

1 The Role of Lexical Tone Information in the Recognition of Mandarin Sentences in Listeners
2 with Hearing Aids
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39 **Abstract**

40 **Objectives:** Lexical tone information provides redundant cues for the recognition of Mandarin
41 sentences in listeners with normal hearing in quiet conditions. The contribution of lexical tones to
42 Mandarin sentence recognition in listeners with hearing aids (HAs) is unclear. This study aimed
43 to remove lexical tone information and examine the effects on Mandarin sentence intelligibility in
44 HA users. The second objective was to investigate the contribution of cognitive abilities (i.e.,
45 general cognitive ability, working memory, and attention) on Mandarin sentence perception when
46 the presentation of lexical tone information was mismatched.

47 **Design:** A text-to-speech synthesis engine was used to manipulate Mandarin sentences into 3 test
48 conditions: 1) a Normal Tone test condition, where no alterations were made to lexical tones within
49 sentences; 2) a Flat Tone test condition, where lexical tones were all changed into Tone 1 (i.e., the
50 flat tone); and 3) a Random Tone test condition, where each word in test sentences was randomly
51 assigned 1 of 4 Mandarin lexical tones. The manipulated sentence signals were presented to 32
52 listeners with hearing aids in both quiet and noisy environments at an 8 dB signal-to-noise ratio.

53 **Results:** Speech intelligibility was reduced significantly (by approximately 40 percentage points)
54 in the presence of mismatched lexical tone information in both quiet and noise. The difficulty in
55 correctly identifying sentences with mismatched lexical tones among adults with hearing loss was
56 significantly greater than that of adults with normal hearing. Cognitive function was not
57 significantly related to a decline in speech recognition scores.

58 **Conclusions:** Contextual and other phonemic cues (i.e., consonants and vowels) are inadequate
59 for HA users to perceive sentences with mismatched lexical tone contours in quiet or noise. Also,
60 HA users with better cognitive function could not compensate for the loss of lexical tone

61 information. These results highlight the importance of accurately representing lexical tone
62 information for Mandarin speakers using HAs.

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INTRODUCTION

65 Pitch can express emotions and serve a variety of paralinguistic functions, such as marking
66 emphasis and phrase boundaries in nontonal languages (e.g., English). In tonal languages (e.g.,
67 Mandarin), pitch also has lexical importance—it is used to distinguish words, analogous to
68 consonants and vowels. Based on pitch pattern, the four Mandarin lexical tones can be
69 characterized as follows: Tone 1 (flat and high), Tone 2 (rising), Tone 3 (low and dipping), and
70 Tone 4 (falling) (Howie 1976). These pitch patterns result in different meanings despite being
71 phonetically identical. For example, the syllable, /fa/ in Tone 3 means ‘law (法)’, and ‘hair (发)’
72 in Tone 4 (Y. Chen et al. 2014).

73 **The importance of lexical tone information**

74 The role of the lexical tone in Chinese speech perception has recently become a research topic
75 of interest. Patel (2010) investigated the intelligibility of monotone (i.e., flattening F0) Mandarin
76 sentences and suggested that flattening the F0 contour of Mandarin sentences did not significantly
77 affect the intelligibility of sentences in quiet. However, speech understanding was significantly
78 affected when babble noise was introduced at the 0-dB signal-to-noise ratio (S/N) (i.e., 60%
79 intelligibility with flat-F0 sentences versus 80% intelligibility with natural sentences). Thus, F0
80 information seems to be redundant for Mandarin sentence perception in quiet. This may be
81 partially attributable to the influence of top-down processing on perceptual organization. That is,
82 listeners with normal hearing (NH) may employ top-down processing of contextual cues to

83 compensate for the absence of F0 information. This assumption is supported by Wang et al. (2013)
84 who studied the role of context on the perception of sentences with flat-F0 speech. They found
85 that intelligibility in both quiet and noise was significantly poorer when contextual cues were
86 removed. They also reported that flattening F0 contours did not reduce sentence intelligibility in
87 quiet but made a significant difference in noise.

