

1 **Determinants of sport-specific postural control strategy and balance performance of amateur**
2 **rugby players**

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20 **Short title:** Balance analysis of rugby players

21

22 Abstract

23 *Objectives:* Postural control strategy and balance performance of rugby players are important yet under-
24 examined issues. This study aimed to examine the differences in balance strategy and balance
25 performance between amateur rugby players and non-players, and to explore training- and injury-related
26 factors that may affect rugby players' balance outcomes.

27 *Design:* Cross-sectional and exploratory study.

28 *Methods:* Forty-five amateur rugby players and 41 healthy active individuals participated in the study.
29 Balance performance and balance strategies were assessed using the sensory organization test (SOT) of
30 the Smart Equitest computerized dynamic posturography machine. Rugby training history and injury
31 history were solicited from the participants.

32 *Results:* The SOT strategy scores were 1.99–54.90% lower in the rugby group than in the control group (p
33 <0.05), and the equilibrium scores were 1.06–14.29% lower in the rugby group than in the control group
34 ($p <0.05$). After accounting for age, sex and body mass index, only length of rugby training (in years) was
35 independently associated with the SOT condition 6 strategy score, explaining 15.7% of its variance ($p =$
36 0.006). There was no association between SOT condition 6 strategy/equilibrium scores and injury history
37 among the rugby players ($p >0.05$).

38 *Conclusions:* Amateur rugby players demonstrated inferior balance strategy and balance performance
39 compared to their non-training counterparts. Their suboptimal balance strategy was associated with
40 insufficient training experience but not with history of injury.

41

42 *Keywords:* Postural balance; movement; rugby; athletic injuries

43 1. Introduction

44 Rugby, a field-based contact sport, is played throughout the world. Its popularity has been
45 increasing in the past 20 years. Both rugby league and rugby union are physically demanding, requiring
46 frequent bouts of intense activity (e.g., sprinting, collisions and tackles) separated by short periods of low-
47 intensity activity (e.g., jogging).¹⁻³ Rugby players' sport-related physical fitness (e.g., agility and body
48 balance) is of paramount importance.

49 Previous studies have examined rugby players' agility, a physiological measure closely related to
50 body balance, at different playing levels.¹⁻³ Agility was found to improve with increasing age and rugby
51 playing experience.¹ Long-term sports training might also enhance sensorimotor and balance functions.⁴⁻⁶
52 It is therefore plausible that experienced rugby players (with more years of training) might have
53 better/above-average balance ability. In addition, previous study has suggested that the starting age of
54 motor training affects the development of sensorimotor abilities and postural control.⁴ Therefore, we
55 postulated that the age of onset of training might also influence balance performance of the rugby players.
56 However, to the best of our knowledge, no study has examined the balance ability of rugby players
57 directly, despite balance being fundamental to the execution of powerful technical movements and crucial
58 for the prevention of injuries.^{4,7} Also, no study has explored the relationship between playing experience
59 (including length of training and age of onset of training) and balance ability.

60 Body balance (postural control) is defined as the ability to maintain the center of gravity within
61 the base of support.⁸ To maintain balance in a fixed stance, hip and ankle balance strategies are used.^{9,10}
62 The hip strategy involves hip flexion and extension and opposing ankle dorsiflexion and plantar flexion.
63 It is not an ideal balance strategy because the center of gravity displacement is relatively large and thus
64 induces greater postural instability. The ankle strategy is a better choice because it maintains standing
65 balance by rotating the body as a rigid mass about the ankle joints and thus results in smaller
66 displacements of the center of gravity.^{10,11}

67 Previous research has revealed that preparation and execution of balance strategies are adversely
68 affected in athletes suffering from concussion (mild traumatic brain injury). This may be due to

69 alterations in posture-related cortical potentials or disturbance of the neuronal networks.^{12,13} Lower limb
70 musculoskeletal injuries including ankle ligamentous injuries are also known to have long-term negative
71 effects on body balance.¹⁴ As approximately 83% of rugby players sustain these types of injury with the
72 knee (25%) and ankle (21%) most commonly injured; and the incidence is 1.52 injuries per player
73 overall,⁷ it is reasonable to explore whether or not balance strategies, especially the ankle strategy, and
74 balance performance are being compromised. This study had two aims: (1) to examine the difference in
75 balance performance and balance strategy between rugby players and non-players, and (2) to explore the
76 training- and injury-related factors that may affect rugby players' balance performance and balance
77 strategy.

