

1 **Randomized clinical trial of 12% and 38% silver diamine fluoride treatment**

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28 **Abstract**

29

30 *This 30-month randomized clinical trial compared the effectiveness of two*
31 *concentrations (12% or 38%) of silver diamine fluoride (SDF) and two periodicity of*
32 *application (once or twice a year) in arresting cavitated dentin caries in primary teeth.*
33 *Children aged 3-4 years who had at least one active cavitated caries lesion were enrolled and*
34 *randomly allocated into four groups for intervention. Group 1 - 12% SDF applied annually*
35 *(every 12 months); Group 2 - 12% SDF applied semi-annually (every 6 months); Group 3 -*
36 *38% SDF applied annually; and Group 4 - 38% SDF applied semi-annually. Clinical*
37 *examinations were performed semi-annually in kindergarten by a single examiner to*
38 *investigate whether the SDF-treated caries became arrested. A total of 888 children with 4,220*
39 *decayed tooth surfaces received SDF application at baseline, and 799 (90.0%) children with*
40 *3,790 surfaces (89.8%) were evaluated at the 30-month examination. The caries arrest rates*
41 *were 55.2%, 58.6%, 66.9% and 75.7% for Groups 1, 2, 3 and 4, respectively ($p < 0.001$). Caries*
42 *treated with 38% SDF had a higher chance of becoming arrested than those treated with 12%*
43 *SDF (OR=1.98; 95% CI: 1.51-2.60, $p < 0.001$). The interaction between frequency of SDF*
44 *application and visible plaque index (VPI) score was significant ($p = 0.017$). Among those*
45 *children who received annual SDF application, children with higher VPI score had a lower*
46 *chance to have their caries become arrested (OR=0.59, 95% CI: 0.49-0.72). In conclusion,*
47 *SDF at a concentration of 38% is more effective than that of 12% in arresting active caries in*
48 *primary teeth. For children with poor oral hygiene, caries arrest rate of SDF treatment can be*
49 *increased by increasing the frequency of application from annually to semi-annually.*

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51 *This study was registered in the Registry of Clinical Trials run by the United States*
52 *National Library of Medicine (NCT02385474).*

53 **Introduction**

54 Early childhood caries (ECC) is one of the most prevalent chronic diseases worldwide
55 (Kassebaum et al. 2015). Restorative interventions for young children are challenging because
56 of their young age and uncooperative behaviours. Moreover, access to dental care is often
57 limited due to the constraints of resources. As a result, there is a public health challenge in
58 many communities that decayed primary teeth are mostly left untreated (Chu et al. 2012,
59 Duangthip et al. 2017). Clinical trials provided some evidence supporting the use of silver
60 diamine fluoride (SDF) for arresting dentin caries in preschool children (Chu et al. 2002,
61 Duangthip et al. 2016). The U.S. Food and Drug Administration cleared SDF for off-label use
62 in the United States in 2015 (Horst et al. 2016). A survey in the USA found that SDF was
63 rapidly adopted in pediatric dentistry residency training, and most of the respondents supported
64 to incorporate it into curricula and teaching clinics (Nelson et al., 2016). Several commercially
65 available agents containing different concentrations (12%, 30% and 38%) of SDF are used by
66 dentists (Gao et al. 2016b). Since SDF at 38% contains high fluoride concentration (44,800
67 ppm), the use of a low concentration (12%) SDF was introduced to minimize the risk of dental
68 fluorosis. So far, there is limited information about the effectiveness of different combinations
69 of concentration and application frequency of SDF in arresting dentin caries in primary teeth.
70 Thus, we conducted a 30month randomized clinical trial to compare the effectiveness of two
71 concentrations (12% and 38%) of SDF when applied once or twice a year in arresting dentin
72 caries in primary teeth in preschool children. The 18-month results of this study suggest that
73 SDF is more effective in arresting dentin caries at a concentration of 38% than at 12%, and
74 when applied every 6 months than every 12 months (Fung et al. 2016). This paper reported the
75 final results. The first null hypothesis of this study was that there was no difference in the
76 effectiveness of SDF solution in arresting caries in primary teeth at different concentrations
77 (12% or 38%). The second null hypothesis was that there was no difference in the effectiveness
78 of SDF in arresting caries in primary teeth when applied at different frequencies (every 6 or 12
79 months).

