

1 **A method to separate temperature and precipitation signals encoded in tree-ring**
2 **widths for the western Tien Shan Mountains, northwest China**

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23 **Abstract:**

24 Separating temperature and precipitation signals encoded in tree rings is a
25 complicated issue. Here, we present a separation method by combining two tree-ring
26 width chronologies of Schrenk's spruce (*Picea schrenkiana*) from the upper and lower
27 timberlines in the western Tien Shan Mountains, northwest China. Correlation
28 analyses show that both chronologies correlate positively with precipitation. However,
29 temperature correlates positively with the chronology from the upper timberline,
30 while negatively with the chronology from the lower timberline. This suggests that the
31 two chronologies contain similar precipitation information but opposite temperature
32 signals. In light of this, we calculated the average and difference of the two
33 chronologies, and found that each of them has a much stronger correlation with
34 precipitation or temperature alone. Finally, we reconstructed local precipitation and
35 temperature variations over the past 201 years by using the average and difference of
36 the two chronologies. The two reconstructions do not have a significant correlation,
37 but they have significant positive and negative relationships on the high- and
38 low-frequency band, respectively.

39

40 **Keywords:** climate signals, tree rings, timberline, climate reconstruction, Tien Shan
41 Mountains, dendroclimatology

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45 **1. Introduction**

46 Temperature and precipitation are the two most important factors affecting tree
47 growth, and are often recorded in tree-ring width chronologies simultaneously.
48 However, the correlation patterns of the chronologies with temperature and
49 precipitation are different at different altitudes. For example, previous studies from
50 the arid and semiarid areas in northern China have shown that the chronologies from
51 the middle to lower forest zones are generally positively correlated with precipitation,
52 but negatively with temperature (Chen et al., 2015; Chen et al., 2012; Fang et al.,
53 2010; Fang et al., 2012; Li et al., 2007; Li et al., 2006; Liang et al., 2006; Liu et al.,
54 2009a; Shao et al., 2005; Sheppard et al., 2004; Song and Liu, 2011; Yang et al., 2011;
55 Zhu et al., 2004). Although the chronologies from these altitudes are mainly used to
56 study the precipitation variability (Chen et al., 2012; Liang et al., 2006; Liu et al.,
57 2009a; Shao et al., 2005; Sheppard et al., 2004; Yang et al., 2011), more and more
58 chronologies are found to have stronger relationships with the Palmer Drought
59 Severity Index (PDSI) (Chen et al., 2015; Fang et al., 2010; Fang et al., 2012; Li et al.,
60 2007; Li et al., 2006; Song and Liu, 2011; Tian et al., 2007). The PDSI is a direct
61 metric of moisture conditions taking both temperature and precipitation into account
62 (Dai et al., 2004; Palmer, 1965). This suggests that temperature also has an important
63 influence on tree growth in the middle to lower forest zones. By contrast, the
64 chronologies from the upper timberline are generally correlated positively with both
65 temperature and precipitation (Liu et al., 2005; Liu et al., 2009b; Liu et al., 2006; Yu
66 et al., 2007; Zhang et al., 2014; Zhu et al., 2004; Zhu et al., 2008). These chronologies

67 were mainly used to study the temperature variability (Liu et al., 2005; Liu et al.,
68 2009b; Yu et al., 2007; Zhang et al., 2014; Zhu et al., 2008). But some of them were
69 also used to reconstruct precipitation (Liu et al., 2006), suggesting that precipitation
70 also plays an important role on tree growth in the upper timberline. Obviously, we
71 should separate the two climate signals encoded in tree rings in order to get more
72 reliable precipitation and temperature reconstructions.

73 To our knowledge, there is no valid method to separate temperature and
74 precipitation signals recorded in tree-ring width chronologies yet. The aforementioned
75 studies showed that the chronologies from the middle to lower forest zones and the
76 upper timberline are both positively correlated with precipitation, but have opposite
77 relationships with temperature. Moreover, most of the chronologies showed similar
78 response to temperature and precipitation in the same season (Chen et al., 2015; Chen
79 et al., 2012; Fang et al., 2010; Fang et al., 2012; Li et al., 2007; Li et al., 2006; Liang
80 et al., 2006; Liu et al., 2009a; Shao et al., 2005; Sheppard et al., 2004; Song and Liu,
81 2011; Tian et al., 2007; Yang et al., 2011; Yu et al., 2007; Zhang et al., 2014; Zhu et al.,
82 2004). In view of this, here we propose to use the average and difference of the
83 chronologies from the middle to lower forest zones and the upper timberline to
84 separate the precipitation and temperature signals, so as to extract purer precipitation
85 and temperature information.

86 In this paper, we present two chronologies from the lower and upper timberlines
87 in the western Tien Shan Mountains, northwest China. As shown below, the climate
88 responses of the two chronologies are similar to that of the above studies. Therefore,

89 we combine them to identify whether the precipitation and temperature signals in the
90 chronologies can be separated by statistical means.

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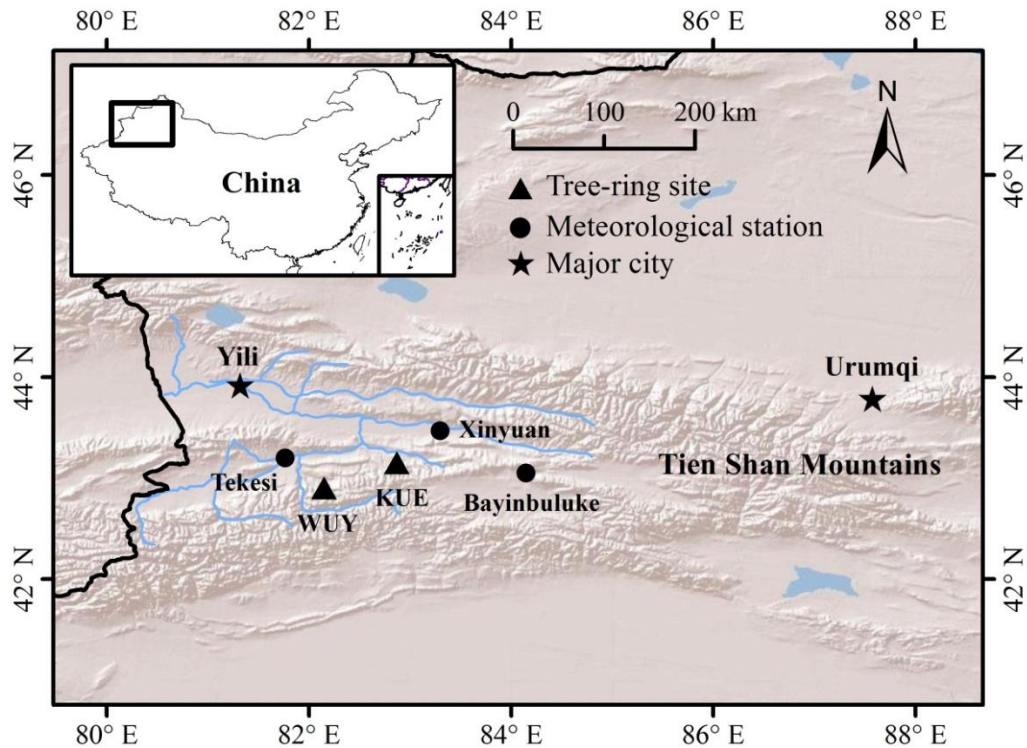
92 **2. Materials and Methods**

