

Children and adolescents with nodal marginal zone lymphoma have an excellent prognosis with a watch-and-wait strategy after complete resection only

Journal:	<i>Pediatric Blood & Cancer</i>
Manuscript ID	PBC-17-1182
Wiley - Manuscript type:	Brief Report
Date Submitted by the Author:	23-Oct-2017
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Keywords:	marginal zone lymphoma, complete resection, watch-and-wait, outcome



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1 **Brief Report**

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3 **Children and adolescents with nodal marginal zone lymphoma have an excellent**
4 **prognosis with a watch-and-wait strategy after complete resection only**

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11 behalf of the European Intergroup for Childhood Non-Hodgkin Lymphoma (EICNHL) and
12 the international Berlin-Frankfurt-Münster (i-BFM) Study Group

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47 **Key words:** marginal zone lymphoma, complete resection, watch-and-wait, outcome

48 **Running title:** Outcome of children with marginal zone lymphoma

49 **Abstract word count:** 100 words

50 **Body text:** 1200 words

51 **No. of references:** 19

52 **Tables:** 1

53 **Suppl. Tables:** 1

54 **Figures:** 1

55

56 **Abbreviations:**

57 MZL: marginal zone lymphoma

58 pMZL: pediatric marginal zone lymphoma

59 NMZL: nodal marginal zone lymphoma

60 EMZL: extranodal marginal zone lymphoma

61 SMZL: splenic marginal zone lymphoma

62 WHO: World Health Organisation

63 i-BFM: international Berlin-Frankfurt-Münster Study Group

64 EICNHL: European Intergroup for Childhood NHL

65 NHL: non-Hodgkin's lymphoma

66 LDH: lactate dehydrogenase

67 EFS: event-free survival

68 OS: overall survival

69 **Abstract**

70 Data on management of pediatric marginal zone lymphoma (MZL) are scarce. This
71 retrospective study assessed characteristics and outcome in 66 patients <18-years-old.
72 Forty-four (67%) had an extra-nodal (EMZL), 21 (32%) a nodal (NMZL) and one patient a
73 splenic MZL. Thirty-three patients (50%) received a variable combination of adjuvant
74 chemo-/immuno-/radiotherapy, whilst the remainder, including 20/21 with NMZL, entered an
75 active observation period. Overall survival was excellent (98%±2%), although 11 patients
76 relapsed (17%; NMZL, n=1; EMZL, n=10), 7 after any therapy, 4 after complete resection
77 only. Conclusively, outcome of, in particular, NMZL seems to be excellent after (in)complete
78 resection and observation only.

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95 **Introduction**

96 Marginal zone lymphoma (MZL) is a mature B-cell lymphoma and represents a
97 distinct clinico-pathological entity of non-Hodgkin's lymphoma (NHL). While MZL accounts
98 for 5–17% of NHL in adulthood, it rarely occurs in children and adolescents (<2%).¹ The
99 World Health Organisation (WHO) classification recognizes three sub-entities, including
100 nodal MZL (NMZL), extra-nodal MZL (EMZL) and splenic MZL (SMZL).² As therapy
101 guidelines for pediatric MZL (pMZL) have not yet been defined, treatment for both localized
102 and disseminated disease varies a lot.³⁻⁵ To get more information about clinical
103 presentation, treatment and outcome, two of the largest consortia in childhood NHL, the
104 international Berlin-Frankfurt-Münster (i-BFM) Study Group and the European Intergroup for
105 Childhood NHL (EICNHL) designed a retrospective multi-national study on this rare B-cell
106 NHL. Herein we report on 66 patients included in this study.

107

108 **Results**

109 Between May 2015 and May 2016, we performed an international survey of pMZL
110 including only patients with nationally centrally reviewed histopathology from 16 EICNHL
111 and/or i-BFM Study Group members. Questionnaires were sent out to obtain data on
112 demographics and disease (age, gender, stage according to the St. Jude staging system,
113 localisation, pre-therapeutic level of serum lactate dehydrogenase (LDH), pre-existing
114 diseases, Helicobacter pylori-infection), treatment (surgery, chemotherapy, immunotherapy,
115 radiotherapy, antibiotics), and outcome (remission status, relapse, death, follow-up). A total
116 of 66 patients up to 18-years-old were identified. The diagnosis was based on the WHO
117 criteria.^{2,6} Staging procedures as well as therapy protocols (Table 1) applied are described
118 in detail elsewhere.⁷⁻¹¹ All patients were treated with informed consent from the legal
119 guardians. Studies were conducted in accordance with the Declaration of Helsinki and

120 approval was delivered by the ethics committees. Event-free (EFS) and overall survival
121 (OS) were estimated with Kaplan-Meier curves.

