

1 **Predicting effective aquaculture in subtropical waters: a dynamic energy budget model**  
2 **for the green lipped mussel, *Perna viridis***

3

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12

13 **Abstract**

14 The green lipped mussel, *Perna viridis*, is an important aquaculture species throughout the  
15 Indo-Pacific region where production is often impacted by environmental degradation. To  
16 predict the impacts and mitigate against environmental problems, due to various kinds of  
17 anthropogenic pollution such as heavy metals and eutrophication, on *P. viridis* aquaculture, a  
18 Dynamic Energy Budget (DEB) model was constructed. By integrating species-specific  
19 parameters and regional-specific environmental data the DEB model determined how the life  
20 history traits of *P. viridis* respond to changing environmental conditions. Using various  
21 levels of basal maintenance costs and food availability to elucidate the energetic costs due to  
22 environmental pollution, the DEB model predicted that a 20% increase in basal maintenance  
23 cost due to environmental pollution such as heavy metals will result in a subsequent decrease  
24 in both lifetime reproductive output and ultimate body size of *P. viridis* by ~18% and ~8%  
25 respectively. Increasing food availability can, however, mitigate the energetic constraints  
26 due to increased basal maintenance cost. The time to reach commercial size, for example,  
27 will increase longer by 13% and 3% under lower and higher food availability conditions,  
28 respectively when there is a 20% increase in maintenance cost due to environmentally  
29 induced stress, which would significantly increase the operational cost of an aquaculture  
30 facility. In light of the increased importance of *P. viridis* as an aquaculture species, the *P.*  
31 *viridis* DEB model can, therefore, be used to illustrate the effects of varying environmental  
32 conditions on *P. viridis* life history traits which are relevant to the success of aquaculture  
33 facilities, and contribute towards better management of this species.

34

35 **Keywords:** DEB model, environmental pollution, *Perna viridis*, parameterisation,  
36 aquaculture management

## 37 **1. Introduction**

38 Marine bivalves are important both in terms of their ecological roles and increasingly in terms  
39 of being aquaculture species (Gutiérrez et al., 2003). Shellfish aquaculture is becoming a  
40 more important component of the world's food supply (FAO 2016), given the high nutrient  
41 content of bivalves (Gopalakrishnan and Vijayavel, 2009). The green lipped mussel, *Perna*  
42 *viridis*, which is widely distributed throughout the Indo-Pacific region (Sidall, 1980), is  
43 among the most important aquaculture species in Southeast Asian countries (Rajagopal et al.,  
44 2006) with a 43% increase (~ 650,000 t) in production over the past two decades (FAO, 2015).  
45 A major concern for the future of this industry in Southeast Asia is the impact of coastal water  
46 degradation, as a result of multiple anthropogenic activities at both local and regional scales  
47 (Martinez et al., 2018, Sarà et al., 2018, Williams et al., 2016), which impact the viability and  
48 hence production of aquaculture species (Handisyde et al., 2006).

49  
50 Mussel farming is often operated along coastal areas which are susceptible to anthropogenic  
51 pollution such as heavy metal pollution and eutrophication, which impact the commercial  
52 production of shellfish resources (Casas and Bacher, 2006; Chalermwat et al., 2003;  
53 Cheevaporn and Menasveta, 2003; Islam and Tanaka, 2004; Siah et al., 2003). Given the  
54 increasing demand for shellfish production (FAO, 2015), understanding how environmental  
55 changes may affect a species' life history (LH) traits such as ultimate size and total egg  
56 production is crucial when designing aquaculture management strategies (Béjaoui-Omri et al.,  
57 2014; Rinaldi et al., 2014). The success of aquaculture practice will be dependent on  
58 environmental characteristics which fundamentally affect growth of species, which is  
59 characteristically the most important trait to determine profitability of such practices (Sarà et  
60 al., 2018). Site selection for optimal environmental conditions for shellfish culture is,  
61 therefore, a key step to optimize profit and minimize the environmental impacts brought by

62 the industry (Longdill et al., 2008).

63

64 Although the physiology of *Perna viridis* has been well studied (reviewed by Rajagopal et al.,  
65 2006), such information is based on short-term experiments under specific environmental  
66 conditions. In reality, however, organisms live in a multi-dimensional ecological space  
67 (*sensu* Hutchinson, 1957), including the complex interactive influences of various  
68 environmental factors which can be additive, synergistic or antagonistic (Gunderson et al.,  
69 2016, Sarà et al., 2018). It is, therefore, difficult to disentangle the effects of environmental  
70 changes on organisms when there is no integration between how multiple environmental  
71 factors affect species' functional traits such as physiology (Howard et al., 2013; Todgham and  
72 Stillman, 2013), energy acquisition and allocation (Smolders et al., 2005; Sokolova, 2013), all  
73 of which are needed to predict consequences to LH traits (e.g., growth and reproduction;  
74 Petes et al., 2007, 2008). Physiological studies are generally unable to integrate these effects  
75 and, therefore, limit our ability to predict the responses of species to varying environmental  
76 conditions.

77

78 Dynamic Energy Budget theory (DEB; Kooijman, 2010) has been developed to provide an  
79 integrative approach to link basal physiological information with environmental conditions, in  
80 a mechanistic-bioenergetic framework. In essence, the DEB model captures the processes of  
81 energy acquisition and utilization in an organism under varying environmental conditions  
82 based on First Principles (Filgueira et al., 2011; Nisbet et al., 2000; Van der Meer, 2006) as  
83 applied to a suite of parameters that describe the physiology responses of a species to  
84 environmental variation. The DEB model, therefore, integrates the energy allocation  
85 strategies adopted by species, and allows subsequent prediction of LH traits (e.g., growth and  
86 reproductive potential) in response to changes in environmental variables such as temperature

87 and food density (Filguera et al., 2011; Sarà et al., 2013; Van der Meer, 2006). As such, by  
88 varying environmental parameters, one can estimate performance of a species under differing  
89 environmental conditions, which can inform aquaculture management practices.