93 *2.1. Tree-ring data*

94 In China, the Tien Shan Mountains extend about 1,300 km from the Hami district
95 of Xinjiang province in the east to the national boundaries of China and Kazakhstan in
96 the west. The Tien Shan Mountains block the humid air that is transported from the
97 Atlantic and Arctic oceans under the influence of the westerlies, leading to more
98 precipitation in the northern slope of the Tien Shan Mountains and a gradual decrease
99 of rainfall from west to east. This is also the main factor responsible for the growth of
100 coniferous forests on the northern slope of the Tien Shan Mountains. Generally,
101 coniferous forests occur on shady and semi-shady slopes at 1,400–2,800 m a.s.l. The
102 forest vegetation is primarily composed of *Picea schrenkiana*, while a mix of *P.*
103 *schrenkiana* and *Larix sibirica* forests are present in the easternmost Tien Shan
104 Mountains.

105 Tree-ring samples were collected from two sites, KUE and WUY, in the western
106 Tien Shan Mountains (Fig. 1, Table 1). KUE is located at the lower timberline with an
107 average elevation of 1499 m a.s.l. WUY is near to the upper timberline with an
108 average elevation of 2763 m a.s.l. Increment cores were taken from *P. schrenkiana* at
109 both sites. For cross-dating, at least 20 dominant trees were selected and two cores per
110 tree were taken at breast height using 5-mm increment borers. In total, 46 (44) cores

111 from 23 (23) trees were retrieved at the site of KUE (WUY), respectively.



112

113 **Fig. 1** Locations of tree-ring sampling sites (KUE and WUY) and the nearby
 114 meteorological stations (Tekesi, Xinyuan and Bayinbuluke).

115

116 **Table 1** Statistics of the two tree-ring sampling sites and the nearby meteorological
 117 stations.

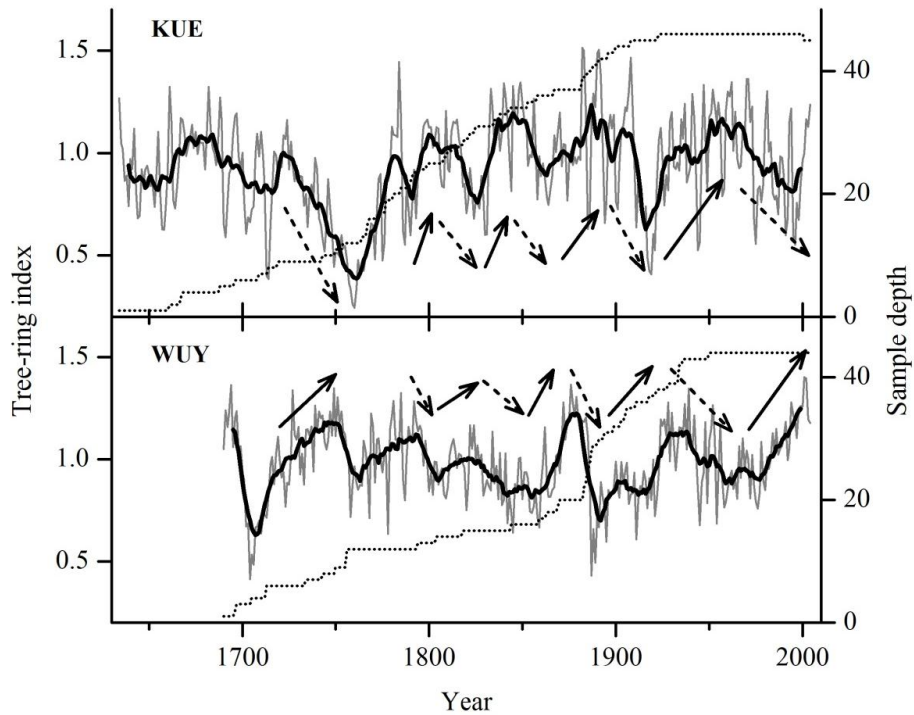
Data type	Site code	Location (Latitude, Longitude)	Elevation (m)	Samples (Core/Tree)	Time span (AD)
Tree-ring data	KUE	43°09'16.1" N, 82°52'29.1" E	1499	46/23	1634–2004
	WUY	42°53'11.9" N, 82°08'34.5" E	2763	44/23	1690–2004
Meteorological data	Tekesi	43°11'N, 81°46'E	1211	–	1960–2004
	Xinyuan	43°27'N, 83°18'E	929	–	1956–2004
	Bayinbuluke	43°02'N, 84°09'E	2458	–	1958–2004

118

119 In the laboratory, standard dendrochronological techniques were used to process
120 the tree-ring cores. After air drying, mounting and sanding, all the samples were
121 carefully cross-dated by visual comparison, and each ring-width was subsequently
122 measured to 0.001 mm precision. The COFECHA program (Holmes, 1983) was
123 further employed to check the quality of visual cross-dating. These methods ensure
124 exact dating for each annual growth ring.

125 The chronologies were developed with the ARSTAN program (Cook, 1985) by
126 removing biological growth trends while preserving variations that were likely related
127 to climate. In most cases, we adopted negative exponential functions or linear
128 functions to detrend the tree-ring series (83 series). A few of the series (7 series),
129 which did not fit the negative exponential or linear models, were detrended by the
130 cubic spline models. The detrended series were finally processed to produce the mean
131 chronology using a bi-weight mean method. The variations of the two chronologies
132 are shown in Fig. 2. Because the sample size declined in the early portion of the
133 tree-ring chronology, the subsample signal strength (SSS) value of 0.85 (Wigley et al.,
134 1984) was suggested to determine the reliable period of the chronology. In this study,
135 the reliable period of the chronology with SSS values above 0.90 was used for further
136 analyses. The final KUE and WUY chronologies extend from 1764–2004 AD and
137 1804–2004 AD, respectively.

