
Fulcrum flexibility of the main curve predicts postoperative shoulder imbalance in selective thoracic fusion of adolescent idiopathic scoliosis

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1

2 **Abstract**

3 **Purpose**

4 To identify preoperative predictors for postoperative shoulder imbalance (PSI) after
5 corrective surgery of adolescent idiopathic scoliosis (AIS) using the fulcrum bending
6 radiograph to assess flexibility.

7 **Methods**

8 A consecutive surgical cohort of AIS patients undergoing selective thoracic fusion with
9 alternate-level pedicle screw fixation was prospectively studied. Preoperative antero-
10 posterior, lateral and fulcrum bending radiographs were analyzed. Postoperatively, a
11 minimum of two years clinical and imaging follow-up was performed of all patients. PSI was
12 defined as a radiographic shoulder height difference of more than 20 mm.

13 **Results**

14 A total of 80 patients were included and 14 patients (18%) were confirmed with PSI at final
15 follow-up. Flexibility of MT curve was an independent risk factor for PSI (odds ratio (OR) =
16 3.3 per 10% decrease, 95% confidence interval (CI): 1.6-8.2). 27 patients had a preoperative
17 MT flexibility of <55% (OR=11.5, 95% CI: 2.8-46.2). Postoperative T1 tilt was significantly

1 higher in the PSI group ($p < 0.001$) and a T1 tilt of more than 9° resulted in 7.2 times higher
2 odds of developing PSI (95% CI: 2.0-26.0). Fulcrum bending correction index (FBCI) was
3 significantly higher in the PSI group at final follow-up and 25 patients had a final
4 postoperative MT FBCI above 120% (OR=8.5 (95% CI: 2.3-31.0).

5 **Conclusions**

6 A low preoperative curve flexibility is a significant predictor for PSI. The surgical strategy
7 should consider proximal fusion if low-flexibility MT curves and/or less aggressive MT
8 curve correction. Achieving a level T1 should be a main priority during intraoperative
9 correction and may require fusion of the PT curve.

10 **Level of evidence**

11 III

12 **Key words**

13 Adolescent idiopathic scoliosis; postoperative shoulder imbalance; fulcrum bending
14 flexibility; fulcrum bending correction index; T1 tilt

15

1 **Introduction**

2 Contemporary surgical treatment for adolescent idiopathic scoliosis (AIS) involves
3 correction of the structural part of the deformity while allowing the non-structural
4 components to spontaneously correct[1-3]. As such, one of the key objectives of AIS surgery
5 is to achieve a stable and balanced spine with the least number of fusion levels[4,5]. Less
6 fusion levels also equates to less instrumentation, decreased risk of complications and
7 reduced health-care costs[4,6-8]. Postoperative shoulder imbalance (PSI) is a common
8 complication after AIS surgery and may occur as a postoperative decompensation of shoulder
9 balance or a persisting preoperative shoulder imbalance inadequately corrected during
10 surgery[9-12]. Postoperative shoulder imbalance is universally considered a poor outcome as
11 it causes unsatisfactory appearance in young patients[9,13-15].

12 The preoperative identification of patients at risk of developing PSI remains
13 challenging but a key concept is to correctly identify the need for fusion of the proximal
14 thoracic (PT) curve[16]. Lenke *et al*[3,17] assigned structural criteria with a side bending PT
15 Cobb angle $\geq 25^\circ$ and/or T2-T5 kyphosis $< 20^\circ$ as indication for fusion of the PT curve.
16 Although the PT curve may be more rigid compared to the main thoracic (MT) curve,
17 inclusion of all non-flexible PT curves has been shown to not always be necessary[18,19].
18 Others have added that the anticipated effect of MT curve correction on shoulder balance
19 should be taken into account and that in cases of a substantial preoperative left shoulder
20 elevation, the entire proximal curve should be fused[20,21]. At our institution, we do not
21 employ the criteria proposed by Lenke *et al*[17] for PT fusion as we use the fulcrum bending
22 radiograph (FBR) to assess curve flexibility. Generally speaking, in small and/or flexible PT
23 curves, surgeons' decision to fuse the PT curve relies on the presence of preoperative left

1 shoulder elevation, higher left first rib, negative T1 tilt, and a significant clinical scapular
2 hump.

3 Postoperative shoulder imbalance may be associated with an increased correction of
4 the MT curve or a PT/MT curve mismatch suggesting that the amount of curve correction
5 should be differentiated between patients[10,22,23]. Whether this differentiation should
6 depend on the preoperative flexibility of the spine is not known. While PT flexibility has
7 been shown to correlate with postoperative T1 tilt and PT curve correction, it does not appear
8 to predict PSI[14,22]. However, it remains unknown whether the flexibility of the MT or
9 lumbar curve may affect PSI in AIS patients.

10 In lieu of the aforementioned gaps in knowledge, the primary aim of the following
11 study was to identify preoperative predictors of PSI at a minimum of two years in a cohort of
12 AIS patient undergoing selective thoracic fusion. Secondly, we also sought to determine
13 clinically meaningful thresholds for when fusion of the PT curve should be considered.

14

15 **Methods**

16 Following ethics committee approval, we performed a prospective study of a
17 consecutive series of AIS patients treated surgically from December 2004 to October 2009
18 with alternate-level pedicle screw instrumentation at a single institution[5,24]. Only patients
19 with selective fusion of the MT curve with two-year follow-up were included.

20 All patients underwent FBR of the MT curve prior to surgery. The methods for
21 obtaining FBRs and the determination of fusion levels have been previously reported[25-28].
22 In short, the patient was hinged over a radiolucent fulcrum while in the lateral decubitus
23 position. For lumbar curves, the fulcrum was placed directly under the apex of the curve,
24 whereas for MT curves it was placed under the rib corresponding to the apex of the curve.
25 Patients in which fusion of the PT curve was considered underwent FBR of the PT curve

1 where the fulcrum was placed in the axilla, while the ipsilateral arm is placed below the
2 patient's head.

3 Standing antero-posterior (AP) and lateral digital plain radiographs were assessed
4 preoperatively, immediate postoperatively and at final postoperative follow-up. From the AP
5 radiograph, the following variables were measured: T1 tilt, clavicle angle, Cobb angle and
6 apical translation of the PT, MT, and lumbar curves. Listing was also measured as the
7 distance from the C7 plumb line to the central sacral vertical line (CSVL). Additionally, the
8 following variables were measured:

- 9 a) Radiographic shoulder height (RSH) was defined as the height difference in the soft
10 tissue shadow directly superior to the acromioclavicular joints (**Figure 1**).
- 11 b) Wedging of the apical PT vertebra was defined as a change of more than 5° between
12 the angle of the upper and lower endplate of the apical vertebrae.
- 13 c) Rotation of the apical PT vertebra was assessed according to the method of Nash-
14 Moe[29]. Rotation was considered present in grade 2 or 3.
- 15 d) Fusion mass shift: A perpendicular line is drawn from the lower endplate of the lower
16 instrumented vertebrae (LIV). Fusion mass shift is the distance from this line to the
17 midpoint of the upper endplate of the upper instrumented vertebrae (UIV) (**Figure**
18 **1**)[4].
- 19 e) Fusion mass angle was defined as the Cobb angle measured from the upper endplate
20 of the UIV and the lower endplate of the LIV.
- 21 f) LIV angle was defined as the angle of the intervertebral disc below the LIV. It was
22 measured by the angle made from the lower endplate of the LIV and the upper
23 endplate of LIV+1 vertebra (**Figure 1**)[30].
- 24 g) LIV tilt was defined as the tilt angle of the lower endplate of the LIV towards the
25 horizontal parallel line.

