

1 **Title Page**

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6 **Title:** Hong Kong Chinese Parental Attitudes towards Vaccination and Associated
7 Socio-demographic Disparities

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30 **1. Introduction**

31 Vaccination is among the most successful and cost-effective public health strategies
32 for the prevention and control of many important communicable diseases [1]. In Hong
33 Kong, childhood vaccines are administered under a dual system, following World
34 Health Organization (WHO) recommendations for vaccination provision comprising
35 mandatory and optional vaccines. These are decided by the Scientific Committee of
36 Vaccine Preventable Diseases (SCVPD) under the Centre for Health Protection of the
37 Department of Health (DH) after taking into account relevant factors including local
38 and global epidemiology, disease burden, the safety, efficacy, and vaccine cost-
39 effectiveness and availability [2]. Routine vaccines for B.C.G, Hepatitis B, DPT
40 (Diphtheria, Pertussis and Tetanus), Polio, Pneumococcal, Varicella, and MMR
41 (Measles, Mumps & Rubella) are mandated under government Childhood
42 Immunization Programme (CIP) and provided free of charge to all local-born and
43 resident children, and are a requirement for kindergarten/primary school admission.
44 Optional vaccines for Haemophilus influenza type b, seasonal influenza A, Hepatitis A,
45 Japanese encephalitis, Rotavirus, Meningococcal, and Human Papillomavirus (HPV)
46 are administrated on voluntary basis, the costs of which are fully or partially borne by
47 vaccination recipients. For compulsory vaccines Hong Kong has almost universal
48 immunization coverage rates of 98% or above for local-born 2-5-year-old children and
49 higher than 95% among Mainland-born 2-5-year-old children living in Hong Kong [3],
50 significantly better than many western developed countries/regions [4, 5]. However, in
51 contrast, in Hong Kong optional vaccines have much lower uptake rates, for instance,
52 two common optional vaccines, seasonal influenza and HPV, have uptakes of only
53 15% among local-born 2-5-year-old children [3] and 9% among teenage girls [6],
54 respectively.

55

56 Parents are in a privileged position to control young children's access to vaccines.
57 Personal beliefs about and general attitudes to vaccination may affect how parents
58 view the risks and benefits of vaccination for their children. Therefore, understanding
59 general attitudes of parents towards vaccination is important. Most previous studies
60 have focussed on specific vaccines, and very few studies have investigated parental

61 attitudes towards vaccination in general [7]. Previous local qualitative studies found
62 that Hong Kong Chinese parents hold generally positive attitudes towards childhood
63 vaccination, but often attach much less importance to optional vaccines compared to
64 mandatory vaccines [8, 9].

65

66 Having a better understanding of parental attitudes towards childhood vaccination and
67 associated socio-demographic disparities can help to inform targeted vaccination
68 communications and therefore increase vaccination coverage. However, existing
69 findings are inconsistent. Some studies reported no associations between parental
70 socio-demographic variables including ethnicity, age, education, and religion and
71 parental vaccination acceptance [10, 11] or with parents' general attitudes towards
72 vaccination [7], whereas other studies reported significant association between
73 parental socio-demographic characteristics and vaccination acceptance [12, 13]. To the
74 authors' knowledge, there is no published quantitative study using population-based
75 data that examines Chinese parental attitudes to vaccination by socio-demographic
76 characteristics. This report aimed to fill that research gap.

77

78 **2. Methods**

79 **2.1 Participants and Procedure**

80 As part of a longitudinal investigation of parental decision-making regarding HPV
81 vaccination (approved for use in females from the age of 9 years in Hong Kong) for
82 girls, Chinese parents with at least one daughter aged 12-17 years living in a Hong
83 Kong household, having heard of HPV vaccine but not yet vaccinated daughters
84 against HPV were interviewed by random digit-dialling telephone interviews. To
85 avoid oversampling of non-workers, most interviews were conducted between 18:30
86 and 22:30 on weekdays, and between 14:00 and 22:30 at weekends. Households with
87 non-answered phone numbers were redialled at least 6 times more in different periods
88 before being dropped [14]. Verbal consent was obtained from each eligible participant
89 prior to interview. Ethical approval was obtained from the Institutional Review Board
90 of the University of Hong Kong/Hospital Authority Hong Kong West Cluster. The
91 present report details baseline survey data.