122 Of the 66 patients, 21 (32%) had an NMZL, 44 (67%) an EMZL and one patient (1%)
123 an SMZL. Median age was 14.2 years. The male-to-female ratio was 2:1. Twelve patients
124 (18%), all of them with EMZL, had a pre-existing disorder (Table 1).

125 After a median follow-up of 2.7 years (range 0.2–12.2 years), the 5-year EFS and OS of
126 these 66 pMZL patients were 70%±9% and 98%±2%, respectively (Figure 1-A/B).

127

128 **Nodal marginal zone lymphoma (Table 1)**

129 Among the 21 NMZL patients, only one was female. Median age was 14.7 years.
130 None of them had LDH levels ≥ 500 U/l. All but two patients had involvement of the lymph
131 nodes in the head-and-neck region. Eighteen (86%) had stage I, 2 (10%) stage III and in 1
132 patient (4%) stage of disease was not available. Seventeen (81%) had a complete
133 resection, received no therapy and underwent a watch-and-wait strategy. One patient
134 relapsed after 0.3 years in a distant lymph node, had another complete resection and has
135 been in continuous complete remission for 3.9 years. Five-year EFS and OS were 94±6%
136 and 100%, respectively (Figure 1-C).

137

138 **Extra-nodal marginal zone lymphoma (Table 1)**

139 Among the 44 EMZL patients, 25 (57%) were male. Median age was 13.2 years. Of
140 the 36 patients with available LDH levels, only one had a value ≥ 500 U/l. Sites of
141 involvement were: ear-nose-throat (n=16), skin (n=9), digestive tract (n=8), lungs (n=4),
142 spleen (n=3), bone marrow (n=2), conjunctiva (n=2) and one case each, albeit not further
143 specified, of central nervous system, orbita, breast, kidney, mediastinum and head-and-
144 neck region. In 11 patients (25%) >1 localisation was involved, including 9 with lymph node
145 involvement. Fifteen (34%) had stage I, 12 (27%) stage II, 12 (27%) stage III, and 3 (7%)

146 stage IV disease. Two (5%) had no stage available. Of the 8 patients having a disease
147 confined to the digestive tract, 2 were positive for *Helicobacter pylori*, 1 was negative, and
148 for 5 patients no information was available.

149 Twenty-one (48%) received chemotherapy, 15 (34%) rituximab (4/15 without chemo-
150 or radiotherapy) and 6 patients (14%) radiotherapy (5/6 without chemotherapy or rituximab).
151 Three (7%) underwent allogeneic stem cell transplantation with 2 of them having an
152 underlying immunodeficiency as indication. Nine patients (20%) had a complete resection,
153 received no therapy and underwent a watch-and-wait strategy.

154 Ten patients (23%) relapsed (Suppl. Table 1) after a median time of 2.1 years (range
155 0.7–4.8 years). First-line treatment included chemotherapy (n=2), rituximab and
156 chemotherapy (n=1), radiotherapy (n=4), and watch-and-wait strategy (n=3). Of the three
157 patients who relapsed after chemotherapy, all had a pre-existing disorder. Six/10 relapsed
158 locally at the same site, 4/10 relapsed at new sites.

159 Overall, 2 patients (5%) died, both having an underlying immunodeficiency, both
160 dying from transplant-associated toxicity, 1 in first remission and 1 after relapse. Five-year
161 EFS and OS were $64\% \pm 11\%$ and $97 \pm 3\%$, respectively (Figure 1-D).

162

163 **Splenic marginal zone lymphoma (Table 1)**

164 One 17.9-years-old female patient with SMZL was treated by splenectomy only and has
165 been in continuous complete remission for 5.2 years.

166

167 **Discussion**

168 To our knowledge, this report including 66 patients with centrally reviewed pMZL
169 represents by far the largest series of pMZL in childhood and adolescence reported to date.