1 h) First rib angle (FRA) was defined as the tilt of a tangential line that connected both
2 the superior borders of first ribs (**Figure 1**)[31].

3 i) Trapezium length (TL) was defined as the difference of the horizontal distance of the
4 T2 pedicle to second rib–clavicle intersection between left and right side (**Figure**
5 **1**)[31].

6 From the lateral radiograph, the thoracic kyphosis (T5-T12) and lumbar lordosis (L1-
7 S1) were measured[32]. The PT curve was considered fused when the UIV was T2 or T1.
8 From the FBR, the Cobb angle of the curve was measured and the fulcrum flexibility of the
9 PT, MT and lumbar curves were calculated as follows[24]:

$$11 \text{ Correction rate(\%)} = \frac{\text{Preoperative Cobb angle} - \text{postoperative Cobb angle}}{\text{Preoperative Cobb angle}} * 100\%$$

$$12 \text{ Fulcrum flexibility} = \frac{\text{Preoperative standing Cobb angle} - \text{Fulcrum bending Cobb angle}}{\text{Preoperative standing Cobb angle}} * 100\%$$

14 Subsequently, the fulcrum bending correction index (FBCI) of the main curve was calculated:

$$15 \text{ FBCI} = \frac{\text{Correction rate}}{\text{Fulcrum flexibility}} * 100\%$$

17 All image data were stored in our archiving and communication system with DICOM-
18 based software (RadworksTM 5.1; Applicare Medical Imaging BV, Zeist, The Netherlands).

19 All measurements were performed by two readers independently and blinded to patient
20 information. The mean of the two measurements were taken as the final measurement if
21 differences in angles were <5° or distance measurements were <5mm. Any angle or distance
22 differences more than described were discussed among the two readers and a final
23 measurement was made by consensus. Additional variables included age, sex-type and Risser
24 grade before surgery. The presence of a significant preoperative proximal scapular hump was

1 noted as a binary variable and was based on a subjective description by the observer during
2 assessment of the forward bending test.

3

4 *Statistical analysis*

5 The primary outcome measure was PSI, which was defined as an absolute RSH \geq 20
6 mm at final follow-up, which is above the 95th percentile in normal subjects[33]. All
7 statistical analyses were performed using R version 3.3.2 (R core team, 2014, Vienna,
8 Austria). Data is reported as counts, proportions (%), mean \pm standard deviation or median
9 with interquartile range (IQR). Continuous data was compared between groups using the non-
10 parametric Wilcoxon rank sum test due to the small size of the PSI group. Categorical
11 variables were compared using Pearson's chi-squared test or Fisher's exact test where
12 applicable. The preoperative radiographs were analyzed with univariate comparative analysis
13 to identify variables that were associated with PSI at final follow-up. All variables with a p-
14 value of <0.1 were included in a standard multivariate logistic regression with PSI as the
15 dependent variable. Collinearity was assessed with the variance inflation factor and a test for
16 interaction was performed between all included variables. Odds ratios (OR) and their
17 corresponding 95% confidence intervals (CIs) were obtained. Radiographs at final
18 postoperative follow-up were analyzed with univariate comparative analysis to assess the
19 compensatory mechanisms in patients with PSI. A p-value ≤ 0.05 was considered significant.
20 Clinically relevant thresholds were assessed with sensitivity analysis and receiver operating
21 characteristics (ROC) analysis. As part of that analysis, the area under the curve (AUC)
22 provided a measure of the ability of the suggested predictor to predict PSI. An AUC between
23 0.90-1.00 indicated exceptional discrimination, 0.80-0.89 excellent, 0.70-0.79 acceptable,
24 0.60-0.69 fair, and 0.50-0.59 failed discrimination[34]. The suggested thresholds were

1 determined after careful evaluation of the ROC curve, the density plots and the parameter
2 variation (e.g. the 75th percentile).

3

4 **Results**

5 A total of 80 patients were included with a median follow-up of 36 months (IQR: 27-
6 45 months). Eighty-five percent were female and the mean preoperative Cobb angle of the
7 MT and PT curve was $59\pm 11^\circ$ and $35\pm 10^\circ$, respectively. Mean MT curve correction at final
8 follow-up was $66\pm 12\%$ and mean correction of the PT curves was $42\pm 20\%$. Mean
9 preoperative RSH was -1 ± 13 mm. At the immediate postoperative follow-up, the mean RSH
10 was 17 ± 12 mm and 36 patients (45%) met the criteria for PSI. At final follow-up, the mean
11 RSH was 8 ± 11 mm and 14 patients (18%) were confirmed with PSI. All patients with final
12 PSI had a postoperative left shoulder elevation with T1 tilt towards the right (left side
13 higher/positive T1 tilt) (**Figure 2**) and 9 out of 14 patients met the criteria for PSI at the
14 immediate follow-up.

15

16 ***Preoperative predictors of PSI***

17 At the preoperative stage, the PSI and no-PSI groups did not differ in shoulder
18 balance parameters, MT Cobb angle or apical translation of the PT, MT or lumbar curve
19 ($p\geq 0.116$). The FBR flexibility of the PT curve was assessed in 28 patients and there was no
20 difference in flexibility between the groups ($p=0.380$) and the presence of clinically evident
21 proximal scapular hump was equally distributed between the groups ($p=0.990$) (**Table 1**).
22 The groups differed in PT Cobb angle ($p=0.096$), wedging of the PT apical vertebra
23 ($p=0.056$) and lumbar Cobb angle ($p=0.075$) but a statistically significant difference was

1 found only for rotation of the PT apical vertebra ($p=0.034$) and flexibility of the MT curve
2 ($p<0.001$).

3 The multivariate logistic regression showed that independent predictors for PSI at
4 final follow-up were flexibility of the MT curve (OR=3.3 per 10% decrease in flexibility,
5 95% CI: 1.6-8.2) and rotation of the PT apical vertebra (OR=9.7, 95% CI: 1.2-138.6) (**Table**
6 **2**). No significant collinearity or interaction was found in the model ($p > 0.05$).

7

8 *Final follow-up*

9 At the final follow-up, the PSI and no-PSI groups did not differ in Cobb angle or
10 apical translation of the PT, MT or lumbar curve ($p > 0.05$) (**Table 3**). The median T1 tilt was
11 6° [IQR: 3-9 $^\circ$] and 11° [IQR: 9-14] in the no-PSI and PSI groups, respectively ($p=0.001$) and
12 median list was -4 mm [IQR: -13 to 3 mm] vs. 3 mm [IQR: -5 to 15 mm] ($p = 0.056$). Eight
13 versus two patients had a list of more than 20 mm at final follow-up in the no-PSI and PSI
14 groups, respectively ($p = 0.989$). MT curve correction was not different between the groups,
15 but when adjusted for the difference in preoperative flexibility there was a significant
16 association between MT curve correction and PSI (OR: 2.6, 95% CI: 1.1-6.6 per 10%
17 increase in curve correction). No such effect was found for PT or lumbar curve correction (p
18 ≥ 0.272). Median MT FBCI was 103% [IQR: 91-118%] versus 139% [IQR: 120-172%] in the
19 no-PSI and PSI groups, respectively ($p<0.001$). A significant correlation was found between
20 final postoperative RSH and preoperative flexibility (Pearson's $r = -0.32$, $p = 0.001$), final T1
21 tilt (Pearson's $r = 0.60$, $p < 0.001$) and final MT FBCI (Pearson's $r = 0.41$, $p < 0.001$).

