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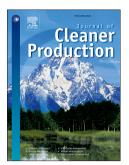
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# Imparting water repellency in completely decomposed granite with Tung oil

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#### 1 Abstract

2

Engineered water repellent soils have great potential as construction materials 3 4 for seepage barriers. By adjusting the water repellency of the soil, an 5 impermeable or semi-permeable seepage barrier can be constructed to suit 6 various engineering applications, such as impervious materials to contain 7 water or semi-permeable materials to allow vegetation growth. Industrial clays, 8 geosynthetics and grouting are proven solutions frequently used for similar 9 applications. To provide a sustainable and low-cost solution to create an 10 engineered water repellent soil, this study explored how Tung oil, a Chinese 11 traditional vegetation oil, affected a Hong Kong completely decomposed 12 granite (CDG). Tung oil has already been used in historical structures and 13 suggested as a self-healing agent for concrete. A natural wettable soil from 14 Hong Kong, CDG, was mixed with Tung oil and heated at different temperatures for different durations. The results reveal that the presence of 15 16 Tung oil developed severe and persistent water repellency with heating, 17 enhancing water repellency until a critical temperature threshold and heating 18 duration was reached. However, a change to soil physical structure due to 19 aggregation was also observed due to the mixing of the Tung oil with the soil. 20 The newly formed Tung-oil impregnated aggregates were found to be (1) 21 stable when immersed in water, regardless of the temperature and heating 22 duration, (2) and to have increasing tensile strength with aggregate size up to 23 a temperature threshold. Based on these findings, not only can Tung oil induce 24 high and persistent water repellency in a natural soil through simple 25 procedures (by mixing of soil with oil), but also aggregation may offer the 26 opportunity to improve soils for other ground applications including to 27 ameliorate erosion on slopes.

28 **Keywords**: Tung-oil, water repellency, completely decomposed granite, soils

#### 1 1. Introduction

2

3 The cost estimates for the construction, maintenance and improvement of 4 ground infrastructure in Hong Kong have doubled in the last decade (Hong Kong 2018-19 Budget, 2018). The need for construction materials that are 5 6 economic and sustainable is important. The use of synthetic water repellent (or 7 non-wetting, hydrophobic) soils can reduce the carbon footprint in comparison 8 with the use of industrial clays, geosynthetics, or grouting and may have 9 various engineering applications in ground infrastructure. For instance, for 10 completed landfills, an evapotranspiration cover system is required to prevent 11 water percolation in the long run to minimize leachate production. Subedi et al. 12 (2013) suggested placing a hydrophobized capillary barrier by using water 13 repellent soils underneath the vegetation layer. Other proposed applications 14 include protection surfaces for horse racing tracks (Bardet et al., 2014), 15 waterproof layers in the pavement base of highways (DeBano, 1981) and 16 protection and stabilization of slopes (Zheng et al., 2017).

Soil water repellency is a fundamental property, which measures the soil-water interaction, and can be quantified by the contact angle (CA,  $\Theta$ ) or water drop penetration time (WDPT). The CA is obtained from Young's equation (Equation 1), derived from the mechanical equilibrium of a water drop on a solid surface with three interfacial tensions, solid-air  $\gamma_{sa}$ , solid-liquid  $\gamma_{sl}$  and liquid-air  $\gamma_{la}$  as:

22

23 
$$\cos \theta = \frac{\gamma_{sa} - \gamma_{sl}}{\gamma_{la}}$$
 (1)

24

A CA > 90° indicates the soil is water repellent (low wettability), while a CA < 90°</li>
indicates the soil is wettable (high wettability) (Goebel *et al.*, 2011). The WDPT
quantifies the persistency of water repellency based on the infiltration rate of a

water drop into the soil (Letey *et al.*, 2000). Soil wettability is affected by soil properties such as texture and water content. From tests of water repellent silica sands and glass beads, Saulick *et al.* (2018) found that finer and angular granular materials provide greater CA than coarser and rounded granular materials. In natural water repellent soils, water repellency can also reduce with increasing water content (de Jonge *et al.*, 1999).

7 Water repellency can be induced in the laboratory by coating soil particles with 8 water repellent substances of a synthetic or natural origin. For natural water 9 repellent soils, it has also been found that the heating temperature, duration 10 and oxygen availability all influence water repellency. Doerr et al. (2004) 11 revealed that water repellency increased with temperature up to a threshold, 12 after which the water repellency was eliminated. The threshold depends on the 13 heating duration and soil intrinsic properties such as texture and organic 14 matter (Robichaud & Hungerford, 2000; Savage et al., 1972). Oxygen 15 availability is also another factor to take into account. Bryant et al. (2005) 16 tested water repellency of soils heated in nitrogen and air, and found that the 17 temperature threshold is higher in a nitrogen atmosphere. As for the durability 18 of the water repellent condition, the lifespan of natural soil water repellency as 19 induced by heating is unknown. However, a monitoring study on a post-wildfire 20 terrain suggests that it can persist for more than five years (Cerdà and Doerr, 21 2005).

22 Synthetic coatings have been proposed to induce water repellency in sands. 23 For example, Bardet et al. (2014) coated sand particles with water repellent 24 wax coatings. Dimethyldichlorosilane  $((CH_3)_2SiCl_2,$ DMDCS), 25 octadecyltrichlorosilane  $(CH_3(CH_2)_{17}SiCl_3)$ trimethylchlorosilane and (CH<sub>3</sub>)<sub>3</sub>SiCl) were mixed with sands to obtain water repellent polysiloxanes 26 27 (Chan & Lourenço, 2016; Ng & Lourenço, 2016). For sands, the results

1 revealed that 0.001% - 0.005% by mass of these organosilane compounds can 2 result in CAs > 120°. However, for clayey or silty soils, the consumption of silanes is much greater and the water repellency is unstable, thus 3 4 compromising the cost-effectiveness of the technique. Choi et al. (2016) found 5 adding 2.5% by mass of an organosilane compound to a clay induces water 6 repellency. But this water repellency may be short-lived, as observed by Liu 7 (2016) who found that induced water repellency persisted for only one week 8 after the treatment with DMDCS. Therefore, methods to induce stable water 9 repellency in fine soils and which are cost-effective are required. This study 10 proposes a combination of heating and use of a natural water repellent 11 substance, Tung oil, to generate water repellency in fine soils, extending the 12 current range of treatments which are limited to sands or sandy soils.

13 Tung oil is a traditional Chinese oil obtained from pressing the nut seeds of the 14 Tung Tree (Vernicia fordii). It was traditionally used for waterproofing of boat 15 hulls and wooden constructions. Recently, Tung oil has been recognized as a 16 low-cost, clean and durable agent for healing, waterproofing or protection of 17 different materials. For example, Samadzadeh et al. (2011) proposed Tung oil 18 as a healing agent to repair scratched wooden surfaces. Chen et al. (2017) used Tung oil as a self-healing agent for reinforced concrete. Of interest to this 19 20 study, Tung oil has been applied to improve the water stability of soil 21 aggregates and durability of earthen construction. Treating natural soils 22 containing 5 -11% of silts and clays with quicklime or Tung oil was found to 23 improve the durability of earthen historical structures (Li & Zhang, 2012). It has 24 also been found that Tung oil could decrease the hydraulic conductivity and 25 wettability of soils (Zhang et al., 2016). The longevity of Tung oil under indoor 26 conditions exceeds 25 years (Liu et al., 2015), with one study observing that 27 Tung oil painted on a wooden altar persisted since 1922 (Schönemann et al., 28 2006).

1 Tung oil contains approximately 80% of  $\alpha$ -eleostearic acid and 20% of a mix of 2 linoleic, palmitic and oleic acids by mass (Zhang et al., 2016). The development of water repellency by Tung oil application to sand depends on 3 4 the environmental conditions. When subjected to a temperature up to 100°C, it 5 dries and hardens in four stages: (1) inhibition: (2) formation of peroxides; (3) 6 decomposition of peroxides; and (4) polymerization (Wexler, 1964). The 7 formation of a water repellent Tung oil film occurs in stage (4). During heating, 8 fatty acids undergo a melting and re-crystallization process on the surface of 9 sand particles, resulting in more crystalline packed and even coatings, which 10 improves water repellency (Mainwaring et al., 2013). Therefore, heating Tung 11 oil-mixed soils could produce greater water repellency, or the concentration of 12 Tung oil can be decreased to achieve a desired water repellency level.