88 However, these studies examined the role of F0 on Mandarin sentence perception, rather than
89 the contribution of tone information to sentence perception, as only primary cues (i.e., F0 height
90 and contours) were removed while secondary cues (i.e., temporal and spectral envelopes) critical
91 for the recognition of tones remained unchanged in these studies. Thus, listeners were able to use
92 secondary cues for tone perception, in addition to primary intrinsic cues (Xu and Zhou, 2011).
93 For example, Kuo et al. (2008) studied the contributions of F0, amplitude envelope, and tone
94 duration for the perception of Mandarin tonal contrasts. They observed that the amplitude envelope
95 partly contributed to tone recognition while tone duration only had a marginal effect in the absence
96 of explicit F0 since differences in duration among the 4 Mandarin tones are miniscule in connected
97 speech as shown in Yang et al. (2017). The unique contribution of an amplitude envelope may be
98 due to the correlation between F0 and amplitude contours. Similarly, Liang (1963) found that
99 Mandarin Chinese tone perception was fairly good (i.e., 60–70%) when F0 information was absent,
100 while the spectral envelope was preserved. Kong & Zheng (2006) speculated that participants were
101 able to use formant frequencies represented in the spectral envelope to match the F0 information.

102 Feng et al. (2012) used sine-wave replicas of Mandarin sentences to remove the contribution
103 of primary and secondary cues from tone perception. They found that the intelligibility of
104 Mandarin sentences remained intact in quiet even though tone perception was only slightly above
105 chance. However, the contribution of lexical tone information to sentence perception in noise is

106 still unclear. This is because many acoustic features such as harmonic structures important for
107 vowel and consonant perception are lost in sine-wave speech, making it difficult to separate the
108 contribution of phonemic and lexical tone information in sentence perception.

109 To solve this problem, F. Chen et al. (2014) manipulated lexical tones in 3 conditions (normal,
110 flat, and random tone contours) using a text-to-speech (TTS) synthesis engine. There were 3 tone
111 conditions in the study. In the normal tone (NT) condition, no alterations were made to lexical
112 tones within sentences; the sentences were presented with natural tone contours. In the flat tone
113 (FT) condition, all lexical tones were changed into Tone 1; thus, tone contour information was
114 absent. For example, the sentence, “他穿了一件灰格子上衣/ He wears a gray plaid jacket /da1
115 tʂhuan1 ly1 ji2 tɕiæn4 xuei1 ky2 tsi1 ʂaŋ4 ji1/” was presented as “/da1 tʂhuan1 ly1 ji1 tɕiæn1
116 xuei1 ky1 tsi1 ʂaŋ1 ji1/.” In the random tone (RT) condition, each word of test sentences was
117 randomly assigned 1 of 4 Mandarin lexical tones; thus, tone contour information was distorted.
118 For example, the same sentence, “他穿了一件灰格子上衣/He wears a gray plaid jacket /da1
119 tʂhuan1 ly1 ji2 tɕiæn4 xuei1 ky2 tsi1 ʂaŋ4 ji1/” was presented as “/da2 tʂhuan3 ly1 ji4 tɕiæn1
120 xuei3 ky2 tsi1 ʂaŋ3 ji1/. This approach could decouple the tone contour and the harmonic structure,
121 thus preserving the harmonic structures to some degree (F. Chen et al. 2014). Results showed that
122 for NH listeners, lexical tones were relatively redundant cues for sentence perception in quiet, but
123 they were critical for perceiving Mandarin sentences with a 0-dB signal-to-noise-ratio (S/N).

124 Collectively, results from the studies described above suggest that absent or distorted lexical
125 tone information has deleterious effects on the intelligibility of Mandarin sentences in noise but
126 not in quiet, despite the various methods used to manipulate tone information. However, these
127 studies were all conducted on Mandarin speakers with NH. The contribution of lexical tones to the
128 intelligibility of Mandarin sentences among hearing aid (HA) users is unclear. Therefore, the aim

129 of this study was to remove lexical tone information and examine the effects on the intelligibility
130 of Mandarin sentences in HA users, using the method employed by F. Chen et al. (2014).

131 For NH listeners, previous studies have demonstrated negligible effects of tone contour on
132 speech intelligibility in quiet. Nevertheless, we hypothesized that for HA users, (1) the lexical tone
133 contour would be critical for perceiving Mandarin sentences even in quiet, thus a reduction in
134 performance was expected in the FT and RT conditions; (2) the reduction in speech intelligibility
135 would be worse when tone contour information was distorted (i.e., when tone contours were
136 randomized) compared to when tone contour information was absent (i.e., sentences with flat
137 tones); and (3) those with better cognitive function would perform better, particularly in more
138 demanding situations (i.e., in noise and the RT condition).