22 ROC curve analysis was performed to determine the performance of MT flexibility
23 for predicting PSI (**Figure 4**). AUC was 0.83 (95% CI: 0.70–0.95) and 27 patients had a

1 preoperative MT flexibility of $< 55\%$ (OR = 11.5, 95% CI: 2.8-46.2). At this threshold, the
2 sensitivity and specificity of MT flexibility to discriminate between PSI and no-PSI patients
3 was 79% (95% CI: 49-95%) and 76% (95% CI: 64-85%), respectively. Final T1 tilt and FBCI
4 were categorized based on the 75th quartile (**Table 2**) (**Figure 3**) and the risk of PSI was
5 assessed. Twenty-seven patients had a final postoperative T1 tilt above 9° (OR= 7.2 (95% CI:
6 2.0-26.0) and 25 patients had a final postoperative MT FBCI above 120% (OR = 8.5 (95%
7 CI: 2.3-31.0).

8

9 **Discussion**

10 The rate of PSI after selective thoracic fusion for AIS was 18% after a minimum of
11 two-year follow-up. A 10% decrease in preoperative MT flexibility “tripled” the odds of
12 developing PSI. Furthermore, an increased correction of the MT curve was associated with a
13 risk of PSI when adjusted for the difference in MT flexibility. FBCI, which incorporates both
14 flexibility and curve correction, was markedly higher in the PSI group at both immediate and
15 final follow-up and the correlation with RSH was stronger for FBCI than for flexibility alone.
16 Almost all patients with a FBCI above 150% (n=7) developed PSI and we have proposed that
17 a sensible cut-off may be a maximum of 20% “over correction” (FBCI = 120%) to reduce the
18 risk of PSI. Alternatively, one may consider fusion of the PT curve in non-flexible curves in
19 order not to compromise the amount of MT curve correction.

20 At the final postoperative follow-up, T1 tilt was significantly associated with PSI and
21 showed the best correlation with RSH of any parameter (**Table 3**) (**Figure 3**). We found that
22 a residual T1 tilt above 9° was associated with a seven-fold increase in the odds of
23 developing PSI. Other studies have found similar correlation between postoperative T1 and
24 RSH[35,36]. We propose the leveling of T1 should be a key objective of surgery and if this

1 cannot be achieved fusion of the PT curve should be considered. The Lenke classification
2 provides a useful terminology in terms of defining “structural” versus “non-structural” curves
3 based on standard side-bending films, however the classification does not specifically address
4 the correction of shoulder imbalance. The present study found that $\geq 9^\circ$ T1 angulation may
5 serve as a clinically useful guide intraoperatively. We propose that a modest postoperative T1
6 angulation can be accepted but that a substantial residual inclination should be avoided by
7 including the PT curve in the fusion.

8 The proposed cut-offs in the present study may serve as “surgical guidelines” to
9 reduce the risk of PSI but, as they are based on the current data, they should be validated in
10 future studies to ensure external validity. While a majority of surgeons recommend the FBR
11 to be part of preoperative assessment of AIS patients[37] we acknowledge that this method is
12 not universally used. We expect that the suggested guidelines can be useful in conjunction
13 with other methods of flexibility assessment but would encourage future studies to confirm
14 this assertion.

15 We assessed additional structural criteria of the PT curve, such as apical rotation
16 and/or wedging, which could aid fusion level selection. While the difference in apical
17 rotation did reach statistical significance, considering the large confidence interval a larger
18 cohort would be required to assess the practical use of this parameter in predicting PSI.

19 Some advocate that due to the inherent stiffness of the PT, a relative overcorrection of
20 the MT curve would result in shoulder imbalance and that the choice to fuse the PT curve
21 should also depend of the PT/MT curve ratio[12,38]. Our analysis showed that correction
22 ratio, flexibility ratio or PT flexibility were not good predictors of PSI (**Table 1 and 3**). As
23 the current cohort were all Lenke type 1 with small or absent PT curves PT flexibility was

1 only assessed in 28/80 patients and hence the role of the PT flexibility in these patients would
2 require a focused study to elaborate.

3 At the final postoperative follow-up, a shift in list from a left side C7 plumb line
4 (negative values) in the no-PSI group to a right side plumb line in the PSI group was
5 observed. This is not surprising since all PSI patients have left shoulder elevation which
6 would likely drive the global balance to the right (**Figure 2**) (**Table 3**). The rate of coronal
7 imbalance > 20 mm[39] was low in both groups.

8 Cao *et al*[40] found a higher rate of distal adding-on in patients without PSI in line
9 while Matsumoto *et al*[22] found a lower clavicle angle in adding-on patients suggesting that
10 distal compensatory mechanisms play a key role in maintaining shoulder balance. Fusion
11 mass shift has been reported to be part of this mechanism[28] but such a coupling cannot be
12 verified in the present study. Also, we did not find that LIV angle, tilt or residual lumbar or
13 PT curve magnitude to be associated with PSI at final follow-up (**Table 3**). Hence, we
14 cannot, based on the current data, make any conclusions as to the importance of these
15 compensatory mechanisms.

16 It should be noted that the correlation between FBCI, T1 and RSH were only
17 moderate and a proportion of PSI patients did not have a high FBCI or T1 tilt (**Figure 3**). AIS
18 patients exhibit a range of compensatory mechanisms and the reasons behind the
19 development of PSI are likely multifactorial. As this was an observational study it is likely
20 that the predictive ability of preoperative T1 tilt and shoulder parameters are underestimated
21 as these parameters are routinely considered for the fusion strategy which introduces bias in
22 the analysis for these parameters.

23 Preoperative flexibility showed excellent discriminatory ability in terms of predicting
24 PSI (AUC=0.83) and the current data suggests that in patients with non-flexible MT curves

1 the surgical strategy should encompass either less aggressive correction of the MT curve
2 and/or inclusion of the PT curve in the fusion. Leveling of T1 during surgery should be a key
3 objective to minimize the risk of PSI even further.

4 The main limitation of study was that we only included patients with MT curves
5 undergoing selective thoracic fusion. As such, our results cannot be readily transferred to
6 other curve types as the influence on RSH differs when fusion includes the lumbar or
7 proximal thoracic region. The association between thoracic fusion on development of PSI is
8 complicated and a multitude of different parameters have been suggested to influence
9 shoulder height postoperatively. To assess the role of preoperative flexibility our analyses
10 inevitably included a series of univariate analyses to ensure that our results were not
11 influenced by unrecognized confounding factors. Due to the limited amount of patients in this
12 series our results should be interpreted with caution. As such, we consider our analysis
13 exploratory and would encourage future studies to validate these findings.

