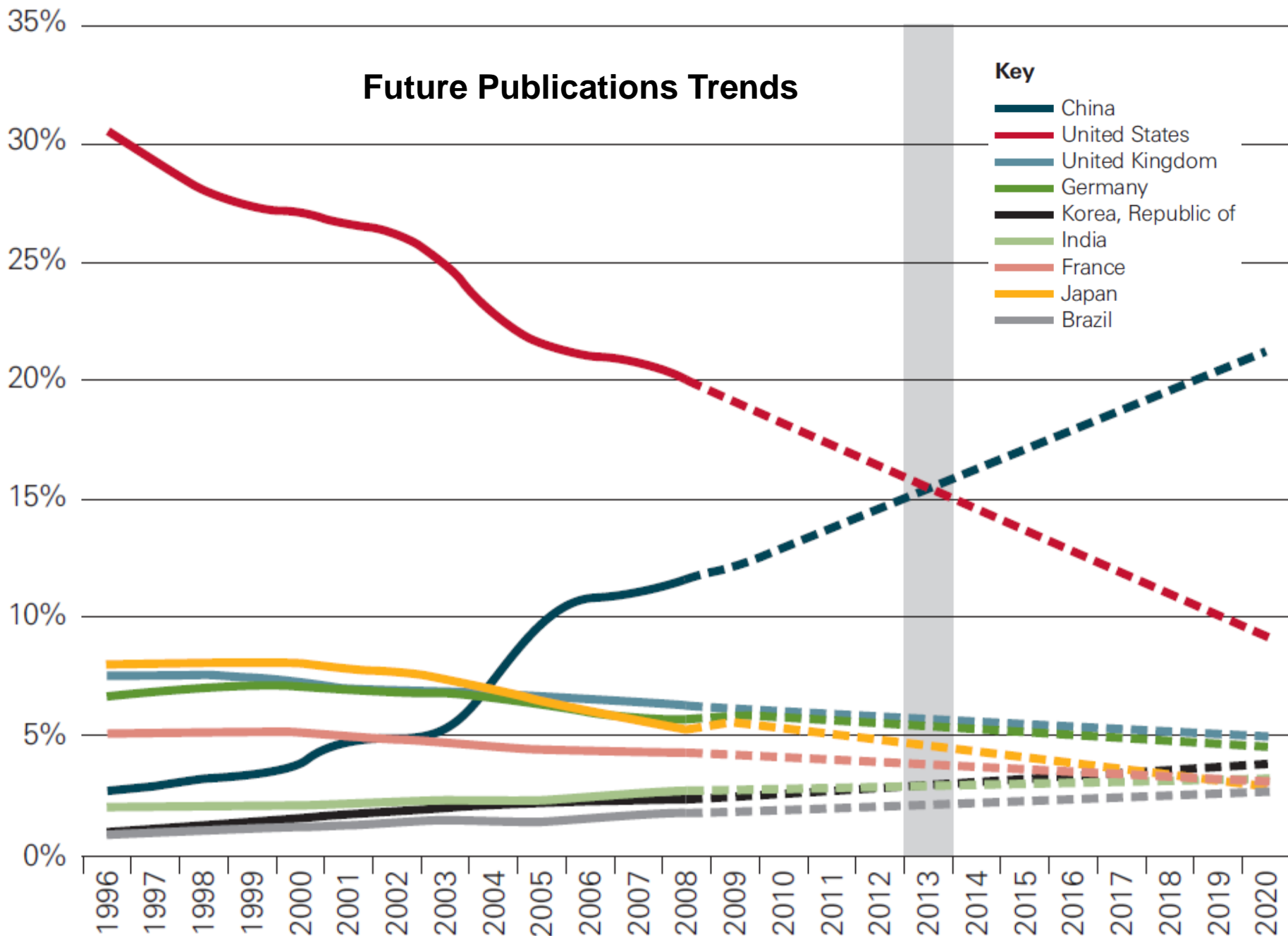
[http://royalsociety.org/uploadedFiles/Royal\\_Society\\_Content/Influencing\\_Policy/Reports/2011-03-28-Knowledge-networks-nations.pdf](http://royalsociety.org/uploadedFiles/Royal_Society_Content/Influencing_Policy/Reports/2011-03-28-Knowledge-networks-nations.pdf)

## Future Publications Trends

### Key

- China
- United States
- United Kingdom
- Germany
- Korea, Republic of
- India
- France
- Japan
- Brazil

Global Share Total Articles

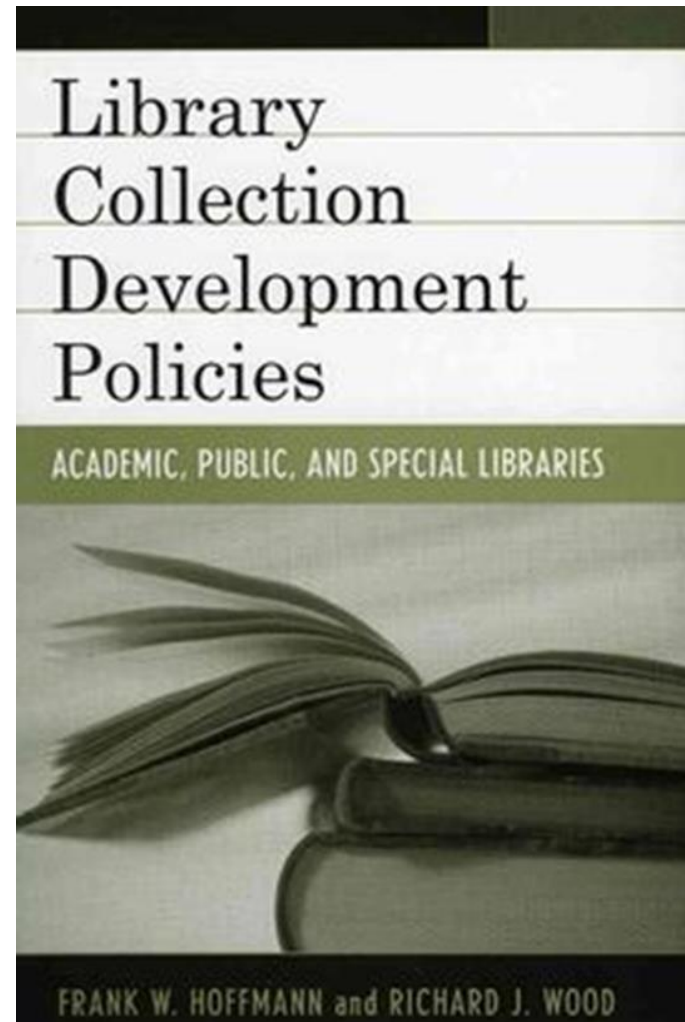


# Collecting shifts

- Big deal: burden or blessing?
- Patron Driven Acquisition models
- E-Books on the rise
- Open Access
- Large-Scale Digital Collections, eg Google Books, Hathi Trust, Internet Archive
- New resource models – research data and datasets

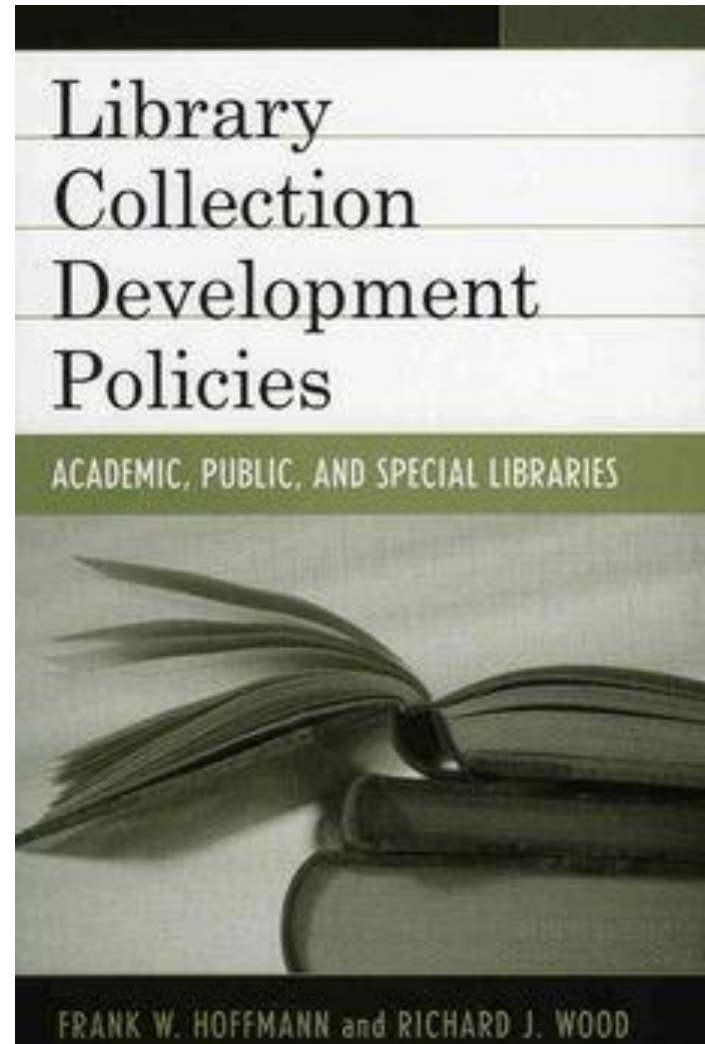
# Collection development policies

- Link to University and Library planning and priorities
- Frames library decision-making in context of research and teaching priorities
- Helps set faculty expectations
- Informs librarian selections
- Supports budget decisions - allocations and reductions
- Should be dynamic



# Broad principles

- Defines a balance between teaching and research
- Defines collection % spend
- Defines subscriptions % spend
- Identifies preferred format(s)
- Provides collection assessment guidelines
- Solutions for low usage
- Selection tools and decision making
- Collection maintenance guidelines
- Document delivery parameters
- Collaborative activities





## Collection Development



### Collection Development Policy

<http://lib.hku.hk/cd/policies/cdp.html>

#### Table of Contents

- ▶ I. Introduction
- ▶ II. University Library Environment
  - ▶ Mission Statement
  - ▶ Collection Development Objectives
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  - ▶ Language
  - ▶ Choice of Format
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  - ▶ Reference Collection
  - ▶ Serials Collection
    - ▶ Specific Guidelines
    - ▶ Review Process

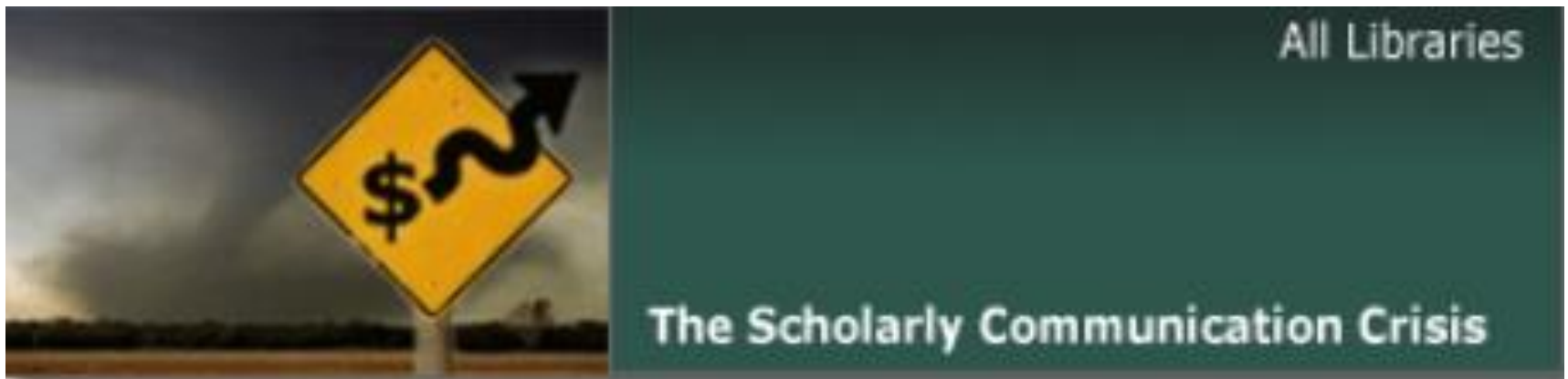
# Disciplinary approach

- Subject breakdown - by classification/national codes
- Purpose of collection
- Notable strengths
- Scope of current activity
- Languages, geography, chronology, formats, special considerations

# Budgets

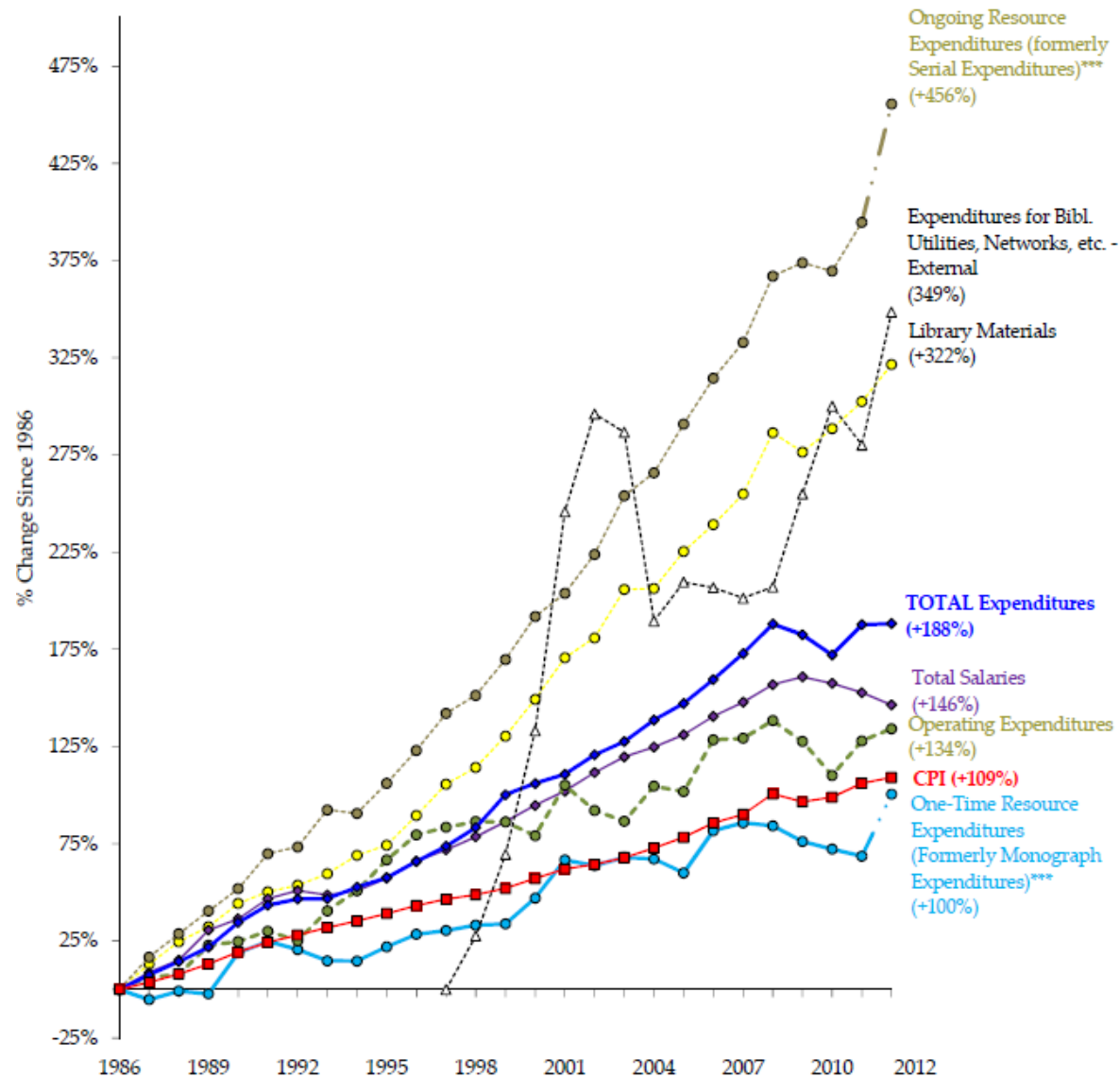
- Sources of funds
- Allocations
- Special funding
- Costs of collection maintenance
- Costs of document delivery