78

79 **2. Methods**

80 This was a cross-sectional and exploratory study. From June 2014 through May 2015, amateur
81 rugby players were recruited from local and university rugby clubs by convenience sampling (via website
82 and poster advertising). The inclusion criteria were (1) trained in rugby for at least one year, (2) regular
83 rugby training (> 3 hours/week), and (3) aged between 18 and 33 years. The exclusion criteria were (1)
84 serious injury that may affect balance performance (previous injuries that were fully recovered were
85 acceptable), (2) significant musculoskeletal, cardiovascular (e.g., hypertension), neurological (e.g.,
86 peripheral neuropathy), visual, vestibular or other sensorimotor disorders, and (3) muscle fatigue on the
87 day of the assessment.¹⁵ Healthy active control participants were recruited from the university community
88 by convenience sampling. The eligibility criteria were the same as those for the rugby group except that
89 the control participants did not have rugby training experience or receive other regular sports training.

90 Ethical approval was provided by the Human Research Ethics Committee of the University of
91 Hong Kong. Each participant gave informed written consent before joining the study. Data collection was
92 performed by an experienced sports scientist in the Human Performance Laboratory of the Hong Kong
93 Institute of Education. All experimental procedures were conducted in accordance with the Declaration of
94 Helsinki.

95 Demographics, history of rugby training and history of injury, including all injuries sustained in
96 past training years, were obtained by interviewing the participants. Body height and weight were
97 measured and body mass index (BMI) was then calculated. Participants' standing balance performance
98 and balance strategy under different sensory conditions were assessed using the sensory organization test
99 (SOT) of the Smart Equitest computerized dynamic posturography (CDP) machine (NeuroCom
100 International Inc., Clackamas, OR, USA). The SOT is a valid^{16,17} and reliable ($ICC_{2,3} = 0.35-0.79$)¹⁸ test
101 for measuring postural stability and postural control strategy in adults.

102 During the test, participants wore a security harness and stood barefoot on both feet on the force
103 platform of the CDP machine. Foot placement was standardized and determined by the participant's
104 height. Participants were instructed to stand as steadily as possible with their arms resting by their sides
105 and to look forward at a distant visual target. Each participant was then exposed to six different sensory
106 conditions in order. Each sensory condition provided specific sensory inputs to the participant – condition
107 1: accurate somatosensory, visual and vestibular inputs; condition 2: accurate somatosensory and
108 vestibular inputs and no visual input; condition 3: accurate somatosensory and vestibular inputs and
109 inaccurate visual input; condition 4: accurate visual and vestibular inputs and inaccurate somatosensory
110 input; condition 5: accurate vestibular input, inaccurate somatosensory input and no visual input; and
111 condition 6: accurate vestibular input and inaccurate somatosensory and visual inputs.¹⁹ So, practically,
112 in conditions 1, 2 and 3, participants stood on a stable support surface with their eyes open, eyes closed
113 and eyes open in a sway-referenced visual surround, respectively; in conditions 4, 5 and 6, participants
114 stood on a sway-referenced platform with their eyes open, eyes closed and eyes open in a sway-
115 referenced visual surround, respectively.^{10,20} All participants underwent three 20-second testing trails for
116 each sensory condition (i.e., 18 trials) (a video demonstration of the testing procedures -
117 <https://youtu.be/aM-Xafo2wjk>). A familiarization trial was performed before data collection to minimize
118 learning effects.¹⁸

119 The force platform of the CDP machine captured the trajectory of each participant's center of
120 pressure (COP) during the testing trials, and this was then used to derive the equilibrium score (ES). ES is