The addition of Tung oil to a fine-grained soil may not only generate water 13 14 repellency, but also provide engineering and environmental benefits. 15 Preliminary research conducted within the context of this study has also 16 revealed that: (1) mixing of soil with Tung oil leads to aggregation, i.e. the fines 17 lump to form aggregates; and (2) the aggregates resist wetting without 18 perceptive changes in their structure, e.g. aggregate collapse upon water immersion. These preliminary findings are encouraging, so this study explored 19 20 the mechanisms controlling aggregation and its durability in a Hong Kong soil. 21 In agricultural sciences, aggregate stability is defined as the ability of a soil 22 aggregate to retain its structure under the action of water or mechanical stress 23 (Dexter, 1988). The former is known as aggregate water stability while the 24 latter is quantified by the aggregate strength. Aggregate stability is an 25 important factor affecting soil properties including the movement and storage 26 of water in soils (i.e. hydraulic characteristics), aeration (i.e. soil air 27 permeability), shear strength (Baumgartl & Horn, 1991; Ekwue, 1990; Fattet et 28 al., 2011), erosion and biological activities (Rabot et al., 2018; Fattet et al.,

1 2011).

2 Tung oil also has an economic advantage. With a cost at 7 US\$/litre 3 (compared to 106US\$/litre for DMDCS), Tung oil presents an economic and 4 sustainable solution for imparting water repellency in soils. As both Tung oil 5 and heating can induce changes to water repellency in soils and aggregate 6 stability, the objective of this study was to determine the combined effects of 7 Tung oil and heating on water repellency and the resulting aggregation 8 behaviour (i.e. aggregate size and stability) of Hong Kong's completely 9 decomposed granite (CDG). The specific objectives were to: (a) study the 10 effects of Tung oil on water repellency of CDG; (b) determine the effect of the 11 heating temperature and duration on water repellency of Tung oil treated CDG; 12 (c) quantify the changes in soil aggregate properties of Tung oil treated CDG 13 followed by heating; and (d) identify the controlling mechanisms on the 14 changes of water repellency and aggregate properties in CDG induced by 15 addition of Tung oil and heating.

16

#### 17 2. Methodology

18 2.1 Materials

19 2.1.1 Completely Decomposed Granite (CDG)

Approximately 65% of the urban area of Hong Kong is developed on granite. In situ weathering creates decomposed granite (CDG) to form a typical residual soil abundant in Hong Kong. It has been used extensively as fill materials in various applications, such as backfill materials for retaining structures and pavements.

CDG collected from Happy Valley in Hong Kong was selected as the test soil
of this study. CDG was originally wettable with a contact angle of 52° (±2.81°).

1 The results of aggregate size analysis using the dry sieving method (BS 2 1377-1: 1990) revealed that CDG contains 35% of clays and silts, 49% of sands and 16% of gravel. Other soil properties, such as Atterberg limits and 3 4 specific gravity, are given in Table 1. Soil organic matter content was determined by loss on ignition (LOI) by heating CDG to 550°C (within 5 hours) 5 6 (BS 1377 – 3: 1990). Quantitative X-ray diffraction (XRD) analysis was carried 7 out to determine the mineralogy of CDG. Approximately 2 g of soil were 8 pulverized and analysed in an automated powder Philips PW 1710 diffractometer using Cu Ka radiation at 35 kV and 40 mA, between 2 and 70° 9 10  $2\theta$  at a scan speed of 0.04°2 $\theta$ /s. The results revealed that the major mineral contents of CDG were quartz and kaolinite (46% and 43%, respectively), 11 12 accompanied by a small proportion of illite (6%) and gibbsite (5%), as 13 tabulated in Table 1.

14

# 15 2.1.2 Tung oil

16 The Tung oil used in this study was purchased from Jogel Co., China and 17 contained approximately 80% of  $\alpha$ -eleostearic acid, 10% of linoleic acid, 6% of 18 palmitic acid and 4% oleic acid (Zhang *et al.*, 2016). It was transparent with an 19 amber color, and a dark residue at the bottom of the bottle. The density was 20 940 kg/m<sup>3</sup>. Only supernatant transparent oil without sediment or other 21 impurities was used for testing.

22

#### 23 2.2 Testing procedure

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CDG was air-dried in a laboratory environment (~25°C and relative humidity of
60 - 75%), sieved to a particle size of 2 mm followed by mixing and
homogenization by hand. The results of preliminary research revealed that 5%

by mass of Tung oil could induce strong or extreme soil water repellency
(Doerr *et al.*, 2006). Six concentrations by mass of Tung oil (C<sub>to</sub>) were selected:
C<sub>to</sub>=1%, 2%, 5%, 8%, 10% and 15%. Tung oil was mixed with 1 kg of CDG by
hand followed by air drying for 3 days. Preliminary tests found that water
repellency induced by Tung oil was stable after 3 days.

Tung oil treated CDG was heated from 50°C to 300°C in 50°C steps in an oven for 0.5, 1 and 3 and 5 hours. After heating, specimens were cooled at room temperature (~25°C) and equilibrated for 7 days (Doerr *et al.*, 2005). Triplicate specimens of 10.00±0.01 g at different concentrations of Tung oil heated to different temperatures for different durations were placed in 30 ml ceramic crucibles with a soil thickness of ~5 mm to avoid spatial variation of water repellency caused by temperature gradients (Varela *et al.*, 2015).

13

#### 14 2.3 Soil wettability measurement

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The CA was measured by the sessile drop method (SDM) (Bachmann *et al.*, 2000) with a KRÜSS Drop Shape Analyser 25 made in Germany. Firstly, soil particles were sprayed on a double-sided tape on glass slide (27 mm x 77 mm). A 10µL drop of deionized water was placed on the specimen surface from a syringe. The shape of the water drop on the air-water interface was recorded by a camera and the CA was determined by a curve-fitting algorithm (Saulick *et al.*, 2017).

The water drop penetration time (WDPT) test was used to assess the persistence of water repellency in CDG. The WDPT test measures the time that water repellency persists on a water repellent soil by placing drops of distilled water on the soil. A water drop volume of 75  $\mu$ L was selected to be greater than the particle size and voids (Letey *et al.*, 2000). The

- measurements were conducted in a laboratory environment (22 25°C,
  relative humidity of 60 75%) with the time up to 14400 s (Doerr *et al.*, 2006).
- 3

2.4 Aggregate size analysis

5

4

6 With the addition of Tung oil, the soil particles bonded together to form 7 aggregates which were found to be prone to collapse after heating. To 8 investigate the formation and breakdown of Tung oil treated soil aggregates 9 followed by heating, aggregate size analysis was used by a QICPIC<sup>™</sup> 10 Sympatec GmbH dynamic image analyser. Specimens were placed in a 11 vibratory feed to disperse them, followed by free-fall in front of a pulsed light 12 with a high-speed camera.

13 The Hardin index  $B_P$  was used to quantify the changes in aggregate size 14 (Hardin, 1985).  $B_P$  was originally applied to quantify the breakage potential of 15 granular materials such as sands. Here, it was adopted to quantify the change 16 in aggregate size in this study. As shown in Figure 1,  $B_P$  is equal to the area 17 bounded by 0.074 µm and the ASD curve. A larger  $B_P$  corresponds to a larger 18 area, reflecting a shift of the ASD curve towards larger aggregate sizes. In a 19 log-normal distribution,  $B_P$  is calculated as follows:

20

21 
$$B_{\rm P} = \int_0^1 \log_{10} \left(\frac{\rm D}{\rm d}\right) \rm df$$
 (2)

22

where D = the given aggregate size in mm; d = the smallest particle size that can be crushed and assumed to be 0.074 mm (Hardin, 1985); and df = the gradient of the ASD curve.

26 Scanning electron microscopy (SEM) has been widely used to observe the 27 morphology and surface of soil particles, including water repellent coatings.