But wait, there is a **crisis!!**



# Rising Journal Costs Inspiring Calls for Alternative Publishing Models

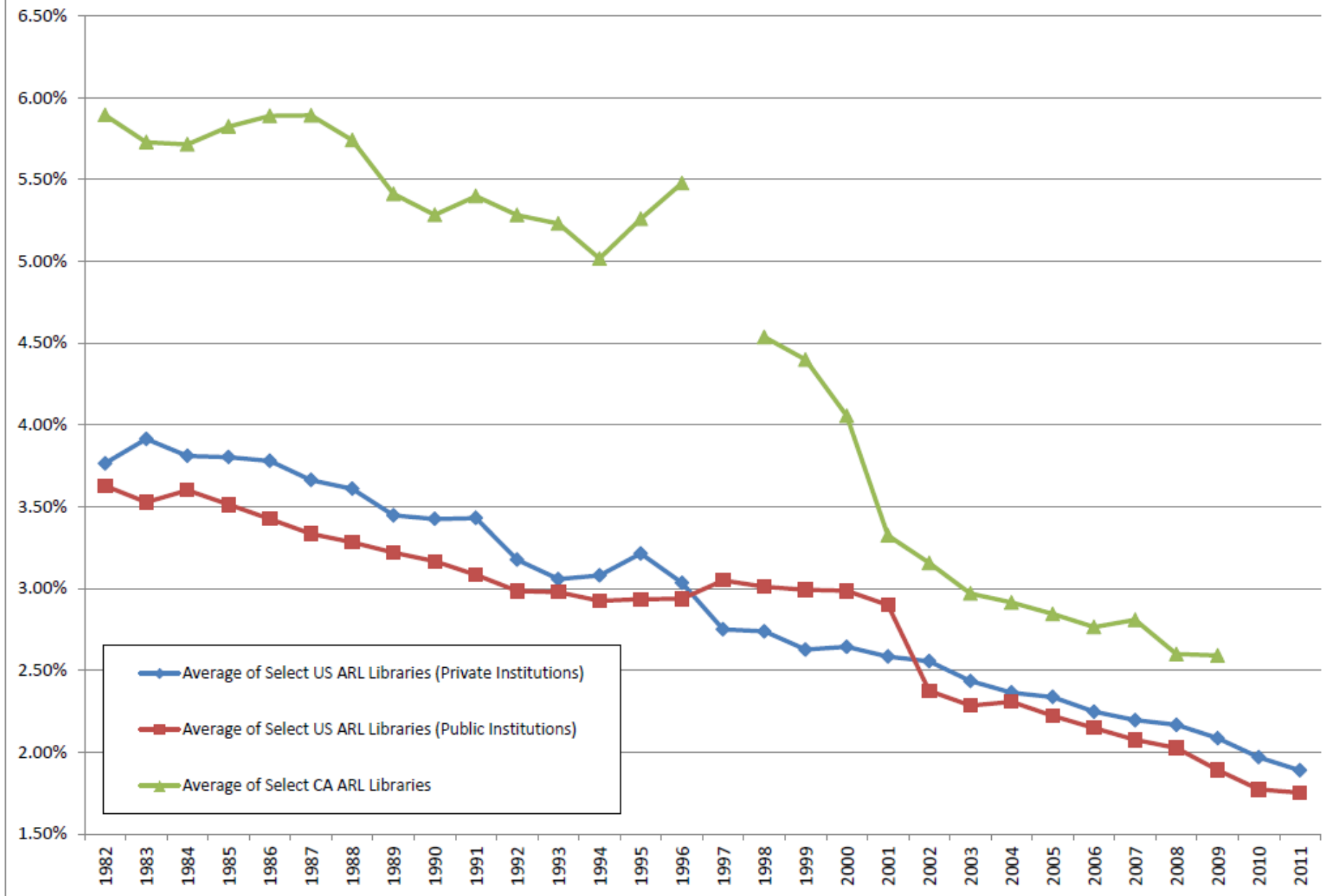
Expenditure Trends  
in ARL Libraries, 1986-2012



Source: ARL Statistics 2009-11 Association of Research Libraries, Washington, D.C.

\*\*\*Trend line was extended with data from two new variables: Ongoing and One-Time Resource Expenditures.

# Library Expenditure as % of Total University Expenditure



# Are rising (journal) prices justified?

## Librarians

- Price increases greater than budget uplift
- Big deals limit ability to cancel titles
- Books are sacrificed for journals
- Costs would be lower in a not-for-profit model
- Publishers own copyright
- 'Our academics did the work - why should we pay (so much)?'



**Support open access**

## Publishers

- Great increase in research output
- Cost per download falling
- Big deals offer wider access at discount
- e-journal transition required massive investment
- 'We will try open access if we can cover costs'



**Find new sources of funds**



# The big deal for librarians

- Access to vast numbers of titles
- Bundles bought on basis of package value - titles, downloads etc - than on assessment of individual title quality
- Harder to select or cancel individual titles
- Journal brands replaced by package brands



# The big deal for clients

- Access to vast quantities of content
- Access to deep archives
- Wider dissemination of publications
- Search and discovery tools - eg Google Scholar and Summon - taking people direct to article
- Clients expect sophisticated data mining tools

# The big deal for publishers

- Economies of scale in the big few making it hard for smaller publishers to compete
- Only the big few can afford to develop sophisticated services
- Bundling has allowed publishers to drop major price increases for specific titles for incremental increases on the bundle
- This is justified often by quantity rather than quality



# Moving Beyond the “Big Deal”

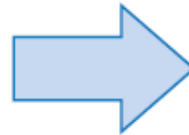
*Creating a Broader Range of Options for Scholarly Communication*

## Current State

- Researchers transfer copyright to journal publishers
- Disciplinary societies contract with publishers for journal production
- Publishers sell access to libraries in bundles of journal titles
- Gaps in subscriptions filled by inefficient and expensive inter-library loan programs



## Journals



## Preferred End State

- Authors retain certain aspects of copyright and deposit copies of articles in open-access repositories
- Subscription model coexists with on-demand services and open access models
- Researchers have outlets for making data accessible, sharing pre-prints, and publishing non-article forms of scholarship

## Barriers to Change

- Faculty tenure and promotion guidelines favor publication in selective journals (typically produced by a handful of publishers)
- Publishers hesitant to adopt open access models that threaten their financial viability



Cornell University  
Library

## Library rejects nondisclosure clauses in licenses

Cornell University Library will no longer sign contracts with publishers that include confidentiality agreements.

These nondisclosure agreements (NDAs) typically forbid libraries from revealing the price and terms of their purchases of licensed resources like journal subscriptions and databases.

“Libraries should be able to talk to each other about the details of these contracts. It’s as simple as that,” said Anne R. Kenney, Carl A. Kroch University Librarian. “When contracts are kept secret, institutions cannot negotiate effectively.”

# “Collection Size Rapidly Losing Importance”

- Even the wealthiest academic libraries are abandoning the “collection arms race” as the value of physical resources declines. Increasingly, libraries must adapt to a world in which providing access to—rather than ownership of—scholarly resources is their primary role.
  - **Redefining the Academic Library** *Managing the Migration to Digital Information Services*

# Use of print collections

## Pittsburgh study 1979

40% of collection never circulates

If a book isn't borrowed during first 6 years, only 2% chance it will ever be used

Average  
circulation from  
open shelf  
collections  
**13%**

## Cornell study 2010

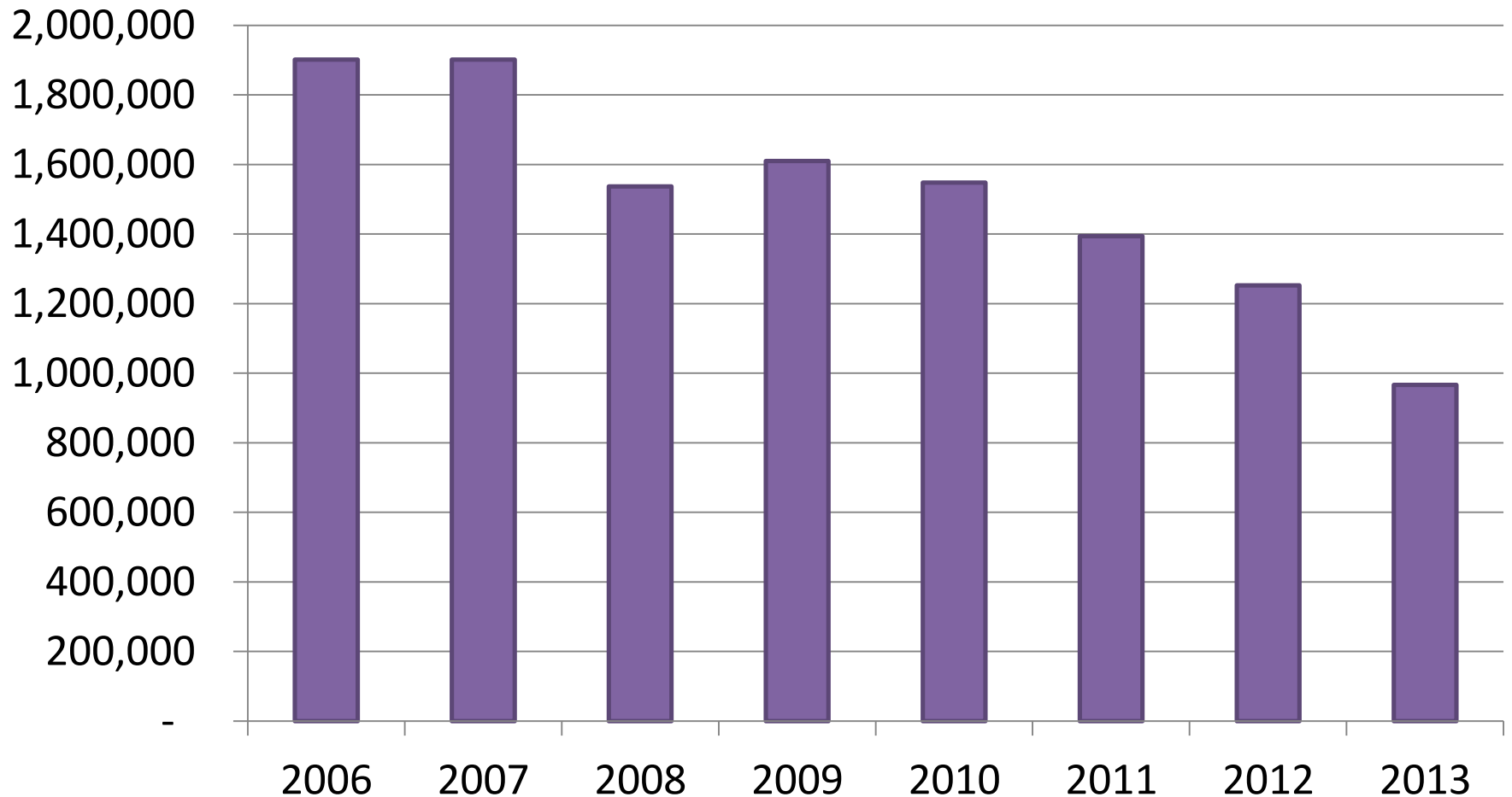
55% of books purchased since 1990 never borrowed

