

**Dosage effects of histamine-2 receptor antagonist on the primary prophylaxis of non-steroidal anti-inflammatory drug (NSAID)-associated peptic ulcers: a retrospective cohort study**

**Running title:** Dosage effects of H2RA on prophylaxis of NSAID-associated PUs

**Keywords:** histamine-2 receptor antagonist (H2RA), non-steroidal anti-inflammatory drug (NSAID), peptic ulcer (PU)

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## 1 **Abstract**

2 *Background* Histamine-2-receptor antagonist (H2RA) is one of the common gastroprotective co-  
3 therapies with non-steroidal anti-inflammatory drugs (NSAIDs) for the prevention or treatment of  
4 peptic ulcers (PUs). To date, no study has directly compared the prophylactic effectiveness between  
5 high-dose and low-dose H2RA.

6 *Objective* To compare the effectiveness of high-dose versus low-dose H2RAs in the primary  
7 prophylaxis of PUs among short-term NSAID users.

8 *Methods* A retrospective cohort study was conducted using the Clinical Data Analysis and  
9 Reporting System (CDARS) in Hong Kong. Patients aged 18 years or above who received a single  
10 prescription of oral NSAID with oral H2RA were identified within the study period (1 January 2009  
11 to 31 December 2012). Patients with a history or risk factors for PU in the corresponding two years  
12 prior to the index date (of the first NSAID prescription) were excluded. Log binomial regression  
13 analysis was used to calculate the relative risk of PU among NSAID users on high-dose-H2RA  
14 versus low-dose-H2RA exposure.

15 *Results* Among the NSAID cohort (n=102 042), 77 509 (76%) were on low-dose-H2RA and 24 533  
16 (24%) were on high-dose-H2RA. Of the total 69 PU cases identified during the drug exposure  
17 period, 64 (0.08%) received low-dose-H2RA and 5 (0.02%) received high-dose-H2RA. The overall  
18 absolute risk of PUs for NSAID users whilst on H2RA was approximately 1 per 1 479 patients. The  
19 adjusted relative risk for NSAID users receiving high-dose-H2RA versus low-dose-H2RA was 0.32  
20 (95% Confidence interval 0.13 to 0.79). Patients aged  $\geq 65$  years, on longer duration of treatment, or  
21 concomitant use of antiplatelet agents were found to be at higher risk of PU.

22 *Conclusion* High-dose-H2RA showed greater effectiveness than low-dose-H2RA in the primary  
23 prophylaxis of NSAID-associated PUs in short-term new-users.

24 **(Word count: 275)**

25 **Key Points:**

- 26 • The effectiveness of high-dose and low-dose histamine-2 receptor antagonists for the  
27 prevention of peptic ulcers has not been directly compared.
- 28 • The absolute risk of peptic ulcer among non-steroidal anti-inflammatory drug new-users  
29 with concurrent use of histamine-2 receptor antagonists was approximately 0.07%, and the  
30 incidence rate was approximately 11.4 per 1000 patient-years.
- 31 • High-dose histamine-2 receptor antagonist showed greater effectiveness than its low-dose  
32 form in the primary prophylaxis of NSAID-associated PUs in short-term new-users.

## 33 **1. Introduction**

34 Non-steroidal anti-inflammatory drugs (NSAIDs) are among the most commonly prescribed  
35 treatment used for pain relief, fever and rheumatic disorders such as rheumatoid arthritis,  
36 osteoarthritis, acute gout, and other inflammatory pain management [1-3]. However, as NSAIDs  
37 inhibit the production of prostaglandins and increase gastric acid secretion [4], their potential to  
38 cause peptic ulcers (PUs), including gastric and duodenal ulcers, remains a major concern [5]. A  
39 previous study showed that the baseline incidence of hospitalisation with upper gastrointestinal  
40 event in patients receiving NSAIDs was about 2% [6]. In addition, several risk factors for NSAID-  
41 associated PUs are well-documented, including prior history of gastrointestinal events, aged 65  
42 years or older, high dose NSAID, and concurrent use of corticosteroids, anticoagulants and  
43 antiplatelet agents [7]. Gastroprotective agents (GPA) such as histamine-2-receptor antagonist  
44 (H2RA), proton pump inhibitor (PPI) and misoprostol are commonly prescribed together with  
45 NSAIDs for the treatment or prevention of PUs [8-12].

46 A Cochrane review reported that both standard-dose H2RA (ranitidine 300mg/day or famotidine  
47 40mg/day) and high-dose H2RA (ranitidine 600mg/day or famotidine 80mg/day) were effective  
48 compared with placebo in the prevention of NSAID-associated endoscopic PUs (i.e. peptic mucosal  
49 lesion observed under endoscopy [13]). The relative risk (RR) for standard-dose H2RA was 0.63  
50 (95% Confidence Interval 0.45 to 0.88) and 0.41 (95% CI 0.26 to 0.63) for high-dose H2RA. As the  
51 95% CI overlapped in this indirect comparison, it is unclear whether high-dose H2RA is indeed  
52 more effective.