138



139

140 **Fig. 2** Standardized tree-ring width chronologies (solid line) and corresponding
 141 sample depth (dot line). The bold line indicates an 11-year running mean. The solid
 142 and dash arrows indicate the rising and decreasing parts of the tree-ring indices,
 143 respectively.

144

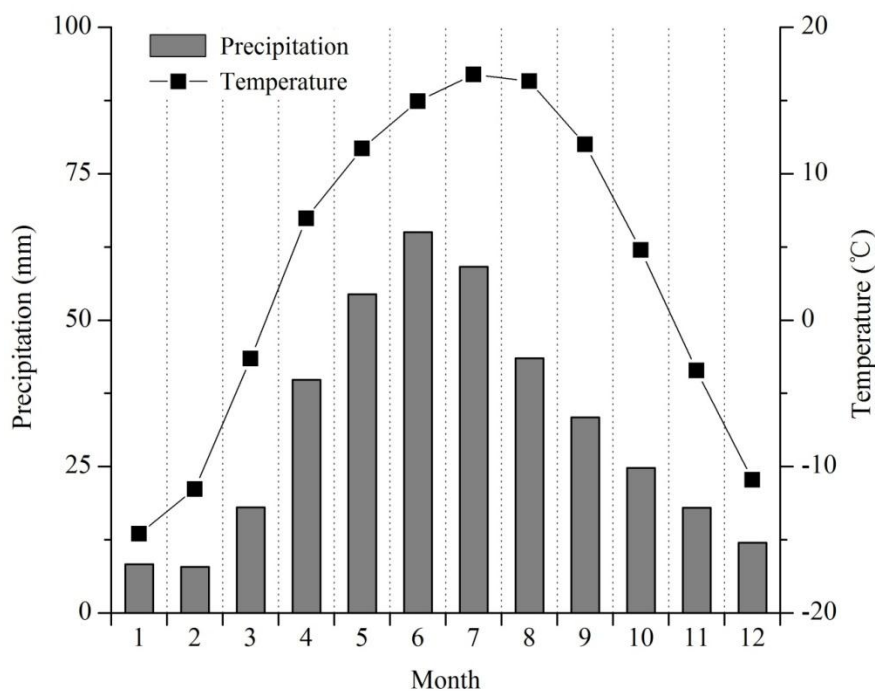
145 2.2. Data analysis

146 Monthly mean temperature and precipitation records were obtained from three
 147 nearby meteorological stations, i.e. Tekesi, Xinyuan and Bayinbuluke (Fig. 1 & Table
 148 1). Tekesi and Xinyuan are nearest to WUY and KUE, respectively. Tekesi and
 149 Bayinbuluke have the most similar elevations to KUE and WUY, respectively. As both
 150 sampling sites are located in the middle of the three meteorological stations, the mean
 151 values of climate variables from the three stations were calculated to better represent

152 climate variations at the sample sites and to investigate the climate–tree growth
153 relationships.

154 **Fig. 3** shows the monthly distributions of regional temperature and precipitation.
155 It can be seen that high temperatures are generally associated with high precipitation,
156 and vice versa. January and July are the coldest and warmest months, and February
157 and June are the driest and wettest months, respectively. The annual total precipitation
158 is 384.2mm, 76.8% of which falls in April–September, when the monthly mean
159 temperatures are all above 5 °C. It is clear that April–September can be considered as
160 the growing season in the study area.

161



162

163 **Fig. 3** Monthly distribution of temperature and precipitation of the study area during
164 1960–2004. Temperature and precipitation values were calculated by taking the
165 numerical mean of all three meteorological stations.

166 **3. Results and discussion**

167 *3.1. Characteristics of the chronologies*

168 [Table 2](#) shows the statistical characteristics of the two chronologies, including
169 mean sensitivity (MS), first-order autocorrelation (AC1), mean correlations among all
170 radii (R1), mean correlations between trees (R2), mean correlations within trees (R3),
171 Signal-to-noise ratio (S/N), Expressed Population Signal (EPS) and the explained
172 variance by the first eigenvector (PC1). The chronologies with higher MS and AC1
173 mean that they contain more high- and low-frequency information, respectively. The
174 sites with larger R1, R2, R3, S/N, EPS and PC1 mean that their tree-ring series were
175 more consistent with each other. As shown in [Table 2](#), the statistical characteristics of
176 KUE are all higher than that of WUY. Similar patterns are also found in other
177 semi-arid regions in the northwest China (e.g., [Gou et al., 2005](#); [Peng et al., 2006](#);
178 [Peng et al., 2008](#); [Wang et al., 2005](#); [Zhu et al., 2004](#)).

179

180 **Table 2** Statistical characteristics of the two standardized tree-ring chronologies

Statistical item	KUE	WUY
Mean Sensitivity (MS)	0.153	0.129
First-order autocorrelation (AC1)	0.724	0.649
Common period	1900–1999	1900–1999
Mean correlations among all radii (R1)	0.336	0.252
Mean correlations between trees (R2)	0.338	0.244
Mean correlations within trees (R3)	0.714	0.581
Signal-to-noise ratio (S/N)	22.296	10.776
Expressed Population Signal (EPS)	0.957	0.915
Variance explained by the first eigenvector (PC1)	38.6%	28.9%
Subsample Signal Strength (SSS)>0.90	1764–2004	1804–2004