Choi *et al.* (2016) observed the surfaces of water repellent clay treated by an
 organosilane compound while Tang *et al.* (2007) used it for Tung oil treated
 soils. Here, the SEM was used to observe the aggregation of Tung oil treated
 CDG in this study by a Hitachi S4800 FEG SEM.

5

# 6 2.5 Aggregate stability assessment

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8 Aggregate water stability and tensile strength were measured on soil 9 aggregates with dimensions 2-3.5 mm to assess the impacts of temperature 10 and duration of heating. Aggregate water stability was measured by immersing 11 six aggregates per test in water (Emerson, 1967). The change of aggregates 12 morphology was recorded by a digital camera with the test ended at either 13 3,600 s or total breakdown of aggregates. Two parameters were recorded to 14 quantify water stability: (1) the percentage of intact aggregates after 3,600 s 15  $(AS_{60})$ ; and (2) the initial breakdown time  $(T_0)$  (Li & Zhang, 2012). AS<sub>60</sub> is the 16 percentage of intact aggregates (not slaking or dispersing) after 3,600 s of 17 water immersion and varies from 0% (all aggregates collapsed) to 100% (all 18 aggregates remain intact).  $T_0$  is the time when the aggregate starts to 19 breakdown and ranges from 0 s to 3,600 s. If all the aggregates remain intact 20 after 3,600 s, they are considered to be water-stable.

21 Aggregate tensile strength was measured by a single particle compression 22 apparatus built at The University of Hong Kong (Yao, 2019). The test was 23 carried out by placing an aggregate between two stainless steel platens and 24 moving the upper platen at a constant rate of downward displacement of 1.0 25 mm/h to crush the aggregate. The displacement was recorded by a Linear 26 Variable Differential Transformer (LVDT) with a 2.5 mm linear range and 27 resolution of 0.001 mm. The capacity of the load cell was 100 N with a 28 resolution of 0.1 N. The compression apparatus has been calibrated and

1 tested preliminarily on a stainless-steel cuboid to ensure the stiffness of the 2 apparatus. The high-resolution of the load cell (0.1N) and LVDT (0.005mm) 3 ensured reliable data (Yao, 2019). 4 For consistency, air-dried aggregates selected for testing tensile strength were 5 of the same dimensions as those tested for aggregate water stability, *i.e.* 2-3.5 6 mm. The aggregate tensile strength  $T_S$  was calculated by Equation (3): 7  $T_{\rm S} = 0.576 \times (\frac{\rm F}{\rm d_a^2})$ 8 (3) 9 10 where  $T_S$  = aggregate tensile strength (Pa); F = polar force required to fracture 11 the aggregate (N); and  $d_a$  = geometric mean diameter of the soil aggregate (m), 12 obtained by Equation (4): 13  $d_a = \sqrt[3]{d_x + d_y + d_z}$ 14 (4) 15 where  $d_x$ ,  $d_y$ ,  $d_z$  = the longest, intermediate and smallest diameter of each 16 17 aggregate (m) (Dexter & Kroesbergen, 1985). 18

19 2.6 Chemical characterization

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21 То elucidate the mechanisms controlling the wettability changes, 22 Fourier-Transform infrared spectrometry (FTIR) analyses were conducted in 23 untreated CDG specimens (Cto=0%), CDG specimens treated with 5% of Tung 24 oil ( $C_{to}$ =5%) and CDG specimens treated with 5% Tung oil ( $C_{to}$ =5%) followed 25 by heating at different temperatures for different durations. The spectroscopy 26 was performed using a FTIR spectrometer PerkinElmer Spectrum 100 by the 27 KBr pellet method.

1 To assess the phase change of Tung oil due to elevated temperature and its 2 possible effect on soil water repellency, mass-volume relationship between the 3 soil components were determined. The water repellent soil contains three 4 phases, solids, air and liquids and each phase containing several components 5 as shown in Supplementary Figure 1. The solids (m<sub>s</sub>) include soil organic 6 matter and soil minerals and their masses are denoted as  $m_{om}$  and  $m_m$ , 7 respectively. Liquids (m<sub>i</sub>) include soil water and Tung oil, their masses are 8 denoted as m<sub>w</sub> and m<sub>to</sub>, respectively. As a natural soil with organic matter and 9 water content was used, the mass changes of Tung oil only was calculated by 10 deducting the masses of soil organic matter and soil water. To achieve this, 11 testing was conducted in specimens with Tung oil and without Tung oil, i.e. on 12 a control specimen subjected to the same testing procedure. The control 13 specimen was used to obtain the changes of soil organic matter and soil water 14 while the Tung oil treated specimen was to obtain the total mass loss. It is 15 assumed that the soil organic matter and soil water loss is the same for the 16 control and Tung oil treated specimens. The mass change of Tung oil  $\Delta m_{to}$ 17 during heating was obtained by Equation (5):

18

 $\begin{array}{ll}
19 \quad \Delta m_{to} = \Delta m - \Delta m_{w} - \Delta m_{om} \\
20
\end{array}$ (5)

1 whore

21 where  $\Delta m$  = total mass loss (g),  $\Delta m_w$  = soil water mass loss (g); and  $\Delta m_{om}$  = 22 soil organic matter mass loss (g).

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- 24

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3. Effects of Tung oil treatment

- 3 3.1 Water repellency
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5 The variations of CA and WDPT of both untreated (concentration of Tung oil -6  $C_{to}=0\%$ ) and treated CDG with increasing  $C_{to}$  from 1% to 15% are shown in 7 Figure 2. Both CA and WDPT increase with C<sub>to</sub>, indicating that Tung oil induces 8 water repellency. The CA of untreated wettable CDG was 52° (±2.81°). It was 9 increased to approximately 90° by addition of 1% of Tung oil, and to 10 approximately 124° by increasing C<sub>to</sub> to 15%. The WDPT of untreated wettable 11 CDG was < 5 s. With addition of 1% of Tung oil, there was no change in the 12 WDPT although the CA was increaseed to ~90°. The increases in WDPT were 13 proportional to  $C_{to}$  from 2% to 10% in a logarithmic scale, followed by an 14 increase from approximately 1400 s at Cto=10% to approximately 3,600 s at 15 C<sub>to</sub>=15%.

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# 17 3.2 Aggregate size, water stability and tensile strength

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The SEM images of treated and untreated CDG specimens are shown in 19 20 Figure 3. Aggregation in the form of bonding of particles was observed with the 21 addition of Tung oil to the soil and after a 3-day drying period. A sand particle 22 (1.5 - 2 mm) covered with fines is shown in Figure 3 (a1). Surface details 23 suggest the presence of clay (plate size ~ 2  $\mu$ m), supported by XRD that 24 revealed 43% kaolin as shown in Figure 3 (a3). The same material treated with 25  $C_{to}$ =15% is shown in Figure 3 (b1). With increasing magnification, a film 26 (assumed to be Tung oil) covering the whole surface is shown in Figure 3 (b2) 27 and Figure 3 (5b3).

1 The aggregation process revealed by aggregate size analysis is illustrated in 2 Figure 4. The results of the ASD on untreated ( $C_{to}=0\%$ ) and treated CDG at 3 different concentrations (1% to 15%) are shown in Figure 4(a). In general, the 4 ASD curves shift towards larger aggregate sizes, indicating the aggregate size 5 increases with C<sub>to</sub>. The changes in B<sub>P</sub> and fines content due to the addition of 6 Tung oil are shown in Figure 4(b). It is shown that  $B_p$  increases with  $C_{to}$ .  $B_P$  of 7 untreated CDG ( $C_{to}=0\%$ ) was 2.21. At  $C_{to} = 1 - 2\%$  there were very limited 8 changes in B<sub>P</sub> i.e. 2.27 and 2.19, respectively, followed by an increase to 2.76 9 at  $C_{to}$  = 5%. Fines content decreased continuously from 36% at  $C_{to}$  = 0% to 0% 10 at  $C_{to} = 15\%$ , suggesting particle bonding. The increase in aggregate size of 11 the CDG by Tung oil treatment observed from the ASD curves, as quantified by 12  $B_p$  and fines content, provides evidence for aggregation. The phenomenon is 13 also supported by observations in the SEM images.