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81 **Materials and Methods**

82 This study was registered in the Registry of Clinical Trials run by the United States
83 National Library of Medicine (ClinicalTrials.gov identifier: NCT02385474). Ethical approval
84 was obtained from the Institutionalized Review Board of the University of Hong Kong. Written

85 consent was obtained from the parents of each child. The recruitment period was in September
86 2009-November 2010. The last follow up examination was in May, 2013.

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88 ***Trial design and implementation***

89 This 30-month study was conducted in 37 kindergartens in Hong Kong. Healthy
90 children aged 3-4 years and with at least one soft carious lesion at dentin level were recruited.
91 Tooth with signs suggesting that it was non-vital was excluded. After baseline examination,
92 eligible children were randomly allocated to one of the four treatment groups. Follow-up
93 examinations were performed every six months by the same trained examiner who was blinded
94 to the treatment group allocation. A CPI probe and a disposable dental mirror attached to an
95 intra-oral LED light handle were used in the examinations. Oral hygiene status of the children
96 was recorded using the visible plaque index (VPI) (Ainamo and Bay 1975). The presence or
97 absence of visible plaque on the buccal and the lingual surfaces of six index teeth
98 (55,51,63,71,75 and 83) was recorded. VPI score was calculated as a percentage of the number
99 of surfaces with plaque to the total number of surfaces examined. Caries experience was
100 measured using the dmfs index. At tooth surface level, the size of lesion was classified as ‘small’
101 (<half of the mesial or distal surface; or <1/3 of the buccal, lingual or occlusal surface) or
102 ‘large’. For lesion activity assessment, a caries lesion was diagnosed as arrested if its surface
103 was smooth and hard on probing. A lesion was recorded as active if it was soft on probing (Chu
104 et al. 2002). Lesion that was later restored or in a tooth later extracted due to caries was
105 categorized as not arrested because the lesion had led to surgical intervention. The intra-
106 examiner reliability of caries diagnosis and oral hygiene assessment was evaluated through re-
107 examining a 10% random sample of children on the same day. At baseline and the 30-month
108 examinations, parents of the study children were asked to fill in a questionnaire about their
109 child’s demographic background, including sex, age, birthplace, main caretaker, father’s and
110 mother’s education level, family structure, and family income. Information about dental health
111 related habits, including bottle feeding, daily toothbrushing frequency, supervised brushing ,
112 use of fluoride toothpaste, daily frequency of sugary snacking and dental check-up experience
113 was collected. Parents were informed to report to the principal investigator if their child had
114 acute or systemic illness associated with the SDF treatment.

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116 After screening at baseline, a dental assistant enrolled the participant children. Before
117 randomization, the children were put into two strata according to the number of carious tooth
118 surfaces they had (1-3 surfaces and >3 surfaces) in order to achieve balance of the disease

119 severity among groups (Duangthip et al. 2016). A list of random allocation numbers was
120 generated by computer using a stratified randomization method based on the aforementioned
121 disease severity level with a block size of eight. The assistant who performed the random
122 allocation of study children also prepared the treatment materials. The bottles of the SDF
123 solutions were wrapped with aluminium foil and coded. An independent dentist who was blind
124 to the children's group allocation applied SDF or placebo on the caries lesion. For Groups 1
125 and 3, normal saline was applied as placebo at the semi-annual visits to blind the study children.
126 The four treatment groups were as follows:

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128 Group 1: 12% SDF applied every 12 months;

129 Group 2: 12% SDF applied every 6 months;

130 Group 3: 38% SDF applied every 12 months;

131 Group 4: 38% SDF applied every 6 months.

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133 *Sample size calculation*