53 We were unable to identify any published head-to-head study comparing high-dose versus standard-  
54 dose H2RA, as all data were based on indirect comparisons. Therefore, it is difficult to draw  
55 conclusions on the effectiveness of different doses of H2RAs in preventing NSAID-associated PUs.  
56 Most of the clinical trials investigating NSAID-associated PU prophylaxis/treatment included  
57 patients with a previous history of PU, i.e. secondary prophylaxis. For instance, all the patients

58 included in Wolde *et al*'s study had a history of ulcer [14]. Hudson *et al*'s study included 28% and  
59 31% of patients with previous ulcers in the placebo and H2RA treatment group, respectively [15]. It  
60 is still unclear how effective different doses of H2RA are in primary prophylaxis. Finally, it has  
61 been argued that many endoscopic ulcers may, in fact, be asymptomatic with no clinical symptoms  
62 [13,16, 17], which are different from clinical ulcers (i.e. symptomatic ulcers or ulcer complications).  
63 In addition, Yeomans *et al* demonstrated the difficulty with using endoscopic PU as an outcome in  
64 that a standard-dose H2RA (ranitidine 300mg/day) group was almost 3.5 times more likely to  
65 develop endoscopic PU than the PPI group. However, Yeomans' study also reported no difference  
66 between PPI and standard-dose H2RA in preventing clinical PUs [18]. These debates reveal a  
67 "translational evidence gap" in the randomised control trial results and the clinical practice.  
68 Therefore, investigating the effectiveness of different doses of H2RA in preventing NSAID-  
69 associated PU in real-life practice becomes an important public health issue in places like Hong  
70 Kong, where H2RAs are the main prophylactic treatment prescribed [19].

71 The objective of our study therefore was to investigate the absolute risk and incidence rate of  
72 clinical PUs among NSAID users whilst on H2RA and, to compare the effect of high-dose versus  
73 low-dose H2RA in the primary prophylaxis of NSAID-associated PUs in short-term users.

## 74 **2. Methods**

### 75 *2.1. Data sources*

76 In this study, we used the Clinical Data Analysis and Reporting System (CDARS), a database  
77 developed by the Hong Kong Hospital Authority (HA). The HA is a statutory body which manages  
78 all publicly-funded hospitals and their ambulatory clinics (primary and specialist out-patient) in  
79 Hong Kong [20]. Prescriptions obtained from HA ambulatory clinics must be dispensed by HA  
80 pharmacies because community pharmacies do not dispense HA prescriptions. As a publicly-funded  
81 primary, secondary and tertiary healthcare provider, the HA's health service is available to all Hong  
82 Kong residents (over 7 million people) [21].

83 In 1995, the HA developed Clinical Management System (CMS). The CMS is a computerised  
84 clinical management system which allows clinicians to order, document and review patient care  
85 through an electronic patient record. Patient data are recorded in CMS by trained clinicians, and  
86 typically include basic demographics, diagnosis, payment method, prescriptions, laboratory tests,  
87 admissions and discharge information, which are directly transferred to CDARS. Only trained  
88 clinicians are able to prescribe through CMS, where the drug name, dose and frequency are stored.  
89 Prescriptions are forwarded to the corresponding pharmacy department and verified by a registered  
90 pharmacist who dispenses the drugs.

91 CDARS contains the records of all in-patients and out-patients attending HA clinics and hospitals,  
92 including data transferred from the Accident and Emergency Information System, Medical Record  
93 Abstract System, In-Patient Administration System, Pharmacy Management System/Corporate  
94 Drug Dispensing History. Patient records are anonymised (name, Hong Kong identification card  
95 number, address and telephone number are withheld) to maintain confidentiality. A reference  
96 number is generated to facilitate data retrieval and further analysis. CDARS contains clinical data  
97 from 42 public hospitals and institutions via seven geographic clusters in Hong Kong [22] and has  
98 been used in several high quality epidemiological studies [23-26].

## 99 *2.2. Study Design*

100 This is a retrospective cohort study to investigate the dose effect of H2RA in NSAID users with  
101 respect to the clinical outcome of PU.

## 102 *2.3. Patient identification*

103 An inception cohort of patients aged 18 years or above prescribed NSAIDs with H2RA issued by  
104 the ambulatory clinic between 1 January 2009 and 31 December 2012 (study period) was retrieved  
105 from the CDARS database. The NSAIDs and H2RAs included in the HA formulary are shown in  
106 **Table 1**. We defined the date of the first NSAID prescription during the study period as the index

107 date. We specifically selected patients with only one prescription for consistency in the setting of  
108 numerous clinical possibilities including treatment course definition of multiple NSAID  
109 prescriptions and switching between NSAIDs.

#### 110 **2.4. Exclusion criteria**

111 Patients with unknown date of birth, gender, prescription information, or with multiple or non-oral  
112 NSAID prescriptions during the study period were excluded. To obtain a new-user cohort, those  
113 who had received NSAIDs within the screening period (2 years prior to the index date) were  
114 excluded. Further, patients with a previous diagnosis of PU or *Helicobacter pylori* (*H. pylori*)  
115 infection, received triple therapy for *H.pylori* eradication (**Table 1**) or gastrointestinal endoscopy  
116 procedure during the screening period were also excluded. The International Classification of  
117 Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) codes used for identifying diseases  
118 and procedures are listed in **Table 2**. The flowchart in **Figure 1** illustrates patient inclusion and  
119 exclusion.