90

91 Here, we first parameterize *Perna viridis* and then use the mechanistic, predictive power of  
92 the DEB model to investigate the effects of changing somatic maintenance costs on the main  
93 LH end-points (ultimate size, growth as expressed in time to reach commercial size,  
94 reproductive potential etc.) using scenario analysis. Such an approach allows us to predict *P.*  
95 *viridis* performance under different aquaculture scenarios. Specifically, we investigated how  
96 environmental pollution may affect the mussel's energy allocation strategies (by varying the  
97 somatic maintenance DEB parameter). This approach with *P. viridis* can be used to provide  
98 quantitative baseline information when assessing issues dealing with aquaculture management  
99 for *P. viridis* by scientists, policy makers and stakeholders.

100

## 101 **2. Materials and methods**

### 102 *2.1 Approach and animal collection*

103 To create the DEB model and then use the model to predict mussel LH traits and production  
104 under different scenarios, the study employed two approaches. The first involved collection  
105 of eco-physiological parameters both through a systematic analysis of the current literature  
106 (Mangano and Sarà, 2017) and empirically, which are necessary for DEB model  
107 parameterization. The second used a modeling approach to simulate the ultimate size,  
108 reproductive output and the time to reach commercial size of *Perna viridis* under varying  
109 levels of energetic constraints and trophic conditions as might be experienced in an  
110 aquaculture context.

111

112 To obtain species-specific parameters required to populate the DEB model *Perna viridis* were  
113 collected between Feb 2014 and Jun 2015 from an aquaculture farm located at Tolo Harbour,  
114 Hong Kong (22°26'N, 114°16'E). Individuals of *P. viridis* were acclimated at 25 °C and 30  
115 ‰ salinity in close seawater system and seawater was changed every two days at The Swire  
116 Institute of Marine Science (Cape d'Aguiar, Hong Kong) for two weeks and fed twice a day  
117 with *Isochrysis galbana* (~100,000 cell mL<sup>-1</sup>) prior to experimentation.

118

## 119 2.2. Eco-physiological parameters

### 120 2.2.1 Arrhenius temperature

121 To obtain a set of temperatures to integrate into the DEB covariation method (Lika et al.,  
122 2011a, b), we estimated the Arrhenius temperature through mussel heart rate which has been  
123 shown to be a suitable proxy for measuring stress in *Perna viridis* in response to  
124 environmental changes (Nicholson, 2002, 2005; Ma, 2013). Mussels of different sizes were  
125 selected (shell length 2-2.99cm, 3-3.99cm, 4-4.99cm and 5-5.99cm, representing the  
126 population size range usually cultivated), with five replicates for each size class. Heart rates  
127 (HRs) were measured at five temperatures (i.e., 15°C, 20°C, 25°C, 30°C and 35°C) within the  
128 temperature range that *P. viridis* experiences in its natural environment ( $\sum n = 4 \text{ size classes} \times$   
129  $5 \text{ temperatures} \times 5 \text{ replicates} = 100$ ). To achieve the desired experimental temperatures, a  
130 ramping rate of 1°C change every 30 minutes from ambient temperature (25°C) was used,  
131 following Luk (2014). Animals were held at each temperature for 24 hours after which heart  
132 beats were recorded for > 5 minutes following Burnett et al. (2013) and heart rates (HRs, in  
133 Hertz, Hz) calculated from the captured traces. Within a species' tolerance range, changes in  
134 HRs with temperature were illustrated by Arrhenius plots (i.e.,  $\ln(\text{HR})$  vs  $1/\text{temperature}$  ((in  
135 Kelvin)) and Arrhenius temperature ( $T_A$ ) was calculated from the slope of the linear  
136 regression from the plots (Kooijman, 2010). The lower and upper tolerance temperatures

137 were 15°C and 35°C which covered the lowest and highest temperature that *P. viridis*  
138 experiences in the natural environment (Tolo Harbour, data from 2013, Environmental  
139 Protection Department, HKSAR Government). The lower ( $T_{AL}$ ) and upper ( $T_{AH}$ ) boundaries  
140 of the Arrhenius temperature were obtained from the slope of the linear equation from the  
141 Arrhenius plots using natural-log HR data measured at optimal temperature and lower and  
142 upper tolerance temperatures respectively.

143

144 Slopes of linear equations (i.e.  $T_A$ ,  $T_{AL}$  and  $T_{AH}$ ) derived from Arrhenius plots of *Perna viridis*  
145 of different size classes were compared using Analysis of Covariance (ANCOVA, Zar, 1999).  
146 If the slopes were not significant  $T_A$ ,  $T_{AL}$  and  $T_{AH}$  obtained from different size classes were  
147 pooled.

148

#### 149 2.2.2 Shape coefficient

150 Body volume and surface area play crucial roles in DEB energetic exchanges and fluxes and  
151 can be described using the shape coefficient, an abstract quantity that allows conversion of the  
152 organism's shell length to surface area and structural volume. To estimate this parameter,  
153 we collected mussels with spent gonads (to minimize the effects of reproductive tissues on  
154 estimations of the shape coefficient). Shell length was measured ( $\pm 0.1$  mm) and then  
155 animals dissected, blotted dry and tissue wet weights (without shells) measured ( $\pm 0.001$  g) to  
156 estimate the structural volume ( $n = 465$ ). Tissue wet weight was most strongly related to  
157 shell length of *Perna viridis* (regression coefficient = 2.6; coefficient of determination,  $r^2 =$   
158 0.943) and the resultant shape coefficient was, therefore, derived using these two variables.