134 The sample size was estimated based on: (i) caries arrest rate of 70% (Gao et al. 2016a),
135 (ii) a 10% absolute difference in caries arrest rate would be clinically significant, (iii) statistical
136 power of 80%, and (iv) statistical significance level at 0.05. The required sample size calculated
137 by using the software Sample Power 2.0 (SPSS, Inc., Chicago, USA) was 353 caries
138 lesions/group. The anticipated intra-class correlation coefficient (ICC) was 0.3 (Masood et al.
139 2015) and mean number of surfaces with caries at baseline per child was 3. Following the
140 equation for a multilevel study (Twisk, 2006), 565 caries lesions in 188 children/group would
141 be required. With a 15% drop-out rate, 221 children/group was needed at baseline.

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143 *Statistical analyses*

144 Data was analysed using the software SPSS 23.0 for Windows for statistical analysis
145 (SPSS Inc., Chicago, USA) by retaining subjects' allocation to treatment groups according to
146 randomization regardless of the intervention they received. Complete case analysis was used
147 without imputation of missing data (Moher et al. 2010). Kappa statistics was adopted to
148 evaluate intra-examiner reliability in caries diagnosis and assessment of oral hygiene. Chi-
149 square test and one-way analysis of variance (ANOVA) were used to compare the baseline
150 information of study children between groups. The changes in oral health-related habits at
151 baseline and 30-month examinations were assessed using McNemar test. The generalized
152 estimating equations (GEE) approach was employed to adjust the effect of correlation since

153 multiple lesions could be included in a child. A GEE model with logit link and two-level
154 clusters (surface level and subject level) was performed to investigate the effects of variables
155 on caries arrest at the 30-month examination at tooth surface level. Treatment group allocation
156 was replaced with concentration (12% or 38%) and application frequency (annual or semi-
157 annual) of SDF and their interactions to evaluate the treatment effects of these factors in the
158 presence of other significant variables adjusted in the model. Three baseline variables (tooth
159 position, tooth surface, and size of lesion) and two variables at the follow-up examination
160 (plaque on lesion and VPI score) can significantly affect the outcomes of caries arrest treatment
161 (Fung et al. 2016). The possible modification effects of the above-mentioned variables with the
162 treatment group were also examined. Therefore, besides the concentration and frequency of
163 application, the five covariates and their significant interaction with the assigned treatment
164 were included in the base model. Other confounding factors with $p < 0.1$ in the bivariate logistic
165 regression were chosen and entered into the base model. The corrected quasi-likelihood
166 information criterion (QICC) of all potential subset models were compared. The multivariable
167 logistic regression model with all variables being statistically significant, and demonstrating
168 the smallest QICC was presented as the best-fit model. The significant level for all statistical
169 tests was set at 0.05.

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171 **Results**

172 A total of 888 kindergarten children (519 boys, 369 girls) with 222 study children in
173 each treatment group were recruited in this trial. At baseline, their mean age (SD) was 3.8 (0.6)
174 years. Their mean (SD) dmft and dmfs score were 3.84 (2.79) and 5.15 (4.75), respectively.
175 Their mean (SD) number of carious teeth and surfaces were 3.69 (2.67) and 4.75 (4.11),
176 respectively. The number of active caries lesions in Groups 1, 2, 3 and 4 were 1,051, 1,072,
177 1,073 and 1,024, respectively. Approximately, two thirds (60.1%) of the carious lesions were
178 in anterior teeth, i.e. incisors and canines. The carious lesions were located in mesial (29.2%),
179 occlusal (29.0%), buccal (18.2%), distal (13.7%) and lingual (10.0%) surfaces. Plaque was
180 found on the surface of nearly all lesions. Parents of all the study children returned their
181 questionnaires. There were no significant differences among the children in the four study
182 groups regarding their clinical features, demographic background, oral health related
183 behaviours (χ^2 test and ANOVA with $p > 0.05$) (Table 1).