65% of books purchased in 2001 hadn't been borrowed

Average  
circulation from  
high density  
collections  
**1%**

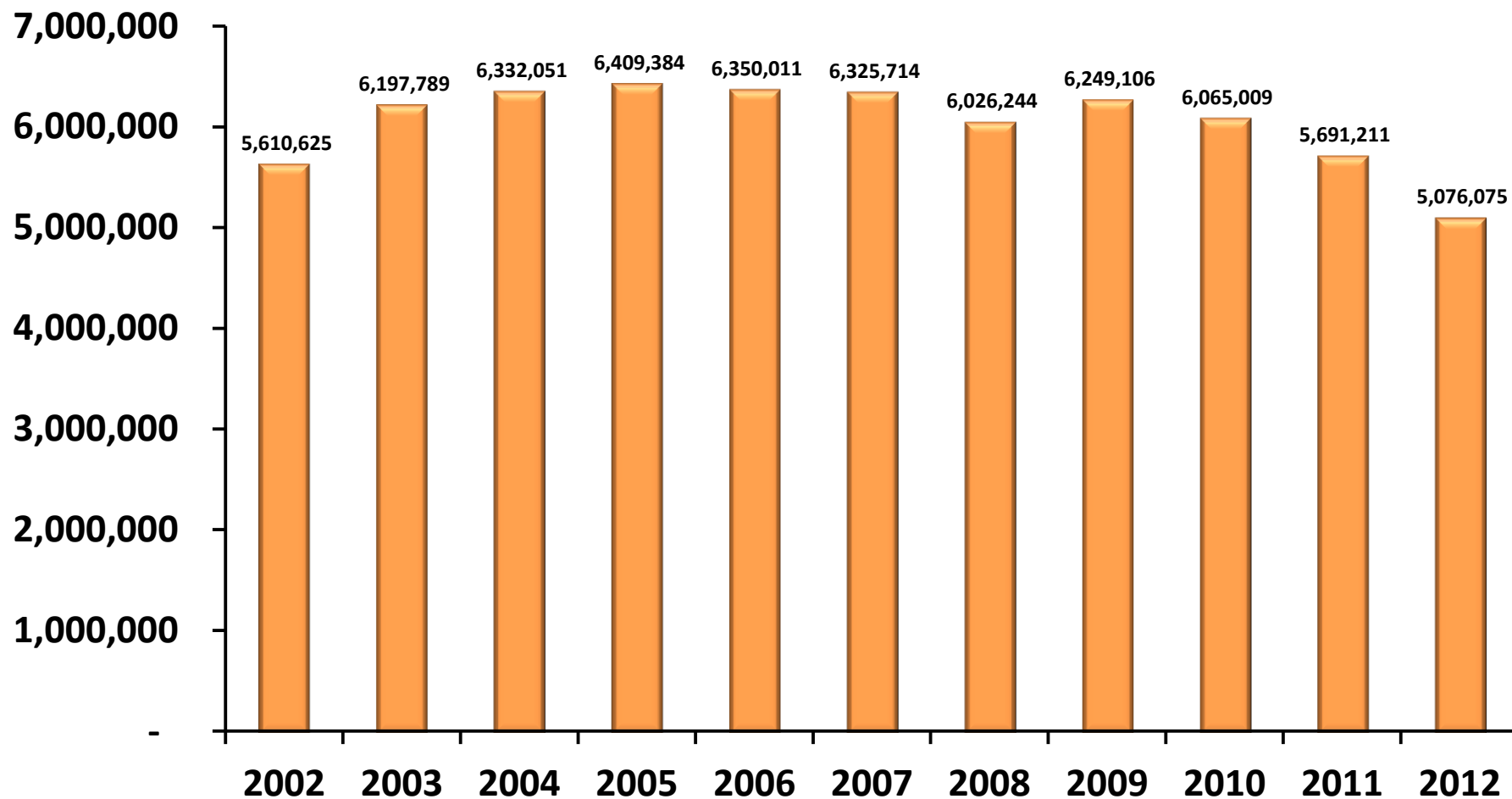


# Use of *Print* Materials: HKU

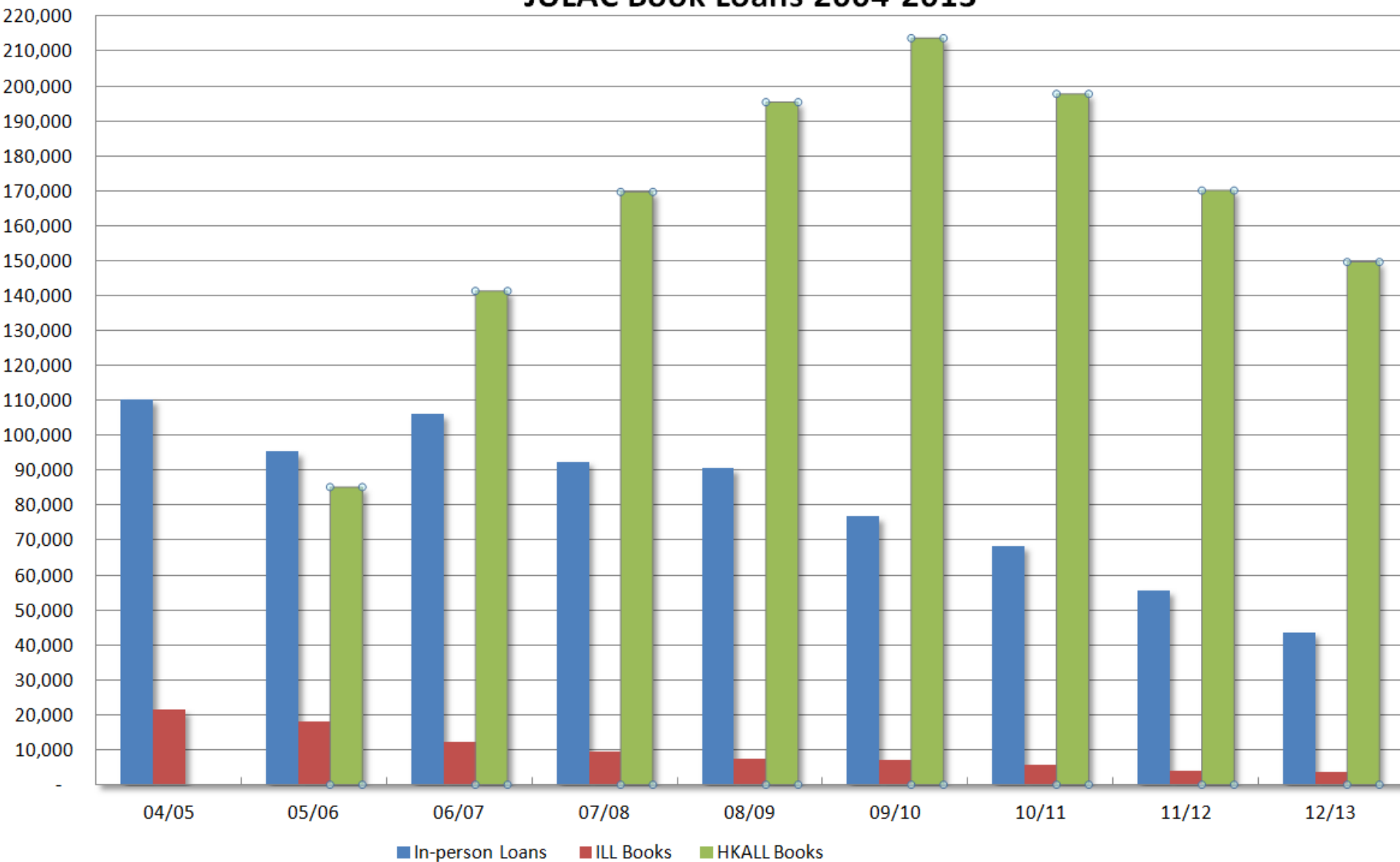




# Hong Kong JULAC Libraries Total Circulation Transactions



# JULAC Book Loans 2004-2013



# Library activities down

Annual use

## Research specific reference book

81% **▶** 56%  
2005 2010 **31% DECREASE** ▼

## Homework/study

80% **▶** 66%  
2005 2010 **18% DECREASE** ▼

## Get copies of articles/journals

64% **▶** 50%  
2005 2010 **22% DECREASE** ▼

## Get assistance with research

64% **▶** 51%  
2005 2010 **20% DECREASE** ▼

## Use online databases

68% **▶** 59%  
2005 2010 **13% DECREASE** ▼

## Borrow print books

66% **▶** 60%  
2005 2010 **9% DECREASE** ▼

## Leisure reading

52% **▶** 48%  
2005 2010 **8% DECREASE** ▼



# Perceptions of Libraries, 2010

Context and Community

A REPORT TO THE OCLC MEMBERSHIP



# From Ownership to Access

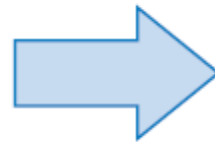
## *Reducing Reliance on the Local Print Collection*

### Current State

- Large collections of physical books in open stacks with low circulation
- Duplicate book holdings at the institutional, system, consortial and regional level
- Books purchased prospectively “just in case” a patron might need them in the future



Books



### Preferred End State

- Ebook catalogs larger than previous physical collection
- Physical books preserved (and accessible) through collections shared across consortia and stored offsite
- Ebooks purchased only when patrons use them (patron-driven acquisition)

### Barriers to Change

- Copyright prevents access to orphan works and scanned material
- Ebook versions of academic monographs not yet universally available
- Ebook procurement more complicated than traditional purchasing
- Current DRM restrictions limit how patrons can use ebooks

# From “Just-in-Case” to “Just-in-Time”

## “Just-in-Case”

- Approval plans
- Librarian selection
- Faculty selection
- Publisher “slips”

## “Just-in-Time”

- Patron Driven Acquisition
- Pay per view
- Rentals
- Patron (monthly) subscriptions

# Collections and collection building



A word cloud of terms related to digital library collections and building. The words are arranged in a roughly triangular shape, with 'digital' being the largest and most central word. Other prominent words include 'ebooks', 'data', 'PDA', 'unbundling', 'rentals', 'PPV', 'just-in-time', and 'article-level'. The colors range from dark green to brown.

PPV  
just-in-time  
ebooks  
unbundling  
rentals  
data  
PDA  
digital  
article-level

# E-Book Limitations

- *Usage Restrictions from a Major ebook Publisher*
  - Cannot download file more than 6 times
  - Cannot move file to a different computer
  - Cannot lend, sell, or give ebook to others
  - Cannot copy the ebook
  - Cannot copy or paste entire pages at a time
  - Cannot copy or paste diagrams, figures, or artwork
  - Cannot annotate text
    - Source: Education Advisory Board interviews and analysis.

## License to Loan

March 31, 2014

By [Carl Straumsheim](#)

Libraries accept licensing agreements -- and whatever restrictions that come with them -- "at our peril," the statement reads. By signing agreements that limit how content may be shared, "we turn our backs on a great strength of the academy -- the ability to build complementary collections and share them in good faith with researchers and the community of readers."

Library directors at 66 liberal arts colleges on Friday called for academic libraries to reject licensing agreements with publishers that impose restrictions on how ebooks can be accessed and shared.

In a [statement](#) released by the Oberlin Group, a consortium of 80 liberal arts college libraries, the directors point to the "ecosystem of sharing" that academic libraries at small colleges depend on to plug gaps in the resources they offer -- services such as interlibrary loans, for example.



# Patron Driven Acquisition (PDA)

- ebook records loaded in library catalogue
- Users see the ebooks and can access them even though the library has not purchased them
- The library pays the vendor only when patrons use an ebook.

# Exercise

- What are some of the issues/problems and possible solutions to this form of purchasing?
- Discuss in your group and provide some responses to feedback to the whole group.

# Lessons learned from early adopters

Concern:

**“We’ll spend too much,  
too quickly”**  
*(The \$1,000 book problem)*

Assessment:

**Library retains control over  
purchasing strategy**

- Budget caps
- Catalog listings (filtered by year, publisher, discipline, duplicates)
- Rent/buy triggers
- Usage definitions
- Price limits
- Librarian approvals/mediation

Concern:

**“We’ll buy lots of titles  
we don’t really want”**  
*(The Banana Book Problem)*

Assessment:

**Rent/buy triggers prevent  
overzealous acquisition**

**End-users better judges of  
needs and value**

- “Unused books are bad books”
- Each PDA purchase has demonstrated demand
- User-built collection well rounded and diverse, but unpredictable

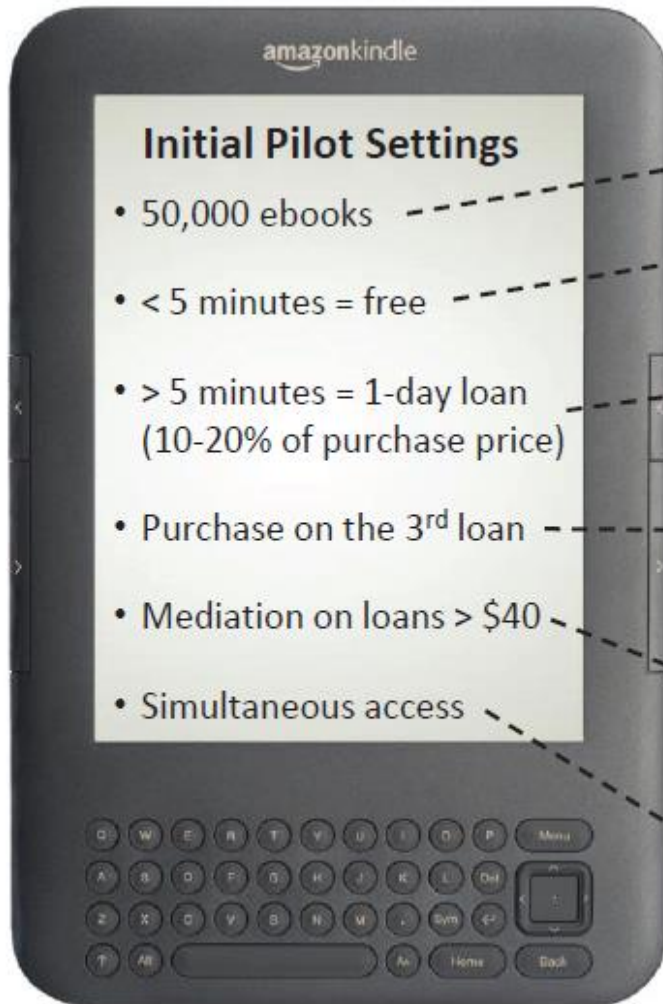
Concern:

**“We’ll have a shallow,  
narrow collection”**  
*(The Barnes & Noble Problem)*

Assessment:

**Virtual ebook catalogs actually  
expand and revitalize the  
collection**

- Discoverability no longer guided by physical ownership, proximity, or placement
- Catalog no longer subject to one-time selector decisions
- University press ebook sales rescuing the “backlist”
  - 90% at UNC-Chapel Hill Press
  - 87% at U of Kentucky Press
  - 70% at Johns Hopkins Press



### Initial Pilot Settings

- 50,000 ebooks
- < 5 minutes = free
- > 5 minutes = 1-day loan (10-20% of purchase price)
- Purchase on the 3<sup>rd</sup> loan
- Mediation on loans > \$40
- Simultaneous access

• Titles loaded into catalog

• Avoid paying for brief browsing

Most users spend only 5 to 15 minutes in a book, and few books are used more than 3 times