121 actually a non-directional percentage that compares the individual's peak amplitude of anterior-posterior
122 (AP) sway to the theoretical limits of AP stability. An ES of 100 indicates no AP body sway in static
123 standing whereas an ES of 0 represents an AP body sway exceeding the limit of stability, which would
124 result in a fall or a corrective step to recover balance.^{10,20} After obtaining the three ESs in each of the six
125 sensory conditions, the mean ES in each condition was generated along with a composite ES, which is the
126 weighted average of the six condition ESs.²⁰ The condition ESs and composite ES were used for analysis.

127 Apart from registering the trajectory of the COP of each participant, the center force transducer of
128 the CDP machine also detected horizontal shear forces in the AP direction.²⁰ A strategy score (SS)
129 quantifying the amount of hip and ankle sway used in maintaining upright standing balance during each
130 20-second SOT trial was derived. An SS close to 100 indicates that the participant predominantly used an
131 ankle strategy to maintain standing balance and an SS approaching 0 reveals that the participant
132 predominantly used a hip strategy to maintain equilibrium.²⁰ When healthy individuals respond to
133 postural perturbations of increasing magnitude and velocity, they gradually shift from using an ankle
134 strategy to a hip strategy and so the SS decreases.^{10,11,21} In this study, the mean SS of the three trials of
135 each SOT condition and the mean SS of all 18 trials (i.e., the composite SS) were used for analysis.

136 The following statistical analyses were performed using SPSS software version 20.0 (IBM,
137 Armonk, NY). A significance level of 5% (two-tailed) was set. Descriptive statistics were calculated for
138 demographic and outcome variables. Before running the parametric tests, Kolmogorov-Smirnov tests
139 and/or histograms were used to check the normality of the data. Independent t-tests and chi-square tests
140 were used to compare the continuous and categorical participant characteristics, respectively, of the rugby
141 and control groups. To account for the possible confounding effect of BMI when comparing the SOT
142 results of the two groups, multivariate analysis of covariance (MANCOVA) was performed twice – the
143 first MANCOVA incorporated the ES of SOT conditions 1 to 6 and the second MANCOVA incorporated
144 the SS of SOT conditions 1 to 6. Separate independent t-tests were performed to compare the composite
145 scores of the two groups. Effect sizes (partial eta-squared for MANCOVA and Cohen's d for the
146 independent t-test) were also calculated.

147 Pearson's correlation coefficient (r) and Spearman's correlation coefficient (ρ) were used to
148 examine the bivariate associations between SOT condition 6 scores and the rugby players' training history
149 and injury history, respectively. SOT condition 6 was selected because this is the most challenging
150 condition^{10,20} and best resembles the sensory challenges faced during rugby games.²² Next, multiple linear
151 regression analyses were performed to identify the determinants of SOT condition 6 ES and SS among
152 the rugby players. First, demographics including age, sex and BMI were added to the regression model.
153 Then, the rugby training history (including training hours per week, age of onset of training and length of
154 training) and injury history (including incidents of mild concussion, sprained ankle and sprained knee)
155 that were significantly associated with SOT condition 6 ES or SS in the correlational analysis were
156 entered into the regression model. Multicollinearity was checked – any predictors that had a variance
157 inflation factor of >10 and a tolerance value of <0.1 were not included in the same regression model.

158

159 3. Results

160 Fifty-three amateur rugby players and 50 active control participants were screened. Forty-five
161 rugby players and 41 controls were eligible to participate in the study. The participant characteristics are
162 presented in Table 1. The demographics of the two groups were similar except that the rugby players had
163 significantly higher BMI ($p < 0.05$) and higher incidents of ankle joint sprain ($p < 0.001$) and knee joint
164 sprain ($p = 0.006$).