139 Several reasons underpin these hypotheses. First, listeners with sensorineural hearing loss
140 often exhibit compromised spectral and temporal perception acuity due to deteriorated hair cell
141 function, leading to poor speech reception. Furthermore, hearing aids do not fully compensate for
142 psychoacoustic function (Ewert et al. 2013). Second, in the case of hearing loss, speech signals are
143 no longer fully audible, even with amplification. Third, although compression improves the
144 perception of low-level signals (Kates, 2010), resultant spectral distortion may affect the
145 perception of speech and tone contour information (Dillon 2012).

146 As mentioned above, the ability to process degraded information depends on the ability to use
147 contextual cues to fill in the gaps and to integrate new information with earlier information
148 (Akeroyd 2008). When acoustic cues are degraded, ambiguous, or masked by noise, cognitive
149 resources must be allocated to recover the information (Wong et al. 2014; Xu et al. 2013). Although
150 sentences presented in the FT condition exhibit a lack of tone contour information, we expected

151 them to be less distracting, thus resulting in better intelligibility than those distorted with random
152 tones (i.e., RT condition). Absent tone information may require listeners to disregard missing tone
153 information and may not compel listeners to expend as much effort as that required to recover the
154 appropriate meaning from distorted tones. Thus, distorted tones were expected to cause poorer
155 performance than flattened tones.

156 Emerging evidence suggests that general cognitive ability, working memory, and attention
157 significantly correlated with speech perception in adults with mild hearing loss (Akeroyd 2008;
158 Heinrich et al. 2015, 2016; L. L. Wong et al. 2014). Therefore, we hypothesized that those with
159 better cognitive function (i.e., general cognitive ability, working memory and attention) would
160 perform better in perceiving sentences with mismatched lexical tone information. Given that
161 factors such as lower education level, older age, and poorer hearing thresholds were significantly
162 related to declined cognitive function and sentence perception ability (Koerner & Zhang, 2018;
163 Wallhagen et al., 2008; Raz, 2000), these demographic factors were controlled for when examining
164 the relationship between cognitive ability and sentence perception.

165

166

METHODS

167 **Participants**

168 A convenient sample of 32 participants (5 female and 27 male) were recruited from the
169 Shengkang Hearing Center (Beijing). They had been living in Beijing for more than 10 years and
170 were native speakers of standard Mandarin. Participants exhibited moderate to severe
171 sensorineural hearing loss with interaural differences in audiometric thresholds less than 10 dB
172 across the octave frequencies from 250 to 8,000 Hz (see Figure 1). Participants were aged between

173 28 and 81 years old (mean = 63, standard deviation [SD] = 15) and were all binaurally fitted. Mean
174 HA use was 8 years (SD = 7, range = 1–32). On average, they had 9.5 (SD = 1.8, range = 6–16)
175 years of education. Ethical approval was obtained from the Education University of Hong Kong
176 and the University of Hong Kong. Written informed consent was obtained prior to the study. A
177 transportation allowance of 200 RMB (approximately USD 25) was provided to each participant.

178

179 **Materials**

180 Sentence perception materials

181 The speech materials consisted of sentences from the Mandarin Hearing in Noise Test
182 (MHINT) (L. L. Wong et al. 2007). The MHINT contains 24 lists of 10 sentences; each sentence
183 is composed of 10 words. High inter-list reliability has been demonstrated, suggesting that the lists
184 are equivalent and consistent results may be obtained using any list (L. L. Wong et al. 2007).

185 Each MHINT sentence was manipulated to yield 3 conditions using the NeoSpeech TTS
186 software program (NeoSpeech, 2010) (i.e., NT, RT, FT). In the NT condition, the lexical tone of
187 each word remained unchanged, and sentence quality was similar to natural speech produced at a
188 normal conversational style. In the RT condition, each word within a sentence was randomly
189 reassigned a tone by the TTS program (T1 to T4). Specifically, characters for each MHINT
190 sentence were first presented as a string of Pinyin (Chinese phonetic symbols). Four lexical tones
191 were presented using the Digits 1, 2, 3, and 4. By changing Digits, the lexical tone of each word
192 was manipulated. Similarly, in the FT condition, lexical tones of each word were changed into FT
193 (i.e., Tone 1) by typing Digit 1 for all words into the TTS program. While tone contours are being
194 flattened, there is a chance that some sentences with quite a few words originally with Tone 1

195 would be less mismatched than other sentences. Also, there is a chance that although tone contours
196 are being randomly assigned to the words, some sentences may accidentally end up with more
197 correct tone contours. To solve this problem, 6 sets of test materials were created with each
198 consisting of 12 sentence lists. These sentence lists were randomly assigned into 6 test conditions
199 in each set. As a result, each list was assigned into different test conditions among these sets and
200 each participant was administered one of these sets of tests, thus reducing the effects of “less
201 mismatched” sentences. Furthermore, inspection of these sentences with mismatched tone
202 contours suggest that there was not a significant difference in the mean number of words with
203 mismatched tones in a sentence in the FT condition (mean = 7.13, SD = 1.62) or in the NT
204 condition (mean = 7.23, SD = 1.73); $t(78) = -0.27, p > .05$.