170 Due to its rarity, only few case reports and series have been published so far.^{4,5,12}

171 Our results show that pMZL is associated with male gender, older age, localised
172 stage I/II disease, low pre-therapeutic LDH levels and a higher proportion of the EMZL
173 subtype. Nevertheless, as we also identified stage IV patients, exclusively in EMZL, initial
174 diagnostic work-up should always follow the International Pediatric NHL Staging System.¹³
175 Almost all our NMZL patients presented with isolated involvement of head-and-neck lymph
176 nodes. In 81% of them a complete resection was feasible followed by a watch-and-wait
177 strategy and resulting in an excellent prognosis with only one relapse. In contrast, 73% of
178 our EMZL patients were treated by systemic chemo-/immuno-/radiotherapy. Interestingly,
179 they had a high relapse rate, despite two-thirds of the relapsed cases receiving up-front
180 chemo-/radiotherapy. Salvage therapy was successful in almost all relapsed EMZL cases
181 resulting in a 5-year OS of 97%±3%.

182 Taking our results into account, the indication for intense chemo-/immuno-/
183 radiotherapy should be re-considered to avoid unnecessary short- and long-term toxicity in
184 pMZL.^{14,15} Similar strategies as for pediatric follicular lymphoma and early-stage nodular
185 lymphocyte-predominant Hodgkin's lymphoma should also be pursued in pMZL.^{16,17} A
186 complete resection without the risk of mutilation followed by observation may not only be
187 justified in localised disease, but perhaps also in case of incomplete resection of stage I/II
188 disease (4 of our patients) or localized relapse (2 patients).^{4,5} In case of a proven infection,
189 antibiotics should be tried in addition or even up-front.¹⁸ In advanced disease, low-intensity
190 chemotherapy±rituximab could be an option whereas conventional chemotherapy±rituximab
191 should instead be reserved for disseminated relapse or progression, as the majority of the
192 B-NHL protocols still include anthracyclines, alkylating agents and intrathecal.^{10,19}

193 There are several limitations when analysing data from a multi-national retrospective
194 survey on a very rare lymphoma subtype, all of which necessitate further evaluation in well-
195 defined prospective trials. As such, we were unable to report on genetic studies, infectious

196 status and, in particular, on how and why the decisions were taken by the responsible
197 physicians to follow a watch-and-wait strategy in (in)completely resected disease.¹²

198 Conclusively, regardless of the therapy the patients received, it seems that pMZL
199 does not automatically require chemotherapy due to the excellent outcome in at least
200 localised NMZL.^{4,5} For more disseminated and relapsed cases, future clinical trials are
201 necessary to establish the best therapy with the lowest amount of toxicity.

202

203 **Acknowledgments**

204 We thank all participating institutions and physicians for their support of the study.
205 This EICNHL and i-BFM paper was written on behalf of the Berlin-Frankfurt-Münster (BFM)
206 Study Group (Austria, Germany, Switzerland, Czech Republic), Associazione Italiana
207 Ematologia Oncologia Pediatrica (AIEOP), Société Française de Lutte contre les Cancers et
208 Leucémies de l'Enfant (SFCE), United Kingdom Children's Cancer and Leukemia Study
209 Group (CCLG), Nordic Society of Pediatric Hematology and Oncology (NOPHO), Belgian
210 Society of Pediatric Hematology and Oncology (BSPHO), Dutch Childhood Oncology Group
211 (DCOG), Israel's Society of Pediatric Hematology and Oncology, Slovenian Society of
212 Hematology and Oncology, Serbian Society of Hematology and Oncology, Japanese
213 Pediatric Leukemia/Lymphoma Study Group (JPLSG), Hong Kong Pediatric Hematology and
214 Oncology Study Group (HKPHOSG) and a single institution from Canada (Toronto).

215 This work was supported by the Cancer Research UK, the Forschungshilfe Station
216 Peiper (BFM Germany), the St. Anna Kinderkrebsforschung (BFM Austria), the Czech
217 Ministry of Health supporting projects for conceptual development of research organization
218 00064203 and 65269705 (BFM Czech Republic), the Associazione Italiana Contro le
219 Leucemie and Fondazione Citta della Speranza (AIEOP), and the Ministry of Health, Labor,
220 and Welfare of Japan (JPLSG).