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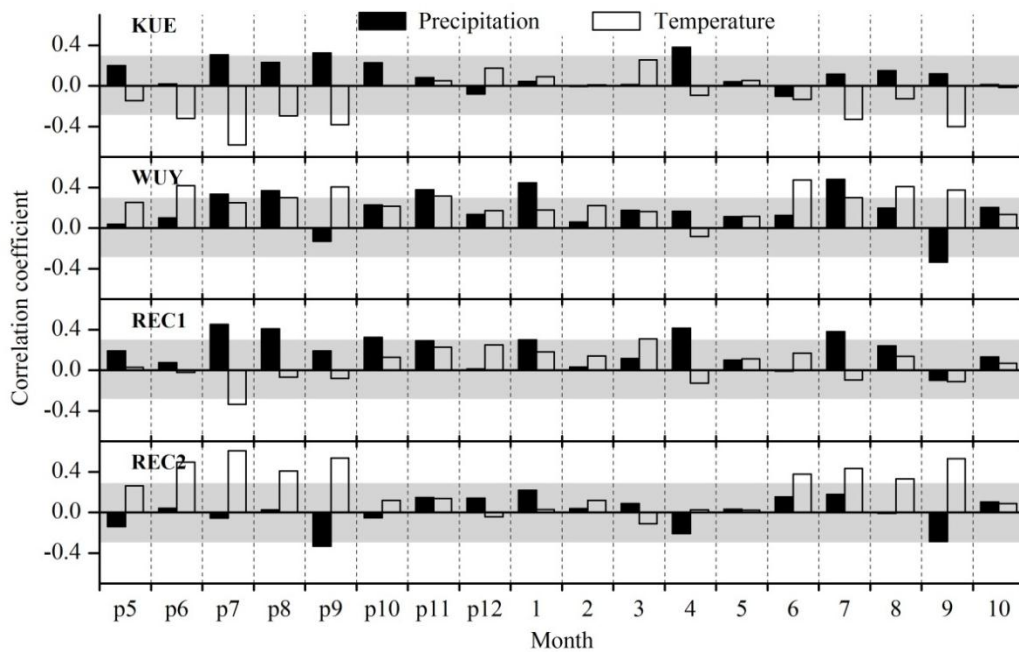
183 Although the two sampling sites are close to each other, the correlation between
184 the chronologies is almost zero ($r=0.026$) during the common reliable period
185 1804–2004 AD. This is at least partially due to the large difference in their elevations
186 (Table 1). It should be noted that the two chronologies have a significant positive
187 relationship ($r=0.173$, $n=249$, $p<0.01$) after 10-year high-pass filtering. However,
188 there was a negative relationship ($r=-0.262$) after smoothing with an 11-year moving
189 average. As shown in Fig. 2, the growth trends of the two chronologies were opposite
190 in many intervals during the common period. This may suggest that the two
191 chronologies contain similar information in their high-frequency band, but have
192 opposite signals in their low-frequency band. This may be the reason why overall the
193 two chronologies were not significantly correlated with each other.

194

195 3.2. *Climate signals in tree-ring widths*

196 The Pearson correlations of the two chronologies with monthly temperature and
197 precipitation data from previous May to current October during the common period
198 1960–2004 are shown in Fig. 4. It can be seen that the two chronologies are, in
199 general, positively correlated with precipitation, suggesting that precipitation is a key
200 limiting factor on tree growth in the study area, not only at the lower timberline but
201 also at the upper timberline. This phenomenon is also found in many other tree-ring
202 studies in arid and semiarid areas of northwest China (Chen et al., 2015; Gou et al.,
203 2005; Liang et al., 2006; Liu et al., 2009a; Liu et al., 2005; Liu et al., 2006; Shao et al.,
204 2005; Wang et al., 2005; Yang et al., 2011; Zhu et al., 2004). The highest correlations

205 of the KUE and WUY chronologies with seasonal precipitation were found in
 206 July–April (from prior July to current April) ($r=0.495$, $p<0.01$, $n=44$) and August–July
 207 ($r=0.577$, $p<0.01$, $n=44$), respectively. The correlation of the WUY chronology with
 208 the July–April precipitation was 0.545, which is only a little lower than 0.577. This
 209 suggests that the two chronologies recorded similar seasonal precipitation
 210 information.
 211



212
 213 **Fig. 4** Correlations of tree rings with monthly temperature and precipitation records
 214 during the common period 1960–2004. REC1 and REC2 are the average and
 215 difference of the WUY and KUE chronologies, respectively. p means the prior year;
 216 all bars that exit the shaded area indicate significance at $p<0.05$.

217

218 Unlike precipitation, monthly temperatures were generally negatively correlated

219 with the KUE chronology, but positively with the WUY chronology. High correlations
220 are generally found in the growing seasons of the previous and current years (Fig. 4),
221 suggesting that both chronologies record temperature signals in the same season, but
222 with opposite signs. Such opposite growth–temperature relationships between the
223 lower and upper timberlines are also found in many other tree-ring studies in arid and
224 semiarid areas in northwest China (Chen et al., 2015; Liu et al., 2009a; Liu et al.,
225 2005; Peng et al., 2008; Yang et al., 2011; Zhang et al., 2014; Zhu et al., 2004). This
226 may be one of the reasons why there were opposite relationships between
227 low-frequency variations of the two chronologies (Fig. 2). The opposite relationships
228 suggest different influences of temperature on tree growth at the lower and upper
229 timberlines. At the upper timberline, temperature is very low and thus limits tree
230 growth. In contrast, at the lower timberline, temperature is high and thus limits tree
231 growth. High temperature also leads to high evaporation, and thus may indirectly
232 affect tree growth in arid and semiarid areas.

233 Because the two chronologies have similar precipitation signals and opposite
234 temperature signals, we calculated their average (REC1) to identify whether their
235 temperature signals could be offset with each other. As shown in Fig 4, the
236 correlations between REC1 and the monthly temperatures were generally very low,
237 especially in the growing seasons of the previous and current years when both of the
238 KUE and WUY chronologies had high correlations with the temperatures. The highest
239 correlation between REC1 and the seasonal temperature occurred in
240 November–March, which was only 0.365, suggesting that there is little temperature

241 information recorded in REC1. In contrast, the correlations of REC1 with the monthly
242 precipitation were very high. The highest correlation between REC1 and seasonal
243 precipitation occurred in July–April, with a correlation up to 0.739. Obviously, we got
244 a purer and stronger precipitation signal after calculating the average of the two
245 chronologies.

246 We also calculated the correlations between the difference of the two
247 chronologies (i.e. WUY minus KUE) (REC2) and the monthly climate data. As shown
248 in Fig. 4, the correlations between REC2 and the monthly precipitation were generally
249 very low, except for September of the previous and current years, while the
250 correlations between REC2 and the monthly temperatures were still very high and
251 even were improved during the growing seasons of the previous and current years.
252 Further analysis showed that there were no significant correlations between REC2 and
253 the seasonal precipitation, while the highest correlation between REC2 and seasonal
254 temperatures was up to 0.741, which occurred in June–September of the prior year.
255 These results suggest that REC2 mainly recorded the temperature signals.

256 The highest correlations of REC1 with July–April precipitation and REC2 with
257 previous June–September temperature suggested that both precipitation and
258 temperature in the previous growing season played very important roles in modulating
259 tree growth in the study area. It was probably because that the better precipitation and
260 temperature conditions during the previous growing season might enhance
261 photosynthesis rates, which could lead to higher carbohydrate storage, and thus to
262 increase growth in the following year (Fritts, 1976). The significant effect of climate

263 in the previous growing season on tree growth could also be found in many other
264 tree-ring studies in arid and semiarid areas across northern China (Chen et al., 2010;
265 Chen et al., 2012; Gou et al., 2008; Liang et al., 2006; Liu et al., 2009a; Shao et al.,
266 2005; Sheppard et al., 2004; Shi et al., 2010; Tian et al., 2007; Wang et al., 2005;
267 Yang et al., 2011; Yu et al., 2008; Yuan et al., 2001). This suggests that the close
268 relationships of tree-ring widths in the study area with climate of the previous
269 growing season have a physiological meaning.