159

#### 160 2.2.3 Ingestion and assimilation rates

161 To estimate food acquisition rates of *Perna viridis* collected mussels (shell length 4-5cm)

162 were held in well-aerated and filtered (0.7  $\mu\text{m}$ ; Whatman GF / F) seawater at 25°C. A  
163 monoculture of the alga, *Isochrysis galbana* was added into beakers with predetermined  
164 volumes to achieve seven chlorophyll *a* concentrations (i.e., 1.27, 1.90, 4.70, 5.97, 11.57,  
165 19.07 and 28.10  $\mu\text{g L}^{-1}$ ) to represent variation in food availability based on Hong Kong  
166 environmental conditions where *P. viridis* is intensively cultivated (Cheng, 2016) in order to  
167 calculate the mussel's surface area-specific maximum ingestion ( $\{\dot{J}_{Xm}\}$ ) and assimilation rates  
168 ( $\{\dot{p}_{Am}\}$ ) (see Sarà et al., 2013). Seven replicates of the seven concentrations were established  
169 together with four controls (i.e., beakers without animals) ( $\sum n = 7 \text{ concentrations} \times (7$   
170  $\text{replicates} + 4 \text{ controls}) = 77$ ). During experiments, the algal cells were mixed using  
171 magnetic stirrers and 20 mL algal solutions were sampled at the beginning of the experiment  
172 and at 30-minute intervals over two hours. The density of algal cells at each time were  
173 determined from counts using a haemocytometer. Mussels were then left for at least 18  
174 hours in 500 mL seawater without algae for defecation. The remaining algal food and faeces  
175 of each individual were collected under vacuum filtration (0.7  $\mu\text{m}$ ; Whatman GF/F), dried and  
176 combusted to obtain dry weight (DW) and ash free dry weight (AFDW) values for the  
177 determination of assimilation efficiency (AE) as described by Conover (1966). Algae from  
178 control replicates were also collected, dried and combusted to determine particulate organic  
179 matter of each algal concentration. To obtain the energy conversion factor ( $\text{J mg}^{-1}$ ) of the  
180 algal food, the energy of 40 mg algal pellets concentrated from the algal stock were measured  
181 using an oxygen bomb calorimeter (6765, Parr Instrument Company).

182

#### 183 2.2.4 Estimation of energy for somatic maintenance, growth and reserve density

184 *Perna viridis* (shell length 4-4.5cm) were fed *ad libitum* with a monoculture of *Isochrysis*  
185 *galbana* over two weeks prior to experimentation. Subsequently the mussels were evenly  
186 distributed into eight tanks (25  $\times$  25  $\times$  25cm) and habituated in a closed aquarium system



187 using filtered (0.22 $\mu$ m) and aerated seawater maintained at 24 $\pm$ 0.5 $^{\circ}$ C and fed for two weeks.  
188 The tanks were then allocated to two treatments: either starved or well-fed (control) with four  
189 replicates for each group. Mussels were randomly selected every week to measure oxygen  
190 consumption (Pyroscience, FireStingO<sub>2</sub>, Germany) in respiratory chambers. After  
191 measurement, the tissue dry weight (TDW) and AFDW of each individual were recorded.  
192 Measurements were taken until the decrease in TDW of starved individuals leveled off prior  
193 to a second decrease in TDW (see Sarà et al., 2013), and the volume-specific maintenance  
194 cost ( $[\dot{p}_M]$ ) was determined from the corresponding oxygen consumption rates. The somatic  
195 mass index, which reflects the amount of energy investment in the soma, was also derived  
196 from the TDW and AFDW of starved and control animals for determination of energy for  
197 growth ( $[E_G]$ ) and reserve density ( $[E_m]$ ) following methods described in Cardoso (2007).

198

199 Derivation of DEB parameters from data obtained from the experimental procedures  
200 described above, and how the DEB model generates the output of LH traits, are discussed in  
201 Kearney (2012), Montalto et al. (2014) and Sarà et al. (2013).

202

### 203 *2.2.5 Derivation of DEB parameters for Perna viridis using the covariation method*

204 Experimental values, together with zero- and uni-variate data, such as lengths at birth and  
205 puberty, age-specific size and the relationship between tissue wet weight and size, obtained  
206 from the literature and other field measurements (see Table 1; Cheng, 2016) were introduced  
207 into the DEB covariation procedure (a set of Matlab routines available online at  
208 [http://www.bio.vu.nl/thb/deb/deblab/add\\_my\\_pet/entries\\_web/Perna\\_viridis/Perna\\_viridis\\_res](http://www.bio.vu.nl/thb/deb/deblab/add_my_pet/entries_web/Perna_viridis/Perna_viridis_res.html)  
209 [.html](http://www.bio.vu.nl/thb/deb/deblab/add_my_pet/entries_web/Perna_viridis/Perna_viridis_res.html)) to estimate the DEB parameters (Table 2) which cannot be derived empirically (Lika et  
210 al., 2011a, b). The estimation procedure ensures that the species parameter values fall within  
211 a biologically realistic range. The covariation procedure also gives a ‘goodness of fit’ value

212 (defined as  $10 \times (1 - \text{mean relative error})$ ) and completeness metric (described in Lika et al.,  
213 2011a, b; Matzelle et al., 2014) to evaluate the reliability of the DEB parameters obtained  
214 from the procedure.

215

### 216 *2.3 Model simulation: performance of Perna viridis under anthropogenic stressors and* 217 *possible impact on aquaculture*

218 To increase realism in the DEB simulations and to provide useful information to address  
219 management options for *Perna viridis* aquaculture, we formulated different scenarios of local  
220 pollution effects and trophic enrichment, as these are major threats in Hong Kong waters and  
221 in Southeast Asia (reviewed by Williams et al., 2016). To achieve this, we generated  
222 scenarios by varying the  $[\dot{p}_M]$  parameter and the functional response to study the potential  
223 differential effects due to both pollution and altered local trophic status on LH traits and  
224 harvesting time (time for mussels to reach commercial size, i.e., 5 cm; Vakily, 1989) of *Perna*  
225 *viridis*. Pollutant stressors such as heavy metals have been recorded to elicit additional  
226 metabolic costs in bivalves increasing maintenance costs by 20% (Cherkasov et al., 2006) and  
227 we, therefore, varied the  $[\dot{p}_M]$  value from 5% to 20% following a scheme already used by  
228 Carrington et al. (2015) to represent the potential effects on LH traits exerted by pollutant  
229 stressors. We further varied the functional response ( $f$ ) from 0.2 to 0.85 to explicitly include  
230 the potential effects of varying food availability on mussel performance (note that when  $f = 1$   
231 food is unlimited, when  $f = 0$  food is not available) as described by Pouvreau et al. (2006).  
232 Following the approach by Sarà et al. (2012; 2013), simulations were run using hourly  
233 seawater temperatures obtained from the Hong Kong Observatory (HKO).