Aggregate water stability ( $AS_{60}$  and  $T_0$ ) of treated and untreated CDG was increased by the addition of Tung oil, are tabulated in Table 2. As shown in Figure 5(a), the untreated CDG ( $C_{to} = 0\%$ ) disaggregated in water shortly after immersion. However, the aggregates with small concentrations of Tung oil ( $C_{to}$ = 1 - 2%) were stable in water. At  $C_{to} = 5\%$  and higher, no disaggregation or slaking was observed after 3,600 s, i.e.  $AS_{60} = 0\%$  (Figure 5(b)).

20 Aggregate tensile strength measurements revealed two patterns of 21 stress-strain curves (Figure 6(a)). Aggregates can be either ductile or brittle 22 (Dexter, 1985). Pattern 1 suggests a softer aggregate (possibly with more fines) 23 with the aggregate flattening during the compression. In pattern 2 a sharp drop 24 in stress occurs, suggesting a stiffer aggregate (possibly with fewer fines) 25 failing by brittle fracture. The aggregate tensile strength as shown in Figure 6(b) is determined from the peak strength. Clean sand grains are stiff and the peak 26 27 strength cannot be measured due to the limited capacity of the load cell.

1 Aggregate tensile strength T<sub>S</sub> increased with Tung oil concentration C<sub>to</sub> (Figure 2 6(b)). For the untreated CDG ( $C_{to} = 0\%$ ),  $T_S = 51.9$  kPa which remained 3 approximately constant from 1% to 2%, i.e.65.3 kPa and 52.5 kPa at 1% and 4 2%, respectively. These values are comparable to those obtained in other 5 studies, e.g. 63 - 89 kPa in Munkholm et al. (2016); 59 - 175 kPa in Stumpf et 6 al. (2018). For  $C_{to} = 5\%$ , Tung oil enhances the aggregate tensile strength of 7 CDG from ~50kPa to 238.3 kPa. At higher concentrations of Tung oil, the Ts 8 increases to 925.2 kPa at  $C_{to}$  = 10% and remained stable at  $C_{to}$  = 15%, i.e. 9 925.6 kPa. The tensile strength standard deviation (30~40%) was due to the 10 variation of the aggregate size, shape and composition, which was 11 comparable to reported values by similar compression apparatus and testing 12 procedures (25~40%) (e.g. Obaluma et al., 2019; Munkholm et al., 2016). 13 These results reveal that the addition of Tung oil not only improves aggregate 14 water stability, but also increases aggregate tensile strength.

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# 16 4. Effects of heating

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18 4.1 Water repellency

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20 The effects of heating (i.e. temperature from 50°C to 300°C and duration from 21 0.5 to 5 hours) on the CAs at increasing  $C_{to}$  (0% to 15%) are shown in Figure 7. 22 At heating durations of 0.5 to 3 hours, CA curves shifts upwards with increases 23 in  $C_{to}$  as shown in Figures 7(a), 7(b) and 7(c), indicating that heating enhanced 24 water repellency. At 50 - 100°C, CAs were around 100° at  $C_{to}$  = 1%, followed 25 by an increase to approximately  $120^{\circ}$  at  $C_{to} = 10\%$ . However, a longer heating 26 duration of 5 hours increased soil water repellency up to a threshold 27 temperature. As shown in Figure 7(d), the CAs of treated CDG reach the 28 maximum after heating at 150°C for 5 hours to approximately 115 - 120° at

1  $C_{to}$ = 1 - 2% and 120 - 125° at higher  $C_{to}$  of 5 - 15%. This was followed by a 2 decrease of CAs from heating temperature of 200-300°C. At low 3 concentrations  $C_{to}$  = 1 - 2%, the CAs reduce to 80 - 100° at heating 4 temperatures of 200 - 250°C and approximately 20° at 300°C, respectively. At 5 higher concentrations of  $C_{to}$  = 5 - 15%, CAs also reveal a reduction but 6 remain >90° after heating in the range of 200 - 300°C, indicating that soils 7 were still water repellent.

8 The effects of heating on the persistency of water repellency, i.e. WDPT, are 9 shown in Figure 8. At heating durations of 0.5 to 3 hours, the C<sub>to</sub> versus WDPT 10 curves shift upwards as shown in Figures 8(a), 8(b) and 8(c), indicating that 11 heating enhances soil water repellency. At the longer heating duration of 5 12 hours, WDPT increased with temperature from 50 to 250°C, followed by a 13 reduction at 300°C as shown in Figure 8(d). The variation of WDPTs

14 was consistent with the variation of CAs.

A linear correlation between the soil organic matter and the logarithmic WDPT value has been demonstrated in soil science for natural water repellent soils (McKissock *et al.*, 1998; Mataix-Solera & Doerr, 2004; Täumer *et al.*, 2005). In this study, this correlation is applied to quantify the effects of Tung oil and heating on soil water repellency. A regression line was fit through each of the WDPT/Tung oil concentration curves to determine their gradients, E<sub>A</sub> using Equation (6):

22

$$23 E_A = \frac{\log(WDPT) - B}{C_{to}} (6)$$

where  $E_A$  = gradient of the regression line,  $C_{to}$  = Tung oil concentration by mass; and B = a fitting coefficient. A larger  $E_A$  indicates Tung oil is more effective at inducing water repellency. The results for  $E_A$  are tabulated in Table

1 3. The gradient increases with the heating temperature as shown in Figure 9. 2 At 0.5-hour heating duration, the gradient was similar in a range of 50 - 150°C. 3 At the heating temperature of 200°C, the gradient increased to approximately 4 0.7 and remained stable at higher temperatures of 250 - 300°C. At 1 - 3-hour 5 heating duration, the gradient increased steadily from approximately 0.26 at 6 50°C to approximately 0.9 at 300°C. The curves for 1-hour and 3-hour heating 7 durations overlap each other, suggesting that heating causes a similar water 8 repellency. For a duration of 5 hours, the gradient was similar in the range 50 -9 200°C as those in 1 - 3-hour heating durations. However, at high temperatures 10 of 250 - 300°C, the gradient decreased to 0.41 - 0.47.

11

# 12 4.2 Aggregate size, water stability and tensile strength

13

The effects of heating on the Hardin index ( $B_P$ ) are depicted in Figure 10. The results reveal that  $B_P$  was stable at temperatures from 50 - 100°C and decreased at higher temperatures of 150 - 300°C. As for Tung oil concentration,  $B_P$  at  $C_{to}$ = 0 - 2% was 2.0 - 2.4 and not influenced by heating. At higher Tung oil concentrations of 5 - 15%,  $B_P$  decreased from 2.6 - 2.7 at 50 -100°C to 2.2 - 2.3 at 150 - 300°C. However, the heating duration did not influence  $B_P$ .

The results of aggregate water stability ( $AS_{60}$  and  $T_0$ ) of CDG with  $C_{to} = 0 - 15\%$ under different heating conditions are tabulated in Table 2, indicating that the water stability was not affected by heating.

The aggregate tensile strengths with  $C_{to} = 15\%$  under different heating conditions are compared in Figure 11. The results reveal that the aggregate tensile strength was stable in a range of 50 - 150°C. However, at the high temperature of 300°C, T<sub>s</sub> can be influenced by heating duration. For unheated

1 CDG,  $T_S = 925.6$  kPa. Heating at 50°C did not change  $T_S$  significantly, i.e. 2 955.6kPa for 0.5 hour and 909.8 kPa for 5 hours, respectively. When heated at 3 150°C,  $T_S$  increased slightly to 967.2 kPa for 0.5 hour and to 972.2 for 5 hours. 4 When heated at 300°C,  $T_S$  increased to 1133.3 kPa for 0.5 hours but reduced 5 to 925.6 kPa for 5 hours.

6

7 4.3 Tung oil loss

8

9 To ascertain the influence of the Tung oil loss with heating and time, and its
10 possible effects to wettability, the average mass change of Tung oil (ΔTO) in
11 percentage at six different initial Tung oil concentrations was determined by:

12

13 
$$\Delta TO = (\sum \frac{\Delta m_{to}}{m_0 \times C_{to}} \times 100\%)/6$$
 (7)

14

where  $\Delta TO$  = average mass change of Tung oil at six different initial Tung oil concentrations from 1% to 15%;  $\Delta m_{to}$  = mass change of Tung oil for each specimen;  $C_{to}$  = the initial Tung oil concentration of the specimen; and  $m_0$  = mass of CDG equal to 10.00g. It is assumed that all treated CDG specimens were subjected to the same SOM and soil water change as untreated CDG.