92

93 **2.2 Outcome measures**

94 Measures of parental attitudes to vaccination were developed from the findings of
95 earlier qualitative studies on Hong Kong and mainland Chinese immigrant parents [8,
96 9] and empirical literature. Consisting of 6 items on 5-point agreement scales (from 1
97 “Strongly disagree” to 5 “Strongly agree”), the Cronbach’s α for the 6 items was 0.74,
98 indicating acceptable internal consistency [9]. Principal components analysis
99 suggested two factors underlying the scale. One, *supportive attitude to vaccination*
100 consisted of 2 items (“Vaccinating is an effective way for infectious diseases
101 prevention” and “Vaccinating is beneficial”) had a Cronbach’s α of 0.61. Possible
102 scale scores ranged from 2 to 10 with higher score indicating more positive attitude to
103 vaccination. The second factor, *hesitant attitude towards (optional) vaccines*
104 comprised 4 items: “Optional vaccines are not important”, “It is not necessary to
105 vaccinate children with optional vaccines”, “If possible, I do not want to give my
106 child(ren) any vaccines”, and “Too many vaccines will harm children’s immunity”.
107 The Cronbach’s α for these 4 items was 0.72, with possible scores ranging from 4 to
108 20, higher scores indicating more hesitant attitudes regarding (optional) vaccination.
109 Because our earlier study found that Chinese parents who have purchased private
110 health insurance for children reported higher intention to accept optional vaccine [14],
111 participants were asked if they had purchased private health insurance for children
112 even though private health insurance policies in Hong Kong usually do not cover costs
113 of vaccination. The questionnaire was reviewed by a panel of public health experts to
114 check the instrument’s content and face validity and then pilot-tested among 30
115 Chinese parents before the main fieldwork started.

116

117 **2.3 Data Analysis**

118 Descriptive statistics were used to detail the sample demographic characteristics and to
119 summarize the variables. The variance inflation factors (VIFs) of all independent
120 variables (IVs) were examined to test for potential multi-collinearity among IVs [15].
121 VIFs ranged from 1.03 to 3.38, below the cut-off of 5.00 [16], implying no significant
122 problems of multi-collinearity. Multiple linear regression analyses were next

123 conducted to examine association between socio-demographic variables and the two
124 indices of parental attitudes to vaccination. All analyses were performed using SPSS
125 version 20.0 and $p < 0.05$ was considered statistically significant.

126

127 **3. Results**

128 Between February and November 2014, 1,996 eligible Hong Kong Chinese parents
129 completed the telephone interviews (response rate 60%). Most respondents (74.4%)
130 were mothers. Around 56% of the participants had HK\$20,000 or above monthly
131 household income, comparable with the median domestic income (HK\$20,500) of
132 Hong Kong in 2011 [17]. One third (659, 33%) of participants reported religious
133 affiliation (mainly including Christianity (350, 17.5%), Buddhism (210, 10.5%), and
134 Catholicism (84, 4.2%)). (**Table 1**)

135 Almost all (84.4-100%) parents reported their children had received all age-
136 appropriate routine vaccines under the CIP. Overall 852 (42.7%) parents reported their
137 children ever experienced vaccination side-effects, most commonly fever (716,
138 35.9%), soreness/swelling at the injection site (349, 17.5%) and poor appetite (67,
139 3.4%).

140 Most (91.6%) participants agreed that vaccination was effective for preventing
141 infectious disease, and 78.7% considered vaccination beneficial. However, 39.5% of
142 parents interviewed regarded optional vaccines as unimportant and three fifths (62.1%)
143 felt it was unnecessary to give their children optional vaccines. One in two (49.4%)
144 participating parents believed that too many vaccines can harm children's immune
145 systems, and one in five (22.0%) said that they would not give their children any
146 vaccines if not mandated by government (**Figure 1**). Overall, Hong Kong Chinese
147 parents held supportive attitudes towards vaccination generally (Mean=7.49/10,
148 SD=1.74) but showed less supportive attitudes towards optional vaccines
149 (Mean=13.10/20, SD=3.17).

150

151 Parents born in Hong Kong ($\beta = -0.170$, $p < 0.001$), females ($\beta = -0.077$, $p = 0.007$), those
152 married ($\beta = -0.052$, $p = 0.041$), and whose children had experienced adverse effects
153 from vaccination ($\beta = -0.084$, $p = 0.001$) expressed less supportive attitudes towards

154 vaccination, whereas parents with more children ($\beta=0.070$, $p=0.005$) held more
155 favorable attitudes towards vaccination. Parents with lower personal income ($\beta=-0.116$,
156 $p=0.013$) and who reported religious affiliations ($\beta=-0.052$, $p=0.036$) tended to express
157 more hesitant attitudes towards (optional) vaccines (**Table 2**).

158

159 **4. Discussion**

160 Although most of this sample of Hong Kong Chinese parents reported positive
161 attitudes towards vaccination in general, between two and three fifths respectively
162 considered optional vaccines unimportant and unnecessary. This is consistent with
163 local qualitative study findings [8, 9] that Chinese parents rely heavily on government
164 recommendations to judge the importance and necessity of childhood vaccines. This
165 goes a long way to explaining the huge uptake rate gap between mandatory and
166 optional vaccines.

167

168 Low vaccination knowledge does not necessarily translate into negative attitudes;
169 factors including trust, for example in health-care providers and their motives or
170 ‘western’ medicine, and culture may be more influential [18]. Yet, in the present study
171 one-in-two participating parents believed, against prevailing medical understanding,
172 that children’s immune system is weakened as a result of too many vaccines. Other
173 studies on western populations have reported that parents who prefer ‘natural
174 immunity’ (a belief that it is better to develop immunity from catching an infection
175 than from vaccination) were often less likely to vaccinate children [19, 20]. This may
176 reflect a similar phenomenon.