#### 120 **2.5. Definitions of Exposure**

121 Based on the British National Formulary (63<sup>rd</sup> edition),[27] high-dose H2RA was defined as  
122 double-dose or higher, and low-dose was defined as lower than double-dose (including standard-  
123 dose) (**Table 3**). The drug exposure period was defined as the prescription period in which patients  
124 were concurrently prescribed NSAID with H2RA. The observation was censored by the end of the  
125 prescription, diagnosis of PU, prescription of another GPA (e.g. PPI, misoprostol), death or end of  
126 study period (31 December 2012), whichever was earliest.

#### 127 **2.6. Outcome**

128 The outcome of interest in this study was PU within the drug exposure period during 2009-2012.  
129 PU diagnoses were identified from the primary diagnostic codes (ICD-9-CM 531, 532, 533 and 534)  
130 (**Table 2**), including acute or chronic peptic ulcers with or without mention of haemorrhage or



131 perforation. Ninety-six percent of the PU cases were confirmed with GI endoscopy, GI surgery or  
132 related diagnostic procedures (**Table 2**). All PU cases were confirmed with a record of hospital  
133 admission. Only the first episode of PU was counted and observation was censored thereafter.

### 134 **2.7. Covariates**

135 The commonly reported risk factors for PU were considered in our study as covariates: age  
136  $\geq 65$  years; concomitant use of corticosteroids, anticoagulants or antiplatelet agents (**Table 1**);  
137 NSAID types (ibuprofen, diclofenac, naproxen and others); NSAID doses (low, medium/high) and  
138 duration of NSAID exposure [6, 28]. Based on the British National Formulary (63<sup>rd</sup> edition) and  
139 existing literature [27, 29, 30], the dose of NSAID was categorised into low and medium/high dose  
140 (**Table 3**).

### 141 **2.8. Statistical Analysis**

142 The adjusted RR of PU in NSAID users receiving high-dose versus low-dose-H2RA and  
143 corresponding 95% confidence intervals were estimated using log-binomial regression. The effect  
144 of age, gender and other covariates mentioned previously were also analysed.

145 The crude absolute risks (AR) and incidence rates (IR) of experiencing PU in comparative groups  
146 and overall patients were calculated based on the following equations:

crude absolute risk (AR)

$$= \frac{\text{Number of patients diagnosed with PU within the observation period}}{\text{Total number of patients}}$$

$$\text{crude incidence rate (IR)} = \frac{\text{Number of new PU cases within the observation period}}{\text{patient years at risk within the observation period}}$$

147 The Wilson score interval was used to calculate the corresponding 95% confidence interval for the  
148 AR [31]. The 95% confidence interval of IR was calculated based on Rothman and Greenland's  
149 method [32].

150 The number needed to treat (NNT) was calculated to illustrate the observed effect size using the  
151 equation  $NNT=1/(\text{risk among low-dose-H2RA users with PU} - \text{risk among high-dose-H2RA users}$   
152  $\text{with PU})$  [33].

### 153 **2.9. Sample size calculation**

154 Kelsey *et al*'s method was used to calculate the sample size required [34]. Assuming that the  
155 background incidence of hospitalisation with PUs is approximately 2% [6], a minimum sample size  
156 of 6 223 and 18 668 patients in each arm is required respectively, in order to detect a RR of 0.65  
157 comparing high-dose versus low-dose-H2RA (the RR from Rostom *et al*) [17] with 80% power  
158 (two-sided 95% CI).

### 159 **2.10. Sensitivity and subgroup analyses**

160 Three sensitivity analyses were performed to test the robustness of the study results. The first  
161 analysis addressed issues around the delayed effect of drug exposure and development of PU, as  
162 well as potential non-compliance scenarios by extending the follow-up period for 30 days. The  
163 second analysis included any PU diagnosis as an outcome instead of restricting them to diagnosis  
164 during hospitalisation, to assess whether the inclusion of out-patient diagnosis would affect the  
165 conclusion. The final sensitivity analysis excluded any PU diagnosis without confirmation with GI  
166 endoscopy, GI surgery or related diagnostic procedures.

167 Subgroup analysis was also performed to estimate the RR of high-dose versus low-dose H2RA in  
168 three groups of patients separately; elderly patients (aged 65 or above), and patients with longer  
169 treatment duration (30-60 days, or over 60 days).

170 Data analyses were performed using Statistical Analysis System (SAS) version 9.3 (SAS Inc.,  
171 United States). A significance level of 5% was used in all statistical analyses.

## 172 **3. Results**

173 **3.1. Patient characteristics**

174 Between 2009 and 2012, a total of 102 042 patients with a single prescription of oral NSAID with  
175 co-prescription of H2RA met the inclusion criteria (**Figure 1**). Of these patients, 77 509 (76.0%)  
176 were on low-dose-H2RA (32 751, 42.3% male), and 24 533 (24.0%) were on high-dose-H2RA (10  
177 463, 42.6% male).

178 Patient characteristics by exposure group of different doses of H2RA are detailed in **Table 4**. Over  
179 99.9% of patients were prescribed famotidine in clinical practice in Hong Kong. More than 20% of  
180 patients were aged 65 years or older. Over 70% of patients were on medium or high dose NSAID in  
181 both treatment groups. In NSAID users receiving low-dose-H2RA, the most commonly prescribed  
182 oral NSAID were diclofenac, followed by naproxen and ibuprofen; while diclofenac, ibuprofen and  
183 naproxen were the most commonly prescribed NSAID in the high-dose-H2RA group. In both  
184 groups, less than 10% of patients were concurrently prescribed corticosteroids, anticoagulants or  
185 antiplatelet agents respectively. Over 80% of the NSAID prescriptions were of short duration (i.e.  
186 less than 1 month) in both treatment groups, with a mean duration of 23 and 18 days in low-dose-  
187 H2RA and high-dose-H2RA groups respectively.