As shown in Figure 12, ΔTO increased with heating temperature and duration.
In a range of 50 - 150°C, the average mass loss of Tung oil was smaller than 5%
10%. In this range, the heating duration had no influence on the change of
Tung oil mass. While at higher temperatures of 200 - 300°C, the average mass
loss was larger and increased with heating duration, i.e. 2.5% - 14% for
0.5-hour heating duration, 6% - 24% for 1-hour heating duration and 7% - 28%
for 3 - 5-hour durations.

- The decrease of ΔTO in the range 50 150°C could result from the evaporation
   of VOCs in Tung oil. At heating temperatures higher than 150°C, the Tung oil
   may be subjected to oxidation, evaporation and chemical changes.
- 4

5 4.4 FTIR Results

6

7 The spectrum of the untreated CDG (the mineral content) is shown in Figure 13(a). Absorption in the 3750–3570 cm<sup>-1</sup> region is representative of the 8 hydroxyl (O-H) stretching vibrations of kaolinite (McKissock et al., 2003; Saikia 9 & Parthasarathy, 2010). The bands at 1100 cm<sup>-1</sup>, 1035 cm<sup>-1</sup> and 913 cm<sup>-1</sup> are 10 11 attributed to symmetric Si-O out-of-plane stretching, in-plane Si-O stretching 12 and O-H deformation of kaolinite, respectively (Linker et al., 2005). The double peaks of 797 and 780 cm<sup>-1</sup> are the fingerprint regions of guartz. However, 13 14 only the absorption of 797 cm<sup>-1</sup> can be identified in the spectrum. Hematite is identified by two peaks at 540 cm<sup>-1</sup> and 471 cm<sup>-1</sup> (Salama *et al.*, 2015). 15

The spectrum of Tung oil shown in Figure 13(b) reveals water repellent 16 functional groups. The presence of 3012 cm<sup>-1</sup> is representative of the C-H 17 stretching vibrations of the alkenyl group. The peaks at 2926 cm<sup>-1</sup> and 2850 18 cm<sup>-1</sup> are the symmetric and asymmetric C-H stretching vibration of the methyl 19 20 group (Schönemann & Edwards, 2011), which were mostly produced by 21  $\alpha$ -eleostearic acid (representing ~80% of the Tung oil). The most intense peak 22 observed in the spectrum was 1745 cm<sup>-1</sup>, confirming the presence of 23 hydrogen-bonded carboxyl groups (Schönemann & Edwards, 2011). The absorptions of 992 cm<sup>-1</sup> and 965 cm<sup>-1</sup> are ascribed to the C=C stretching of the 24 25 conjugate alkenyl groups of  $\alpha$ -eleostearic acid. The peak at 728 cm<sup>-1</sup> also corresponds to C-H bending vibration of the cis-configuration of the alkenyl 26 27 group.

1 An FTIR analysis was conducted in Tung oil treated CDG in both unheated 2 and heated states (150 and 300°C for 5 hours) as shown in Figure 13(c). The 3 results reveal a change of the functional groups in the Tung oil due to the 4 exposure to elevated temperature while no change of the mineral content was observed, as the fingerprint regions of kaolinite and guartz remain the same. 5 The treated CDG reveals adsorption at 1623 cm<sup>-1</sup>, representative of the C-O 6 7 stretching vibration of COO- (Celi et al., 1997) after heating. The band at 1745 cm<sup>-1</sup> vanishes after heating to a temperature from 150 to 300°C, indicating the 8 9 reaction of carboxyl groups (COOH) in Tung oil and metal oxide groups in CDG after heating. Change of spectra in the 3400 cm<sup>-1</sup> band is often due to the 10 11 contamination of the specimen by water during the FTIR analysis (Kaiser and 12 Ellerbrock, 2005). The results of FTIR analysis confirm that Tong oil partly 13 reacts with CDG after heating at 150°C for 5 hours and Tong oil totally reacts 14 with CDG and forms a coordination bond with CDG after heating at 300°C for 5 15 hours.

16

#### 17 5. Discussion

18

20

Water repellency of CDG was induced by the addition of Tung oil as shown in
Figures 2. As described in Section 2, over the 3-day drying period, Tung oil
would have hardened in four stages (Wexler, 1964) with high and persistent
water repellency developed in the last stage (polymerization).

Heating can increase or decrease soil water repellency depending on the
heating temperature and duration. The enhancement and reduction of water
repellency can be explained by these mechanisms:

<sup>19 5.1</sup> Water repellency development

Melting of organic compounds: Savage *et al.* (1972) suggested that
 heating could melt the solid organic compounds, resulting in a continuous
 coating on sand particles (Laskowski & Kitchener, 1969; Ma'shum *et al.*,
 1988) which enlarge the water repellent regions and increase water
 repellency.

6 (2) Isomerization of cis-unsaturated to trans-unsaturated acids: the bent
7 hydrocarbon chains in the α-eleostearic acids of Tung oil can isomerize to
8 all-trans octadecatrienoic acids, i.e. β-eleostearic acids, which straighten
9 during heating as shown in Figure 14(a). Mainwaring *et al.* (2013)
10 suggested that the straight hydrocarbon chains pack more efficiently, in
11 comparison with the bent chains, leading to enhanced water repellency.

12 Orientation change of molecules on soil particle surfaces: FTIR anslysis (3) 13 detected a change from COOH to COO in Tung oil and reaction with 14 metal oxide groups in CDG during heating, which may suggest a change 15 in the water repellency magnitude. To illustrate mechanisms (2) and (3), 16 the isomerization of cis-trans fatty acid molecules (bent hydrocarbon 17 chains to straight hydrocarbon chains) and the change of headgroups (COOH to COO) is shown in Figure 14(a); and the interaction between 18 COO and the particle surfaces is shown in Figure 14(b), with the 19 20 molecules arrayed at the soil surface providing water repellency (Doerr et 21 al., 2000; Graber et al., 2009).

(4) Combustion or evaporation of Tung oil: the decrease in water repellency
under extreme heating conditions, i.e.250 - 300°C for 5 hours, can be due
to the oxidation of Tung oil, which occurs at 275 - 350°C with the
oxidation process lasting for more than 1 hour (Fuller, 1931), or the
elimination of the water repellent C-H groups, i.e. 2969 and 2850 cm<sup>-1</sup> in
the FTIR spectrum shown in Figure 13(c). Moreover, the mass analysis

presented in Section 6.4 indicates that under these extreme heating
 conditions, the average loss of Tung oil was greater than 25% as shown
 in Figure 12 which may reduce or discontinue the oil films exposing the
 wettable mineral surfaces.

5

### 6 5.2 Aggregation

7

8 Both soil aggregate water stability and tensile strength were enhanced by the 9 addition of Tung oil. The improved aggregate water stability can be explained 10 by the presence of water repellency, which blocks water penetration into the 11 pores, while the increased aggregate tensile strength can be attributed to the 12 Tung oil hardening and bonding. Kořenková & Matúš (2015) revealed a 13 positive correlation between soil water stability and the severity of water 14 repellency, while Goebel et al. (2005) revealed that water repellent conditions 15 on aggregate surfaces could slow the mineralization of soil organic matter and 16 enhance the water stability of aggregates.

Heating had no influence on aggregate water stability. The extreme water repellency may have inhibited the water penetration and slaking. Even under extreme heating conditions, i.e. 250 - 300°C for 5 hours, where a reduction in water repellency was likely, the CAs were still greater than 90° and the WDPTs were still longer than 14400 s for a Tung oil concentration higher than 5%.