234

## 235 **3. Results**

### 236 *3.1 DEB model parameters*

237 There was no significant effect of size on Arrhenius temperature (Fig. 1a;  $F_{3,71} = 0.88$ ,  $p >$   
238  $0.05$ ) and, as a consequence, size classes were pooled.  $T_{AL}$  (Fig. 1b;  $F_{3,30} = 0.07$ ,  $p > 0.05$ )  
239 and  $T_{AH}$  (Fig. 1c;  $F_{3,19} = 0.07$ ,  $p > 0.05$ ), similarly, did not differ between size classes and  
240 were also pooled, giving  $T_L$  and  $T_H$  of 288 K (i.e., 15°C) and 308 K (i.e., 35°C) respectively.  
241 The experimental shape coefficient was 0.2795 while experimental AE was  $0.94 \pm 0.08$   
242 (mean $\pm$ SD),  $\{\dot{J}_{Xm}\}$ , and  $\{\dot{p}_{Am}\}$  were  $174.87 \pm 73.04$  J cm<sup>-2</sup> d<sup>-1</sup> and  $166.24 \pm 74.44$  J cm<sup>-2</sup> d<sup>-1</sup>  
243 respectively. The dry weight of starved individuals leveled off between weeks 12-14 (Fig. 2).  
244 The experimental mean  $[\dot{p}_M]$  of starved mussels during week 12-14 was  $1.17 \pm 0.38$  J cm<sup>-3</sup> h<sup>-1</sup>  
245 and the somatic mass indices (SMI) of fed and starved mussels were  $1.80 \pm 0.38$  and  $0.71 \pm 0.20$   
246 mg cm<sup>-3</sup>, respectively. All these empirically parameters were entered into the Matlab routine  
247 for estimation procedures (data summarized in Table 2). The DEB model for *P. viridis* had a  
248 goodness of fitness of 8.9 and completeness of 2.6 resulting in good overall performance, as it  
249 generated a good fit between observed and predicted data: for example, length at birth and  
250 length at puberty were accurately predicted by the model (Table 1) as well as the growth  
251 curve and the relationship between tissue wet weight and shell length, which closely fitted the  
252 observed data (Fig. 3). The input data and model are available online  
253 ([http://www.bio.vu.nl/thb/deb/deblab/add\\_my\\_pet/entries\\_web/Perna\\_viridis/Perna\\_viridis\\_re](http://www.bio.vu.nl/thb/deb/deblab/add_my_pet/entries_web/Perna_viridis/Perna_viridis_re)  
254 [s.html](http://www.bio.vu.nl/thb/deb/deblab/add_my_pet/entries_web/Perna_viridis/Perna_viridis_re)).

255

### 256 *3.2 DEB model simulations*

257 Eighty-four spatially-contextualized models were run, crossing six levels of maintenance  
258 costs and 14 levels of food conditions (Fig. 4a, b). Our scenario analysis demonstrated that  
259 the increased basal metabolic demand negatively affected the LH outcomes by diminishing  
260 the reproductive output and growth of *P. viridis* at almost all levels of food density (Fig. 4a, b).  
261 When food density was low (represented by a low value (0.3) of  $f$ , which corresponds to 0.47

262  $\mu\text{g chl } a \text{ L}^{-1}$ ), *P. viridis* did not have sufficient energy for reproduction regardless of  
263 maintenance costs, and when food density increased, lifetime egg production was negatively  
264 related to maintenance costs (Fig. 4a). Even though *P. viridis* received sufficient food supply  
265 (i.e.,  $f = 0.85$ ,  $\text{chl } a = 6.23 \mu\text{g L}^{-1}$ ), a 20% increase in energy investment for basal maintenance  
266 also reduced lifetime egg production by 18%. The ultimate size in terms of shell length was  
267 also dependent on both food density and increase in maintenance cost, with 7.5% reduction in  
268 growth at high food density (i.e.,  $f = 0.85$ ) as a result of the 20% increase in maintenance costs.  
269 Increasing maintenance costs also extended the time needed for mussels to achieve  
270 commercial size (Fig. 5). Increasing food availability, however, mitigated the effects of the  
271 increase in maintenance cost on harvesting time, with the time to reach commercial size  
272 increasing by 13% and 3% under lower (i.e.,  $f = 0.4$ ) and higher food availability (i.e.,  $f = 0.85$ )  
273 respectively (Fig. 5). When  $f < 0.4$  (i.e.,  $\text{chl } a = 0.73 \mu\text{g L}^{-1}$ ), *P. viridis* could not, however,  
274 reach commercial size throughout its lifespan under various trophic conditions.

275

## 276 **4. Discussion**

### 277 *4.1 Energy allocation strategy of Perna viridis*

278 The parameter set derived through this study can be considered reliable for predictions (Lika  
279 et al., 2011b; Matzelle et al., 2014) due to the high goodness of fit and completeness  
280 expressed by the model (see section 3.2) and manifested by the close fit between predicted  
281 model outputs and observed data. The only anomaly was between observed and predicted  
282 age at puberty (Table 1) which may be due to the simulated, relatively slower, with respect to  
283 field conditions, growth rate of *P. viridis*. Although there was a difference between  
284 predicted and observed ultimate physical length, the observed value is variable according  
285 environmental conditions and the largest size encountered in the field sampling was ~11cm  
286 (Cheng, pers. obs.) which falls within the predicted value. Given such a close match

287 between observed and predicted values, there is a high degree of confidence that the energy  
288 allocation strategy of *P. viridis* is reliably depicted from the DEB model.

289

290 Using the covariation method, maintenance cost and kappa ( $\kappa$ ), the vital parameters governing  
291 the energy for LH traits such as growth and reproduction (Burton et al., 2011; Kooijman,  
292 2010), were estimated. Maintenance cost ( $[p_M]$ ) plays an important role in governing the  
293 ability of organisms to cope with varying environmental conditions and their persistence over  
294 time under various biotic (such as varying food availability) and abiotic (such as changes in  
295 salinity) conditions (Sarà, 2007; Sarà and De Pirro, 2011a). The maintenance cost of *Perna*  
296 *viridis* was estimated to be  $20.85 \text{ J d}^{-1} \text{ cm}^{-3}$ , which is higher than other recorded mytilids (e.g.,  
297 *Mytilus californianus*,  $15.15 \text{ J d}^{-1} \text{ cm}^{-3}$ ; Matzelle et al., 2014 and *Brachidontes pharaonis*,  $14 \text{ J}$   
298  $\text{d}^{-1} \text{ cm}^{-3}$ ; Montalto et al., 2014). A higher maintenance cost reflects higher basal metabolic  
299 demands of organisms for survival (see Sokolova and Lannig, 2008). The DEB model also  
300 revealed that *P. viridis* allocates more reserve energy for maturity maintenance and  
301 reproduction (56%,  $1-\kappa$ ) than somatic maintenance and growth (44%,  $\kappa$ ). The energy  
302 investment in reproduction for *P. viridis* is much greater than recorded in other mytilids  
303 species such as *Mytilus californianus* (1.1%; Matzelle et al., 2014) and *Brachidontes*  
304 *pharaonis* (1.7%; Montalto et al., 2014), which agrees with the observed high Gonadosomatic  
305 Index (~30%) of *P. viridis* measured by Cheung (1993) in Hong Kong and further supports  
306 the accuracy of the DEB model.