184

185 At the 30-month examination, 799 children (465 boys, 58.2%) with 3,790 lesions were
186 evaluated, giving an overall tooth surface level dropout rate of 10% (Figure 1). The subject
187 level dropout rates were 12%, 9%, 9% and 12% in Groups 1, 2, 3 and 4, respectively (χ^2 test,
188 $p>0.05$). Moving to another kindergarten was the reason for leaving this study. There were no
189 statistically significant differences in the demographic background, dental health related
190 behaviours, clinical characteristics and caries experiences between children who were
191 examined at baseline and remained at the 30-month examination ($p>0.05$). The kappa values
192 of the duplicate examinations of lesion activity were 0.91 and 0.95 at the baseline and 30-month
193 examination, respectively. Those of presence of visible plaque (0.92 and 0.92) were similarly
194 high.

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196 ***Effectiveness of SDF in arresting caries***

197 At the 30-month examination, the mean (SD) numbers of tooth surfaces with arrested
198 caries were 2.59 (2.94), 2.85 (2.91), 3.20 (3.71) and 3.49 (3.27) for Groups 1, 2, 3 and 4,
199 respectively (ANOVA, $p=0.030$; post-hoc Bonferroni test: Group 1 < Group 4, $p=0.032$). At
200 surface level, the caries arrest rates for Groups 1, 2, 3 and 4 were 55.2%, 58.6%, 66.9% and
201 75.7%, respectively (Table 2). There were statistically significant differences in caries arrest
202 rates among the four groups at the 24- and the 30-month examinations (χ^2 test, $p<0.001$). The
203 caries arrest rates of upper anterior, upper posterior, and lower posterior teeth were significantly
204 different among four groups (χ^2 test, $p<0.001$).

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206 At the 30-month evaluation, all distributed questionnaires were returned and an
207 improvement in oral health-related habits between the baseline and 30-month follow-up was
208 found in all four groups of children (McNemar, $p<0.05$). No significant differences were found
209 among the four study groups regarding the children's brushing and snacking habits, and
210 experience of dental visits (χ^2 test, $p>0.05$).

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212 ***Logistic regression model of caries arrest at surface level at the 30-month follow-up***

213 Besides the assigned treatment group variables (concentration and application
214 frequency), other variables including lesion size, tooth position, lesion site, VPI score at 30-
215 month and plaque on lesion at 30-month were found to be significantly ($p<0.05$) associated
216 with caries arrest at surface level (0 = not arrested, 1 = arrested). No statistically significant
217 interaction between frequency of application and concentration of SDF was detected. However,

218 the interaction between frequency of application and 30-month VPI score was significant. Thus,
219 this interaction term was added to the base model.

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221 Additional potential variables with $p < 0.1$ in the bivariate analysis (family income,
222 father's and mother's education, caretaker, and experience of dental visit) were also added to
223 the base model (Table 3). Therefore, 32 possible models (31 subset models plus 1 base model)
224 were computed. All additional variables with $p < 0.1$ were found to be not statistically significant
225 in the 31 subset models. The base model with all significant variables gave the smallest QICC
226 (3030) and was the best-fit logistic regression model as shown in Table 4. The clustering effect
227 was significant with an ICC of 0.227.

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229 After 30 months, lesions treated with 38% SDF had higher chance to become arrested
230 than those treated with 12% SDF (odds ratio [OR] = 1.98, 95% confidence interval [CI]: 1.51-
231 2.60). The interaction between 30-month VPI score and frequency of application was
232 statistically significant ($p = 0.017$). For illustration, carious lesions in children with a high VPI
233 score (let say, mean VPI score (0.45) + 1SD (0.20) = 0.65) and received annual SDF application
234 had lower chance to become arrested than those in children with the mean VPI score (OR=0.59;
235 95% CI: 0.49-0.72). However, there was no significant difference between the chance of being
236 arrested among the carious lesions in children with a high VPI score receiving semi-annual
237 SDF application (OR=1.09, 95% CI: 0.53-2.25), compared to those in children with mean VPI
238 score receiving annual application. Large caries lesions, those in occlusal surfaces, those in
239 posterior teeth, and those covered with visible plaque had comparatively lower chance to
240 become arrested ($p < 0.001$). Apart from the black staining on the arrested caries lesions, the
241 present study did not find any major long-term or permanent adverse effects.