For the aggregate tensile strength, heating at 50°C induced limited effect on the  $T_S$  while at 150°C the strength increased slightly with duration as shown in Figure 11. These slight variations of  $T_S$  at low temperatures of 50 - 150°C may be attributed to the varying ambient temperature (~25°C) and relative humidity (60 - 75%). After heating at 300°C, the increase in  $T_S$  after a short heating duration of 0.5 hour could result from the thermal polymerization of Tung oil,

- 1 while the decrease in  $T_S$  after a long heating duration of 5 hours might be due
- 2 to the Tung oil loss as shown in Figure 12.
- 3

# 4 6. Conclusions

5

6 This study proposes a novel method to induce water repellency in wettable 7 CDG by mixing with Tung oil followed by heating. By analyzing the influence of 8 Tung oil concentration, and heating temperature and duration, on soil water 9 repellency, soil aggregate size, aggregate water stability and aggregate tensile 10 strength, the following conclusions have been drawn:

- 11 (1) Tung oil can develop high and persistent water repellency in natural
  wettable CDG. Using a relative high concentration of Tung oil, both
  severity and persistence of water repellency as measured by CA and
  WDPT were improved, as a result of the formation of water repellent oil
  films covering soil particle surfaces.
- 16 (2) Heating can enhance water repellency up to a temperature threshold and
  17 depending on the heating duration. The positive effect of heating towards
  18 water repellency could result from (a) melting of organic compounds; (b)
  19 isomerization of cis-to-trans unsaturated acids; and (c) orientation change
  20 of fatty acid molecules; while diminishing water repellency with heating
  21 results from Tung oil loss.
- (3) The addition of Tung oil to CDG results in soil aggregation caused by
   particle bonding, while heating at high temperatures of 150 300°C
   afterwards results in the breakdown of soil aggregates.
- (4) The addition of Tung oil enhances aggregate water stability and
  increases aggregate tensile strength. Improved aggregate water stability
  could be a result of water repellency which prevents water penetration.
  The increase in aggregate tensile strength could be attributed to the Tung
  oil bonding among soil particles and subsequent hardening of Tung oil.

(5) Heating shows no influence on aggregate water stability, as the aggregates are still water repellent after heating, preventing water penetration and inhibiting their slaking. The aggregate tensile strength depends on the heating temperature its duration. Up to 150°C, it remained stable. At 300°C, T<sub>S</sub> increased after 0.5-hour heating, possibly due to thermal polymerization but decreased after 5.0-hour heating possibly due to Tung oil loss.

With a cost at 7 US\$/liter (comparing to 106 US\$/liter for DMDCS), Tung 8 (6) 9 oil is a cost-effective solution to induce water repellency in soils. If unheated, its negligible CO<sub>2</sub> consumption will contribute towards the 10 11 sustainability of engineering projects. Tung-oil treated soils will find 12 applications in barriers to control infiltration due to their high and stable 13 water repellency. Aggregation may also translate into benefits in erosion 14 control. Benefits to other applications also include increase in shear 15 strength due to a higher cohesion (Fattet et al., 2011). While its durability 16 has not been investigated, its water immersion stability and increase in 17 aggregate tensile strength suggest that it may be environmentally stable 18 and long-lasting. Shogren et al. (2004) evaluated the degradation of 19 selected vegetation oils. Their results revealed that only approximately 10% 20 of Tung oil degraded after a 30-day accelerated aging test, suggesting 21 the mineralization of Tung oil was slower than those of other vegetation 22 oils such as soy and linseed oil.

23

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25

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<ol> <li>16</li> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> <li>23</li> <li>24</li> <li>25</li> </ol>	<ul> <li>doi:10.1061/(ASCE)0733-9410(1985)111:10(1177)</li> <li>Hong Kong 2018-19 Budget, (2018). 2018-19 Budget reports for the Hong Kong. <u>https://www.budget.gov.hk/2018/eng/estimates.html</u> (accessed 09 April 2019)</li> <li>Jiménez-Pinilla, P., Doerr, S.H., Ahn, S., Lozano, E., Mataix-Solera, J., Jordán, A., Zavala, L.M., &amp; Arcenegui, V., (2016). Effects of relative humidity on the water repellency of fire-affected soils. <i>Catena, 138,</i> 68-76. doi:10.1016/j.catena.2015.11.012</li> <li>Kaiser, M., &amp; Ellerbrock, R.H. (2005). Functional characterization of soil organic matter fractions different in solubility originating from a long-term</li> </ul>

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# **Tables and figures**

	Table 1.	Properties of	Completely	Decomposed Granite
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Moisture		Specific	Atterbe	rg limits	Particle size distribution			Mineral Compound Concentration				
content	matter content	gravity	Liquid	Plastic	Clay	Silt	Sand	Gravel	Quartz	Kaolin	Illite	Gibbsite
(%)	(%)	<u> </u>	limit	limit	(%)	(%)	(%)	(%)	(%)	(%)	(%)	(%)
1.5-1.7	4.4-4.8	2.68	44	28	18	17	49	16	46	43	6	5

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Heating Condition Concentration (%)						5)	8								
Temperature	Duration	0		1		2		5		8		10		15	
(°C)	(hour)	AS <sub>60</sub>	T <sub>0</sub>	AS <sub>60</sub>	To										
25 (Control)	-	0%	0s	0%	0s	0%	5s	83%	-s	100%	-S	100%	-S	67%	1500 s
50	0.5	0%	0s	0%	5s	0%	10s	100%	-s	100%	-S	100%	-S	100%	-s
50	5	0%	0s	0%	0s	0%	30s	100%	-S	100%	-S	100%	-S	100%	-S
450	0.5	0%	0s	0%	0s	0%	10s	100%	-S	83%	1800 s	100%	-S	100%	-S
150	5	0%	0s	0%	5s	0%	60s	100%	-S	100%	-S	83%	2400 s	100%	-S
300	0.5	0%	0s	0%	10s	0%	10s	67%	1620 s	100%	-S	100%	-S	100%	-S
	5	0%	0s	0%	0s	0%	10s	100%	-S	100%	-S	100%	-S	100%	-S

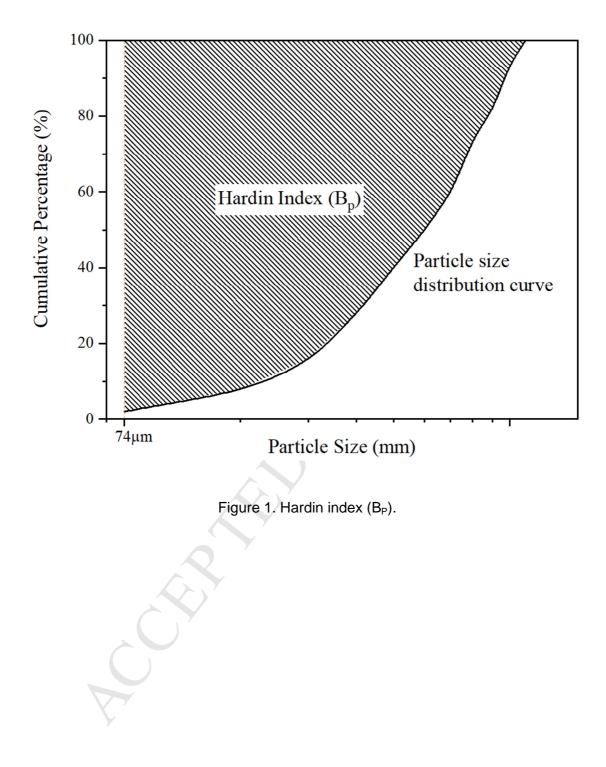
Table 2. Aggregate water stability ( $AS_{60}$ ) and breakdown time ( $T_0$ ) at different concentrations and heating conditions.

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Table 3. Gradient E<sub>A</sub>at different heating conditions.