307

#### 308 4.2 Chlorophyll a as a food proxy for model simulations

309 There are various kinds of food sources in open coastal waters such as detritus of secondary  
310 origin and phytoplankton which could play a role in bivalve's diet. The combination of  
311 different food sources into the DEB model has, however, proved difficult as current

312 formulations of the DEB model can only take into account one food proxy, which indicates  
313 the importance of using appropriate food proxy (Rosland et al. 2009, Duarte et al., 2012).  
314 As a result, a single proxy for food, phytoplankton (expressed as chlorophyll *a*, chl *a*), was  
315 chosen for the model simulation in the present study as it has previously been shown to be a  
316 reliable quantifier for derivation of DEB ecophysiological parameters for shellfish (Pouvreau  
317 et al., 2006.). Phytoplankton as a food source for filter feeders has also been widely used for  
318 a variety of bioenergetic experiments which have accurately simulated organismal responses  
319 under fluctuating environmental conditions (Egzeta-Balic et al., 2011; Ren and Schiel, 2008;  
320 Sarà et al., 2008; Widdows and Staff, 2006). Furthermore, to run DEB models with chl *a*  
321 data coming from remote sensing such as satellite imagery it is very beneficial and effective  
322 in assisting predictions at large scales (Sarà et al., 2011b; 2012; 2013; Thomas et al., 2011)  
323 and to address management solutions such as site-selections and monitoring of aquaculture  
324 operations in marine environments as satellite chl *a* data is now an open resource on many  
325 web sites (see Sarà et al., 2012, 2018).

326

#### 327 *4.3 Potential effects of pollution on energy balance*

328 Since basal metabolic demand has priority over other physiological processes, any change of  
329 basal metabolism will impact important LH traits such as growth and reproduction (Kooijman,  
330 2010). Understanding how maintenance cost varies can, therefore, be beneficial for studying  
331 the effects of environmental changes on species' fitness (Burton et al., 2011).  
332 Environmental pollutants such as heavy metals, for example, have been shown to increase the  
333 standard metabolic rate (i.e., rate reflecting the energy demand for basal maintenance) of  
334 various species (Baghdiguian and Riva, 1985; Lannig et al., 2006; Ivanina et al., 2008;  
335 Sokolova and Lannig, 2008). The scenarios analysis in this study demonstrated the effects  
336 of increasing maintenance cost of *Perna viridis* due to varying environmental conditions

337 which affected its LH traits, with a reduction in reproductive output and growth. Energy  
338 balance is, therefore, vital for stress tolerance, which involves trade-offs between maintenance  
339 costs and fitness-related traits (Sokolova et al., 2012). The negative energetic constraints  
340 due to increased basal maintenance costs can, however, be buffered with increasing food  
341 density (Carrington et al., 2015) which permits higher energy supply to fulfill basal metabolic  
342 needs without sacrificing contributions to other LH traits.

343

#### 344 *4.4 Implication of model outputs for aquaculture management*

345 Under varying environmental conditions, mussels can alter the balance of their bioenergetic  
346 budgets, and the outcomes of such energetic decisions are important for managing shellfish  
347 culture (Montalto et al., 2017; Rinaldi et al., 2014). To evaluate the success of shellfish  
348 cultivation, one can assess the growth of the target species (Pogoda et al., 2011). The DEB  
349 model simulations for *Perna viridis* can, therefore, provide primary information on how  
350 environmentally induced increased basal maintenance costs may affect the growth rates and  
351 reproductive output of the mussel which will govern the ultimate harvesting time (Rinaldi et  
352 al., 2014). Traditionally, mussels are harvested when they reach the minimum commercial  
353 size (i.e., 5 cm for *P. viridis*; Vakily, 1989). With reduced growth, mussels take longer time  
354 to reach such commercial size, therefore, extending the harvesting time. Such extension in  
355 harvesting time subsequently increases operational costs of a mussel farm which can  
356 contribute up to 43 % of total operating cost (Kripa and Mohamed, 2008). Prolonged  
357 cultivation also increases the risk of loss in biomass due to higher chances of exposure to  
358 environmental disturbances such as storms, phytotoxins, hypoxia and sudden salinity changes  
359 (Sarà et al., 2018; Spencer, 2002). Increases in operational cost and risk of losses, therefore,  
360 make such mussel aquaculture operations less profitable, which may reflect poor decisions in  
361 site selection (Spencer, 2002).

362

363 Indeed, the main objective of modern management strategies such as ecosystem based  
364 management is to provide tailored management measures based on ecological functioning  
365 principles at a sufficient scale to reduce impacts on economic yield. The DEB model can  
366 quantify species' LH traits as function of potential temporal fluctuations in local  
367 environmental variables (Sarà et al, 2012). Such an approach, therefore, makes the model  
368 extremely useful for prediction of time for the mussel to reach commercial size and  
369 subsequently estimating the operational cost for a selected site (Sarà et al., 2018). Our  
370 model shows that *Perna viridis* exerts extra energy to overcome additional energetic costs due  
371 to environmental fluctuations. Such energetic constraint diminishes the species' growth rate,  
372 one of the most important traits for an aquaculture facility which, as a consequence, extends  
373 cultivation time prior to harvesting. Another important trait for aquaculture practitioners is  
374 reproductive potential. Since the gametes contribute 30% of the total weight of *P. viridis*  
375 (Cheung, 1993), the amount of gametes can have a significant impact on the price of  
376 harvested mussels. Both traits, therefore, affect the overall economic success of aquaculture  
377 facilities. Since traditional aquaculture farming practices usually results in higher primary  
378 productivity around aquaculture facilities (Dalsgaard and Krause-Jensen, 2006) and adequate  
379 food supply is able to alleviate the effects of energetic constraints caused by environmental  
380 stress and shorten harvesting time, this implies the possibility of integrated multi-trophic  
381 aquaculture involving the utilization of waste nutrients produced from higher trophic level  
382 organisms (e.g., fishes) for lower trophic levels (e.g., filter feeders, Sarà et al., 2012; Troell et  
383 al., 2009), an area which the DEB model has been successfully applied. Such approaches,  
384 however, have yet to be applied to *P. viridis*, which can be a novel direction for better manage  
385 of this aquaculture species.