• Books used more than 3 times are more likely to be widely popular

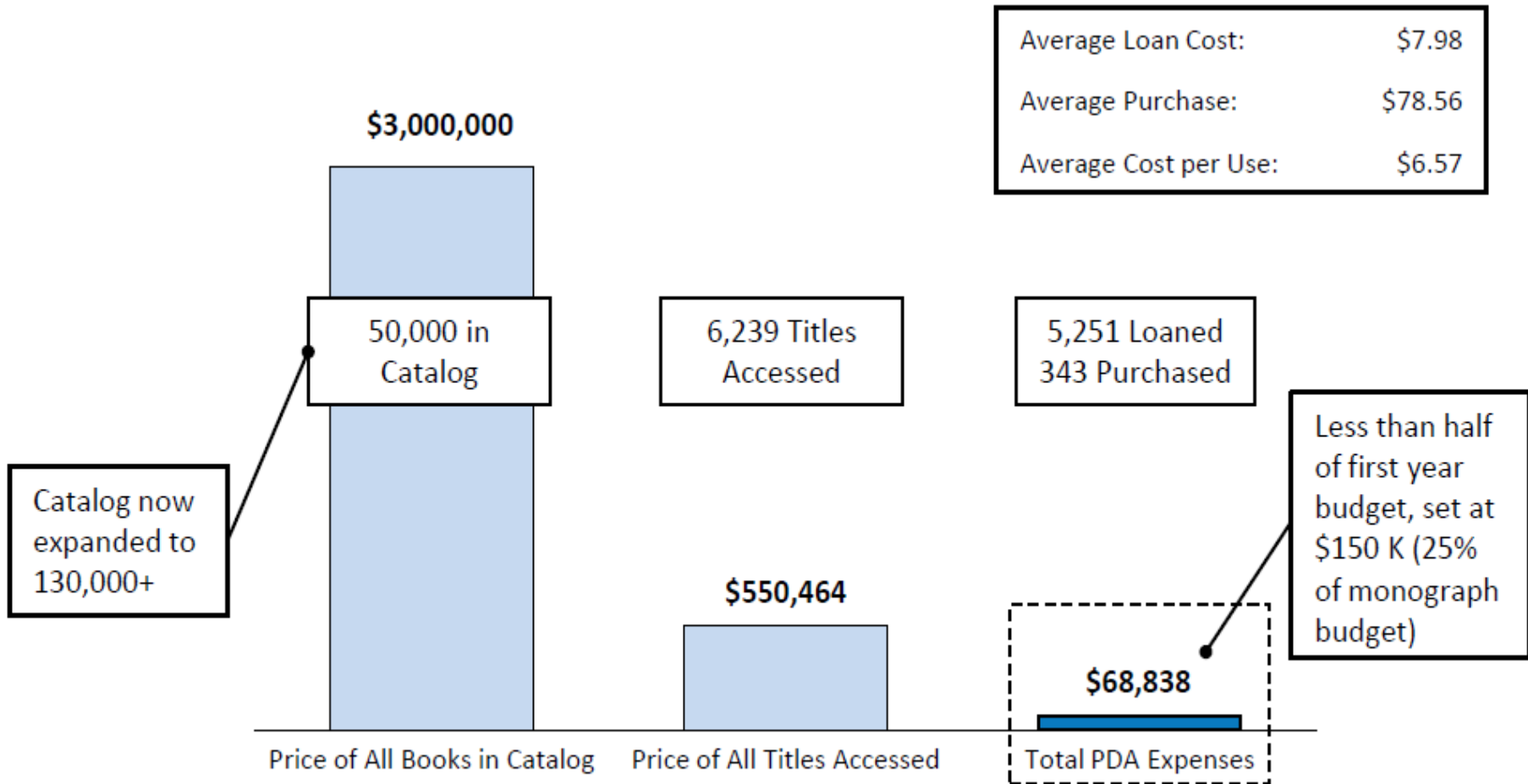
- *Increased to 5 uses after analysis of savings threshold*

• Avoid overly expensive purchases

- *Removed limit and mediation; cost savings not worth delay / oversight*

• “Non-Linear Lending” allows unlimited simultaneous access to all ebooks

# Results



# Unlocking access?



HathiTrust



[www.hathitrust.org](http://www.hathitrust.org)

# Early influences

Currently, access to research is restricted and the means to gain access are determined by a market in which a small number of publishers have a dominant position (2003)



<http://www.wellcome.ac.uk/assets/wtd003182.pdf>

It is not for either publishers or academics to decide who should, and who should not, be allowed to read scientific journal articles. It is in society's interest that public understanding of science should increase. Increased public access to research findings should be encouraged by publishers, academics and Government alike.



House of Commons  
Science and Technology  
Committee

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## **Scientific Publications: Free for all?**

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Tenth Report of Session 2003-04

*Volume I: Report*

HC 399-I



# Finch Report (2012)

- Research Councils and other public sector bodies funding research in the UK should establish more effective and flexible arrangements to meet the costs of publishing in open access and hybrid journals;

Accessibility, sustainability, excellence: how to expand access to research publications


Report of the Working Group on Expanding Access to Published Research Findings

# Open access: Gold

Gold OA is achieved by the publication of peer-reviewed articles either in (i) Open Access journals or as (ii) individual (OA) articles in subscription-based journals.

Recent Research

Most Viewed

A selection of articles published in the last 2 days 

**Computed Tomography Imaging of Primary Lung Cancer in Mice Using a Liposomal-Iodinated Contrast Agent**

**Topological Analysis of Small Leucine-Rich Repeat Proteoglycan Nyctalopin**

**Annotation of the *M. tuberculosis* Hypothetical Orfeome: Adding Functional Information to More than Half of the Uncharacterized Proteins**

**Development of a Unique Small Molecule Regulator of CXCR4**

**Olfactory Bulb Neurons Compare to Apes in the Primate Cognition Test Battery**

**Sequence Alignment: Degenerate, Multiplex Primer and Probe Design Using K-mer Matching Instead of Alignments**

**Structural Insights into TIR Domain Specificity of the Bridging Adaptor Mal in TLR4 Signaling**

**Metastasis of Neuroendocrine Tumors Are Characterized by Increased Cell Proliferation and Reduced Expression of the ATM Gene**

**The Effect of Interocular Phase Difference on Perceived Contrast**

**A Membrane Fusion Protein  $\alpha$ SNAP Is a Novel Regulator of Epithelial Apical Junctions**

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In the News

In the News

- Second language processing shows increased native-like neural responses after months of no exposure
- Increased sleep fragmentation leads to impaired off-line consolidation of

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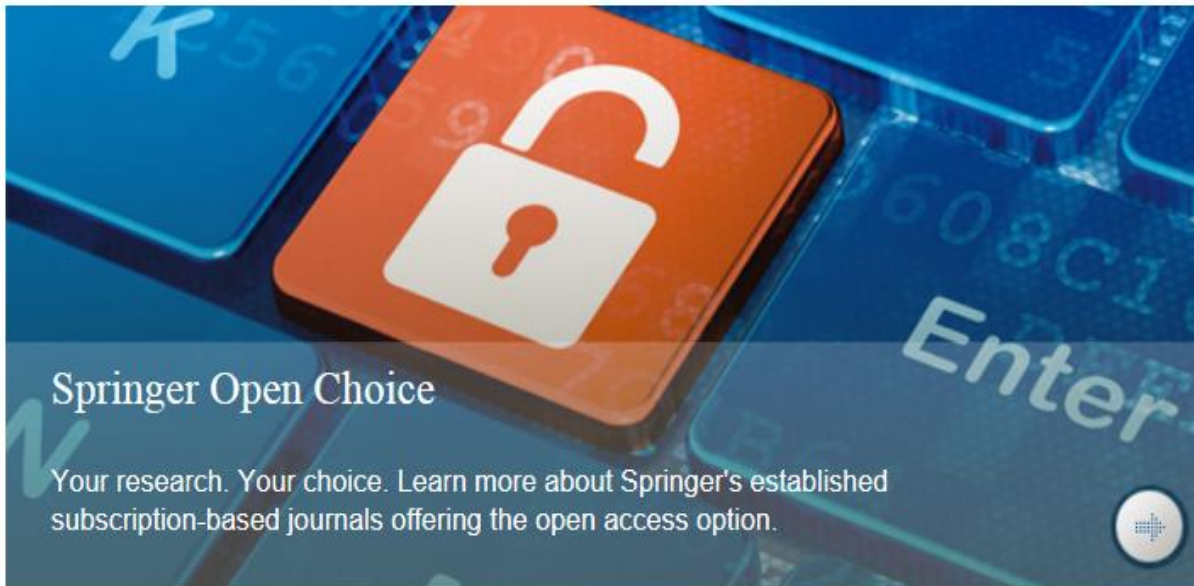


**wahwahny** Neurofibromatosis type 1 and "elephant man's" disease: the confusion persists: an ethnographic study | PLoS One [ncbi.nlm.nih.gov/pubmed/21347399](#)

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### DOWNLOADS

- Open Access White Paper (pdf, 230 kB)
- SpringerOpen Brochure (pdf, 1.8 MB)
- SpringerPlus Datasheet (pdf, 101 kB)
- SpringerOpen Books Datasheet (pdf, 157 k...

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- Green OA is achieved by depositing a version of a peer-reviewed article, which is available for purchase in its version of record form, in an **online repository or archive**



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#### Professor Cheung, ASC

· Professor

#### Research Interests:

- High resolution laser spectroscopy and buffer gas laser spectroscopy of small molecules
- Ab initio Calculation of metal containing radicals

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### HUB NEWS

Feb 2014: Added course lists.

Feb 2014: The Hub is No. 1 in citation according to Web of Science.

Jan 2014: Upgraded to DSpace 3.2 with DSpace-CRIS.

Sep 2013: Sage Open. Pre-paid article processing charges for HKU authors!

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- HKU most cited articles in Scopus
- HKU research on Web of Science
- HKU Research & Scholarship
- HKU Libraries OA Policy
- HK Open Access Committee
- Open Access Tracking Project

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**PI Name** Initials  Surname

**Project Title**

**Keyword**

**Institution**

**Grant ID**

**Notes**

Click on the titles below to show/hide the notes.

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- [Keyword](#)
- [Institution](#)
- [Grant ID](#)
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**Funder(s)**

- Action on Hearing Loss
- Arthritis Research UK
- Association for International Cancer Research
- Austrian Science Fund FWF
- Biotechnology and Biological Sciences Research Council
- Breakthrough Breast Cancer
- Breast Cancer Campaign
- British Heart Foundation
- Cancer Research UK
- Chief Scientist Office
- Diabetes UK
- The Dunhill Medical Trust
- European Research Council
- Heriot-Watt University
- Imperial College Healthcare NHS Trust
- Medical Research Council
- Multiple Sclerosis Society
- Myovlytis Trust
- National Institute for Health Research (Department of Health)
- Parkinson's UK
- Prostate Cancer UK
- Telethon Italy
- Wellcome Trust
- Yorkshire Cancer Research

Grant funder repository





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### Professor Cheung, ASC

· Professor

#### Research Interests:

- High resolution laser spectroscopy and Femtosecond time-resolved spectroscopy of small molecules.
- Ab initio Calculation of metal containing radicals

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## HUB NEWS

Feb 2014: Added course lists.

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Jan 2014: Upgraded to DSpace 3.2 with **DSpace-CRIS**.

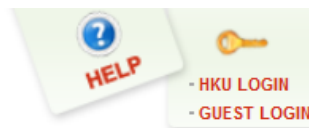
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## Article: Electronic transitions of cobalt monoboride

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**Title** Electronic transitions of cobalt monoboride

**Authors**  
[Ng, YW](#)<sup>1</sup> ●  
[Pang, HF](#)<sup>1</sup> ●  
[Cheung, ASC](#)<sup>1</sup> ●

**Issue Date** 2011

**Publisher** American Institute of Physics. The Journal's web site is located at <http://jcp.aip.org/jcp/staff.jsp>

**Citation** Journal of Chemical Physics, 2011, v. 135 n. 20, article no. 204308 [[How to Cite?](#)]

DOI: <http://dx.doi.org/10.1063/1.3663619>

**Abstract** Electronic transition spectrum of cobalt monoboride (CoB) in the visible region between 495 and 560 nm has been observed and analyzed using laser-induced fluorescence spectroscopy. CoB molecule was produced by the reaction of laser-ablated cobalt atom and diborane (B<sub>2</sub>H<sub>6</sub>) seeded in argon. Fifteen vibrational bands with resolved rotational structure have been recorded, which included transitions of both Co 10B and Co 11B isotopic species. Our analysis showed that the observed transition bands are  $\Delta\Omega=0$  transitions with  $\Omega'' = 2$  and  $\Omega'' = 3$  lower states. Four transition systems have been assigned, namely, the [18.1] 3Π 2-X 3Δ 2, the [18.3]  $\phi$  3φ 3-X 3Δ 3, the [18.6] 3-X 3Δ 3, and the [19.0] 2-X 3Δ 2 systems. The bond length,  $r_0$ , of the X 3Δ 3 state of CoB is determined to be 1.705 Å. The observed rotational lines showed unresolved hyperfine structure arising from the nuclei, which conforms to the Hunds case (a β) coupling scheme. This work represents the first experimental investigation of the CoB spectrum. © 2011 American Institute of Physics.

**ISSN** [0021-9606](#)

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**Funding Agency**

Research Grants Council of the Hong Kong Special Administrative Region, China

**Grant Number**

HKU 701008

**Funding Information:**

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## Electronic transitions of cobalt monoboride

Y. W. Ng, H. F. Pang, and A. S.-C. Cheung<sup>a)</sup>

*Department of Chemistry, The University of Hong Kong, Pokfulam Road, Hong Kong*

(Received 21 August 2011; accepted 2 November 2011; published online 30 November 2011)

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### I. INTRODUCTION

Many transition metal (TM) borides are known catalysts for the hydrogenation of alkenes and alkynes, reduction of nitrogenous functional groups and deoxygenation reactions. Besides, metal borides are also good ion conductors and possess remarkable physical properties such as very high conductivity ( $MgB_2$  Ref. 1), and even superconductivity ( $MgB_2$ ),<sup>3</sup> and super-hardness ( $ReB_2$ ).<sup>4</sup> Recently, boron nitride nanotubes could be synthesized from zirconium boride ( $ZrB_2$ ) rod in a nitrogen atmosphere using electric arc discharge.<sup>5</sup> Despite the chemical and physical importance, very little is known about

In this paper, we report the analysis of electronic transitions of the CoB molecule recorded during the lifetime of laser vaporization of cobalt in the presence of diborane. The spectra of both  $Co^{10}B$  and  $Co^{11}B$  isotopes were resolved and recorded. One of the aims in this work is to identify and characterize the ground state of CoB. Electronic configurations giving rise to the observed electronic states have also been examined using a molecular orbital energy level diagram.

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## Electronic transitions of cobalt monoboride

Y. W. Ng<sup>1</sup>, H. F. Pang<sup>1</sup> and A. S.-C. Cheung<sup>1,a)</sup>

+ VIEW AFFILIATIONS

<sup>a)</sup> Author to whom correspondence should be addressed. Electronic mail: hrscsc@hku.hk. Tel.: [☎ \(852\) 2859 2155](tel:+85228592155). Fax: (852) 2857 1586.

J. Chem. Phys. **135**, 204308 (2011); <http://dx.doi.org/10.1063/1.3663619>

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Electronic transition spectrum of cobalt monoboride (CoB) in the visible region between 495 and 560 nm has been observed and analyzed using laser-induced fluorescence spectroscopy. CoB molecule was produced by the reaction of laser-ablated cobalt atom and boron in argon. Fifteen vibrational bands with resolved rotational structure were observed, which included transitions of both Co<sup>10</sup>B and Co<sup>11</sup>B isotopes. Our analysis showed that the observed transition bands are  $\Delta\Omega = 0$  transitions with  $\Omega'' = 2$  and  $\Omega' = 3$  lower states. Four transition systems have been assigned, namely, the  $[18.1]3^3\Pi_2-X^3\Delta_2$ , the  $[18.3]3^3\Phi_3-X^3\Delta_3$ , the  $[18.6]3^3-X^3\Delta_3$ , and the  $[19.0]2^3-X^3\Delta_2$  systems. The bond length,  $r_e$ , of the  $X^3\Delta_3$  state of CoB is determined to be 1.705 Å. The observed rotational lines showed unresolved hyperfine structure arising from the nuclei, which conforms to the Hund's case ( $a_\beta$ ) coupling scheme. This work represents the first experimental investigation of the CoB spectrum.

**Key Topics**

- Ground states
- Bondes
- Cobalt
- Isotopes
- Chemical bonds
- Laser induced

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Electronic transitions of cobalt monoboride.