270

271 3.3. Precipitation reconstruction

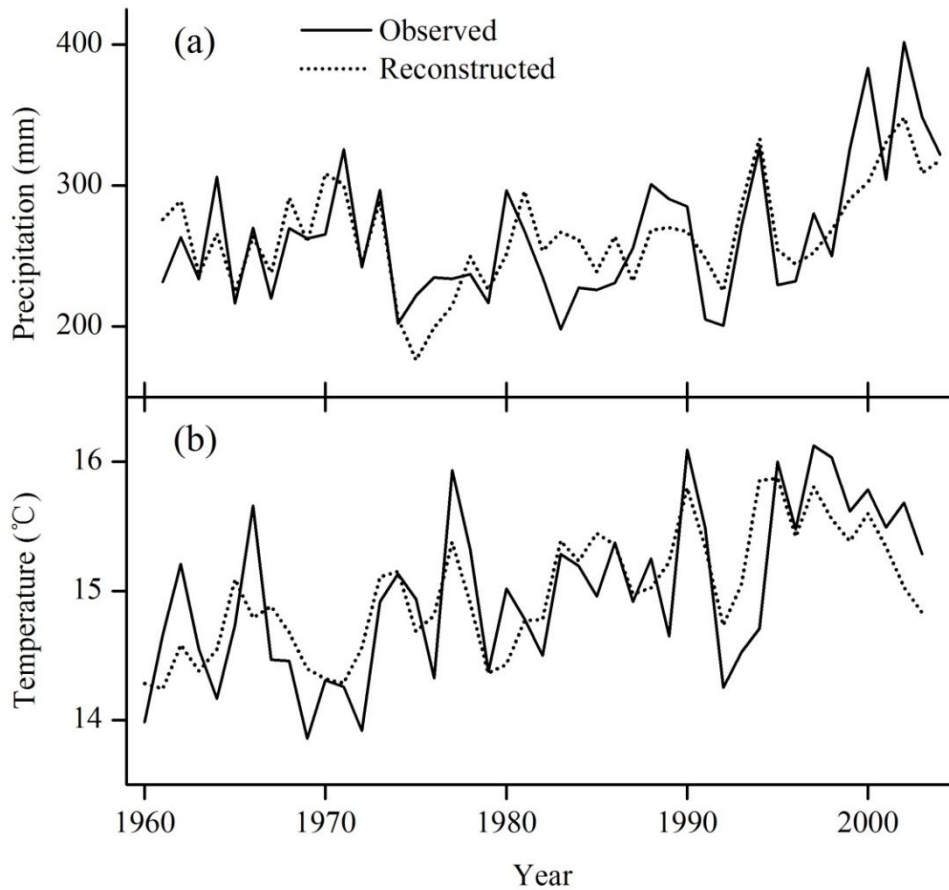
272 The above analyses demonstrated that the REC1 contained almost no
273 temperature information, but its correlation with July–April precipitation was strong
274 enough for reconstruction. Considering that the weight of the precipitation and
275 temperature signals may be different and that the two chronologies were uncorrelated
276 and did not have the same amplitude of variation, we used the KUE and WUY
277 chronologies as predictors to reconstruct the precipitation using a multiple linear
278 regression model. The model is as follows:

$$279 \quad P_t = 110.308 \times KUE_t + 174.814 \times WUY_t - 23.975 \dots\dots\dots(1)$$

280 where P_t is the total precipitation from prior July to current April in t year, and KUE_t
281 and WUY_t are the tree-ring width indices of the KUE and WUY chronologies in t year,
282 respectively. During the calibration period 1960–2004, the reconstructed series
283 explained 57.8% (55.8% after degree of freedom is adjusted) of the observed
284 precipitation. As shown in Fig. 5a, the reconstruction successfully captured both high-

285 and low-frequency variations of the observed precipitation.

286



287

288 **Fig. 5** Comparison of the observed and reconstructed (a) precipitation and (b)
289 temperature during the calibration periods.

290

291 Since there are only 45 years (1960–2004) of the observed meteorological data,
292 we used the leave-one-out test (Mosteller and Tukey, 1977) to explore the stability of
293 the model. The resulting statistics are shown in Table 3. It can be seen that the values
294 of r, F-statistics (F), sign test (S1), 1st-difference sign test (S2) and product means test
295 (t) are all above the 0.05 significance level, indicating that the predicted values agree

296 closely with the actual data. In addition, the value of the reduction of error (RE) test is
 297 greater than zero, indicating rigorous model skill (Cook et al., 1999). Therefore, we
 298 used the model (1) to reconstruct the July–April precipitation in the study area.

299

300 **Table 3** Calibration and verification test for the period 1960–2004.