14

15 **Conclusion**

16 This is the first study to show that the flexibility of the main curve is an independent
17 preoperative predictor for the development of PSI after a minimum of two-year follow-up.
18 The surgical strategy for AIS should not be to maximize curve correction in all patients but
19 rather “incorporate” evaluation of preoperative flexibility. In non-flexible MT curves,
20 proximal fusion of the PT curve and/or less aggressive correction of the MT curve should be
21 considered. T1 tilt is an important element in PSI and achieving a level T1 should be a main
22 priority during intraoperative correction. However, if a level T1 cannot be achieved, fusion of
23 the PT curve should be considered.

24

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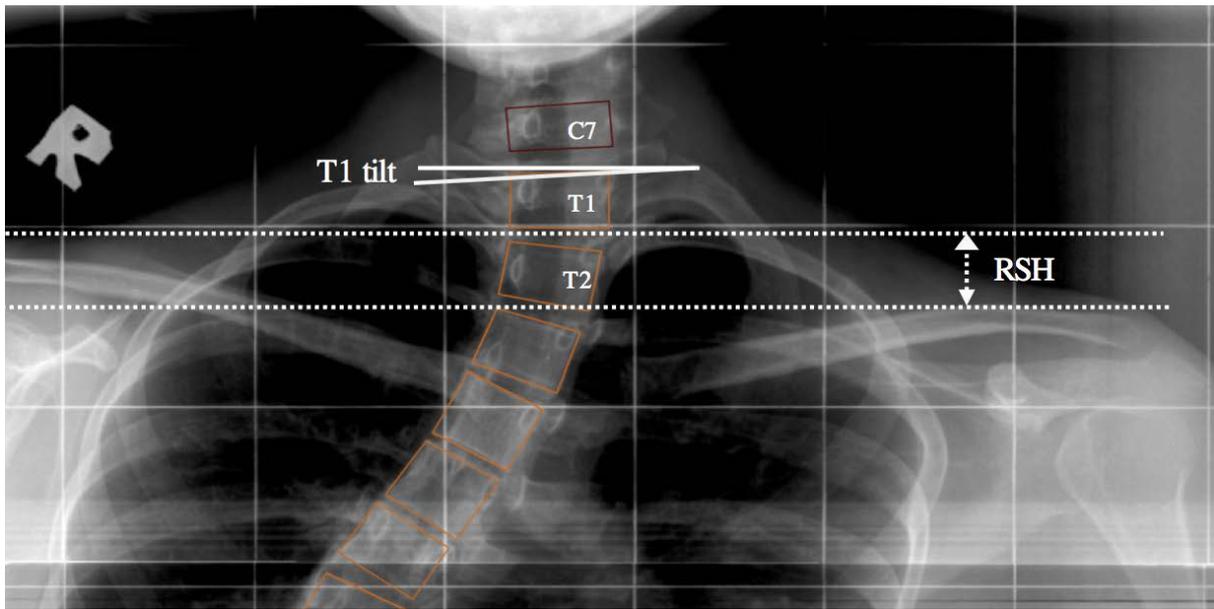
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1 **Figure legends**