THE JOURNAL OF CHEMICAL PHYSICS 135, 204308 (2011)

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In this paper, we report the analysis of electronic transitions of the CoB molecule recorded using the technique of laser vaporization/reaction free jet expansion and laser-induced fluorescence (LIF) spectroscopy in the visible region. Spectra of both Co<sup>10</sup>B and Co<sup>11</sup>B isotopes were resolved and reported. Our analysis of the spectra allows us to identify and characterize the ground state of CoB. Electronic configurations giving rise to the observed electronic states have also been examined using a molecular orbital energy level diagram.

### II. EXPERIMENTAL

The apparatus used in the present study has been described in earlier papers.<sup>10,11</sup> Only a brief description of the relevant experimental conditions for obtaining the CoB spectrum is given here. Frequency-doubled Nd:YAG laser pulses with 5–6 mJ, were focused onto the surface of a cobalt rod to

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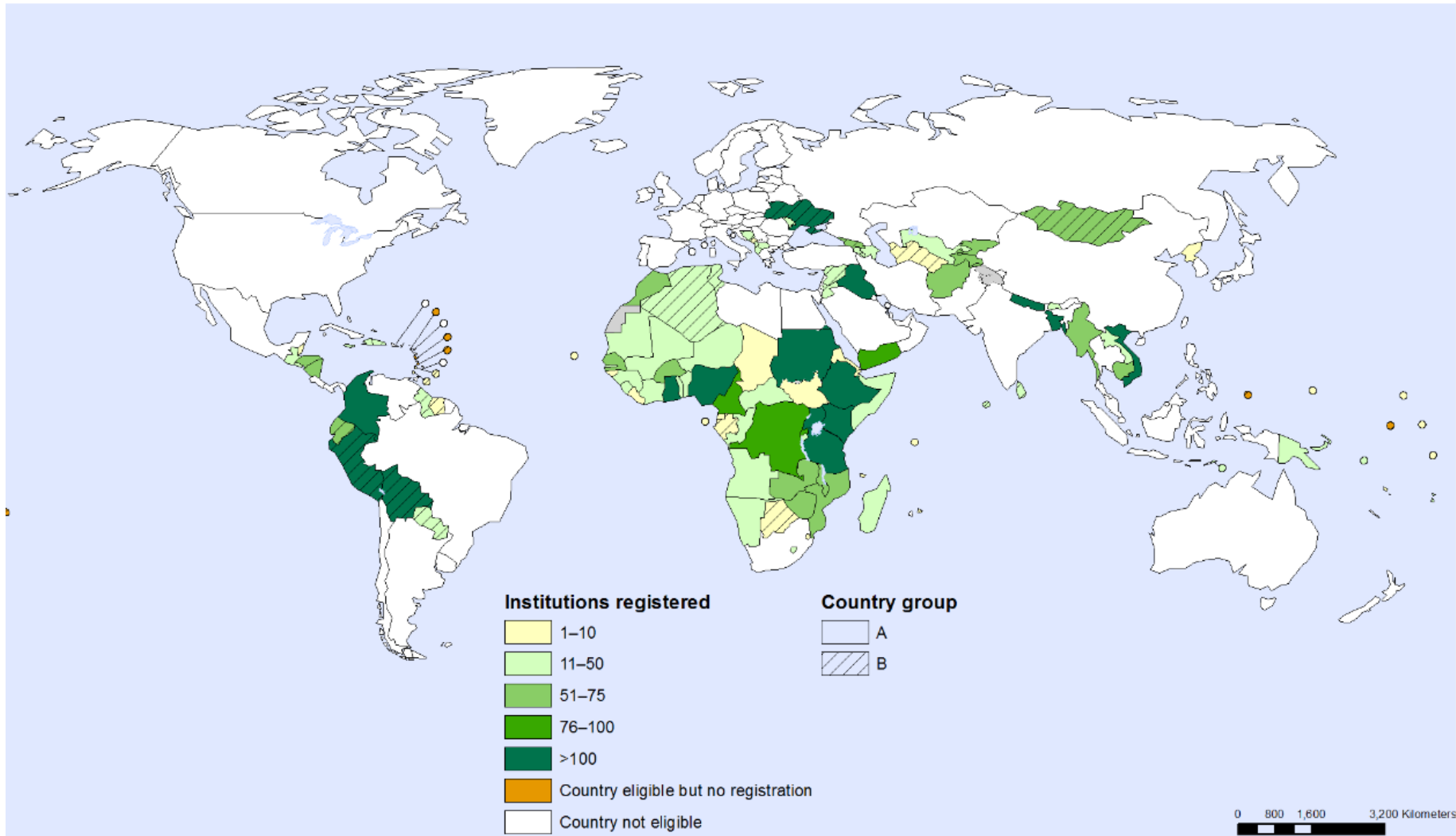


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# OA for developing countries

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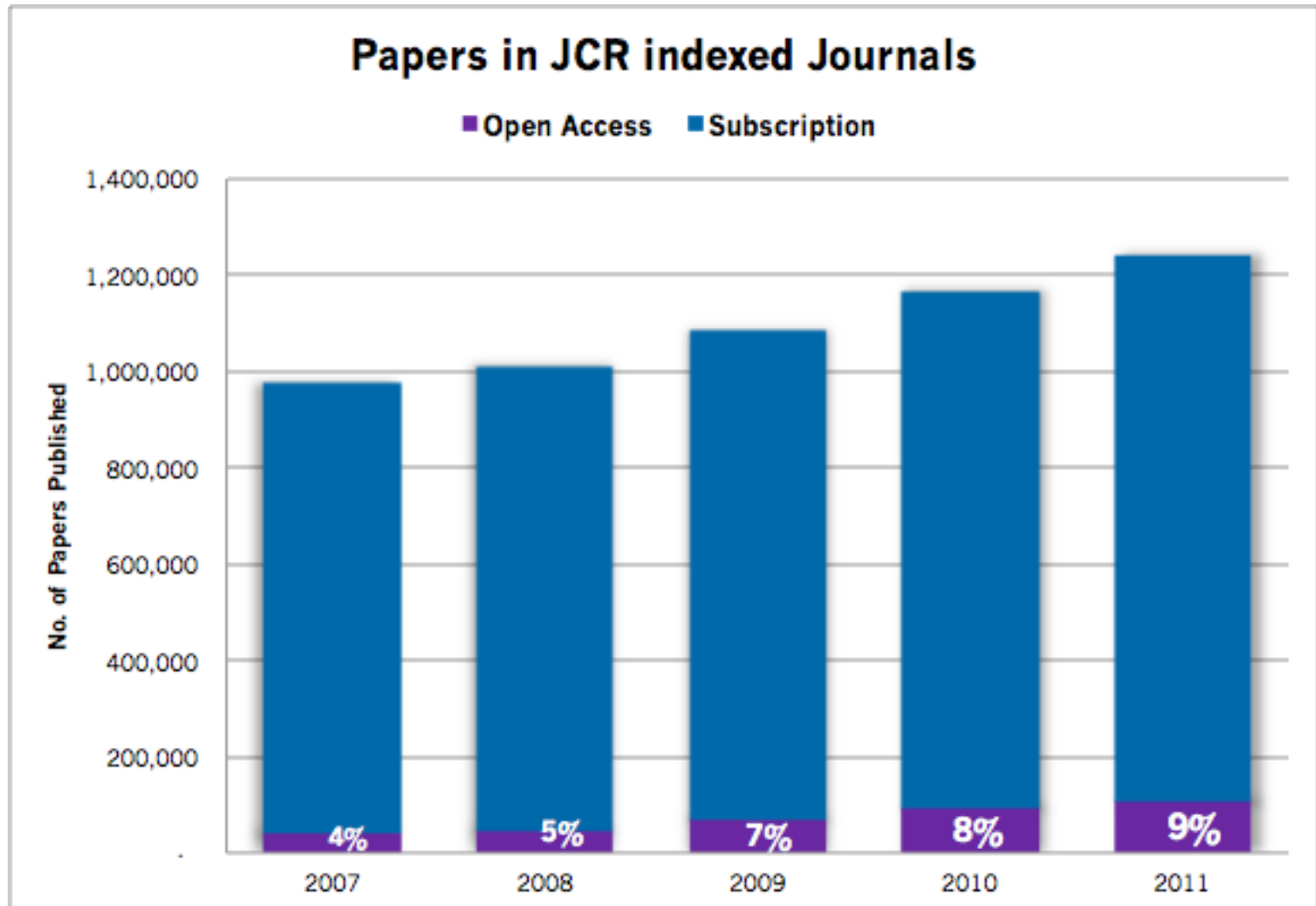
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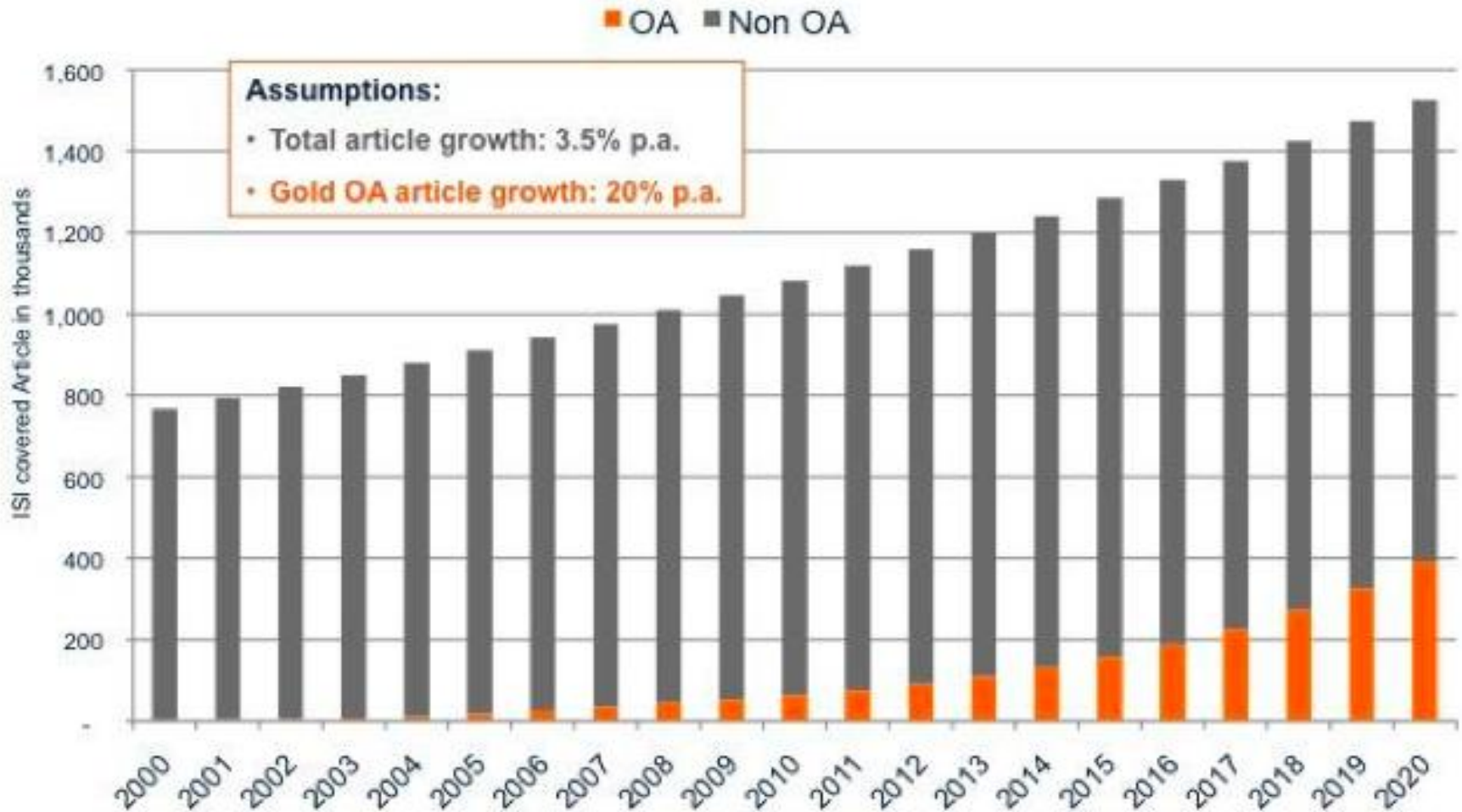


# The growth of OA



# The 'Gold' Open Access market share in 2020?

[For all Thompson-Reuters-indexed (ISI) articles -- not just Springer]



Projections of Gold OA Growth for ISI-indexed journal articles (data from Springer publishers). Growth will reach 27% of all journal articles by 2020.

[http://www.richardpoynder.co.uk/Open\\_Access\\_By\\_Numbers.pdf](http://www.richardpoynder.co.uk/Open_Access_By_Numbers.pdf)

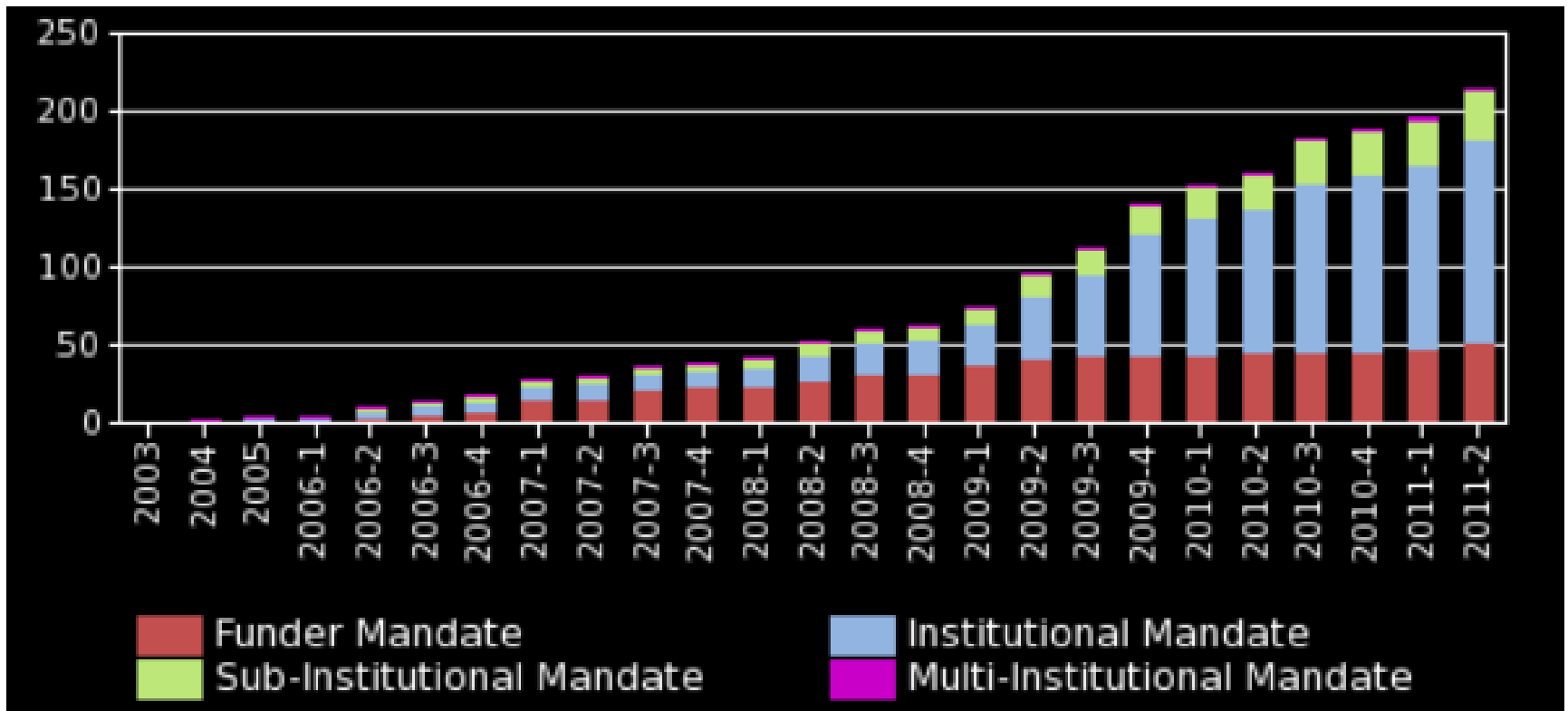
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- To make publications arising from research freely available - through green and/or gold
- For example:
  - a university may require that its researchers deposit copies of publications in its institutional repository (aim: showcase institution's research);
  - a funder may offer money to cover costs of gold OA (aim: inform the public about research results)