242

243 **Discussion**

244 This 30-month randomized clinical trial was satisfactory completed and the dropout
245 rate at caries lesion level was lower than anticipated (10% vs 15%). Moreover, the observed
246 ICC for caries arrest within subject was also lower than the expected value (0.23 vs 0.30).
247 Therefore, the power of the study in the multi-level analysis was higher than planned. The
248 intra-examiner agreement was good as the kappa values in the duplicate examinations were at
249 least 0.91 at baseline and follow-up examinations. The strength of the present study include
250 large sample size, low dropout rate, good intra-examiner reliability and relatively long (30-

251 month) follow-up period. In the data analysis, several alternatives had been proposed to handle
252 missing data. However, there was no empirical evidence to guide the data analysis for those
253 who were loss to follow-up (Alshurafa et al. 2012). Thus, complete case analysis was adopted.
254 Due to ethical reasons, there was no negative control group.

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256 Based on the 30-month results, the null hypotheses of this clinical trial were rejected.
257 38% SDF was more effective than 12% SDF in arresting caries in primary teeth. These findings
258 are in line with that from laboratory studies (Mei et al. 2012; 2014). Hence, use of high
259 concentration (38%) SDF is recommended due to its higher caries-arrest effectiveness. The
260 caries arrest rates of 38% SDF were 66.9% for annual application and 75.7% for semi-annual
261 application. These results are comparable to that of a systematic review reporting the overall
262 caries arrest rate of 38% SDF was 65.9 % (Gao et al. 2016a). Increasing the frequency of
263 application from once to twice a year would increase the caries-arrest rate by about 15%.
264 Furthermore, increasing the frequency of SDF application can raise the caries arrest rate in
265 children with poor oral hygiene. Despite this, in places where many young children suffer from
266 untreated ECC (Duangthip et al. 2017), annual application of SDF solution would be a practical
267 and effective strategy for ECC management. Besides the primary analysis about the
268 effectiveness of the assigned SDF treatment, the exploratory or secondary analysis
269 investigating other significant variables on the caries arrest outcomes was also presented. This
270 study found that good oral hygiene and absence of plaque on lesion are paramount for the
271 success of caries arrest treatment by SDF. This finding concurs with that of a previous study
272 (Duangthip et al. 2016). The application of SDF on cavitated lesions was not sufficient to
273 prevent the development of new caries over the 30-month period in the participant children
274 who probably were at high caries risk, as reflected by their high mean baseline dmfs score.
275 Other preventive measures such as hands-on training in plaque control and topical fluorides
276 should be used to complement caries arrest treatment in the management of ECC.

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278 This study found a significant interaction between frequency of SDF application and
279 child's oral hygiene status. It is difficult to halt the caries progression in children with heavy
280 plaque. Clinicians should recall their child patients with unsatisfactory oral hygiene every 6
281 months and another SDF application would be necessary. Besides the above-mentioned factors,
282 in this study the effectiveness of caries arrest by SDF was site specific. The SDF treatments
283 were more effective in arresting caries on smooth surfaces of anterior teeth. These results are
284 consistent with those of a previous study (Zhi et al. 2012).

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The success of oral health care program in preschool children can be influenced by social determinants such as poverty (Braun et al. 2016). In the present study, the bivariate regression analysis showed a significant impact of father’s education. However, the multivariate regression analysis showed the absence of this significance, possibly due to a potential mediating role of other variables such as plaque scores. In deprived communities, where poor oral hygiene is common, effective plaque control program should be developed to enhance the caries arrest rates.