221

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270 **Legends**

271 **Figure 1:** 5-year event-free and overall survival of the 66 patients with pediatric marginal
272 zone lymphoma (pMZL; A, B), 21 patients with nodal marginal zone lymphoma (NMZL; C)
273 and 44 patients with extra-nodal marginal zone lymphoma (EMZL; D).

274

275 **Authorship contributions**

276 AA, OA, and BB designed and planned the study; AA and LR wrote the manuscript;
277 AA and LR were in charge of data pooling, data checking and statistical analysis; all other
278 authors (SBB, SB, LB, AC, JJ, EK, JL, AB, GM, KM, FN, FT, TO, MP, CD, MG, OM, DW,
279 and WW) as well as AA, OA and BB were principal or co-investigators in their study groups
280 and institutions, coordinated the national trials in their countries, provided study materials
281 and recruited patients. All authors read and approved the final version of the manuscript.

282

283 **Conflict-of-interest disclosure:** The authors declare no competing financial interests.

Table 1. Clinical, laboratory and treatment characteristics as well as outcome of the 66 patients with pMZL, 21 with NMZL, 44 with EMZL, and 1 with SMZL

Variable	pMZL		NMZL		EMZL		SMZL
	No. of pts.	%	No. of pts.	%	No. of pts.	%	No. of pts.
Variable	66		21		44		1
Gender							
male	45	68	20	95	25	57	/
female	21	32	1	5	19	43	1
Age (y)							
median	14,2		14,7		13,2		/
range	2,2-17,9		2,2-17,8		4,3-17,5		17,9
<10	9	14	3	14	6	14	/
≥10 - 15	36	54	10	48	26	59	/
≥15 - 18	21	32	8	38	12	27	1
Pre-existing disorder							
present \$	12	18	0		12	27	/
absent	54	82	21	100	32	73	1
sLDH level (U/l)							
median	216		190		249		/
range	129-529		129-411		133-529		/
<500	53	80	17	81	35	80	1
≥500	1	2	0		1	2	/
n. a.	12	18	4	19	8	18	/
Stage of disease							
stage I	33	50	18	86	15	34	/
stage II	12	18	0		12	27	/
stage III	14	21	2	9	12	27	/
stage IV	4	6	0		3	7	1*
n. a.	3	5	1	5	2	5	/
Histopathology							
NMZL	21	32	21	100	/		/
EMZL	44	67	/		44	100	/
SMZL	1	1	/		/		1
Sites of involvement #							
lymph nodes	30	45	21	100	9	20	0
ear-nose-throat	16	24	0		16	36	0
skin	9	14	0		9	20	0

digestive tract	8	12	0		8	18	0
lungs	4	6	0		4	9	0
spleen	4	6	0		3	7	1
conjunctiva	2	3	0		2	5	0
bone marrow	3	5	0		2	5	1*
other Σ	6	9	0		6	14	0
Treatment							
chemotherapy \S π	22	33	1	5	21	48	0
alone	10		0		10		
with rituximab	12		1		11		
with radiotherapy	1		0		1		
rituximab \S	16	24	1	5	15	34	0
alone	4		0		4		
with chemotherapy	12		1		11		
with radiotherapy	1		0		1		
radiotherapy \S	6	9	0		6	14	0
alone	5				5		
with chemotherapy	1				1		
with rituximab	1				1		
watch-and-wait	33	50	20	95	12	27	1
Complete resection	38	58	17	81	20	45	1
watch-and-wait	27		17		9		1
Incomplete resection	26	39	3	14	23	52	0
watch-and-wait ~	4		2		2		0
Resection status n. a.	2	3	1	5	1	2	0
watch-and-wait	2		1		1		0
Antibiotics							
yes	8	12	1	5	7	16	0
no	58	88	20	95	37	84	1
Allo-SCT in 1st CR							
yes	3	5	0		3	7	/
no	63	95	21	100	41	93	1
Outcome							
1 st CCR	54	82	20	95	33	75	1
relapse	11	17	1	5	10	23	0
death as 1 st event Ω	1	2	0		1	2	0
5-year EFS	70 \pm 9%		94 \pm 6%		64 \pm 11%		100%
5-year OS	98 \pm 2%		100%		97 \pm 3%		100%
Follow-up (y)							