# Three mandate sources

- Government - eg European Union, NIH
- Research funder - eg Research Councils UK
- Employer - including Harvard, MIT, Stanford, University College London, and University of Edinburgh

# Registry of Open Access Repositories Mandatory Archiving Policies (ROARMAP)



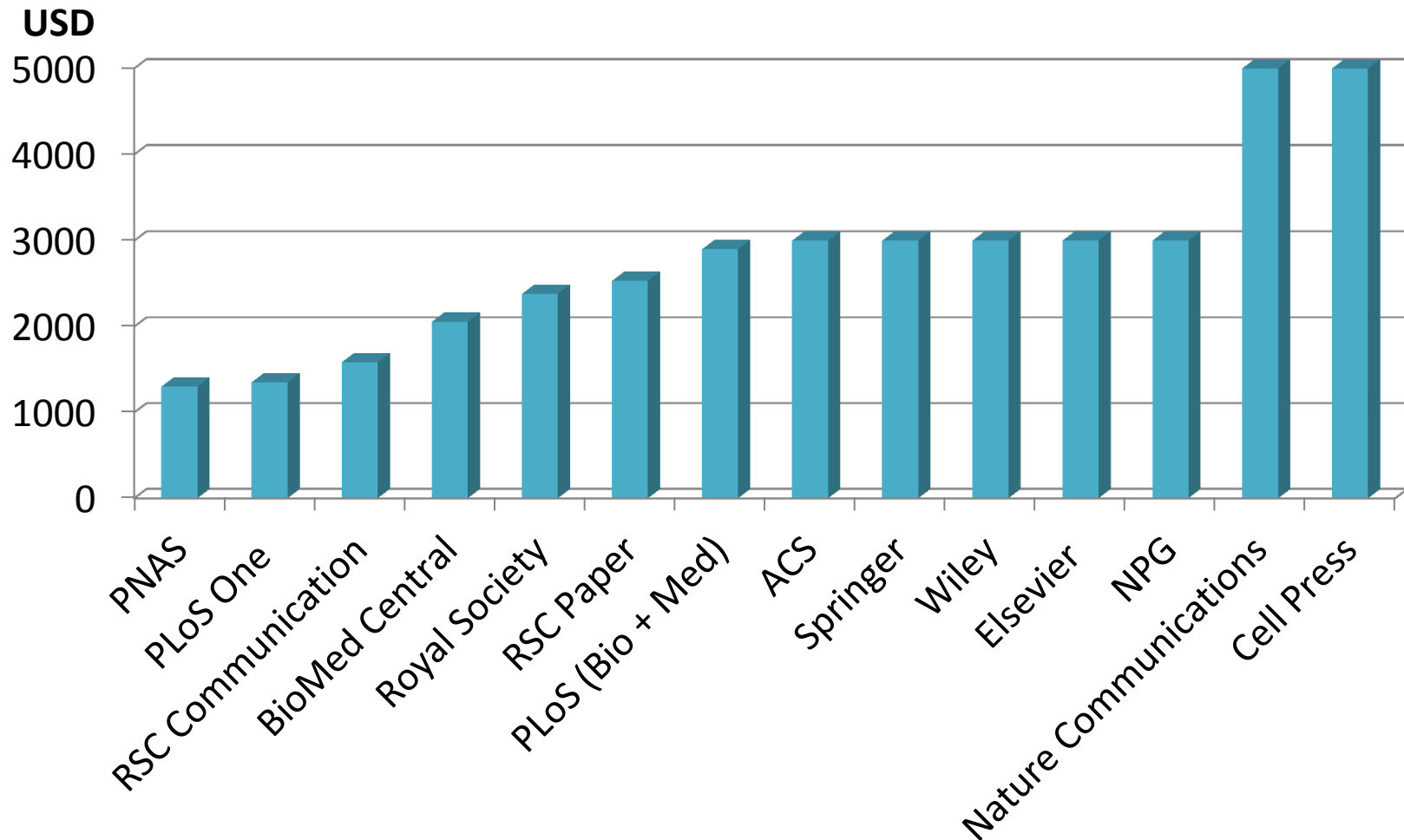
# Exercise

- In your groups, discuss the benefits and problems of Open Access for:
  - Librarians
  - The Public
  - Academics
  - Publishers
- Record your responses to feedback to the whole group

# Objections to Open Access

- Misconception that OA journals are not subject to the same rigorous **peer-review** process as traditional journals.
- Promotion and tenure are inexplicably intertwined with the notion of publishing in established, “**brand name**” journal titles (Nosek and Bar-Anan 2012).
- **Fees** (APC – article processing charges) for Gold OA are significant and subsidies not always clear.
- Suitability for all disciplines?
- Shifting support (\$s) from “creation of knowledge to the **dissemination** of knowledge”.

# Scholars favor Open-Access Journals, but quality and fees are concerns



Source: RSC - Cost of Publishing OA Articles



## Advice

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March 24, 2014

### One Size Doesn't Fit All in Open Access

How a creative-writing faculty had to lobby for changes to protect graduate students' work



Illustration by Mark Shaver for The Chronicle

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*By Jennifer Sinor*

Andrew called me about a year after he had graduated from our master's program in English. "My thesis is for sale on Amazon," he said, without salutation or preamble. "It costs \$50."



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- Beall's List (Potential, possible, or probable predatory scholarly open-access publishers)
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  - Mandates
  - Misleading metrics
  - Open-access policy
  - Open-access sanctions
  - Plagiarism
  - Platinum open access
  - Scholarly Open-Access Publishers
  - spam email
  - Unethical Practices
- <http://scholarlyoa.com/publishers/>



Image Source: Simon Fraser University Library, <http://www.lib.sfu.ca/node/12169>

# OA and librarians

- Costs
- Permissions to reproduce/reuse etc
- Serials crisis
- Boosting role and visibility



# OA and the public

- Taxpayer funded
- Greater access for all means a better world
- Developing countries



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# OA and the academic

- Greater exposure of research
- Larger number of citations
- Meeting like-minded researchers
- Greater research funding opportunities
- Status
- Tenure
- etc



# Recent developments 2013/14

- **US federal agencies** to provide public access to research publications within one year (Feb 2013)
- The **UK HEFCE** proposed that after 2014, only open access articles would count in the UK's Research Excellence Framework (REF) (Feb 2013)
- **PeerJ** offers a one time subscription fee for authors to publish for life
- **UNESCO** releases an open access repository



# Recent developments 2013/14

- **Wellcome Trust** extended its open access policy to cover monographs and book chapters
- **PLOS** passed the 100,000 article milestone (Dec 2013)
- **SCOAP3** is launched by CERN (Dec 2013)
- **“Access to Research”** initiative 8,400 journals from Elsevier, Wiley-Blackwell, Springer, Taylor and Francis and Nature Publishing Group for walk-in access to UK public libraries (February 2014)
- **PLOS** journals need to have a ‘data availability statement’ (March 2014)



# Recent developments 2013/14



## STM PUBLISHING NEWS

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### Open access to be a requirement for UK research funding

in [Open Access](#) — April 1, 2014

Jisc today welcomed the announcement by [Higher Education Funding Council for England](#) (HEFCE), the [Scottish Funding Council](#), the [Higher Education Funding Council for Wales](#) and the [Department for Employment and Learning](#) that from 2016 they will expect all articles submitted to the [Research Excellence Framework](#) (REF), a system for assessing the quality of research, to be available by open access.

This framework will be used by the HEFCE, the [Scottish Funding Council](#), the [Higher Education Funding Council for Wales](#) and the [Department for Employment and Learning](#) in Northern Ireland to inform the selective allocation of their research funding to higher education institutions. This means that any university which applies for research funding will have to show how they support open access.



# Breaking News!!



CHINESE ACADEMY OF SCIENCES



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## News Updates

### CAS Issues Open Access Policy

2014-05-16

The Chinese Academy of Sciences (CAS) will promote open access to scientific articles generated from publicly funded research, the academy announced Thursday in Beijing.

In a statement, CAS said it will require its researchers and graduate students to deposit final, peer-reviewed manuscripts of research articles into the open access repositories of their respective institutes within 12 months of their official publication in academic journals.

CAS will also encourage researchers to deposit previously published articles into their respective institutional repositories as well.

The academy said open access will "facilitate knowledge dissemination and accelerate the globalization of science," thus quickly transforming knowledge into innovation and benefiting social development.

As part of its new policy, the academy has also authorized libraries and information departments to develop detailed open access guidelines in accordance with copyright laws.

CAS noted that open access will continue to evolve and the academy expects further cooperation with the



# Breaking News!!



国家自然科学基金委员会  
National Natural Science Foundation of China

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## 全球研究理事会2014年全体大会将于5月下旬在京举行

日期 2014-05-16 来源: 新华网 作者: [【大】](#) [【中】](#) [【小】](#) [【打印】](#) [【关闭】](#)

由中国科学院、国家自然科学基金委员会和加拿大科学与工程研究理事会共同主办的全球研究理事会 (Global Research Council, GRC) 2014年全体大会将于2014年5月26日至28日在北京举行。继前两次分别在美国和德国召开后, 2014年北京大会是GRC第三次全体大会, 届时将有来自全球50多个国家的70多家研究理事会和主要科研机构的领导和代表参加。据悉, 此次会议将继续围绕科技论文的开放获取进行讨论, 另一讨论的主题是青年科技人才的培养, 期望围绕这两个主题形成新的行动方案和原则声明。

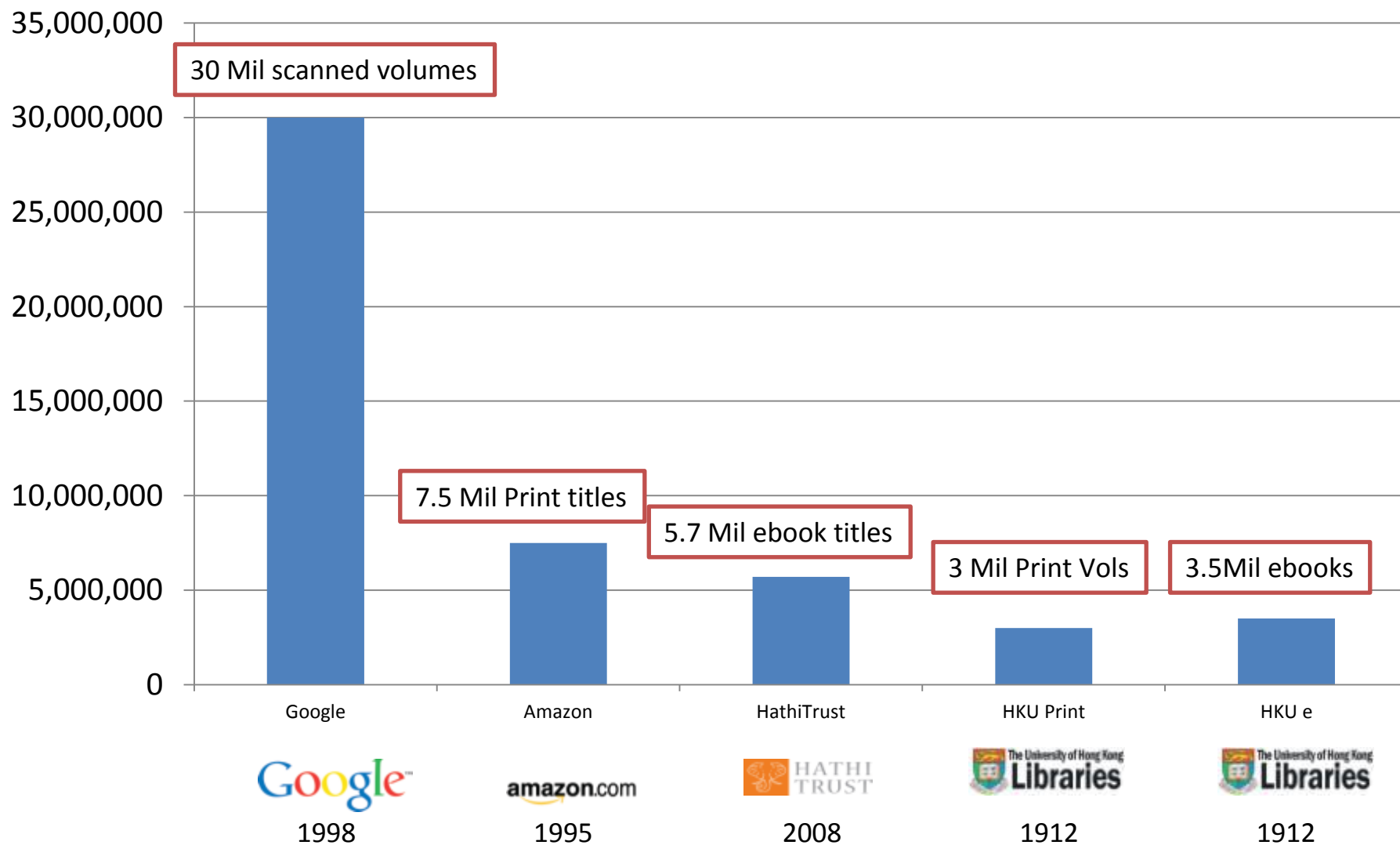
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# Mass digitisation