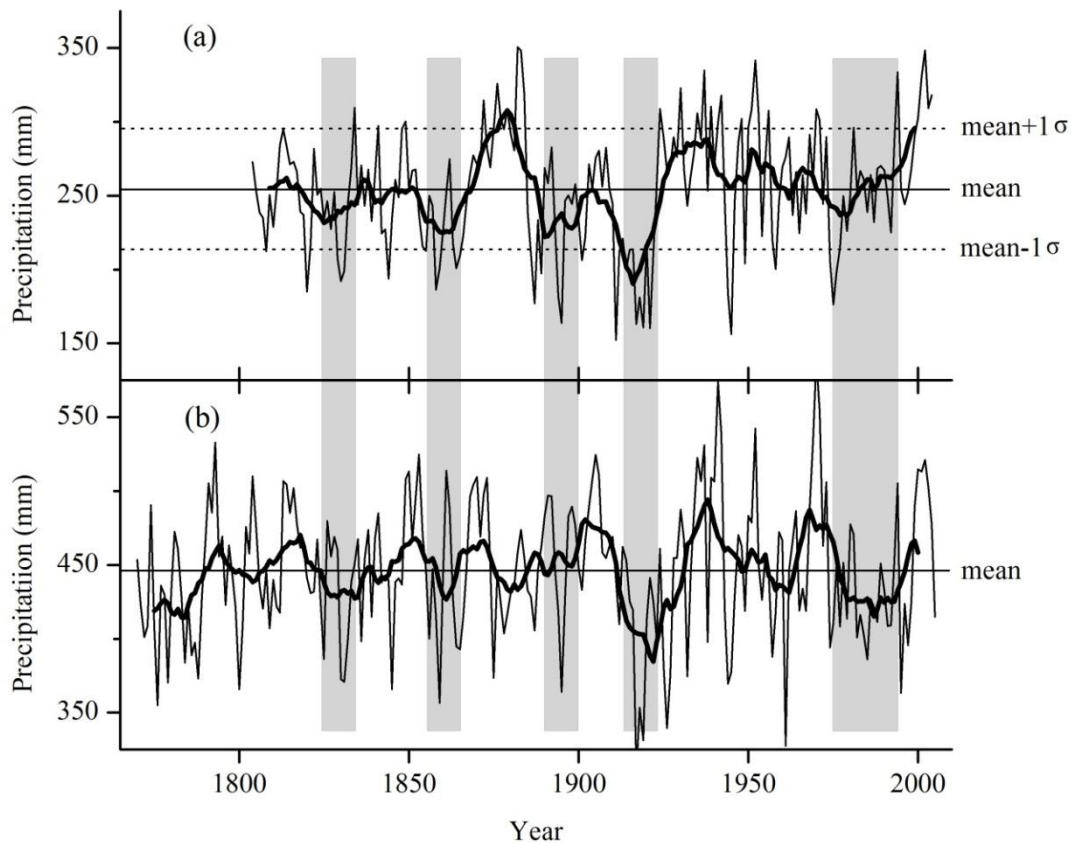
Model	r	R ²	R ² _{aj.}	F	S1	S2	t	RE
(1)	0.760**	0.578	0.558	28.095**	35+/9-**	30+/13-*	4.331**	0.519
(2)	0.744**	0.554	0.532	25.485**	33+/11-**	29+/14-*	4.317**	0.509

301 Note: r, correlation between observed and estimated series; R², explained variance;
 302 R²_{aj.}, explained variance after degree of freedom is adjusted; F, F-statistics; the sign
 303 test counts the agreements and disagreements (S1, S2) between the observed and
 304 estimated departures from the mean; S1 is the general sign test between observation
 305 and reconstruction that measures the association at all frequencies; S2 is a similar test,
 306 but made for the first differences thus reflecting the high-frequency climatic variation;
 307 t, product mean; RE, reduction of error; ** and * indicate significance at the 0.01 and
 308 0.05 levels, respectively.

309

310 **Fig. 6a** shows the annual precipitation reconstruction over the past 201 years
 311 (1804–2004 AD), and its 11-year running mean. The mean value of the reconstructed
 312 precipitation over the whole period is 254.8 mm with a standard deviation (σ) of 40.6
 313 mm. We defined the dry years as those that were less than mean–1 σ (214.2 mm) and
 314 the wet years as those that were greater than mean+1 σ (295.4 mm). The dry years and
 315 the wet years accounted for 16.9% (34 years) and 15.9% (32 years) of the entire
 316 reconstruction period, respectively. Fortunately, most of the dry years and the wet

317 years lasted less than 2 years. The dry periods that persisted over 2 years were found
318 in 1829–1831, 1914–1919 and 1974–1976, and the wet periods that persisted over 2
319 years occurred in 1882–1884, 1950–1953 and 2000–2004.
320



321
322 **Fig. 6** Comparison of the precipitation reconstructions developed by (a) this study,
323 and (b) Chen et al (2010). Thick line indicates an 11-year running mean. σ means the
324 standard deviation of the annual precipitation reconstruction.

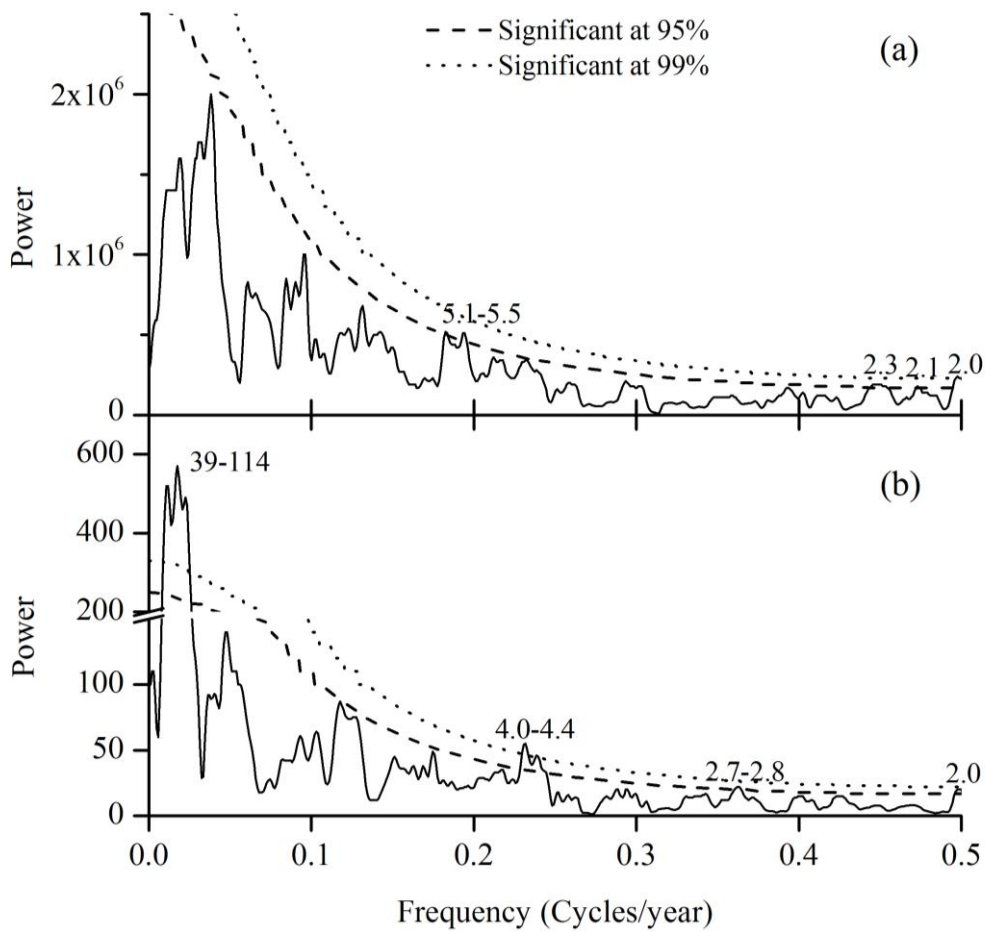
325

326 In order to understand whether our precipitation reconstruction is reliable, we
327 compared it with a July–June precipitation reconstruction in the study area, which was

328 developed by a traditional method (i.e. using the chronologies which have high
329 correlations with precipitation but have low correlations with temperatures to conduct
330 precipitation reconstructions) (Chen et al., 2010). As shown in Fig. 6, the two
331 precipitation reconstructions were quite consistent with each other over the common
332 period 1804–2004 AD, with a correlation of 0.549 ($p < 0.01$). This suggests that our
333 reconstructed method is useful, and the precipitation reconstruction is reliable.