median	2,7	2,2	3,2	5,2
range	0,2-12,2	0,2-4,4	0,2-12,2	/

Abbreviations: pMZL, pediatric marginal zone lymphoma; NMZL, nodal MZL; EMZL, extra-nodal MZL; SMZL, splenic MZL; No. of pts., number of patients; y, years; sLDH, serum lactate dehydrogenase; n. a., not available; allo-SCT, allogeneic stem cell transplantation; CR, complete remission; CCR, complete continuous remission; EFS, event-free survival; OS, overall survival

§ Sjögren's syndrome (n=2), common variable immunodeficiency (n=2), primary immunodeficiency not further specified (n=3), STK4 deficiency (n=1), Crigler-Najjar-syndrome (n=1), Hodgkin's lymphoma (n=1), squamous papilloma (n=1), and hyperandrogenism not further specified with hirsutism (n=1).

11 patients with EMZL and 1 patient with SMZL had >1 site of involvement.

§ 1 patient with EMZL received chemotherapy + rituximab + radiotherapy.

Π according to NHL-BFM (n=11), LMB (n=3), and JACLS (n=1) protocols; CHOP (n=3), CVP (n=1), miscellaneous regimens (n=3).

~ All 4 patients with incomplete initial resection and watch-and-wait are in remission.

Ω Patient died from transplant-related toxicity.

∑ Central nervous system (n=1), head-and-neck not further specified (n=1), mediastinum (n=1), kidneys (n=1), orbita not further specified (n=1), breast (n=1).

* Bone marrow involvement was questionable.

Suppl. Table 1. Clinical, laboratory and treatment characteristics as well as outcome of the 11 patients with relapsed pMZL

	relapsed MZL	
	No. of pts.	%
Variable	11	
Gender		
male	6	55
female	5	45
Age (y)		
median	14,7	
range	6,8-17,3	
<10	1	9
≥10 - 15	6	55
≥15 - 18	4	36
Pre-existing disorder		
present \$	4	36
absent	7	64
sLDH level (U/l)		
median	267	
range	138-431	
<500	9	82
n. a.	2	18
Stage of primary disease		
stage I	5	45
stage II	2	18
stage III	3	27
stage IV	1	9
Histopathology		
NMZL	1	9
EMZL	10	91
Sites of primary involvement		
lymph nodes	1	9
ear-nose-throat	5	45
skin	4	36
central nervous system	1	9
First-line treatment		

chemotherapy	3	27
alone	2	
with rituximab	1	
rituximab	1	9
alone	0	
with chemotherapy	1	
radiotherapy	4	27
alone	4	

Complete initial resection	10	91
watch-and-wait	4	

Incomplete initial resection	1	9
watch-and-wait	0	

Allo-SCT in 1 st CR		
yes	1	9
no	10	91

Sites of involvement at relapse #		
lymph nodes	4	36
ear-nose-throat	3	27
skin	4	36
central nervous system	1	9

Therapy of relapse		
chemotherapy	4	36
alone	1	
with rituximab	3	
rituximab *	6	55
alone	2	
with chemotherapy	3	
with radiotherapy	1	
radiotherapy	3	27
alone	2	
with rituximab	1	
watch-and-wait §	2	18

Allo-SCT for relapse	1	9
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Outcome		
2 nd CCR	10	91
death Ω	1	9

Follow-up (y)		
median	6,1	
range	0,8-12,2	

Abbreviations: pMZL, pediatric marginal zone lymphoma; NMZL, nodal MZL; EMZL, extra-nodal MZL; No. of pts., number of patients; y, years; sLDH, serum lactate dehydrogenase; n. a., not available; allo-SCT, allogeneic stem cell transplantation; CR, complete remission; CCR, complete continuous remission

§ Sjögren's syndrome (n=1), primary immunodeficiency not further specified (n=2), Crigler-Najjar-syndrome (n=1).

1 patient with EMZL had >1 site of involvement.

* 1 of the 6 patients received intralesional rituximab only.

§ Both patients had a complete resection of their disease.

Ω Patient died from transplant-related toxicity.