177

178 Demographic disparities associated with parental attitudes were substantial. Locally-
179 born married mothers with few children and whose child(ren) have experienced
180 vaccination side-effects were significantly less likely to hold supportive attitudes
181 towards vaccination generally while parents with religious affiliation and lower
182 personal incomes expressed more hesitant attitudes towards optional vaccines. These
183 findings are again consistent with previous local qualitative findings [8, 9]. In
184 particular, we quantified that a high 22% of Chinese parents tend to reject all vaccines

185 for children if not mandated by government. Because mandated vaccines are required
186 for entry to the school system, this suggests that vaccination is passively accepted by
187 parents, but large numbers may be prone to oppose vaccine recommendations that are
188 not mandated. While this might reflect Chinese collectivist cultures and traditional
189 values which respect social order, status hierarchies, and government policies, it could
190 also reflect the pragmatism that some vaccines (all mandated) are necessary for kids to
191 go to school, a more likely explanation.

192 Nevertheless, causal relationships between the variables should not be drawn from this
193 cross-sectional data. Being a secondary report from a larger HPV vaccination
194 decision-making study the required recruitment criteria therefrom, may mean
195 participants are unrepresentative of the general Hong Kong parental population.
196 However, we endeavoured to minimize bias and maximize representativeness by using
197 random sampling, and the study findings are consistent with earlier childhood
198 vaccination decision-making studies in Hong Kong [8, 9]. Exclusion of parents who
199 had vaccinated their daughters with HPV is unlikely to bias results because the HPV
200 vaccination uptake is <10%. So there is good reason to believe that this report presents
201 a valid and reliable picture of the situation faced by many Chinese parents in Hong
202 Kong.

203 In conclusion, mandating childhood vaccines, particularly as a school entry
204 requirement, effectively helps maintain universal vaccination coverage in Hong Kong
205 and possibly in other Chinese-dominated societies. If government recommends
206 optional vaccines through future public education and vaccination campaigns this
207 should help counter some of the suspicion about (usually) fiscal motives when (mostly
208 private) doctors recommend optional vaccines. Clarification of their importance and
209 necessity can also help to provide explicit guidance to practitioners as well as parents.
210 Communication efforts should focus on the benefits and importance of optional
211 vaccination as well as emphasize the importance of individual responsibility to make
212 informed decisions about optional vaccines for personal protection, particularly among
213 lower-income parents and those with religious affiliations. If a vaccination is
214 considered beneficial, then government should consider provision, and if high uptake
215 is required, vaccinations should be free of charge and made mandatory.

216

217 **Conflict of interest statement**

218 The authors declare that they have no conflict of interests.

219

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226

227 **Author contribution**

228 All authors contributed toward the conception and design of the study. LDLW
229 contributed to data analysis and interpretation, drafted and revised the manuscript.
230 WWTL and RF contributed toward study design and revising the manuscript critically.
231 All authors have approved the final article.

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297

Table 1 Characteristics of participants, Hong Kong 2014 (N=1996)

Characteristics	n	% ^a
Age (years)		
30-39	130	6.5
40-49	1081	54.2
50-59	733	36.7
≥60	40	2.1

Gender		
Female	1485	74.4
Male	511	25.6

Marital status		
Married	1883	94.3
Single/Divorced/Widowed/Separated	106	5.3

Educational level		
Primary or below	161	8.1
Secondary	1365	69.4
Tertiary or above	441	22.1

Employment status		
Employed	1114	55.8
Currently non-salaried/unemployed	865	43.3

Personal income (HK\$/month)		
No income	665	33.3
1- <10,000	366	18.3
10,000 - <20,000	419	21.0
20,000 - <40,000	255	12.8
≥40,000	196	9.8

Family income (HK\$/month)		
<10,000	148	7.4
10,000 - <20,000	506	25.4
20,000 - <40,000	575	28.8
≥40,000	547	27.4

Birth place		
Hong Kong	1253	62.8
Mainland China	671	33.6
Elsewhere	26	1.3

Number of children		
1	565	28.3
2	1084	54.3
3	289	14.5
≥4	58	2.9

Had religious affiliation	659	33.0

Children ever experienced vaccination adverse effects	852	42.7

Had health insurance for children	924	46.3

^a Unaccounted percentage is missing data.

Table 2 Socio-demographic factors associated with parental attitudes to general vaccination and towards optional vaccines, Hong Kong 2014 (N=1996)

	Supportive attitude to vaccination		Hesitant attitude to (optional) vaccines	
	β	p	β	p
Gender (Female)	-.077	.007	-.022	.451
Age	.039	.124	.028	.276
Birth in HK	-.170	<.001	.007	.799
Married	-.052	.041	-.021	.424
Educational level	.020	.489	-.004	.881
Number of children	.070	.005	-.022	.394
Employed	-.039	.298	.056	.138
Personal income	.032	.478	-.116	.013
Family income	.017	.613	.051	.141
No religious affiliation	.032	.189	-.052	.036
Had health insurance for children	-.002	.944	-.007	.796
Child(ren) experienced side effects of vaccination	-.084	.001	.014	.582

Figure 1 Distribution of agreement towards items measuring Hong Kong Chinese parental opinions about vaccination, 2014 (N=1996)