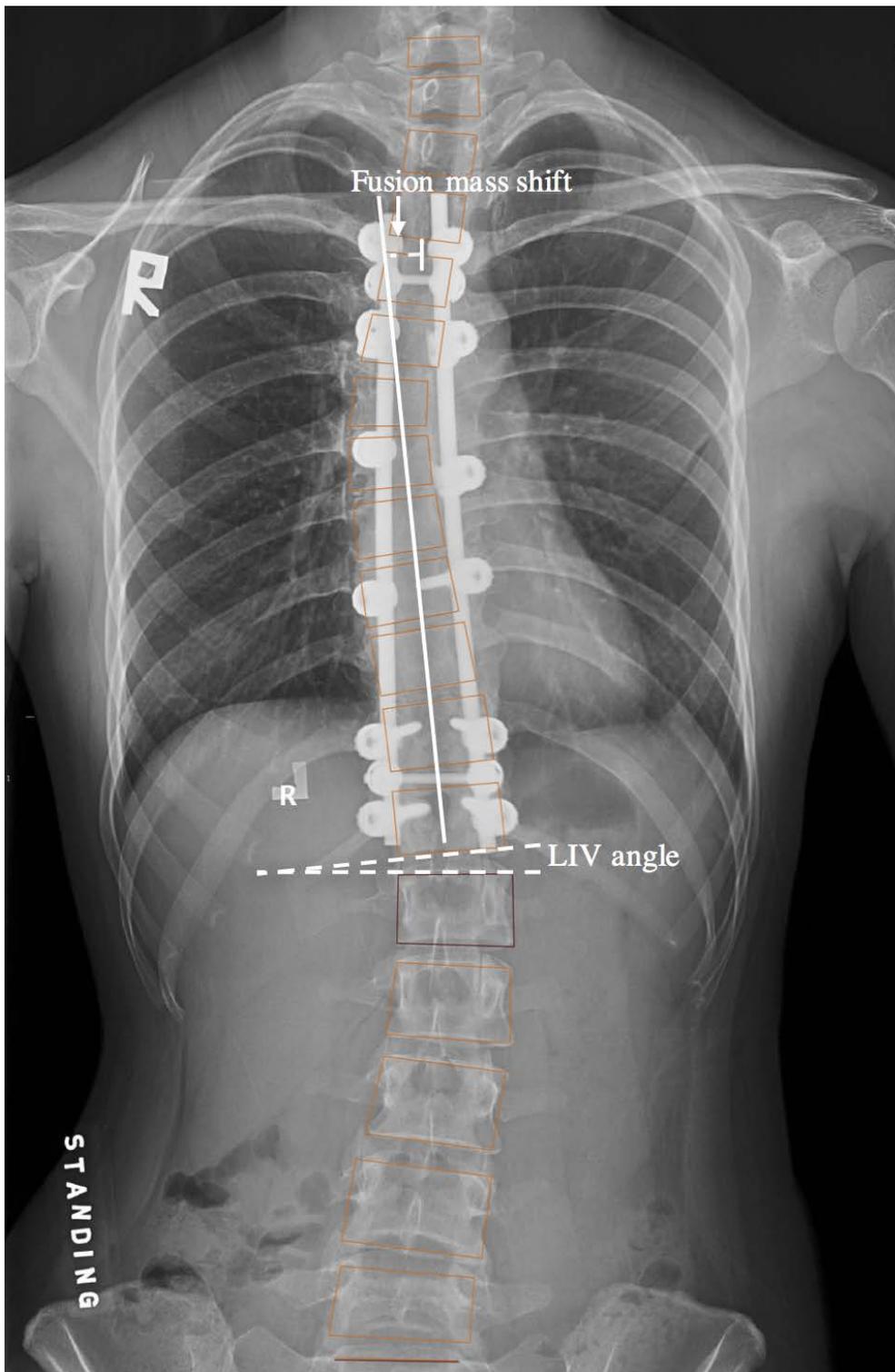


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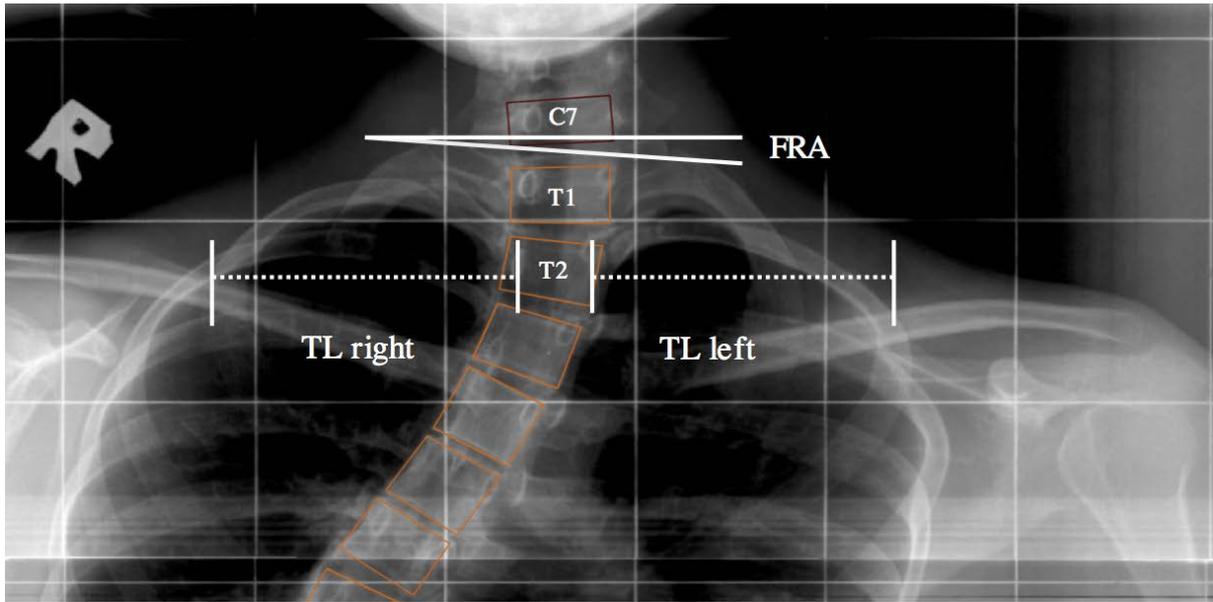
3 **Figure 1:** Guide to measurements made. T1 tilt: Positive T1 tilt indicates a higher left side T1

4 tilt. RSH: Radiographic shoulder height. Positive RSH indicates left shoulder elevated.

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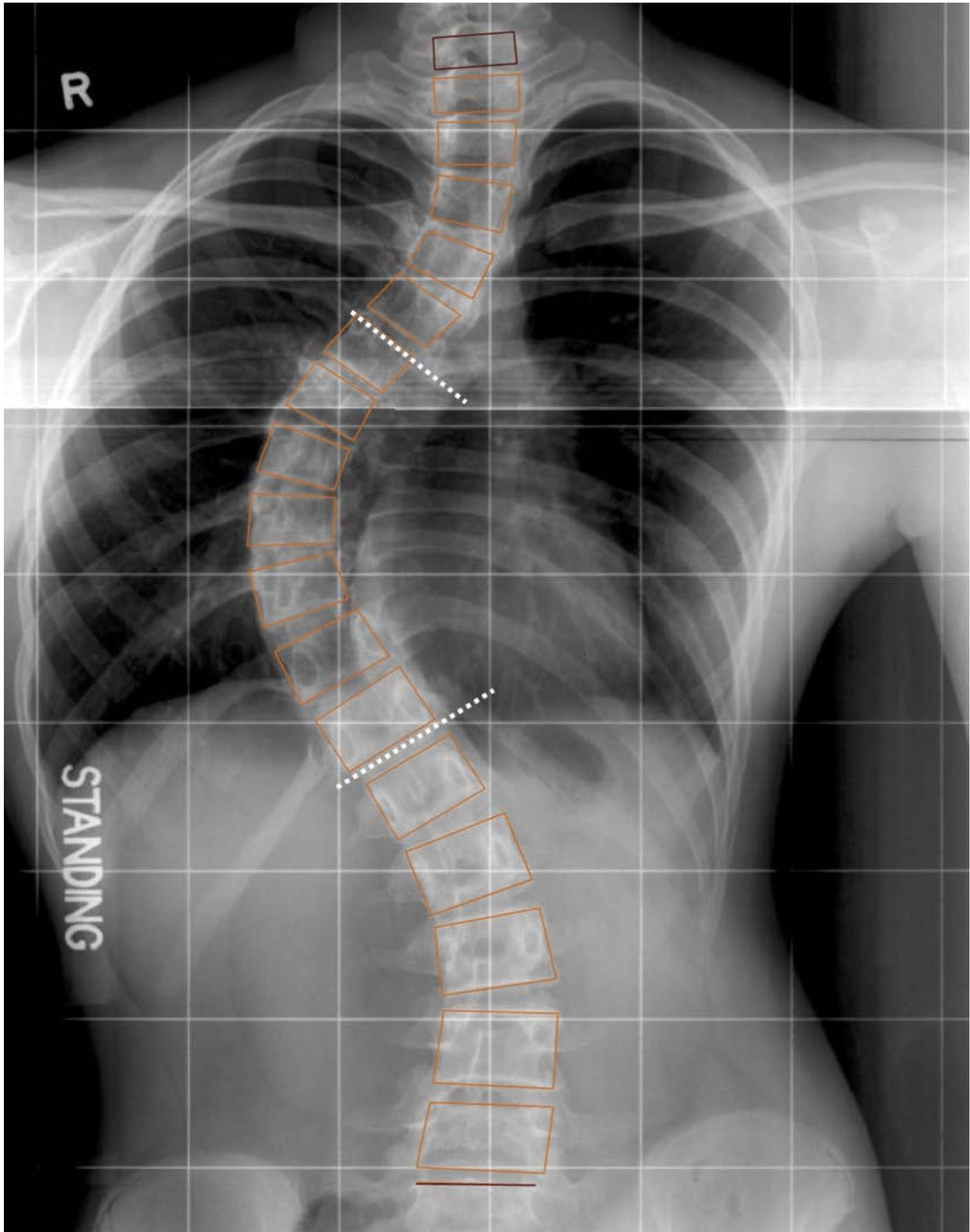


2 **Figure 2:** Guide to measurements made. The fusion mass shift is measured by drawing a
3 perpendicular line from the lower endplate of the lower instrumented vertebrae (LIV) and the
4 distance from this line to the midpoint of the upper endplate of the upper instrumented
5 vertebrae (UIV).