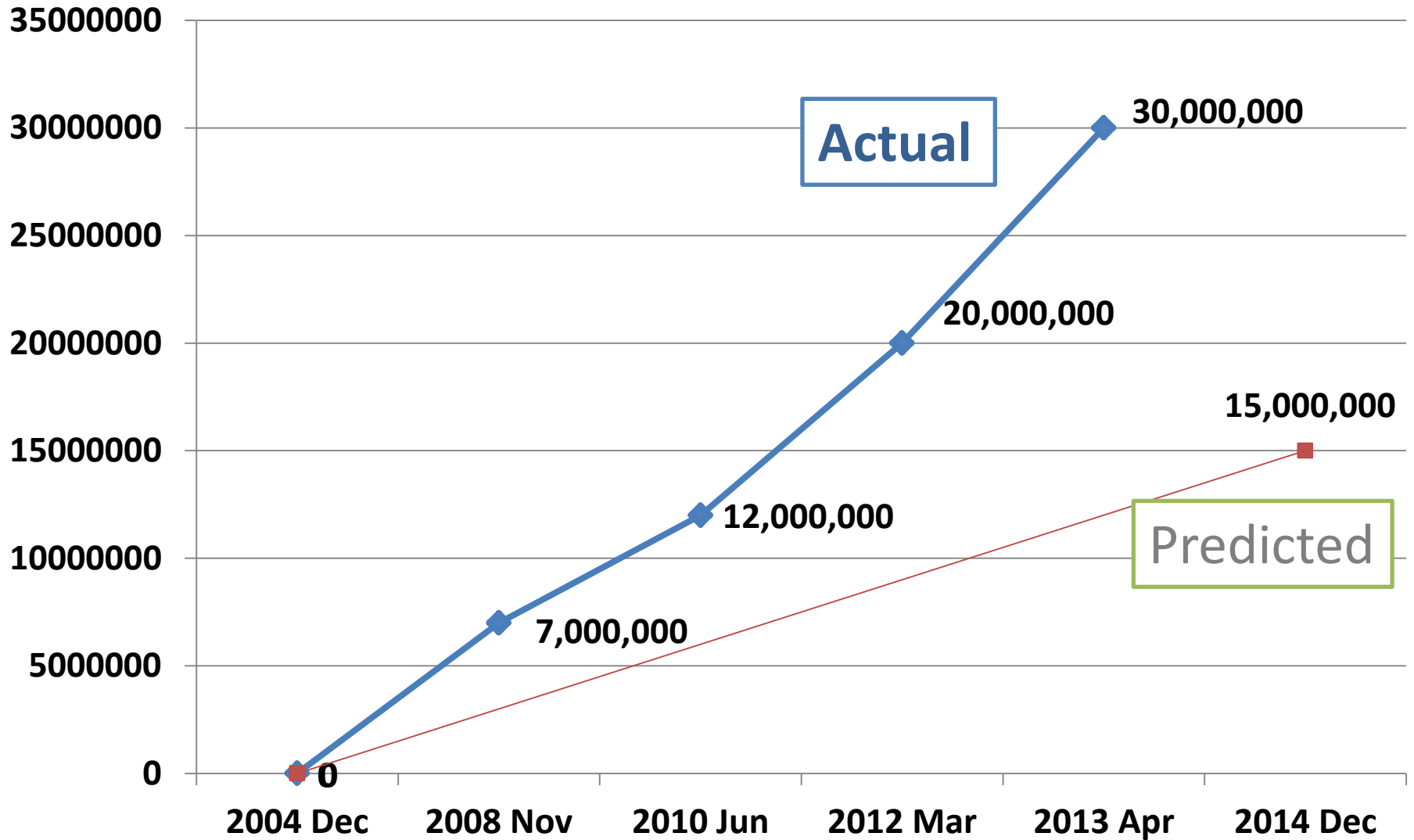
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# Staggering Growth!



# Growth in Google Books

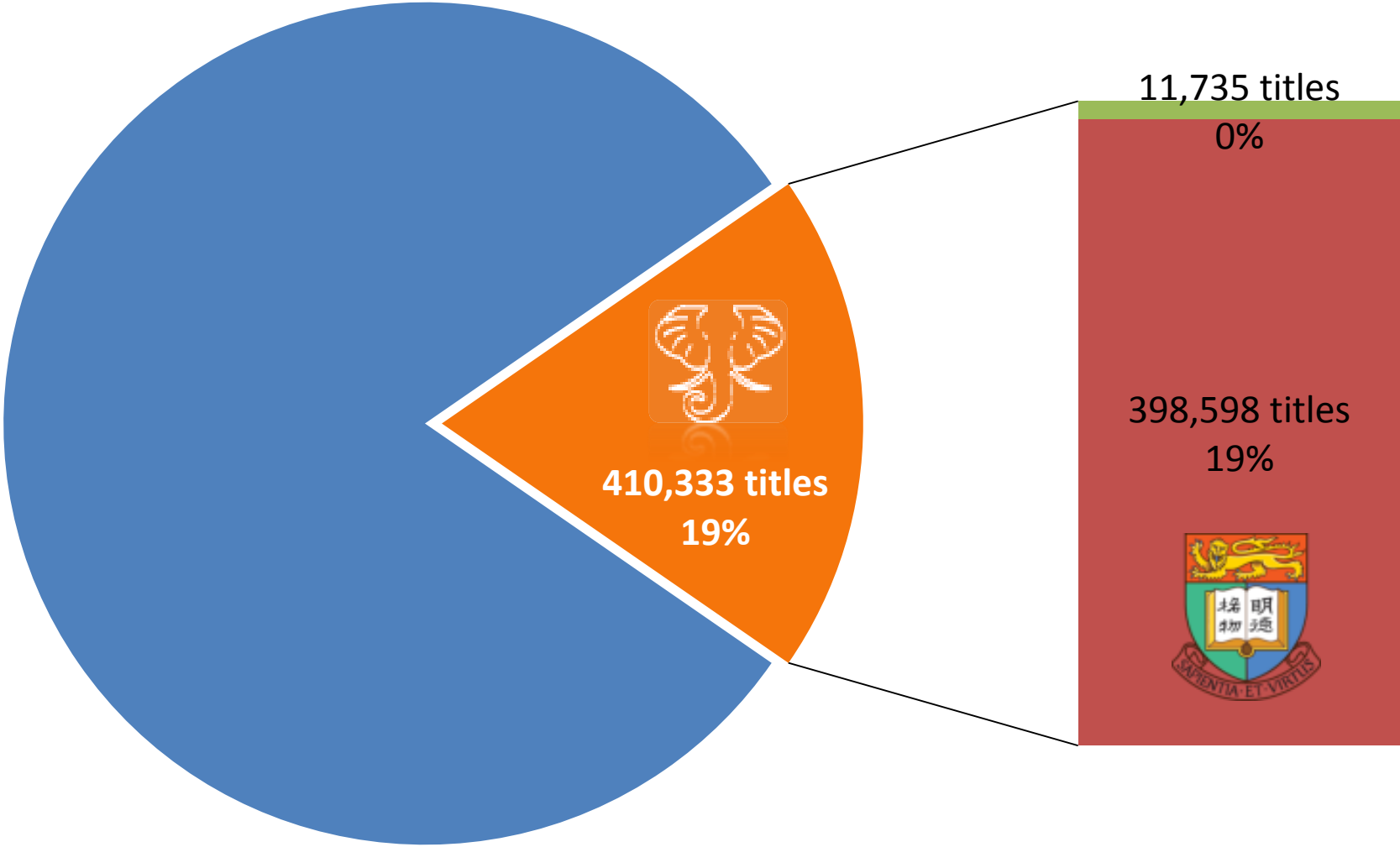


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- 289,497 serial titles
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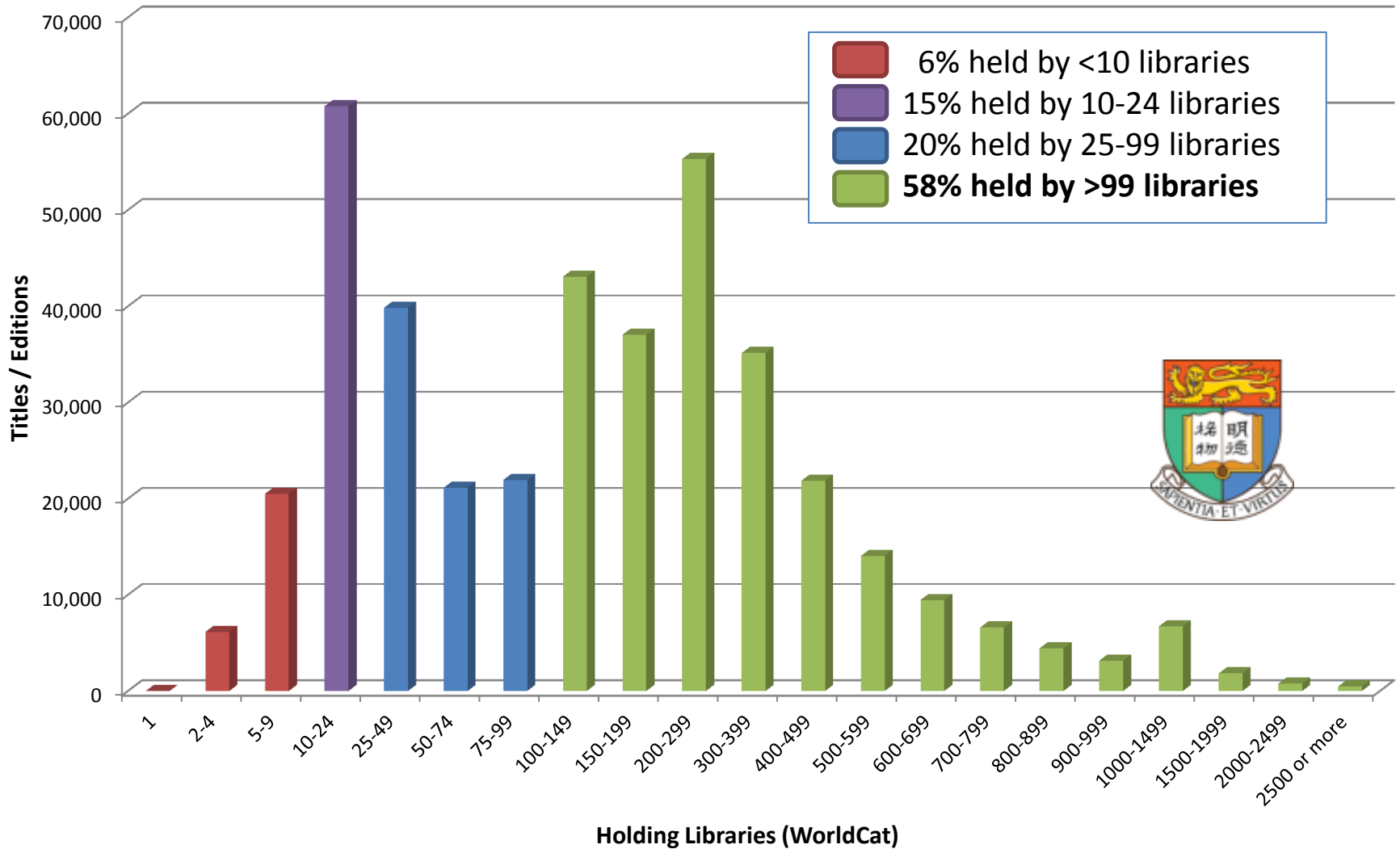


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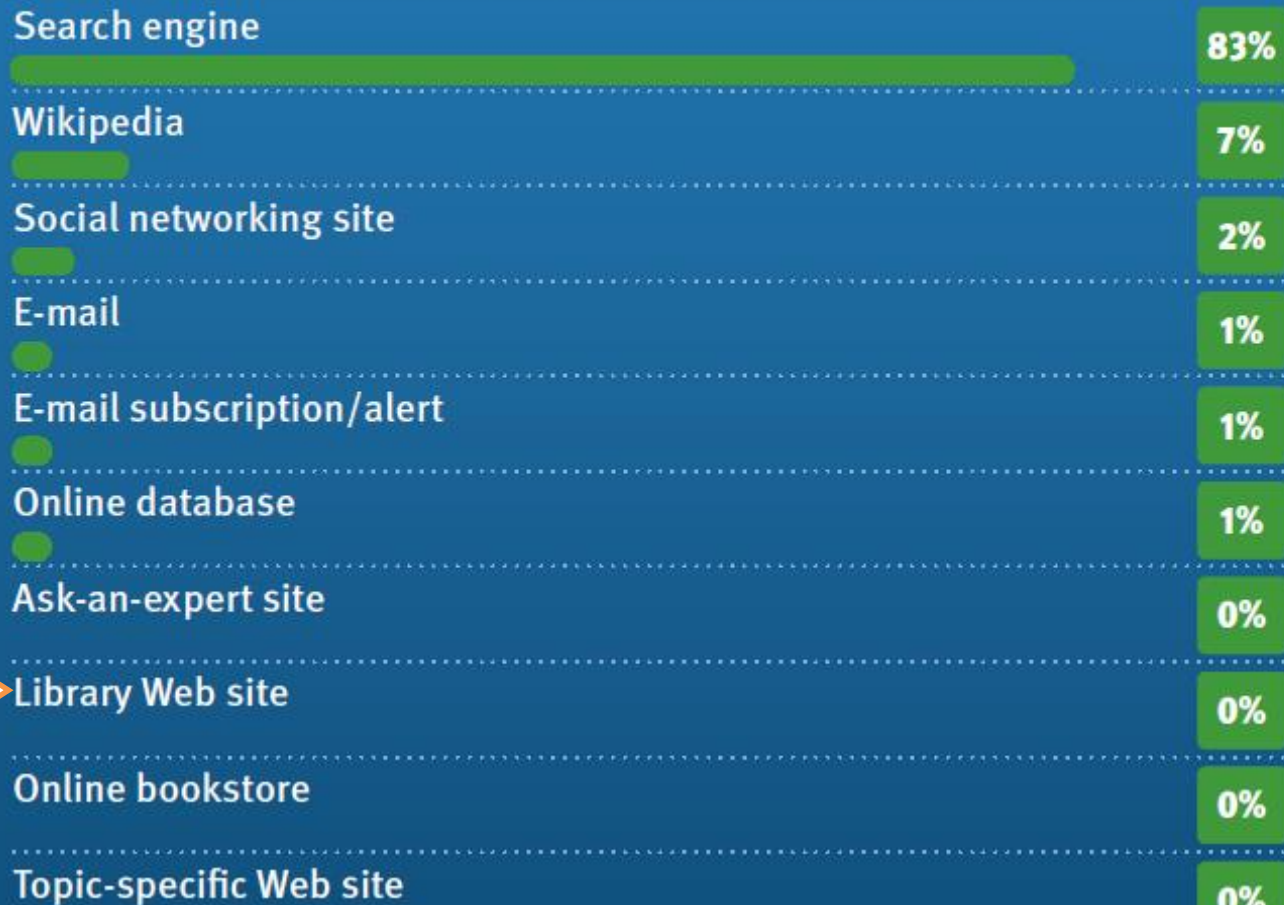
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enormous...**