Besides the black staining on the arrested lesions, the present study did not find any major long term or permanent adverse effects. A pharmacokinetic study found that 2.37 mg SDF was the largest applied dose for three decayed primary teeth, which is far below the acute toxic dose by more than 400 times (Vasquez et al. 2012). Furthermore, topical SDF application on teeth is just an exposure only once or twice a year. Therefore, the safety concern of excessive fluoride exposure should be insignificant. This study supports the previous reviews that SDF is an effective, efficient and safe caries therapeutic agent for caries control in young children (Rosenblatt et al. 2009, Duangthip et al. 2015). When generalizing the findings of this study, one should consider that the participants in this study were preschool children with cavitated dentin carious lesions and the study site was in Hong Kong where the water supply is fluoridated at 0.5 ppm. In addition, parental acceptance of the staining of caries lesions after SDF application can vary significantly in different communities.

Conclusion

Based on the 30-month results of this study, 38% SDF solution is more effective in arresting dentin caries of primary teeth among preschool children, when compared to 12% SDF. Interaction between frequency of SDF application and oral hygiene status can be significant. Children’s oral hygiene should be taken into account when choosing the periodicity of SDF application. In children with poor oral hygiene, semi-annual application of SDF is more effective than annual application in arresting dentin caries.

Conflicts of interest

The authors declare no potential conflicts of interest.

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Table 1 Children’s demographic background, clinical characteristics and dental health related habits at baseline

Group	Group 1 (12% SDF, annual)	Group 2 (12% SDF, semi-annual)	Group 3 (38% SDF, annual)	Group 4 (38% SDF, semi-annual)	p-value
Demographic background					
Sex: Male	134 (60%)	130 (59%)	132 (60%)	123 (55%)	0.735
Place of birth: Hong Kong	214 (96%)	208 (94%)	213 (96%)	207 (93%)	0.335
Family structure: Both parent	209 (95%)	210 (96%)	209 (95%)	212 (96%)	0.784
Main Care Taker					0.920
- Father or mother	151 (68%)	145 (65%)	150 (68%)	145 (65%)	
- Grandparents	44 (20%)	44 (20%)	40 (18%)	49 (22%)	
- Maid or other people	27 (12%)	33 (15%)	32 (14%)	28 (13%)	
Father's education level					0.923
- Primary education	35 (17%)	37 (17%)	32 (15%)	36 (17%)	
- Secondary education	145 (68%)	140 (66%)	143 (67%)	135 (64%)	
- Post-secondary education	32 (15%)	36 (17%)	38 (18%)	41 (19%)	
Mother's education level					0.752
- Primary education	37 (17%)	34 (16%)	38 (17%)	42 (19%)	
- Secondary education	161 (73%)	152 (70%)	149 (68%)	147 (67%)	
- Post-secondary education	22 (10%)	30 (14%)	31 (14 %)	30 (14%)	
Monthly family income					0.752
- Below HK\$10,000	80 (37%)	80 (37%)	80 (38%)	84 (41%)	
- HK\$10,001 - 20,000	78 (37%)	66 (31%)	74 (35%)	65 (31%)	
- Above HK\$20,000	56 (26%)	69 (32%)	57 (27%)	59 (28%)	
Clinical characteristics					
Mean dmft score	3.82 (2.72)	3.81 (2.83)	3.92 (2.91)	3.83 (2.72)	0.970
Mean VPI score	0.68 (0.21)	0.68 (0.19)	0.70 (0.20)	0.69 (0.20)	0.695
Severity of dental caries:					0.983
- Having 1-3 carious lesions	114 (51%)	117 (53%)	118 (53%)	117 (53%)	
- Having >3 carious lesions	108 (49%)	105 (47%)	104 (47%)	105 (47%)	
Dental health related habits					
Current bottle feeding	117 (53%)	113 (51%)	127(57%)	111 (50%)	0.432
Daily frequency of snacking	2.37 (1.61)	2.36 (1.31)	2.24 (1.26)	2.42 (1.39)	0.600
Daily frequency of toothbrushing					0.880
- Twice a day	90 (40%)	99 (45%)	93 (42%)	100 (45%)	
- Once a day	88 (40%)	78 (35%)	84 (38%)	85 (38%)	
- Less than once a day	44 (20%)	45 (20%)	45 (20%)	37 (17%)	
Use of fluoride toothpaste	119 (54%)	119 (54%)	115 (52%)	120 (54%)	0.999
Supervised toothbushing	182 (82%)	188 (85%)	176 (79%)	181 (82%)	0.530

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Table 2 Tooth surface level caries arrest rates at 24- and 30-month examinations according to study group.