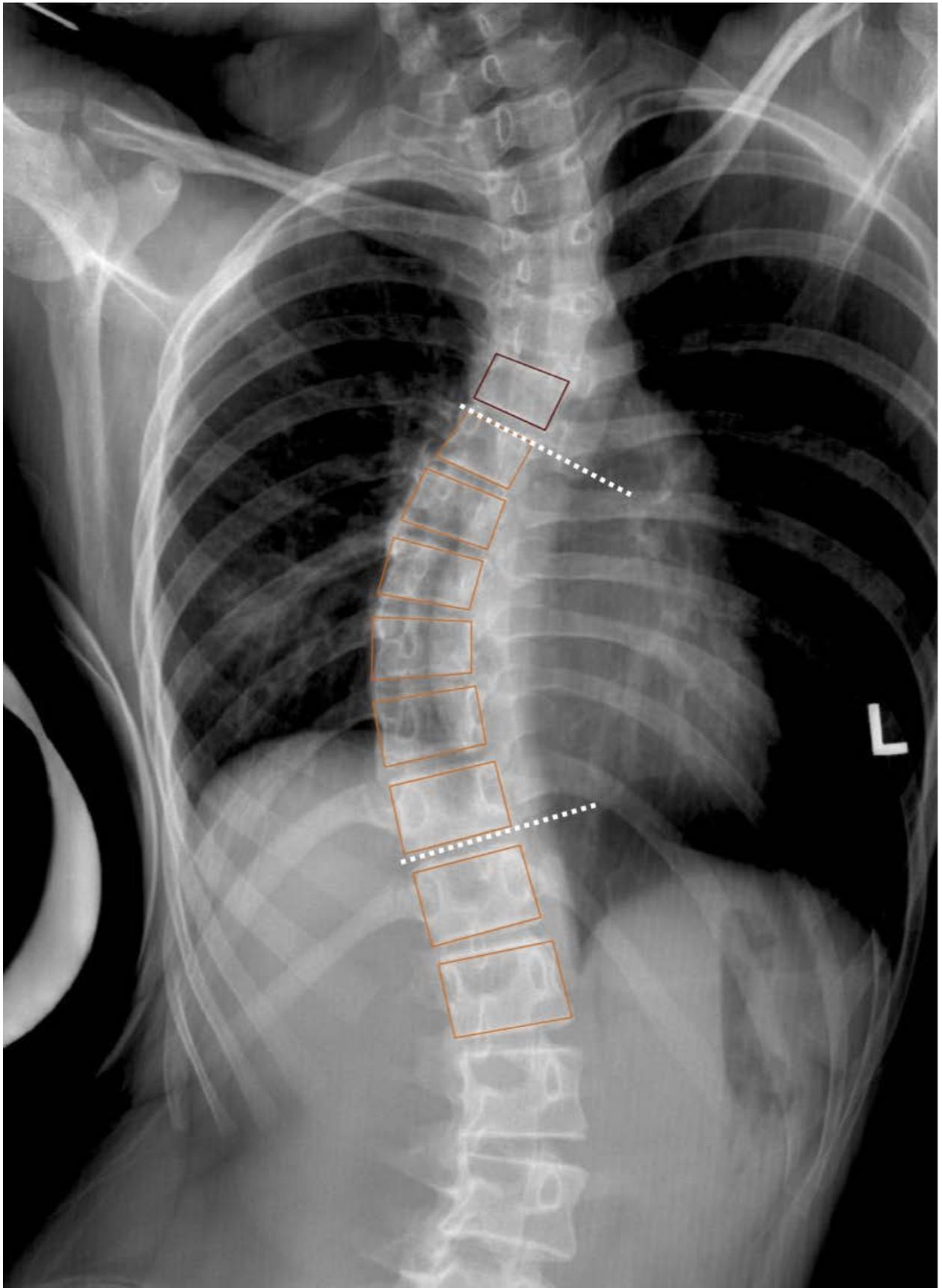
2 **Figure 3:** Guide to measurements made. FRA: First rib angle. Positive FRA indicated an
3 inclination to the right of this reference line. TL: Trapezius length: A positive value was
4 adopted when the horizontal distance was larger on the left side.

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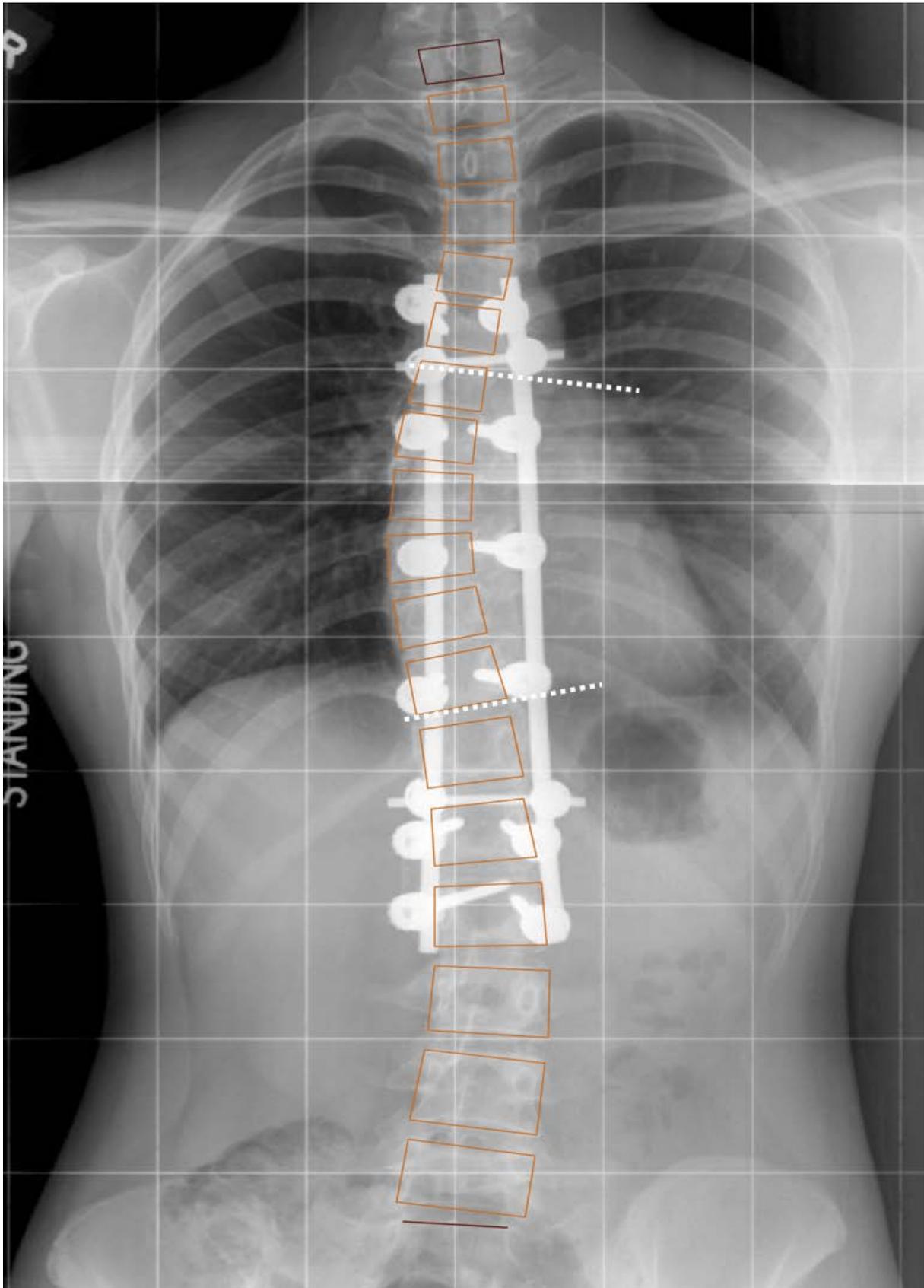