188 **3.2. Crude absolute risks and incidence rates of PU hospitalisation**

189 The ARs and IRs of PU are shown in **Table 5**. A total of 69 PU cases were identified during drug  
190 exposure in the study cohort, in which 64 patients received low-dose-H2RA and 5 received high-  
191 dose-H2RA. The AR of PU whilst on low-dose-H2RA in NSAID users was 0.08% (0.06% to  
192 0.11%), and the AR was 0.02% (0.01% to 0.05%) whilst on high-dose-H2RA. The overall AR of  
193 PU was 0.07% (0.05% to 0.09%), approximately 1 per 1 479 patients.

194 The IR of PU in NSAID users whilst on low-dose-H2RA was 13.3 per 1000 patient-years (10.4 to  
195 17.0), whereas the IR was 4.1 per 1000 patient-years (1.7 to 9.9) whilst on high-dose-H2RA. The  
196 overall IR of PU in these NSAID users was 11.4 per 1000 patient-years (9.0 to 14.5).

197 **3.3. Number needed to treat**

198 The number needed to treat to prevent PUs among NSAID users in Hong Kong would be  $1 / [(64/77$   
199  $509) - (5/24\ 533)] = 1\ 608$ , if the estimated effect was seen in a randomised trial. We estimated that  
200 an average of 48 cases of PU could have been prevented if all patients were given high-dose H2RA  
201 during the study period.

202 **3.4. Adjusted relative risk of PU hospitalisation**

203 The adjusted RR of PU comparing high-dose-H2RA versus low-dose-H2RA in NSAID users was  
204 0.32 (0.13 to 0.79), indicating the superior effectiveness of high-dose-H2RA in preventing NSAID-  
205 associated PUs in this study population (**Table 6**).

206 Patients aged 65 years or above showed a significantly higher risk of experiencing PU with a RR of  
207 11.84 (6.34 to 22.14) compared to those under 65 years old. Moreover, the risk of PU was  
208 significantly higher in patients with longer treatment duration. Compared to short-term treatment  
209 (less than 1 month), the respective RR was 3.94 (2.06 to 7.55) for 30-60 days treatment and 4.76  
210 (2.75 to 8.23) for treatment longer than 2 months.

211 Patients receiving concurrent antiplatelet agents showed a significantly higher risk of PU than those  
212 who did not, with a RR of 1.85 (1.08 to 3.17).

213 Our results also demonstrate that female and male patients receiving NSAID plus H2RA showed a  
214 similar risk of PU, with a RR of 0.69 (0.43 to 1.11). In addition, there was no significant difference  
215 in PU risk for patients receiving different doses or types of NSAID.

216 **3.5. Sensitivity and Subgroup analyses**

217 All sensitivity analyses yielded similar results to the main analysis (**Table 6**). In terms of subgroup  
218 analysis, there were 24 117 patients aged 65 or above, 7 469 patients with 30-60 days of treatment  
219 and 8 469 patients with over 60 days of treatment. Subgroup analysis showed that among elderly

220 patients, high-dose-H2RA was able to significantly lower the PU risk compared to low-dose-H2RA,  
221 with a RR of 0.36 (0.15 to 0.91) (**Supplementary Table 1**). High-dose-H2RA users of longer  
222 duration (30-60 days or over 60 days) were less likely to experience PU than low-dose-H2RA users;  
223 however, the results were not statistically significant.

## 224 **4. Discussion**

### 225 *4.1. Comparisons with other studies and implications of results*

226 Indirect comparison from the Cochrane meta-analysis shows that high-dose H2RAs are not  
227 significantly more effective than low-dose H2RAs in the prophylaxis of endoscopic PUs [17]. To  
228 our knowledge, our study was the first to demonstrate that the risk of clinical PU was significantly  
229 lower among new NSAID users prescribed with high-dose compared to low-dose-H2RA. H2RAs  
230 suppress both the basal and stimulated acid secretion by blocking histamine type-2 receptors on the  
231 parietal cells, therefore serving as gastroprotective agents commonly used for prophylaxis or  
232 treatment of NSAID associated PU. As an inverse agonist and competitive antagonist of histamine,  
233 the dose-dependent effect of H2RAs may be the reason that high-dose H2RA has higher efficacy  
234 for the prophylaxis of NSAID-associated PU [35-37].

235 Current guidelines recommend that for patients at high (e.g. prior PU or with more than two  
236 gastrointestinal (GI) risk factors) or moderate risk (one to two GI risk factors) of PU, NSAID plus  
237 misoprostol or PPIs should be used rather than H2RAs [28, 38, 39]. However, Ho *et al* reported that  
238 of the NSAID users who developed ulcer bleeds while on GPA prophylaxis, approximately 80%  
239 received H2RA rather than PPI in Hong Kong [19]. The choice of H2RA over PPI is likely to be  
240 influenced by the fact that PPI costs up to 30 times more than H2RA in Hong Kong. A  
241 pharmacoeconomics study conducted by Brown *et al* also concluded that the optimal strategy for  
242 PU prophylaxis in NSAID-users depends on ‘willingness-to-pay’ and co-therapy with H2RAs is the  
243 least costly strategy [40]. Another economic analysis even suggested H2RAs be co-prescribed to all  
244 NSAID users for ulcer prophylaxis, especially among patients with low- to average-PU risk [11].