334 The multi-taper method (MTM) of spectral analysis (Mann and Lees, 1996) was
335 employed to examine the characteristics of the precipitation reconstruction in the
336 frequency domain. As shown in Fig. 7a, the significant cycles above the 0.05 level
337 were found at 2.0–2.3 and 5.1–5.5 years, particularly significant at 2.0 years ($p < 0.01$).
338 These cycles resemble those reported in other findings in surrounding areas (Chen et
339 al., 2010). All of these cycles not only fall within the range of El Niño-Southern
340 Oscillation (ENSO) variability (Allan et al., 1996; Li et al., 2013), but also are within
341 the signal bands of the Arctic Oscillation (AO) (Jevrejeva, 2003). The strong biennial
342 cycle (2.0–2.1 years) also resembles the variability of tropical biennial oscillation
343 (TBO; Meehl, 1987). Overall, these cycles suggest that precipitation variability in the
344 study area may have strong associations with large-scale ocean–atmosphere–land
345 circulation systems.

346



347

348 **Fig. 7** MTM spectral density of the (a) precipitation and (b) temperature
 349 reconstructions. The dash and dotted lines indicate the 95 and 99% significance levels,
 350 respectively.

351

352 3.4. Temperature reconstruction

353 Similar to our precipitation reconstruction, we used the two chronologies as
 354 predictors to reconstruct the temperature variability. Because the highest correlation of
 355 REC2 with the seasonal temperatures occurred in previous June–September, the
 356 following temperature reconstruction only focused on this season. The equation is as
 357 follows:

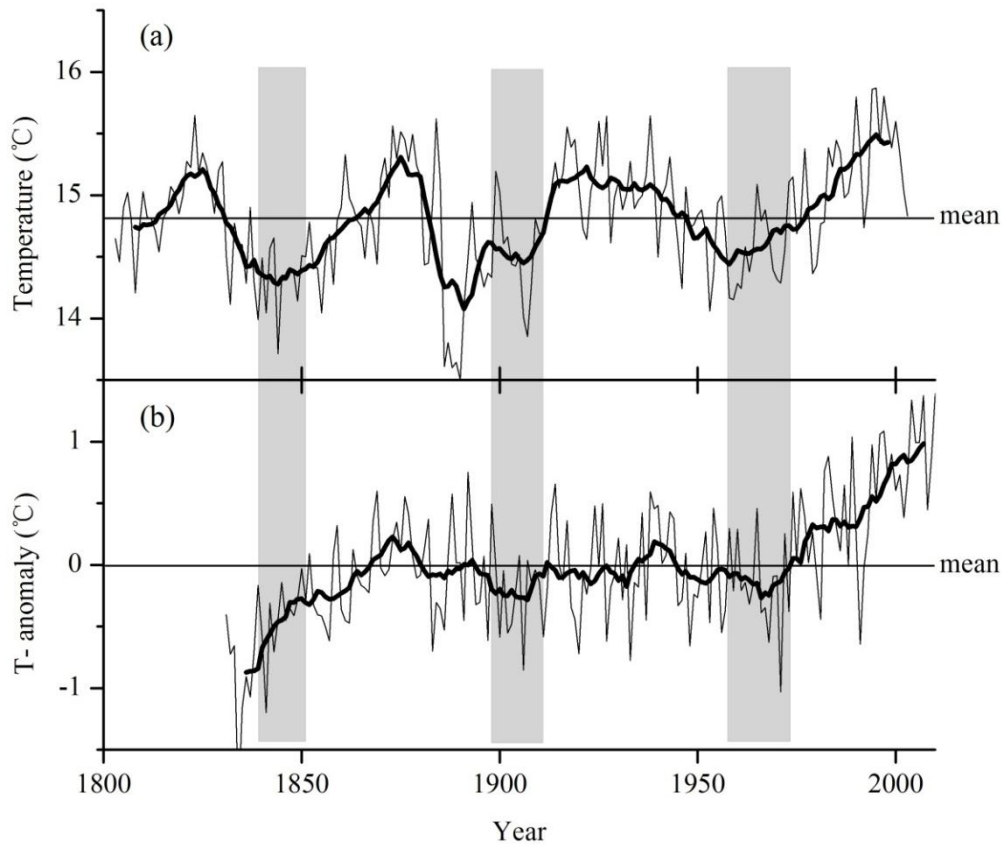
358
$$T_t = -1.499 \times KUE_{t+1} + 1.827 \times WUY_{t+1} + 14.535 \dots \dots \dots (2)$$

359 where T_t is the mean temperature from June to September in t year, and KUE_{t+1} and
360 WUY_{t+1} are tree-ring width indices of the KUE and WUY chronologies in t+1 year,
361 respectively. During the calibration period of 1960–2004, the reconstruction captured
362 55.4% (53.2% after the degree of freedom is adjusted) of the observed temperature
363 variance. As shown in Fig. 5b, our reconstruction successfully captured both high- and
364 low-frequency variations of the observed temperature.

365 We also used the leave-one-out test (Mosteller and Tukey, 1977) to explore the
366 stability of the model (2). The resulting statistics (Table 3) indicate the validity of our
367 regression model. Therefore, we used the model (2) to reconstruct the
368 June–September temperature in the research area.

369 Fig. 8a shows the annual June–September temperature reconstruction over the
370 past 201 years (1803–2003 AD) and its 11-year running mean. It can be seen that the
371 temperature reconstruction contains considerable low-frequency variability. If the
372 mean (14.8°C) of the temperature reconstruction over the whole period was
373 considered as a reference, the cold periods occurred during the 1803–1810s,
374 1830s–1860s, 1880s–1900s and 1950s–1970s, and the warm intervals were found
375 during the 1820s, 1870s, 1910s–1940s and 1980s–2004. Of these, the 1887–1896 and
376 1994–2003 periods were the coldest and warmest 10 years, respectively.