165 The MANCOVA results revealed an overall significant difference in condition SSs (Hotelling's
166 trace = 4.537; $F(6,78) = 58.977$; $p < 0.001$) and close to significant difference in condition ESs
167 (Hotelling's trace = 0.169; $F(6,78) = 2.195$; $p = 0.052$). When each individual SS and ES was considered,
168 the between-group difference remained significant for all condition SSs and ESs ($p < 0.05$), except
169 condition 3 ES ($p = 0.373$) and condition 5 ES ($p = 0.155$). The condition SSs were 1.99–54.90% lower in
170 the rugby group than the control group, and the condition ESs were 1.06–14.29% lower in the rugby
171 group than the control group. The composite SS and ES were also significantly lower in the rugby group,
172 by 20.29% ($t(84) = -18.580$; $p < 0.001$) and 4.84% ($t(84) = -2.590$; $p = 0.011$), respectively. For those SSs

173 and ESs that showed significant between-group differences, partial eta-squared values ranged from 0.053
174 (medium effect size) to 0.709 (very large effect size), and Cohen's d values ranged from 0.564 (medium
175 effect size) to 4.072 (very large effect size)²³ (Table 2).

176 Bivariate correlation analyses showed that SOT condition 6 ES was positively correlated with age
177 of onset of rugby training ($r = 0.346$; $p = 0.020$) and SOT condition 6 SS was positively correlated with
178 length of rugby training ($r = 0.435$; $p = 0.003$). However, no significant correlations were found between
179 SOT condition 6 scores and rugby training hours per week ($p > 0.05$) or the injury history (i.e., incidents
180 of mild concussion, sprained ankle or knee) of the rugby players (all $p > 0.05$). So, only age of onset of
181 rugby training and length of rugby training were used in the subsequent regression analysis.

182 In the first regression model (Table 3, model 1), age of onset of rugby training was used to predict
183 SOT condition 6 ES. After adjusting for the effects of age, sex and BMI (confounders), the association of
184 SOT condition 6 ES and the age of onset of rugby training was no longer significant ($p = 0.659$). In the
185 second regression model (Table 3, model 2), length of rugby training was used to predict SOT condition 6
186 SS. After accounting for age, sex and BMI (confounders), length of rugby training remained
187 independently associated with SOT condition 6 SS, explaining 15.7% of its variance ($p = 0.006$).

188

189 4. Discussion

190 We discovered that amateur rugby players with an average of 6.8 years of rugby experience
191 (range: 1–14 years) demonstrated atypical postural control strategies and inferior static standing balance
192 performance compared with active controls. The results are particularly concerning because both the
193 composite ES and composite SS achieved by the rugby players were well below the healthy control
194 values (composite ES effect size: 0.564 (medium); composite SS effect size: 4.072 (very large)). It seems
195 that regular rugby training might compromise balance strategy and associated balance performance.

196 We found that the SOT condition SSs were 1.99–54.90% lower in the rugby group than the
197 control group and the composite SS was also significantly lower in the rugby group by 20.29%. These
198 findings collectively suggested that rugby players over relied on hip balance strategies and decreased

199 reliance on ankle strategy to maintain postural stability. This inferior balance strategy observed in the
200 rugby players might be explained by the specific movement patterns used during rugby matches.
201 Biomechanical (movement) analysis has shown that during rugby matches, players use exaggerated body
202 movements to deceive their opponents into thinking that they will run in a given direction, while
203 minimizing postural control parameters to disguise sudden changes in posture to modify the final running
204 direction. This requires a 'bottom-up strategy' in which displacement of the base of support is followed
205 by a reorientation of the upper body. Sometimes, movement of the upper body is in the opposite direction
206 to the direction of displacement of the base of support.²⁴ Therefore, hip sway may be the most common
207 balance movement performed by rugby players. Habitual and exaggerated hip sway (i.e., use of a hip
208 strategy) will compromise standing balance performance.⁸