245 To date, H2RAs are much more commonly prescribed than PPIs in Hong Kong due to cost  
246 constraints, whereas studies report that PPI prescriptions have overtaken that of H2RAs in NSAID  
247 users in other countries such as Australia, Netherlands and Spain [41-43].

248 However, our results showed that among the NSAID users concurrently receiving H2RAs, 76%  
249 received low-dose-H2RA as primary prophylaxis for PU compared to 24% of patients receiving  
250 high-dose H2RA. This might be of concern for clinical practice in Hong Kong, since high-dose  
251 H2RA should be preferred given the evidence of greater prophylactic effect compared to low-dose  
252 [8]. Although the choice of H2RAs for PU prophylaxis among NSAID users is, to some extent,  
253 reasonable in Hong Kong, high-dose-H2RA should be prescribed over low-dose-H2RA.

254 The overall AR of PU in users prescribed NSAID with H2RA was 69 per 102 042 patients (0.07%),  
255 which is much lower than that reported in the literature [6, 44]. The most probable explanation for  
256 this low absolute PU risk is due to the “new-user” and “new-patient” design of our study. Since  
257 patients with prior PU, NSAID/GPA exposure, *H. pylori* infection or previous GI endoscopy  
258 procedures at the screening period were excluded; it is not surprising that PU risks among these new  
259 patients are much lower.

260 In line with previous studies, our results showed that patients aged 65 or above posed a significantly  
261 higher risk of NSAID-associated PU with a RR of 11.84 (6.34 to 22.14). Further, longer NSAID  
262 treatment duration led to an approximately 3-4 fold higher risk of PU. Subgroup analysis showed  
263 the greater protective effect of high-dose compared to low-dose H2RAs in the elderly subgroup.  
264 High-dose-H2RA users of longer duration (30-60 days or over 60 days) were also less likely to  
265 experience PU than low-dose-H2RA users; however, the results did not reach significance possibly  
266 due to the low number of patients with PU in the subgroup. Nevertheless, these findings highlight  
267 the importance of an appropriate approach to PU prophylaxis in clinical practice among elderly  
268 NSAID users. Shorter NSAID treatment duration is preferred and high-dose H2RAs should be used  
269 for PU prophylaxis.

270 Previous studies and guidelines have stated that concurrent use of corticosteroids, anticoagulants or  
271 antiplatelet agents are well-established risk factors for NSAID-associated GI events [28, 45-47].  
272 Our results show that concomitant use of antiplatelet agents resulted in a higher risk of clinical PU  
273 among NSAID users despite the dosage of H2RA. However, there was no significant difference in  
274 PU risk between patients with and without concurrent treatment of corticosteroids or anticoagulants.  
275 The study is not adequately powered to detect the difference possibly due to the scant number of PU  
276 cases and small proportion of concomitant use of these drugs (less than 10% respectively) among  
277 these new-users of NSAID plus H2RA.

278 MacDonald *et al* reported that patients receiving medium or high dose NSAID had a higher risk of  
279 developing complicated GI events, with RRs of 1.41 (1.03 to 1.93) and 1.92 (1.18 to 3.14)  
280 respectively. However, medium or high dose NSAIDs posed similar risks for overall GI events  
281 compared to low dose NSAIDs, with RRs of 1.25 (0.98 to 1.58) and 1.39 (0.93 to 2.07) [6]. From  
282 our findings, a slight tendency was also shown towards a non-significant higher risk of PU in  
283 patients receiving medium/high dose NSAIDs, with a RR of 1.05 (0.37 to 2.94). In addition,  
284 MacDonald *et al* showed that compared to ibuprofen, the RR of upper GI adverse event was 1.35  
285 (0.69 to 2.62) among diclofenac users and 1.44 (0.92 to 2.45) among naproxen users [6]. Our results  
286 also demonstrated that diclofenac and naproxen had a statistically non-significant higher PU risk  
287 compared to ibuprofen.

#### 288 ***4.2. Strengths and limitations of study***

289 To our knowledge, this is the first pharmacoepidemiological study comparing high-dose versus  
290 low-dose H2RA in the prophylaxis of NSAID-associated PU. One major advantage of our study is  
291 that the diagnosis of PU was identified by ICD-9-CM diagnostic codes as an outcome rather than  
292 endoscopic PU commonly used in clinical trials. Therefore, our study adds significant knowledge  
293 to the role of H2RA in the prophylaxis of NSAID-associated PU in real life practice. Further, we  
294 chose the “new-user” [48] and “new-patient” study design, which focused on the primary

295 prophylaxis of PU in patients with no previous drug exposures or PU history. This allowed us to  
296 specifically investigate new-users with low risk of PU, contributing important knowledge to guide  
297 current practice. By applying the new-user design, as all subjects enter the study at the same time  
298 with no previous drug exposures or outcomes, “survival bias” is avoided, providing a more  
299 accurate estimation of risk [48].