Т	0.5-	hour	1.0-	hour	3.0-	nour	5.0-hour		
(°C)	E <sub>A</sub>	R <sup>2</sup>	E <sub>A</sub>	R <sup>2</sup>	EA	R <sup>2</sup>	E <sub>A</sub>	$R^2$	
50	0.2621	0.9327	0.2698	0.9146	0.2773	0.9319	0.2822	0.9512	
100	0.2602	0.9405	0.3093	0.8436	0.3107	0.9757	0.2945	0.8971	
150	0.2647	0.7876	0.415	0.9778	0.4192	0.9463	0.3714	0.9256	
200	0.7038	0.9619	0.5185	0.8431	0.4497	0.9473	0.6412	0.9205	
250	0.7333	0.8347	0.6942	0.8338	0.7664	0.9702	0.4098	0.794	
300	0.7371	0.773	0.9155	0.7796	0.9081	0.8622	0.4686	0.9567	

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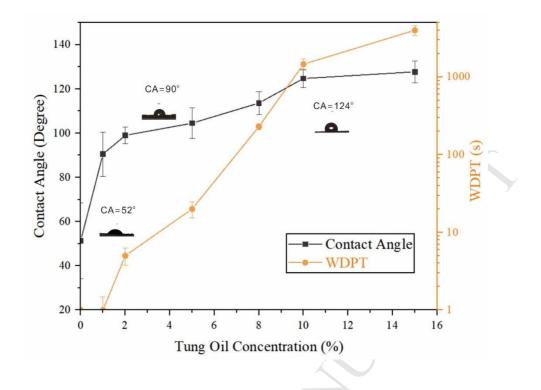


Figure 2. Contact angles and water drop penetration time for treated completely decomposed granite for different Tung oil concentrations.

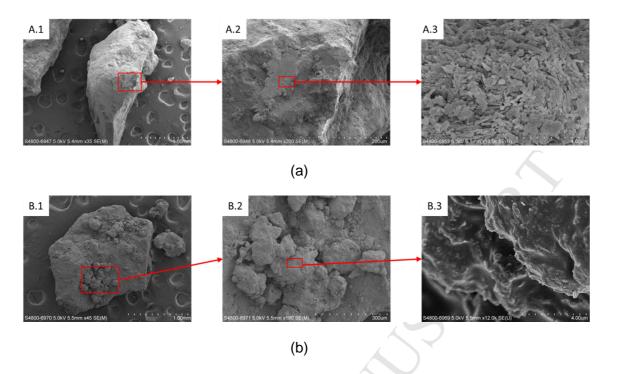


Figure 3. SEM images of (a) untreated and (b) treated completely decomposed granite (15% of concentration and unheated) at different scales (dashed bar), (A.1 and B.1) 1.00 mm, (A.2 and B.2) 300  $\mu$ m, (A.3 and B.3) 4.00  $\mu$ m.

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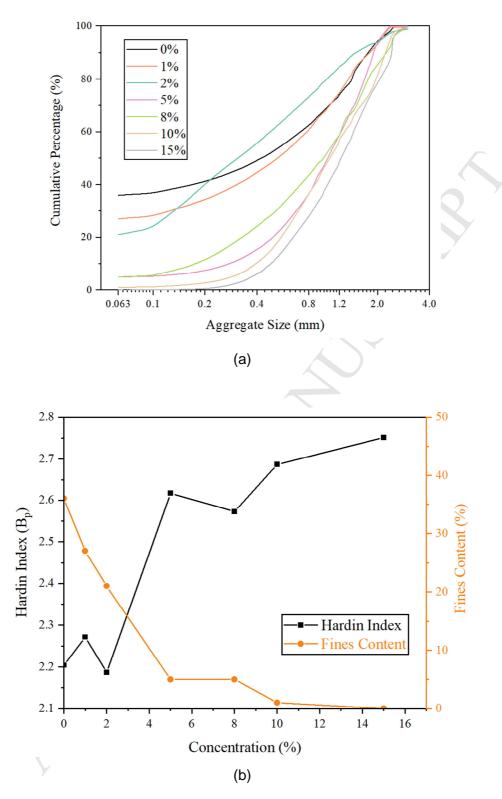
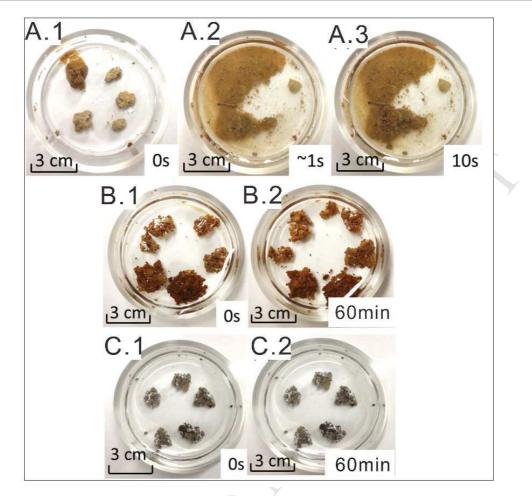
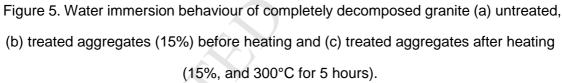


Figure 4. Soil aggregation at increasing Tung oil concentrations (unheated) represented by (a) Aggregate Size Distribution; and (b) Hardin index and fines content.





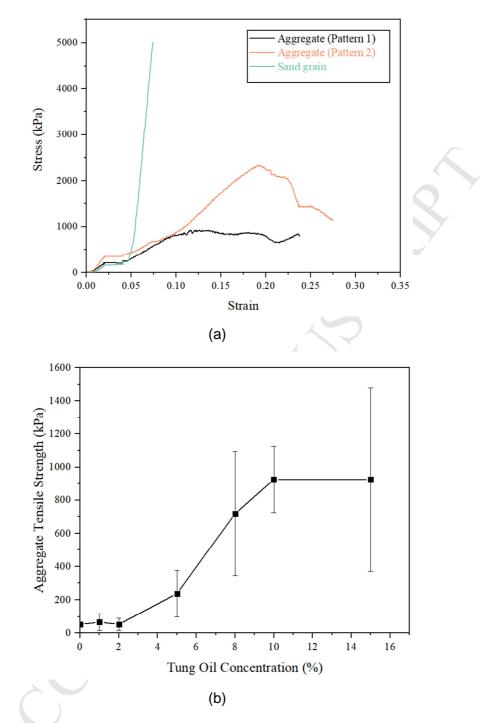


Figure 6. Aggregate tensile strength of treated completely decomposed granite (unheated) (a) stress-strain curves of aggregates with 15% Tung oil concentration and a sand grain (pattern 1 = softer aggregate; pattern 2 = stiffer aggregate; and (b) concentration effect (error bar stands for standard deviation).

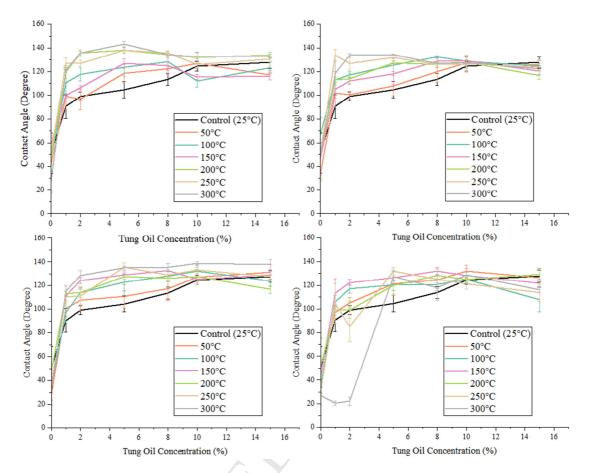
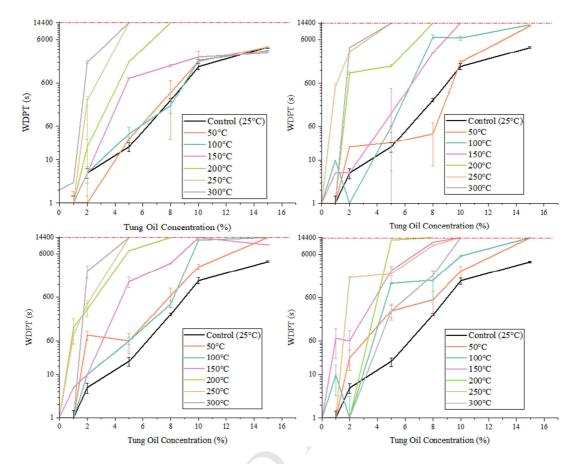
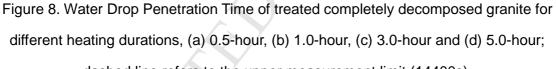


Figure 7. Contact angles of treated completely decomposed granite for different heating durations (a) 0.5-hour, (b) 1.0-hour, (c) 3.0-hour and (d) 5.0-hour durations.





dashed line refers to the upper measurement limit (14400s).