209 Despite these negative findings, subsequent correlation analysis showed that SOT condition 6 SS
210 was positively correlated with the length of rugby training. This result hinted that rugby players' poor
211 balance strategy is associated with insufficient training experience (in terms of years of training). With
212 increasing training/playing experience, rugby players shifted their postural control strategy from a
213 predominantly hip strategy to an ankle strategy (SOT condition 6 SS increased). Indeed, the length (years)
214 of rugby training could explain 15.7% of the variance in the SOT condition 6 SS. Improved postural
215 control strategy suggests that balance performance also improves as a result.⁸ Our finding is in agreement
216 with a previous study of rugby players that found that agility, which is closely related to balance,
217 progressively improved with playing experience.¹ Hammami et al.²⁵ also reported that practicing rugby by at
218 the elite level may lead to long-term improvements in balance performance. The superior balance
219 performance of experienced athletes may be related to repetitive training experiences that improve motor
220 responses.²⁶ Further study is needed to explore the optimum/minimum training duration needed to
221 enhance the balance strategy and performance of rugby players.

222 Our results also revealed that age of onset of rugby training is positively associated with balance
223 performance in a sensory challenging environment but it is not a significant predictor. Previous research
224 has suggested that introducing balance training at specific ages in children is crucial for the maturation

225 and development of sensorimotor abilities and postural control.⁴ Our previous study also showed that
226 contact sports training may speed up the development of postural control and vestibular function in
227 adolescents aged 11 to 14 years.²⁷ Further study is necessary to explore how the age of onset of training
228 influences balance performance among child rugby players.

229 We also found that a history of mild concussion, sprained ankle or sprained knee was not
230 associated with balance strategy or performance in the rugby group. Perhaps because we solicited both
231 long-term and short-term injury histories (ranging from 1 to 14 years), recall bias or spontaneous full
232 recovery might have occurred over time. Studies have shown that residual postural control (posturography)
233 deficits usually last up to only 30 days after a concussion.^{12,13,28} Holder-Powell and Rutherford¹⁴ also
234 found no relation between decrement in balance performance and lower limb injury (sprained ankle and
235 knee) history. It seems that there is no long-term disability in postural control associated with rugby
236 injuries. However, since our participants were recruited by convenience sampling and those who
237 sustained severe injury were excluded, selection bias may be present and the results should be interpreted
238 with caution.

239 This study has several more limitations. First, it is a cross-sectional study. No cause-and-effect
240 relationship between rugby training and postural control can be established. Second, given the dynamic
241 nature of rugby training, the SOT used in this study may not be the best method to assess the dynamic
242 balance ability of rugby players. Further studies should measure participants' dynamic postural control
243 instead of static postural control. Third, our regression model only explained 15.7% of the variance in
244 SOT condition 6 SS, indicating some potentially important factors affecting postural control strategies
245 (e.g., sensory organization and lower limb muscle strength and activation)^{29,30} were not captured. Future
246 studies could explore other factors affecting balance strategy and performance among rugby players.
247 Finally, the results of this study can only be generalized to amateur rugby players aged 18–33 years, not
248 rugby players of other training levels or age groups. Nevertheless, the results of this study will be of use
249 to athletes and coaches seeking to identify postural control profiles of amateur rugby players.

250

251 **5. Conclusion**

252 Amateur rugby players predominantly relied on a hip, rather than ankle, strategy to maintain
253 standing balance and demonstrated inferior balance performance compared to their non-training
254 counterparts. Their poor balance strategy was associated with insufficient training experience but not with
255 injury history.

256

257 **Practical implications**

- 258 • Suboptimal balance strategy and performance were demonstrated in rugby players.
- 259 • Their inferior balance strategy is associated with insufficient training experience but not history of
260 injury.
- 261 • Results of this study will be of use to athletes and coaches seeking to identify postural control profiles
262 of amateur rugby players.

263

264 **Acknowledgments**

265 The authors would like to thank the Hong Kong Rugby Union for supporting this study. No
266 funding was provided for this study.