300 Several limitations should be acknowledged. Similar to databases from clinical healthcare  
301 management systems in Europe, such as the Clinical Practice Research Datalink (CPRD, previously  
302 known as the General Practice Research Database, GPRD)[49], CDARS does not include over-the-  
303 counter (OTC) medicines and data from private healthcare providers. This might have led to a  
304 potential underestimate of NSAID or GPA use among the study population. However, as the Hong  
305 Kong Hospital Authority provides territory-wide healthcare, which is available to all residents, the  
306 impact of missing private or OTC prescriptions is likely to be minimal [50]. Similar to other  
307 pharmacoepidemiological studies using databases, since we used the prescription record as a  
308 reflection of drug exposure, non-adherence cannot be directly addressed. However, we addressed  
309 this issue using sensitivity analysis and our conclusions are robust. There is a possibility that  
310 patients who were “perceived” to be at higher PU risk might have been prescribed high-dose H2RA.  
311 Therefore, our study might be biased against high-dose H2RA and underestimated its protective  
312 effects. Finally, we focused on a group of short-term users who received a single prescription for  
313 NSAID, thus our findings may not be generalised to other patients groups, such as those on long-  
314 term NSAID treatment. Further investigation involving patients with multiple NSAID prescriptions  
315 for long-term conditions/treatment using propensity score could be conducted to evaluate different  
316 patient groups.

## 317 **5. Conclusion**

318 High-dose H2RA showed greater effectiveness compared to low-dose H2RA in the primary  
319 prophylaxis of PU in short-term new-users of NSAIDs. The co-prescribing rate of low-dose H2RA



320 was 3-fold that of high-dose H2RA for the primary prophylaxis of NSAID-associated PUs in Hong  
321 Kong, and such practice should be discouraged.

## 322 **Authorship**

323 Guarantor of the article: YH, EWC and ICKW.

324 YH, EWC and ICKW contributed to the conception, development and design of the study. YH  
325 reviewed the literature. YH, KKCM and WCYL contributed to the analysis of data. YH, EWC,  
326 KKCM, WCYL, WKL, LMH and ICKW contributed to the interpretation of data. YH drafted the  
327 article. EWC, KKCM, WCYL, WKL, LMH and ICKW revised it critically for important  
328 intellectual content. EWC and ICKW provided oversight over all aspects of this study. All authors  
329 had full access to all of the data in the study and took responsibility for the integrity of the data and  
330 the accuracy of data analysis. All authors provided final approval of the version to be published.

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469 **Table 1. List of drugs included in this cohort study**

<b>Drug classification</b>	<b>List of drugs</b>
<b>NSAID</b>	Diclofenac, ibuprofen, indomethacin, mefenamic acid, naproxen, piroxicam, sulindac
<b>H2RA</b>	Ranitidine, famotidine, cimetidine
<b>PPI</b>	Pantoprazole, lansoprazole, esomeprazole, omeprazole, rabeprazole
<b>Other GPA</b>	Misoprostol, sucralfate, tripotassium dicitrato bismuthate, bismuth subcitrate, bismuth subnitrate, bismuth carbonate, bismuth + iodoform
<b>Triple therapy</b>	Pantoprazole/lansoprazole/esomeprazole/omeprazole/rabeprazole/ranitidine(bismuth) + amoxicillin + clarithromycin
<b>Corticosteroid</b>	Betamethasone, dexamethasone, fludrocortisone, hydrocortisone, methylprednisolone, prednisolone, triamcinolone acetonide
<b>Anticoagulant</b>	Enoxaparin, heparin, nadroparin, protamine sulphate, tinzaparin, warfarin, dabigatran
<b>Antiplatelet agent</b>	Aspirin, aspirin+glycine, dipyridamole, abciximab, clopidogrel, eptifibatide, prasugrel, aggrenox, ticlopidine

*GPA* gastroprotective agent, *H2RA* histamine-2 receptor antagonist, *NSAID* non-steroidal anti-inflammatory drug, *PPI* proton pump inhibitor

471 **Table 2. ICD-9-CM codes for peptic ulcers, gastrointestinal procedures, and *Helicobacter***  
 472 ***pylori* infection**

ICD-9-CM codes for PUs
531 gastric ulcer (531.0-531.9)
532 duodenal ulcer (532.0-532.9)
533 peptic ulcer, site unspecified (533.0-533.9)
534 gastrojejunal ulcer (534.0-534.9)
ICD-9-CM codes for gastrointestinal procedures
44.1 diagnostic procedures on stomach (44.11-44.19)
45.1 diagnostic procedures on small intestine (45.11-45.19)
44.4 control of haemorrhage and suture of ulcer of stomach or duodenum
87.62 upper GI series
88.01 computerized axial tomography of abdomen
88.02 other abdomen tomography
ICD-9-CM codes for <i>H. Pylori</i> infection
041.86 <i>H. pylori</i>

473 *GI* gastrointestinal, *ICD-9-CM* international classification of diseases, ninth revision, Clinical modification, *PU* peptic  
 474 ulcer

475 **Table 3. Dose classification of NSAIDs and H2RAs**

<b>H2RA</b>	<b>Low dose</b>	<b>High dose</b>
ranitidine	<600	≥ 600
famotidine	<80	≥ 80
cimetidine	<1,600	≥ 1,600
<b>NSAID</b>	<b>Medium/High dose</b>	
diclofenac	<75	≥75
ibuprofen	<1,200	≥1,200
indomethacin	<75	≥75
mefenamic acid	<1,500	≥1,500
naproxen	<500	≥500
piroxicam	<10	≥10
sulindac	<300	≥300