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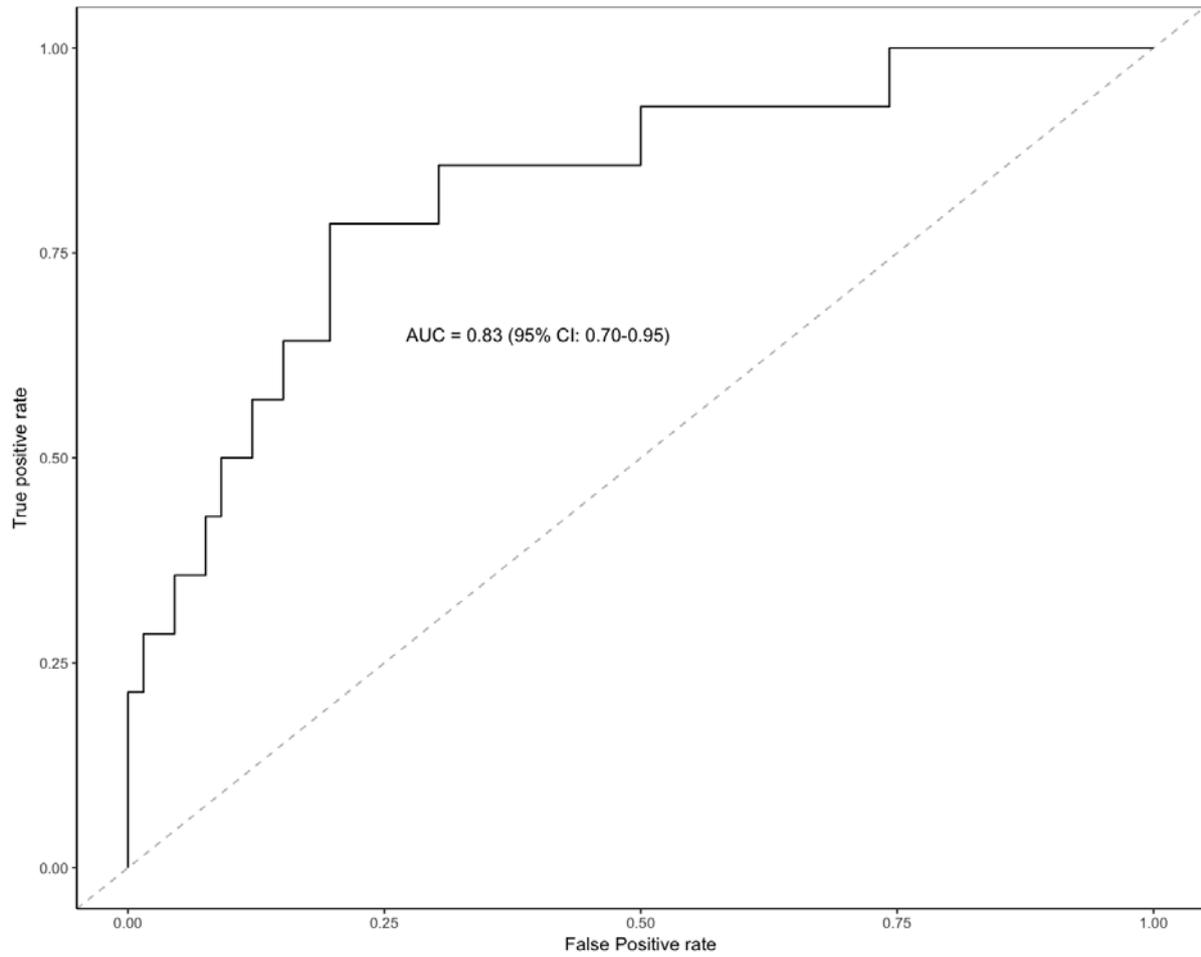
2 **Figure 4:** (A) Antero-posterior (AP) standing radiograph showing a main thoracic (MT)
3 curve with a 70° Cobb angle, a proximal thoracic (PT) curve of 38°, a 5mm radiographic
4 shoulder height (RSH) and a 3° T1-tilt.



1
2 (B) Fulcrum right-bending radiograph with a MT curve with 40° Cobb angle corresponding
3 to 43% flexibility.



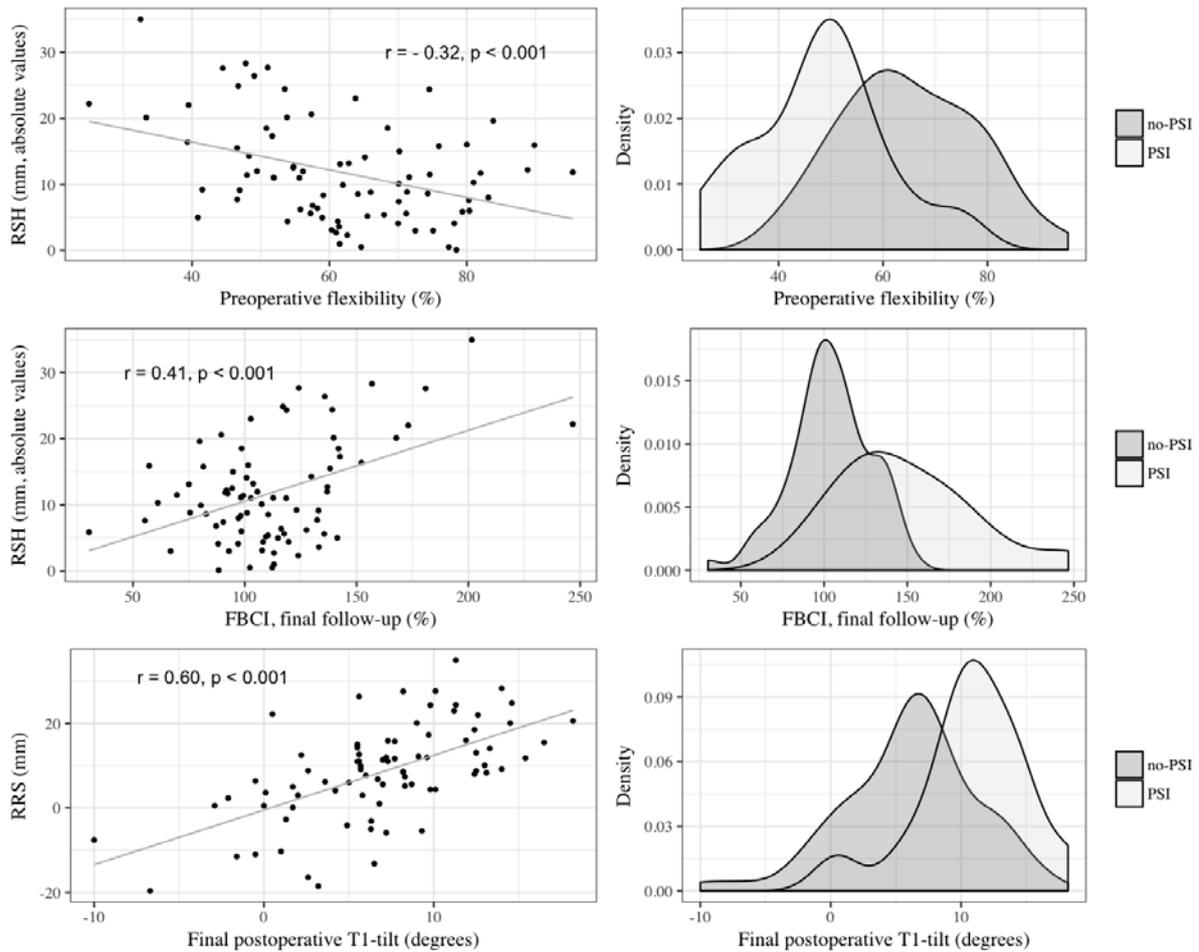
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2 (C) AP standing radiograph showing a MT curve with a 19° Cobb angle corresponding to a
3 fulcrum flexibility index of 170%. The PT curve is 16°, RSH is 20 mm and T1-tilt is 10°.