267

268 **Conflict of interest**

269 The authors have no conflicts of interest that are directly related to the content of this paper.

270

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331 **Tables**332 **Table 1**

333 Participant characteristics.

	Rugby group	Control group	p value
	(n = 45)	(n = 41)	
Demographics			
Age, yr	21.9 ± 2.9	21.0 ± 1.4	0.052
Sex (male/female), n	25 / 20	23 / 18	0.960
Height, cm	168.7 ± 9.1	164.5 ± 8.2	0.027*
Weight, kg	64.3 ± 12.6	57.2 ± 7.9	0.002*
Body mass index, kg/m ²	22.4 ± 3.0	21.1 ± 2.7	0.037*
Rugby training history			
Training duration, hours/week	6.8 ± 2.8	---	
Age of onset of training, yr	18.4 ± 3.0	---	
Length of training, yr	3.4 ± 2.8	---	
Injury history			
Mild concussion, n (%)	4 (8.9%)	0 (0%)	0.051
Sprained ankle, n (%)	32 (71.1%)	3 (7.3%)	<0.001*
Sprained knee, n (%)	10 (22.2%)	1 (2.4%)	0.006*
Lower limb fractures, n (%)	0 (0%)	1 (2.4%)	0.292

334 Means ± standard deviations are presented unless specified otherwise.

335 *p < 0.05.

336 **Table 2**

337 Sensory organization test results.

	Rugby group (n = 45)	Control group (n = 41)	p value	Effect size
Equilibrium scores				
Condition 1	94.03 ± 2.07	95.04 ± 1.62	0.020*	0.064
Condition 2	92.58 ± 2.69	93.67 ± 1.62	0.035*	0.053
Condition 3	92.23 ± 5.21	93.06 ± 2.98	0.373	0.010
Condition 4	80.95 ± 10.15	85.21 ± 7.66	0.007*	0.086
Condition 5	62.14 ± 12.21	65.11 ± 12.59	0.155	0.024
Condition 6	56.92 ± 18.66	66.41 ± 13.93	0.005*	0.092
Composite	76.11 ± 7.78	79.98 ± 5.80	0.011*	0.564
Strategy scores				
Condition 1	97.19 ± 1.93	99.16 ± 1.04	<0.001*	0.250
Condition 2	96.86 ± 1.99	99.14 ± 0.94	<0.001*	0.315
Condition 3	95.99 ± 4.90	98.92 ± 1.44	0.001*	0.122
Condition 4	70.97 ± 18.06	89.76 ± 3.21	<0.001*	0.326
Condition 5	36.19 ± 15.96	80.25 ± 9.32	<0.001*	0.746
Condition 6	39.99 ± 14.99	81.27 ± 11.55	<0.001*	0.709
Composite	72.87 ± 5.83	91.42 ± 2.74	<0.001*	4.072

338 Means ± standard deviations are presented unless specified otherwise.

339 *p <0.05.

340 **Table 3**

341 Multiple regression analyses for predicting sensory organization test equilibrium score and strategy score among rugby players (n = 45).

Model	Predictor	F	R²	Adjusted R²	R² change	Unstandardized regression coefficient (B)	95% Confidence interval for B	Standardized regression coefficient (β)	p value
Dependent variable 1: Sensory organization test condition 6 equilibrium score									
Model 1		F _{4,40} = 4.777, P = 0.003	0.323	0.256					
	Age, yr				0.212	2.790	0.749, 4.832	0.433	0.009*
	Sex (male = 1, female = 2)					-12.252	-23.372, -1.132	-0.330	0.032*
	Body mass index, kg/m ²					-0.192	-2.038, 1.653	-0.031	0.834
	Age of onset of training, yr				0.003	0.438	-1.553, 2.428	0.070	0.659

Dependent variable 2: Sensory organization test condition 6 strategy score

Model 2	$F_{4,40} = 3.181,$	0.241	0.165			
	P = 0.023					
Age, yr	0.038	-0.451	-2.182, 1.280	-0.087	0.601	
Sex (male = 1, female = 2)		-0.249	-9.546, 9.049	-0.008	0.957	
Body mass index, kg/m ²		1.095	-0.467, 2.658	0.219	0.164	
Length of training, yr	0.157	2.513	0.747, 4.279	0.476	0.006*	