476 Doses are presented in mg/day

477 *H2RA* histamine-2 receptor antagonist, *NSAID* non-steroidal anti-inflammatory drug

478 **Table 4. Patient characteristics by exposure classified according to histamine-2 receptor**  
 479 **antagonist dose**

		NSAID+low-dose-H2RA	NSAID+high-dose-H2RA
<b>Total</b>		77,509	24,533
<b>H2RA type</b>	Famotidine	77,484 (99.97)	24,532 (100)
	Ranitidine	25 (0.03)	1 (0)
<b>Sex</b>	Male	32,751 (42.3)	10,463 (42.6)
	Female	44,758 (57.7)	14,070 (57.4)
<b>Age in years</b>	Mean (SD)	54 (16.5)	52 (16.4)
<b>Age category (years)</b>	< 65	58,507 (75.5)	19,418 (79.2)
	≥ 65	19,002 (24.5)	5,115 (20.8)
<b>NSAID dose</b>	Low	20,845 (26.9)	7,105 (29.0)
	Medium or high	56,664 (73.1)	17,428 (71.0)
<b>NSAID type</b>	Ibuprofen	15,181 (19.6)	5,644 (23.0)
	Diclofenac	41,193 (53.1)	14,198 (57.9)
	Naproxen	15,941 (20.6)	3,240 (13.2)
	Others <sup>a</sup>	5,194 (6.7)	1,451 (5.9)
<b>Concomitant drugs</b>	Corticosteroid	2,617 (3.4)	716 (2.9)
	Anticoagulant	7,223 (9.3)	2,036 (8.3)
	Antiplatelet agent	5,568 (7.2)	1,395 (5.7)
<b>Treatment duration (days)</b>	Mean (SD)	23 (32.1)	18 (28.2)
<b>Treatment duration category (days)</b>	< 30	64,509 (83.2)	21,595 (88.0)
	30-60	6,072 (7.8)	1,397 (5.7)
	> 60	6,928 (8.9)	1,541 (6.3)

480 Data are presented as n (%) unless otherwise indicated

481 *H2RA* histamine-2 receptor antagonist, *NSAID* non-steroidal anti-inflammatory drug, *SD* standard deviation

482 <sup>a</sup> Others: indomethacin, mefenamic acid, piroxicam, and sulindac



483 **Table 5. Absolute risks and incident rates of peptic ulcer hospitalization in users of non-**  
 484 **steroidal anti-inflammatory drug + histamine-2 receptor antagonist**

	<b>Low-dose-H2RA</b>	<b>High-dose-H2RA</b>	<b>Total</b>
<b>Number of patients</b>	77,509	24,533	102,042
<b>Number of incident PU cases</b>	64	5	69
<b>Absolute risk (% , 95%CI)</b>	0.08 (0.06 - 0.11)	0.02 (0.01 - 0.05)	0.07 (0.05 - 0.09)
<b>Total patient-years covered</b>	4,819	1,214	6,034
<b>Incidence rate per 1000 patient-years (95%CI)</b>	13.3 (10.4 - 17.0)	4.1 (1.7 - 9.9)	11.4 (9.0 - 14.5)

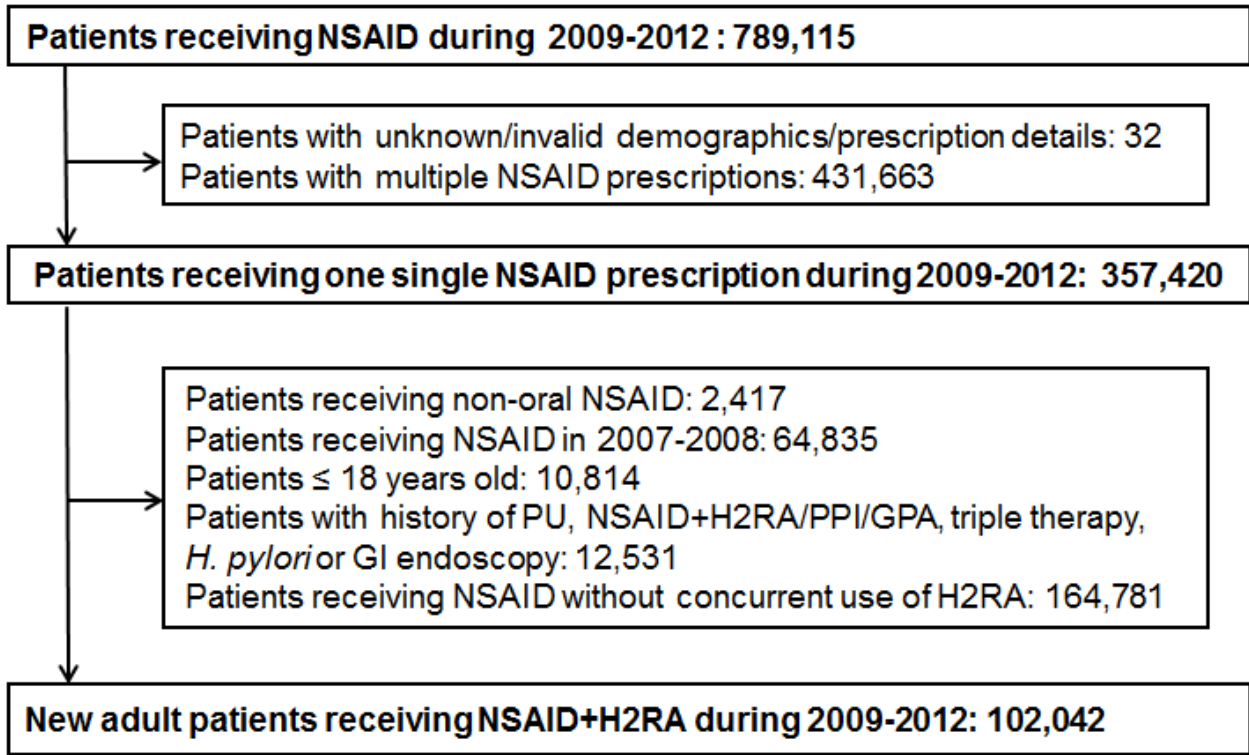
485 *CI* confidence interval, *H2RA* histamine-2 receptor antagonist, *NSAID* non-steroidal anti-inflammatory drug, *PU* peptic  
 486 ulcer