386



387 **5. Conclusions**

388 With growing human populations in the past decades, aquaculture is becoming increasingly  
389 important to meet the increasing demand for seafood. Such practice, however, requires  
390 strategic management as aquaculture is vulnerable to deterioration in environmental quality  
391 which ultimately affects success of an aquaculture system and this is especially true in  
392 Southeast Asia (Williams et al., 2016). The present experimental and modeling approach  
393 paves the way towards understanding the energy allocation strategy of the important  
394 aquaculture species, *Perna viridis*, and can be used to illustrate the effects of changing  
395 environmental conditions on the species LH traits throughout its life span. Using this  
396 approach, the effects of various stressors on the target species can be explicitly revealed  
397 through changes in DEB parameter values (Matzelle et al., 2014) which affect species' LH  
398 traits. With such flexibility, the DEB model becomes increasingly important for predicting  
399 species performance under varying environments, providing important information for the  
400 management and the increasing competitiveness of aquaculture to meet future increasing  
401 demands.

402

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408

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616 Table 1 Observed and predicted values of the dataset from DEB parameter estimation  
 617 procedures for *Perna viridis* (for the observed value\*: 1 = field observation, 2 = Laxmilatha et  
 618 al., 2011; 3 = Tan, 1975; 4 = Rajagopal et al., 2006; 5 = Appukuttan, 1977; 6 = McFarland,  
 619 2015).

Parameter	Unit	Definition	Observed*	Predicted	
$a_b$	d	Age at birth	0.83	0.727	2
$a_j$	d	Age at metamorphosis	12	11.96	3
$a_p$	d	Age at puberty	60	81.07	4
$a_m$	d	Life span	1825	1828	1
$L_b$	cm	Physical length at birth	0.007	0.006	2,4
$L_j$	cm	Physical length at metamorphosis	0.04	0.04029	2
$L_p$	cm	Physical length at puberty	1.5	1.605	4
$L_i$	cm	Ultimate physical length	23	15.18	5
$W_p$	g	Wet weight at sexual maturity	0.1	0.1	1
$W_i$	g	Ultimate wet weight	84.2	84.43	5
$R_i$	# d <sup>-1</sup>	Maximum reproduction rate	1.59 x 10 <sup>5</sup>	1.589 x 10 <sup>5</sup>	6

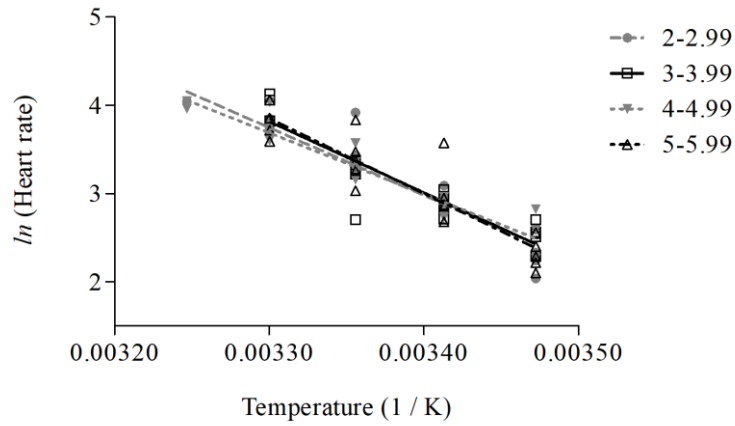
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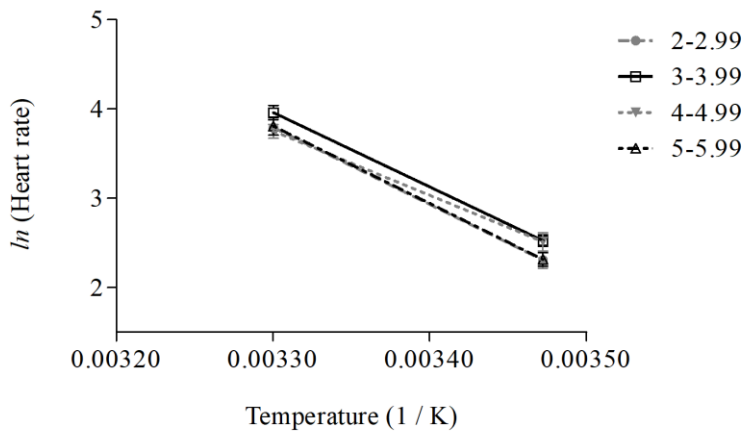
621 Table 2 DEB primary parameters for *Perna viridis* after estimation procedure for model  
 622 simulation.

Parameter	Unit	Definition	Value
$T_{\text{ref}}$	K	Reference temperature	298
$T_A$	K	Arrhenius temperature	7,805
$T_L$	K	Lower tolerance temperature	288
$T_H$	K	Upper tolerance temperature	308
$T_{AL}$	K	Lower boundary of Arrhenius temperature	8215
$T_{AH}$	K	Upper boundary of Arrhenius temperature	17,280
$\delta_M$	-	Shape coefficient	0.1912
AE	-	Assimilation efficiency	0.94
$\{J_{Xm}\}$	J cm <sup>-2</sup> d <sup>-1</sup>	Surface area-specific maximum ingestion rate	182.7
$\{\dot{p}_{Am}\}$	J cm <sup>-2</sup> d <sup>-1</sup>	Surface area-specific maximum assimilation rate	171.74
$[\dot{p}_M]$	J cm <sup>-3</sup> d <sup>-1</sup>	Volume-specific maintenance cost	20.85
$[E_G]$	J cm <sup>-3</sup>	Volume-specific cost for growth	2603
$[E_m]$	J cm <sup>-3</sup>	Reserve density	7052
$\kappa$	-	Fraction of energy for somatic maintenance and growth	0.44
$\kappa_R$	-	Reproductive efficiency	0.95