Group	Group 1 (12% SDF, annual)	Group 2 (12% SDF, semi-annual)	Group 3 (38% SDF, annual)	Group 4 (38% SDF, semi-annual)	p value
All surfaces					
Baseline	(n=1,051)	(n=1,072)	(n=1,073)	(n=1,024)	
24-month	504/937 (53.8%)	591/999 (59.2%)	620/971 (63.9%)	698/912 (76.5%)	p<0.001
30-month	512/927 (55.2%)	578/987 (58.6%)	650/971 (66.9%)	685/905 (75.7%)	p<0.001
Upper anterior teeth					
Baseline	(n=605)	(n=612)	(n=619)	(n=585)	
24-month	353/545 (64.8%)	404/569 (71.0%)	422/572 (73.8%)	446/518 (86.1%)	p<0.001
30-month	352/535 (65.8%)	399/559 (71.4%)	442/572 (77.3%)	441/515 (85.6%)	p<0.001
Upper posterior teeth					
Baseline	(n=140)	(n=140)	(n=143)	(n=138)	
24-month	34/122 (27.9%)	51/133 (38.3%)	49/125 (39.2%)	71/122 (58.2%)	p<0.001
30-month	39/122 (32.0%)	49/133 (36.8%)	52/125 (41.6%)	69/121 (57%)	p<0.001
Lower anterior teeth					
Baseline	(n=33)	(n=26)	(n=29)	(n=27)	
24-month	27/30 (90.0%)	19/24 (79.2%)	26/28 (92.9%)	25/26 (96.2%)	p=0.142
30-month	27/30 (90.0%)	19/24 (79.2%)	26/28 (92.9%)	22/24 (91.7%)	p=0.268
Lower posterior teeth					
Baseline	(n=273)	(n=294)	(n=282)	(n=274)	
24-month	90/240 (37.5%)	117/273 (42.9%)	123/246 (50.0%)	156/246 (63.4%)	p<0.001
30-month	94/240 (39.2%)	111/271 (41.0%)	130/246 (52.8%)	153/245 (62.4%)	p<0.001

Table 3 Bivariate logistic regression using GEE model with logit link and two-level clusters (surface level and subject level)