487  
488

**Table 6. Model details of the risk of peptic ulcer in users of non-steroidal anti-inflammatory drug + histamine-2 receptor antagonist**

		Adjusted RR <sup>a</sup> (95% CI)	P-value
<b>H2RA dose</b>	<b>Low</b>	<b>1.00</b>	-
	<b>High</b>	<b>0.32 (0.13 - 0.79)</b>	<b>0.014</b>
<b>H2RA dose (Sensitivity analysis 1<sup>b</sup>)</b>	<b>Low</b>	<b>1.00</b>	-
	<b>High</b>	<b>0.50 (0.31 - 0.82)</b>	<b>0.006</b>
<b>H2RA dose (Sensitivity analysis 2<sup>c</sup>)</b>	<b>Low</b>	<b>1.00</b>	-
	<b>High</b>	<b>0.31 (0.13 - 0.78)</b>	<b>0.013</b>
<b>H2RA dose (Sensitivity analysis 3<sup>d</sup>)</b>	<b>Low</b>	<b>1.00</b>	-
	<b>High</b>	<b>0.33 (0.13 - 0.83)</b>	<b>0.019</b>
<b>Sex</b>	Male	1.00	-
	Female	0.69 (0.43 - 1.11)	0.125
<b>Age</b>	< 65 years	1.00	-
	≥ 65 years	11.84 (6.34 - 22.14)	<.0001
<b>NSAID dose</b>	Low	1.00	-
	Medium or high	1.05 (0.37 - 2.94)	0.927
<b>NSAID type</b>	Ibuprofen	1.00	-
	Diclofenac	3.41 (0.83 - 14.00)	0.088
	Naproxen	2.71 (0.60 - 12.25)	0.196
	Others <sup>e</sup>	2.60 (0.61 - 11.16)	0.199
<b>Concomitant drugs</b>	No	1.00	-
	Corticosteroid	1.41 (0.57 - 3.51)	0.460
	Anticoagulant	0.93 (0.43 - 2.04)	0.866
	Antiplatelet agent	1.85 (1.08 - 3.17)	0.026
<b>Treatment duration category</b>	< 30 days	1.00	-
	30-60 days	3.94 (2.06 - 7.55)	<.0001
	> 60 days	4.76 (2.75 - 8.23)	<.0001

489 *CI* confidence interval, *GI* gastrointestinal, *H2RA* histamine-2 receptor antagonist, *NSAID* non-steroidal anti-  
490 inflammatory drug, *PU* peptic ulcer, *RR* relative risk  
491 <sup>a</sup> Estimates adjusted for age; sex; NSAID dose; NSAID type; concomitant use of corticosteroid, anticoagulant, or antiplatelet agent;  
492 treatment  
493 duration  
494 <sup>b</sup> The follow-up period was extended for 30 days  
495 <sup>c</sup> Any PU diagnosis was included as an outcome instead of restricting them to diagnosis during hospitalization  
496 <sup>d</sup> Any PU diagnosis without confirmation with GI endoscopy, GI surgery, or related diagnostic procedures was excluded  
497 <sup>e</sup> Others: indomethacin, mefenamic acid, piroxicam, and sulindac



499

500 **Figure 1. Illustration of patient inclusion/exclusion**

501 *GPA* gastroprotective agent, *H. Pylori* Helicobacter pylori, *H2RA* histamine-2 receptor antagonist, *NSAID* non-steroidal  
502 anti-inflammatory drug, *PPI* proton pump inhibitor, *PU* peptic ulcer

503 **Supplementary Table 1. Subgroup analysis of the risk of peptic ulcer in users of non-steroidal**  
 504 **anti-inflammatory drug+ histamine-2 receptor antagonist**

Subgroups	PU case/Patient number		Unadjusted RR (95% CI) (High vs Low)	P-Value
	High-dose-H2RA	Low-dose-H2RA		
Age ≥ 65 years	5 / 5,115	51 / 19,002	0.36 (0.15 - 0.91)	0.022
30-60 days treatment	1 / 1,397	12 / 6,072	0.36 (0.05 - 2.78)	0.484
> 60 days treatment	2 / 1,541	21 / 6,928	0.43 (0.10 - 1.82)	0.413

505 *CI* confidence interval, *H2RA* histamine-2 receptor antagonist, *NSAID* non-steroidal anti-inflammatory drugs, *PU* peptic  
 506 ulcer, *RR* relative risk