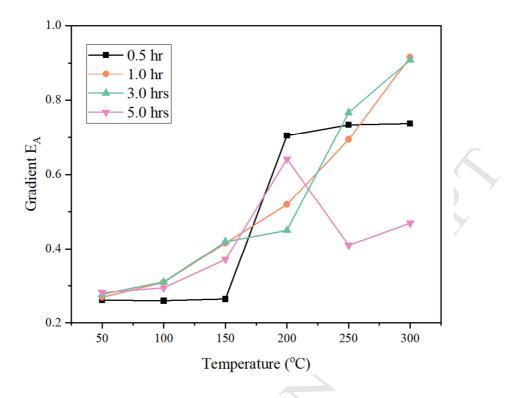


Figure 9. Gradient  $E_A$  for different heating durations.

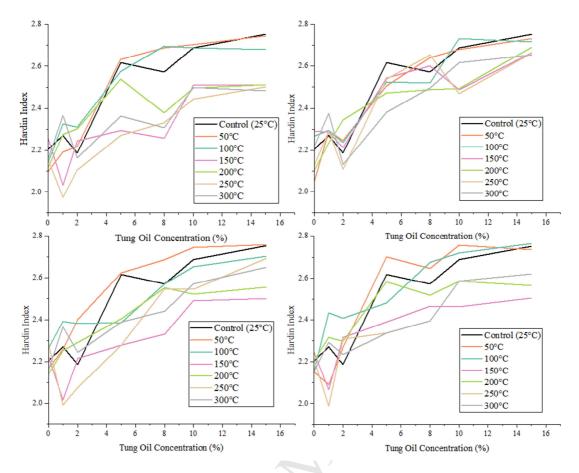
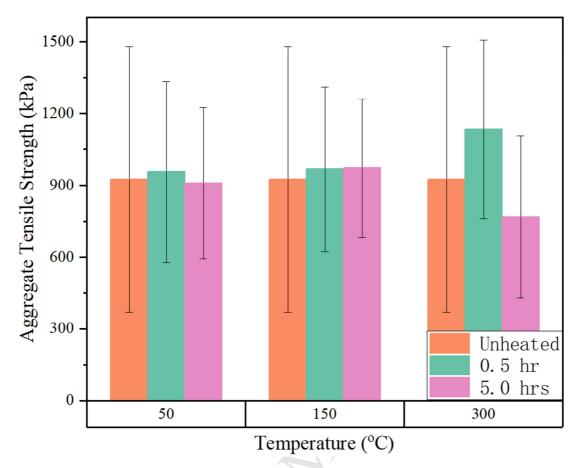
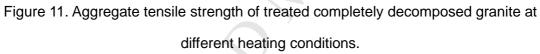


Figure 10. Hardin index of treated completely decomposed granite for different heating durations (a) 0.5-hour, (b) 1.0-hour, (c) 3.0-hour and (d) 5.0-hour durations.





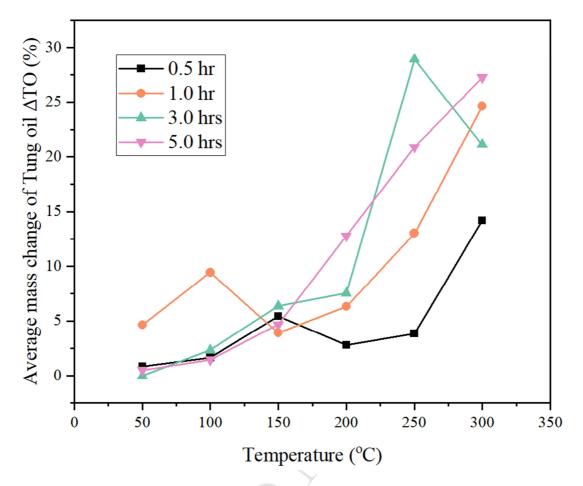
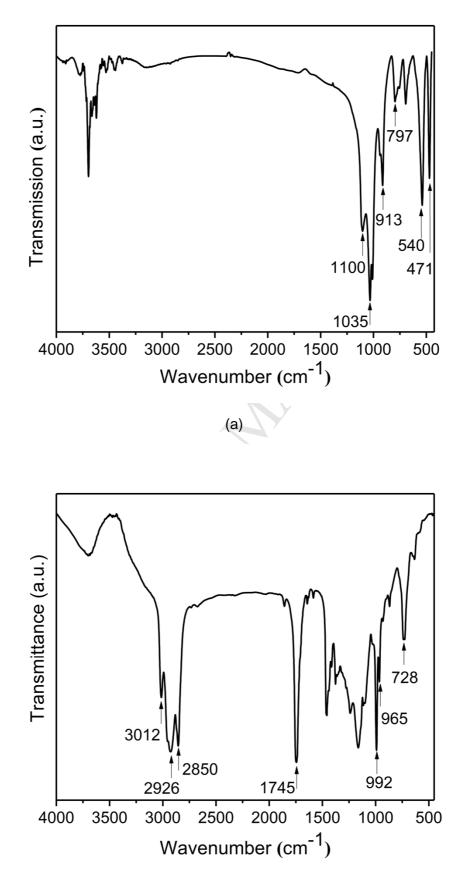
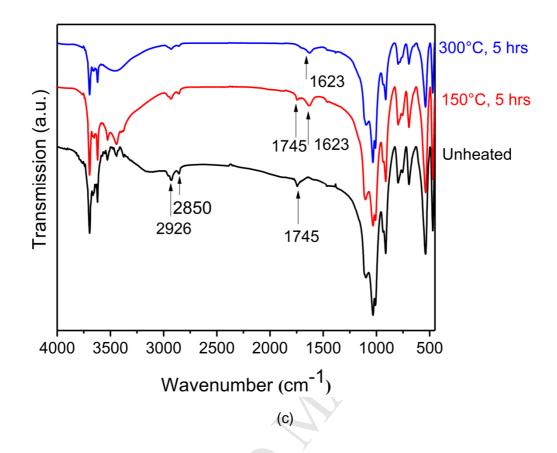
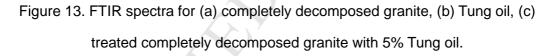


Figure 12. Average loss of Tung oil of treated completely decomposed granite with 1 - 15% of concentrations for different heating conditions.







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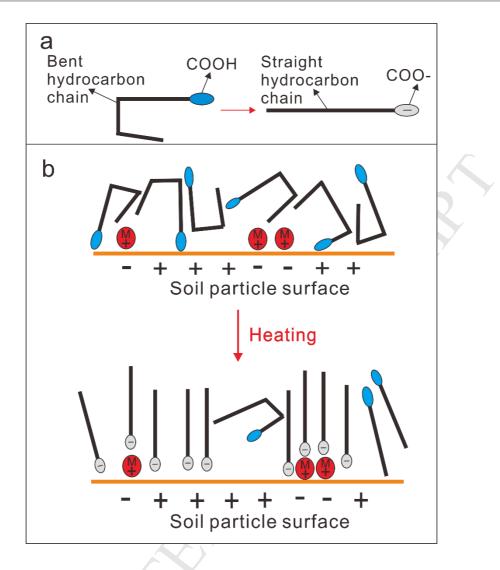


Figure 14. Molecular rearrangements at the particle surface (a) cis-trans isomerization and conversion of COOH to COO<sup>-</sup> and (b) orientation change of molecules on the

particle surface.

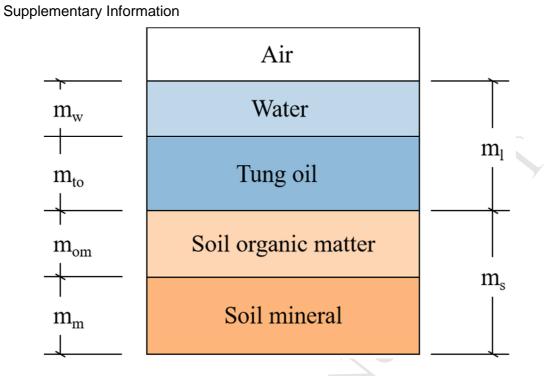


Figure 1. Idealized representation of Tung oil-treated completely decomposed granite in three phases: air, liquid (Tung oil and water) and solid (soil organic matter and soil mineral).

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