1

2 **Figure 5:** Receiver operating characteristic curve analysis for main thoracic curve flexibility
3 as a predictor of postoperative shoulder imbalance. AUC: area under the curve.

4



1

2 **Figure 6:** *On the left:* Scatter plot of preoperative main thoracic flexibility, fulcrum-bending
 3 correction index (FBCI) and T1-tilt at final follow-up showing the correlation for each
 4 variable with radiographic shoulder height (RSH) at final follow-up. *On the right:* Density
 5 plot showing the distribution of values between postoperative shoulder imbalance (PSI) and
 6 no-PSI patients. The density plot illustrates where relevant clinical thresholds may be set
 7 based on the current data.

Table 1. Preoperative variables

	No PSI, n = 66 median (IQR)	PSI, n = 14 median (IQR)	p-value
Age, years	14.0 [13.0, 16.0]	14.0 [12.2, 15.0]	0.813
Risser	3.5 [1.0, 4.8]	4.0 [3.0, 4.0]	0.964
Proximal hump on clinical examination			
No	65 (98%)	14 (100%)	
Yes	1 (2%)	0	0.990
Radiographic shoulder height, mm*	-1.0 [-10.8, 7.2]	6.1 [-7.1, 10.6]	0.190
Clavicle angle, °*	0.0 [-2.0, 1.9]	1.5 [-0.9, 2.7]	0.186
First rib angle, °*	0.6 [-3.8, 4.4]	2.5 [-1.0, 3.8]	0.548
Trapezius length, mm*	-7.4 [-13.7, -2.5]	-4.4 [-10.4, -0.7]	0.535
Shoulder level			
Right shoulder elevated > 10 mm	18 (27.3)	4 (28.6)	
Shoulder level <10 mm difference	35 (53.0)	4 (28.6)	
Left shoulder elevated > 10 mm	13 (19.7)	6 (42.9)	0.126
T1 tilt, ° *	3.3 [-2.8, 7.8]	3.5 [-0.1, 10.4]	0.535
List, mm**	7.9 [-4.2, 15.4]	4.9 [-3.4, 15.5]	0.690
Proximal thoracic curve			
Cobb angle, °	34.7 [27.3, 40.0]	43.4 [31.9, 46.4]	0.096
Apical translation, mm	8.7 [4.1, 15.9]	8.0 [6.3, 21.1]	0.418
Fulcrum flexibility (n = 28), %	47.1 [35.5, 60.2]	35.7 [22.6, 47.6]	0.380
Rotation of the apical vertebra			
Grade 0 or 1	32 (48.5)	2 (14.3)	
Grade 2 or 3	34 (51.5)	12 (85.7)	0.034
Wedge of the apical vertebra			
No	48	6	

	Yes	18	8	0.056
Main thoracic curve				
	Cobb angle, °	57.0 [52.0, 61.8]	62.0 [55.0, 76.5]	0.116
	Apical translation, mm	57.0 [42.7, 68.2]	56.2 [34.1, 61.4]	0.474
	Fulcrum bending flexibility, %	63.5 [55.7, 74.5]	48.4 [40.7, 53.7]	< 0.001
Lumbar curve				
	Cobb angle, °	29.9 [24.5, 36.3]	36.8 [29.2, 39.0]	0.075
	Apical translation, mm	10.8 [4.5, 15.0]	6.9 [3.5, 8.9]	0.197
	Fulcrum bending flexibility, %	68.1 [53.4, 89.3]	63.0 [48.1, 80.7]	0.565
	PT vs. MT flexibility ratio (n = 28)	73.5 [63.7, 82.0]	70.0 [51.2, 84.9]	0.954
<p>*Positive values indicate left-side elevation; ** Positive values indicate C7 plumb line falling to the right of the central sacral vertical line.</p> <p>IQR: Interquartile range; PT: Proximal thoracic; MT: Main thoracic</p>				

Table 2. Multivariate logistic regression with preoperative parameters as independent variables and postoperative shoulder imbalance at final follow-up as the dependent variable.

	Odds ratio (95% confidence interval)	p-value
Cobb angle, proximal curve, preoperative, °	1.01 (0.91-1.12)	0.890
Rotation of apical PT vertebra (ref: y)	9.66 (1.20-138.55)	0.050
Wedging of apical PT vertebra (ref: y)	0.40 (0.04-3.42)	0.420
Cobb angle of lumbar curve, preoperative, °	1.12 (1.01-1.27)	0.366
Fulcrum flexibility, MT curve, (per 10% decrease)	3.28 (1.64-8.16)	0.003

PT: proximal thoracic; MT: main thoracic

Table 3. Radiographic variables at final postoperative follow-up

	No PSI (n=66) median (IQR)	PSI (n=14) median (IQR)	p-value
T1 tilt (mm)	6.4 (2.8, 9.0)	11.2 (9.2, 13.7)	0.001
Proximal thoracic curve			
Cobb angle, °	20.3 (13.5, 25.6)	19.4 (16.5, 28.3)	0.718
Apical translation, mm	11.1 (4.4, 17.4)	9.4 (5.1, 13.0)	0.844
Curve correction, %	40.7 (31.6, 56.0)	42.0 (34.7, 62.6)	0.444
Main thoracic curve			
Cobb angle, °	20.1 (16.1, 24.1)	20.2 (15.9, 25.2)	0.955
Apical translation, mm	15.6 (9.7, 22.3)	12.8 (7.5, 18.8)	0.208
Curve correction, %	66.3 (58.3, 72.0)	66.1 (62.1, 74.9)	0.586
Fulcrum bending flexibility index, %	102.5 (91.4, 118.3)	139.4 (120.0, 171.7)	< 0.001
Lumbar curve			
Cobb angle, °	9.9 (2.6, 16.9)	12.9 (5.1, 20.1)	0.217
Translation, apical vertebra, mm	8.1 (3.9, 15.7)	10.4 (2.5, 18.3)	0.909
Curve correction, %	68.1 (53.4, 89.3)	63.0 (48.1, 80.7)	0.565
PT vs. MT curve correction ratio	67.1 (46.4, 83.1)	65.1 (51.2, 81.4)	0.825
List, mm	-3.6 (-12.5, 3.1)	3.0 (-5.0, 14.7)	0.056
Fusion block shift, mm	-8.0 (-19.2, 6.0)	-12.8 (-18.3, -4.8)	0.457
Cobb angle, fusion block, °	14.6 (10.6, 19.0)	13.9 (7.3, 17.9)	0.470
Lowest instrumented vertebra angle, °	2.6 (0.0, 6.1)	1.9 (0.2, 5.5)	0.486
Lowest instrumented vertebra tilt, °	-0.4 (-3.9, 2.5)	-1.0 (-2.8, 4.0)	0.980

IQR: Interquartile range; PT: Proximal thoracic; MT: Main thoracic; PSI: postoperative shoulder imbalance