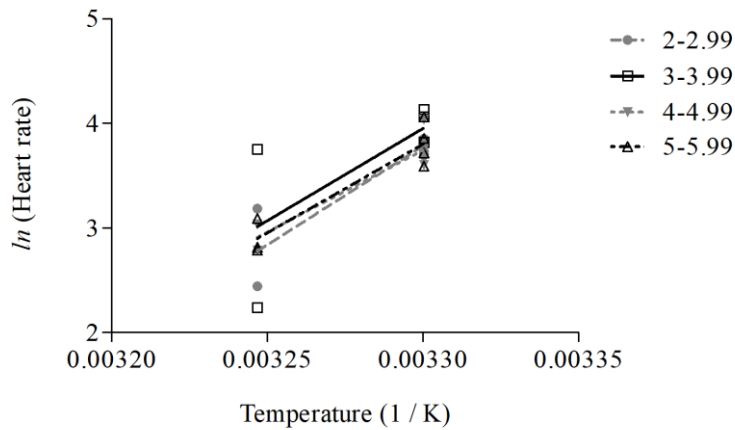
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624 (a)

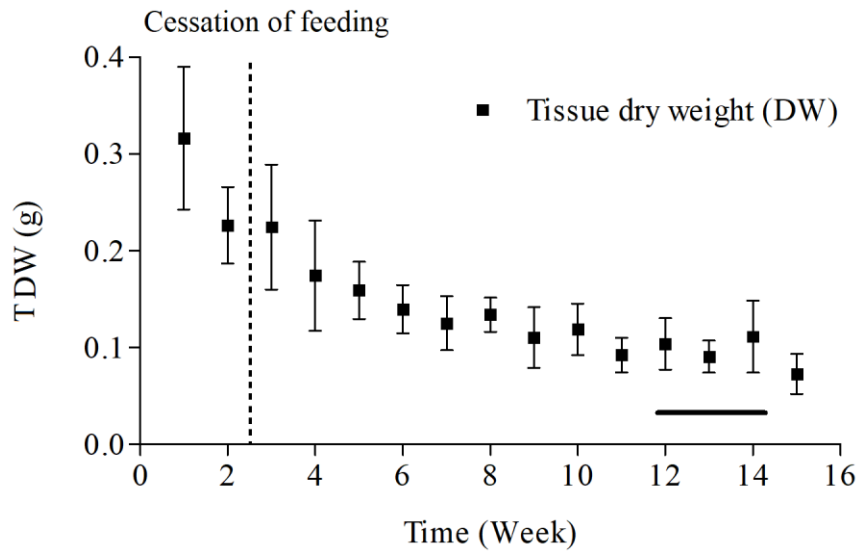


625 (b)



626 (c)

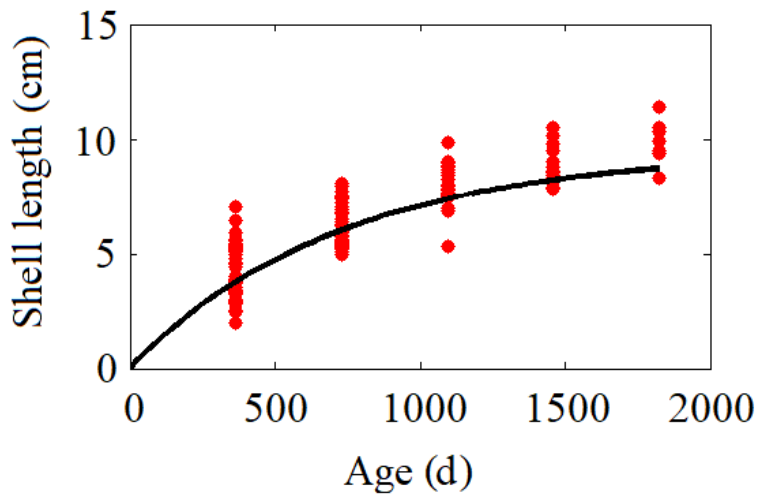
627 **Fig. 1** Arrhenius plots showing variations in heart rates of *Perna viridis* of different size  
 628 classes 2-2.99cm (● with dash line), 3-3.99cm (□ with solid line), 4-4.99cm (▼ with dotted  
 629 line) and 5-5.99cm (Δ with dash-dotted line) over different experimental temperatures for the  
 630 calculation of **a**  $T_A$ , **b**  $T_{AL}$  (b) and **c**  $T_{AH}$ . There were no significant differences among sizes  
 631 in  $T_A$ ,  $T_{AL}$  and  $T_{AH}$ .



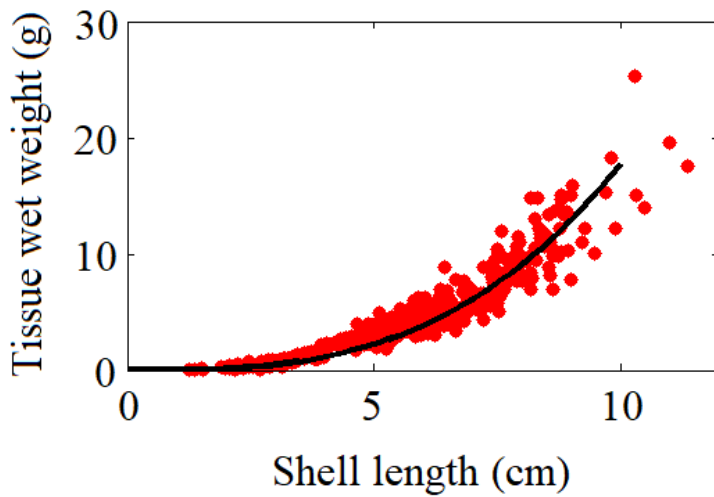
632

633 **Fig. 2** Change (mean±SD) in tissue dry weight (TDW) of *Perna viridis* which levelled off  
 634 from week 12-14 (underlined), indicating the complete depletion of the energy reserve of the  
 635 *P. viridis*.