Explanatory variables	Unadjusted odds ratio	95% confidence interval	p-value
Demographic background			
Sex (ref: Female)			0.873
Male	1.02	0.81-1.28	
Place of birth (ref: Others)			0.140
Hong Kong	0.66	0.38-1.15	
Family structure (ref: Single parent)			0.158
Both parent	1.53	0.85-2.74	
Main caretaker (ref: Domestic helper)			0.014
Parent	1.45	1.05-2.00	
Grandparent	1.04	0.71-1.52	
Father's education level (ref: Tertiary)			0.040
Secondary	1.39	1.02-1.89	
Primary	1.63	1.09-2.41	
Mother's education level (ref: Tertiary)			0.072
Secondary	1.20	0.86-1.67	
Primary	1.62	1.06-2.48	
Monthly family income (ref: Above HK\$20,000)			0.086
HK\$ 10,000 - 20,000	1.23	0.93-1.64	
Below HK\$ 10,000	1.37	1.03-1.81	
Clinical parameters at baseline			
dmft score	0.98	0.94-1.02	0.247
Severity of dental caries (ref: >3 carious lesions)			0.784
Having 1-3 carious lesions	1.03	0.82-1.30	
Lesion site (ref: Mesial)			<0.001
Buccal	1.19	0.96-1.47	
Lingual	0.83	0.66-1.03	
Distal	0.84	0.70-1.00	
Occlusal	0.32	0.26-0.38	
Tooth position (ref: Upper anterior)			<0.001
Upper posterior	0.24	0.19-0.31	
Lower anterior	2.93	1.18-7.27	
Lower posterior	0.33	0.26-0.41	
Size of lesion (ref: Small)			<0.001
Large	0.63	0.54-0.73	
Clinical parameter at 30-month			
VPI score	0.392	0.22-0.69	0.001
Presence of plaque on lesion (ref: Yes)			<0.001
No	11.17	6.35-19.65	
Dental health related habits at 30-month			
Current bottle feeding (ref: Yes)			0.413
No	1.13	0.85-1.50	
Daily frequency of snacking	0.96	0.87-1.06	0.417
Daily frequency of toothbrushing (ref: Twice a day)			0.350
Once a day	1.13	0.88-1.45	
< once a day	0.75	0.42-1.33	
Use of fluoride toothpaste (ref: Yes)			0.603
No	1.10	0.78-1.54	
Supervised toothbrushing (ref: Yes)			0.637
No	1.06	0.84-1.33	
Having dental visit after start of study (ref: Yes)			<0.001
No	2.35	1.72-3.19	
SDF Treatment			
Concentration of SDF (ref: 12% SDF)			<0.001
38% SDF	1.70	1.36-2.12	
Frequency of SDF application (ref: Annual)			0.008
Semi-annual	1.35	1.08-1.69	

Table 4 Multivariable logistic regression model with the best goodness of fit estimated by corrected quasi-likelihood information criterion

Explanatory variables	Predicted probability ^a	Adjusted Odds ratio	95% confidence interval	p-value	Pairwise comparison
SDF Concentration				<0.001	
(1) 12% ^b	0.85				
(2) 38%	0.92	1.98	1.51-2.60		
Frequency of application				0.194	
(1) Annual ^b					
(2) Semi-annual		0.64	0.32-1.26		
Lesion site				<0.001	
(1) Mesial ^b	0.85				
(2) Buccal	0.88	1.32	0.99-1.77		(2) > (5)
(3) Lingual	0.84	0.93	0.67-1.28		
(4) Distal	0.86	1.08	0.85-1.36		(4) > (5)
(5) Occlusal	0.78	0.63	0.45-0.88		
Tooth position				<0.001	
(1) Upper anterior ^b	0.85				(1) > (2),(4)
(2) Upper posterior	0.52	0.19	0.13-0.28		
(3) Lower anterior	0.95	3.32	0.99-11.16		(3) > (2),(4)
(4) Lower posterior	0.67	0.36	0.24-0.53		(4) > (2)
Size of lesion				<0.001	
(1) Small ^b	0.85				
(2) Large	0.70	0.42	0.34-0.51		
Lesion with visible plaque at 30-month				<0.001	
(1) Yes ^b	0.85				
(2) No	0.99	13.02	7.56-22.44		
VPI score at 30-month		0.07	0.03-0.19	<0.001	
Frequency of application * VPI score				0.017	
(1) Annual ^b					
(2) Semi-annual		5.14	1.33-19.80		
Illustrations of interaction effect between frequency of application and VPI score using the estimates from both main and interaction effects					
i. Annual * Mean VPI score	0.85 ^c				
ii. Semi-annual * Mean VPI score	0.88 ^c	1.33	1.02-1.74		
iii. Annual * High VPI score ^d	0.29 ^c	0.59	0.49-0.72		
iv. Semi-annual * High VPI score ^d	0.74 ^c	1.09	0.53-2.25		

^a Predicted probability of arrested caries evaluated with VPI score at mean level of 0.45 (after excluding missing data) and other variables set at the reference category

^b Reference category, * Interaction

^c Predicted probability of arrested caries evaluated with other variables set at the reference category

^d High VPI score = Mean VPI score (0.45) + 1SD (0.20) = 0.65