377



378

379 **Fig. 8** Comparison of (a) the temperature reconstruction developed by this paper, and
 380 (b) the regional (35 N–45 N, 65 E–90 E) average temperature in June–September
 381 derived from the Berkeley Earth gridded temperature anomaly dataset (Rohde et al.,
 382 2013). Thick line indicates an 11-year running mean.

383

384 In order to understand whether our temperature reconstruction is reliable, we
 385 compared it with a regional (35 N–45 N, 65 E–90 E) average temperature in
 386 June–September, which is derived from the monthly gridded temperature anomaly
 387 dataset developed by Berkeley Earth (Rohde et al., 2013). As shown in Fig. 8, our
 388 reconstruction agrees well with this regional temperature over the common period of
 389 1832–2003 AD, and their correlations are 0.451 ($p < 0.01$, $n = 172$) and 0.697 in the

390 annual and 11-year average variability, respectively. It should be noted that there was
391 less consistency during the early time. This may be due to the less reliable regional
392 temperature record due to the sparse observational data in the early part of the period.
393 Overall, our reconstruction performed well in reproducing the temperature history of
394 the study area.

395 The results of the multi-taper method (MTM) of spectral analysis (Mann and
396 Lees, 1996) over the full length of the temperature reconstruction revealed significant
397 periods at 2.0, 2.7–2.8, 4.0–4.4, and 39–114 years (Fig. 7b). The significant
398 high-frequency cycles may be also related to ENSO, AO, and TBO variations, and the
399 39–114 year cycle may be affected by the solar activity (Usoskin and Mursula, 2003).
400 It should be noted that the cycles with longer 100 years may be less reliable, because
401 they could not occur twice completely over the whole reconstruction period. Unlike
402 the precipitation reconstruction, the most significant cycle of the temperature
403 reconstruction is 39–114 years ($p < 0.01$) (Fig. 7b), suggesting that the low-frequency
404 variability of the temperature reconstruction is more prevalent than that of the
405 precipitation reconstruction. This also can be confirmed from Fig. 6a and 8a.
406 Compared with the early tree-ring width based temperature reconstructions in the
407 western Tien Shan Mountains (Chen et al., 2009; Fan et al., 2008; Pan et al., 2007;
408 Shang et al., 2011; Yu et al., 2007; Yu et al., 2008), our temperature reconstruction
409 also has stronger low-frequency variability. This is at least partially due to the fact that
410 the precipitation signals of the tree-ring chronologies have been eliminated in our
411 temperature reconstruction. In addition, most of these early reconstructions focused

412 on studying the seasonal maximum temperatures (Chen et al., 2009; Fan et al., 2008;
413 Pan et al., 2007; Shang et al., 2011), which also showed more high-frequency
414 variability in many other studies over the world (e.g., Arsalani et al., 2015; Etien et al.,
415 2007; Gou et al., 2008; Shi et al., 2010; Wilson and Luckman, 2003). Moreover, some
416 reconstructions were developed using the residual (RES) chronologies (Chen et al.,
417 2009; Pan et al., 2007), which lack low-frequency variability.

418

419 *3.5. Comparison of the precipitation and temperature reconstructions*

420 To our knowledge, there have been no studies attempted to compare precipitation
421 and temperature variations in the western Tien Shan Mountains over the past centuries,
422 despite the fact that many tree-ring based precipitation and temperature
423 reconstructions have been developed in the area (Chen et al., 2009; Chen et al., 2010;
424 Fan et al., 2008; Pan et al., 2007; Shang et al., 2011; Yu et al., 2007; Yu et al., 2008;
425 Yuan et al., 2001). This is at least partially due to the lack of a method to separate the
426 temperature and precipitation signals recorded in tree rings. Because the precipitation
427 and temperature signals have been separated, the use of our reconstructions to
428 investigate the precipitation and temperature relationship in the western Tien Shan
429 Mountains over the past centuries should be feasible.

430 There is a 3-month overlap (i.e. July to September) between the two
431 reconstruction seasons. During the instrumental period, the seasonal precipitation in
432 prior July–September had a high correlation with that in July–April ($r=0.746$), and the
433 correlation of the seasonal temperature in July–September and June–September was

434 up to 0.938, suggesting that our reconstructions can approximately represent the
435 precipitation and temperature variability for the overlap months. Correlation analyses
436 showed that overall the two reconstructions did not have any relationship, with a
437 correlation near to zero ($r=0.060$). However, after removing the low-frequency
438 variability (10-year high-pass filtering), the two series have a significant negative
439 correlation ($r=-0.213$, $p<0.01$, $n=201$). In contrast, the two series have a positive
440 correlation ($r=0.291$) after smoothing with an 11-year moving average. These
441 correlation patterns are similar to that observed during the instrumental period (not
442 shown). This suggests that the precipitation and temperature variations in the study
443 area were similar in the low-frequency band, but were opposite in the high-frequency
444 band, at least for the past two centuries.

445

446 **4. Conclusions**

447 We developed two tree-ring width chronologies from the upper and lower
448 timberlines in the western Tien Shan Mountains, northwest China. Correlation
449 analyses showed that the two chronologies have similar precipitation information but
450 opposite temperature signals. In light of this, we calculated the average of the two
451 chronologies, and found that the opposite temperature signals can be offset and the
452 precipitation information can still be preserved and enhanced. On the other hand, the
453 precipitation information can be eliminated and thus the temperature signals can be
454 preserved and enhanced by calculating the difference between the two chronologies.
455 As a result, the temperature and precipitation signals recorded in tree rings can be well

456 separated with this method, which helps conduct a more reliable temperature and
457 precipitation reconstruction. Finally, we successfully reconstructed regional
458 July–April precipitation (1804–2004AD) and June–September temperature
459 (1803–2003AD) using different combinations of the two chronologies. The
460 temperature reconstruction contains considerable low-frequency variability during the
461 past two centuries, with the most significant cycle at 39–114 years ($p < 0.01$), while the
462 precipitation reconstruction exhibits strong high-frequency variability, with the most
463 significant cycle at 2.0 years ($p < 0.01$). Compared with the earlier studies in the
464 western Tien Shan Mountains, our temperature reconstruction has stronger
465 low-frequency but weaker high-frequency variability. This is may be partially due to
466 the fact that the precipitation signals of the tree-ring chronologies have been
467 eliminated in our temperature reconstruction. The two reconstructions do not have a
468 significant correlation, but they have negative (positive) relationships in the high (low)
469 frequency band, respectively, a feature that is also observed with instrumental data.
470 The method we proposed to separate temperature and precipitation signals works well
471 in our research area. Future study should test its feasibility in other areas around the
472 world.

473

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480

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