636

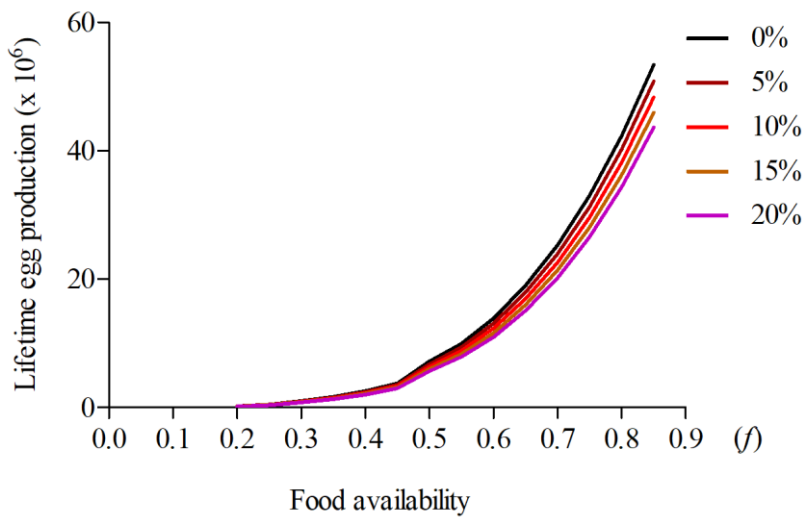


637 (a)

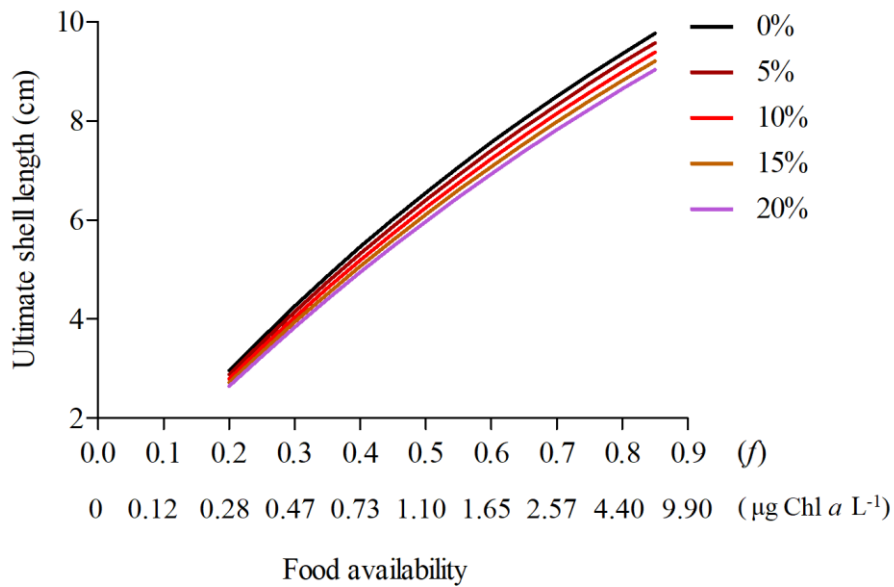


638 (b)

639 **Fig. 3** Predicted (black lines) and observed (red dots) data in **a** shell length and age  
 640 relationship and **b** tissue wet weight shell length relationship. Data from shell length age  
 641 relationship and tissue wet weight shell length relationship were obtained from direct  
 642 examination of mussel shell growth ring (Cheng, 2016) and shape coefficient experiment  
 643 respectively.

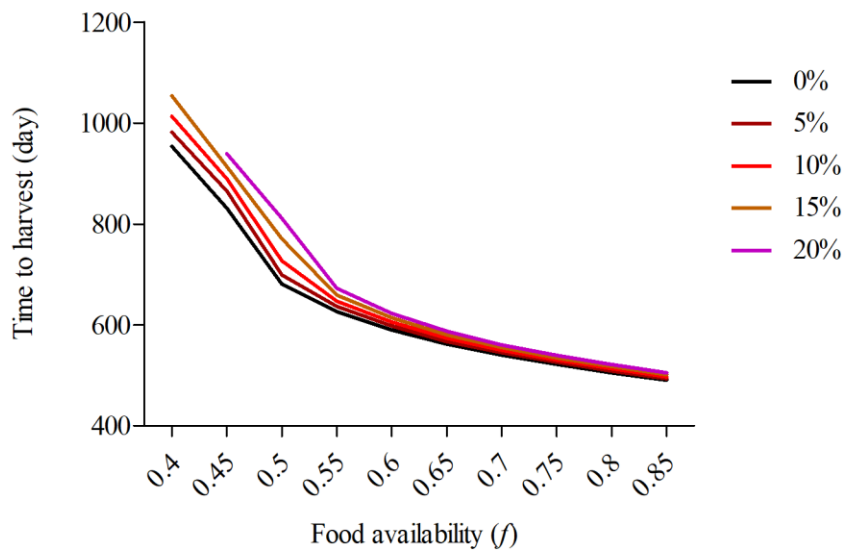


644 (a)



645 (b)

646 **Fig. 4** Output of dynamic energy budget (DEB) model for *Perna viridis* illustrating the effects  
 647 of increase in energy cost for basal maintenance on (a) lifetime egg production and (b)  
 648 ultimate shell length. Holling type II functional response ( $f$ ; Holling, 1959) was used to  
 649 describe food availability.  $f = X/(X + X_K)$  where  $X$  is the environmental food density ( $\mu\text{g Chl } a$   
 650  $\text{L}^{-1}$ ) and  $X_K$  is the half-saturation coefficient ( $1.1 \mu\text{g chl } a \text{ L}^{-1}$ ; McFarland, 2015). The  
 651 maintenance cost ( $[\dot{p}_M]$  with present day value  $20.85 \text{ J cm}^{-3} \text{ d}^{-1}$ ) varied between 5% to 20%.



652

653 **Fig. 5** Output of dynamic energy budget (DEB) model for *Perna viridis* illustrating the effects  
 654 of increase in energy cost for basal maintenance on time to harvest (i.e., time when *P. viridis*  
 655 reaches 5cm in shell length). Holling type II functional response ( $f$ ; Holling, 1959) was used  
 656 to describe food availability.  $f = X/(X + X_K)$  where  $X$  is the environmental food density and  
 657  $X_K$  is the half-saturation coefficient ( $1.1 \mu\text{g chl } a \text{ L}^{-1}$ ; McFarland, 2015). The maintenance  
 658 cost ( $[\dot{p}_M]$  with present day value  $20.85 \text{ J cm}^{-3} \text{ d}^{-1}$ ) varied between 5% to 20%.

659