**...but largely unrealised (for now).**

**“The Library is at the heart  
of the university”**

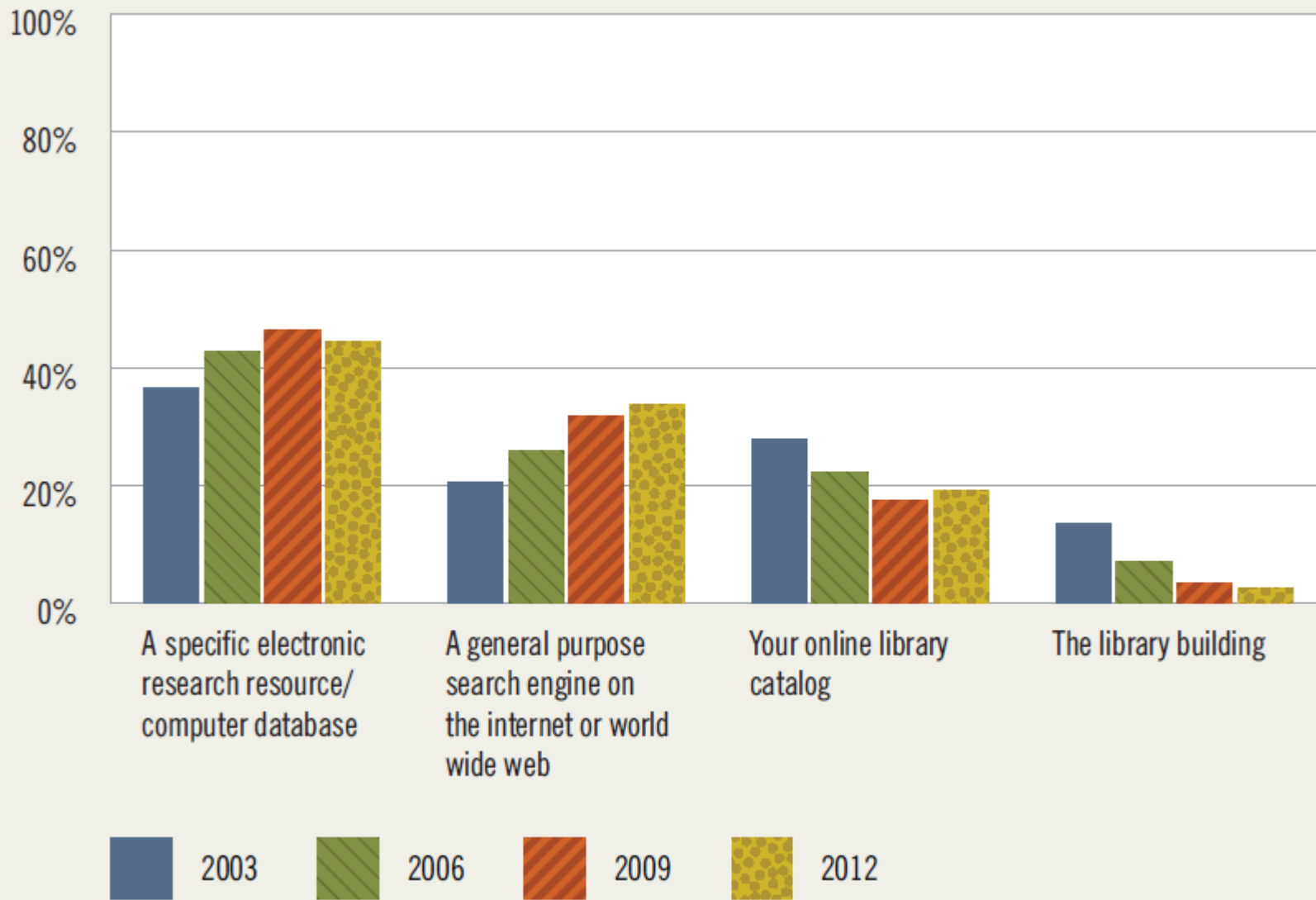
**...or so *we* would like to  
believe**

## Where college students begin their information search

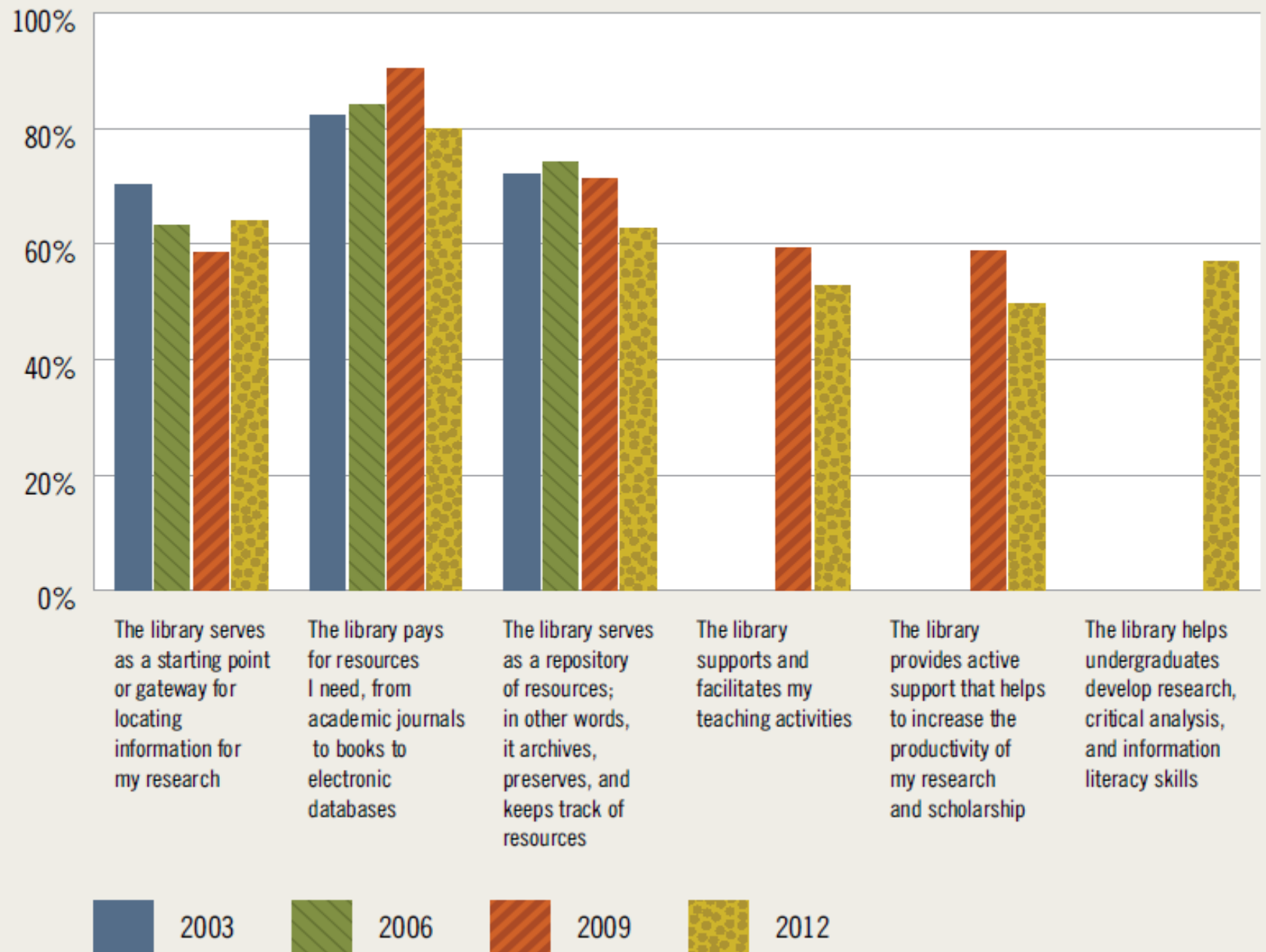


OCLC, *Perceptions of Libraries, 2010: Context and Community, A report to the OCLC Membership*, 2010. <http://www.oclc.org/reports/2010perceptions.en.html>

# Where do Faculty begin their research



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2005 2010 **22% DECREASE** ▼

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52% **▶** 48%  
2005 2010 **8% DECREASE** ▼



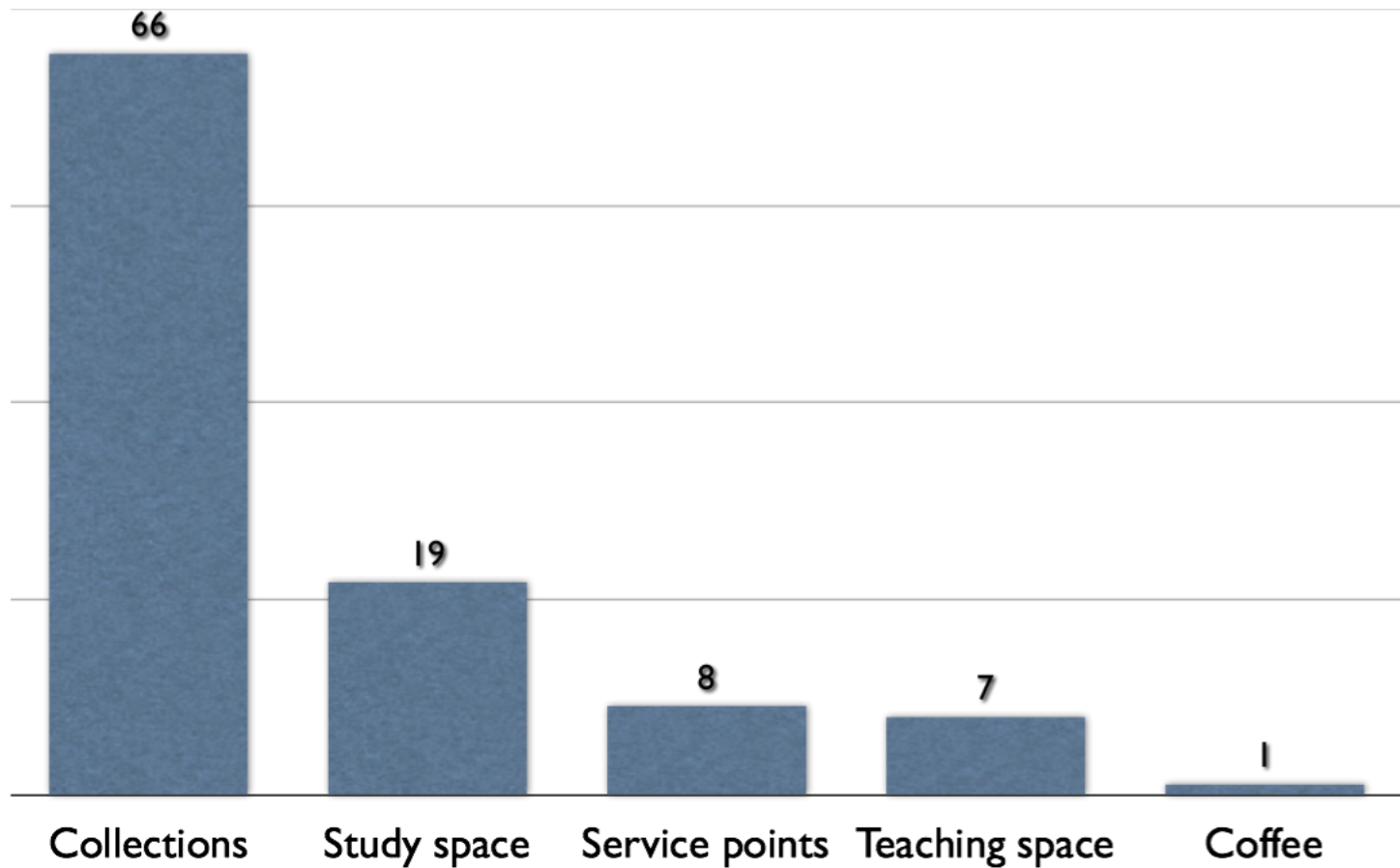
# Perceptions of Libraries, 2010

Context and Community

A REPORT TO THE OCLC MEMBERSHIP



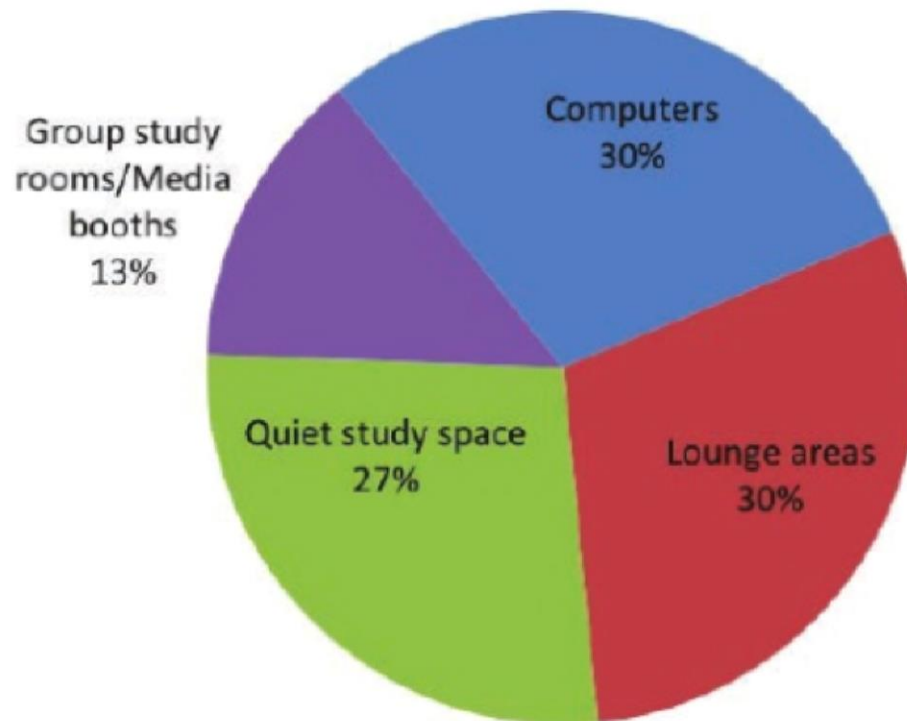
# Disposition of library space



Libraries designed for learning, CLIR 2003

# UNSW Survey, 2011

Which do you use the most?





# The major “disruptors” are:

- Declining purchasing power and budgets
- Digital content, increasingly user generated
- Open access
- Mass digitisation providing alternate access
- Ubiquitous access with mobile devices
- Declining usage
- Changing user demands

**There is a need for  
transformation**

**The time is upon us.**

# Collection leadership issues?

- Collaborative purchasing/licensing
- e- only policy
- Open access initiatives on campus
- Patron driven collection building
- De-accessioning/off-site storage for low use
- Shared storage
- “Radical” collaboration
- Repurposing spaces
- Repurposing positions/tasks/time

**Is your library addressing these  
issues?**

**Thank you.**