205 All synthesized stimuli were produced at a sampling rate of 16,000 Hz at a normal
206 conversational speaking rate using a female voice with a mean F0 of 240 Hz. Sentences were then
207 normalized to the same root-mean-square (RMS) power. A steady-state-spectrum-shaped noise
208 was used to mask the synthesized MHINT sentences at 8 dB S/N. To generate this noise, a finite
209 impulse response filter was designed based on the average spectrum of all MHINT sentences, and
210 white noise was filtered to have the same long-term average spectrum as the MHINT sentences. A
211 S/N of 8 dB was chosen based on information provided by L. L. Wong et al. (2018) who reported
212 that the mean speech reception threshold obtained using the MHINT was 8 dB S/N in HA users
213 speaking Mandarin as a native language. The demographic characteristics of their participants
214 were similar to those in the current study. Testing at +8 dB S/N would prevent direct comparison
215 with normative data obtained at 0 dB S/N, based on F. Chen et al. (2014). However, based on our
216 pilot study, 3 out of 4 participants scored at the floor (i.e., less than 10%) when tested at a 0-dB
217 S/N. Thus, we decided to conduct testing in noise at an S/N of +8 dB.

218

219 **Cognitive tests**

220 The Montreal Cognitive Assessment (MoCA; Nasreddine, et al., 2005), a cognitive screening
221 test, and 2 subtests from the CogState Battery (CSB, <http://www.Cogstate.com>), a sensitive,
222 computer based assessment battery (Maruff et al. 2013), were used to evaluate cognitive function.

223 The MoCA assesses several cognitive domains: short-term memory, visuospatial abilities,
224 executive function, attention, concentration, working memory, language, and orientation to time
225 and place. It can be completed within 10 minutes and is designed to assess general cognitive
226 function (Zheng et al. 2012). The Mandarin version was retrieved from the official website
227 (<http://www.mocatest.org/>).

228 The One-Back test (OBK) and the Identification test (IDN), selected from the CSB, were used
229 to assess working memory and attention, respectively. In the IDN test, a playing card was presented
230 face-down in the center of the screen. Participants were required to press the “yes” button as fast
231 as possible when a red card was flipped over and press the “no” button when a black card was
232 flipped. In the OBK test, a playing card was presented in the center of a screen. Participants were
233 instructed to decide whether the current card was the same as the previous card. These tests have
234 been adapted into Chinese with reliability and validity (Zhong et al. 2013).

235

236 **Procedures**

237 A new pair of Phonak Bolero V90-P HAs was used during testing to avoid variations
238 introduced by different HAs. Participants wore HAs for the experimental conditions only during
239 this study. Participants' own custom ear-molds were used during testing.

240 Participants' hearing was first checked using pure-tone audiometry following procedures
241 described in ANSI/ASA S3.21-2004 (R 2009). Insert gain based on the average real ear coupler
242 difference (RECD) values was prescribed using the "Adaptive Phonak Digital Tonal" algorithm,
243 the default formula for fitting Phonak hearing aids in Mainland China since 2015. Compensation
244 for the individual vent-out effect was applied to the initial fitting based on results from the real ear
245 test using the "real ear and feedback measurement" tool in Phonak Target fitting software (version
246 4.1). Further target matching was not conducted. Single channel noise reduction function (i.e.,
247 NoiseBlock) was set at position 8, corresponding to a moderate noise suppression setting. This
248 was because L. L. N. Wong et al. (2018) reported position 8, compared to noise reduction being
249 turned off, was better at improving speech perception and was preferred by most HA users for
250 listening effort, listening comfort, and speech clarity in noise. Other adaptive parameters and
251 functions (e.g., directional microphone, frequency lowering, and tinnitus noise) were turned off.
252 Detailed information about the "Adaptive Phonak Digital Tonal" algorithm, the NoiseBlock 8 and
253 HA fitting procedures can be found in Wong et al. (2018).

254 For individualized fine-tuning or adjustment, the "Northwind and Sun" passage (Holube et al.
255 2010) was presented at 65 dB (A) in quiet for users to adjust the gain of the HAs to ensure listening
256 comfort and loudness balance between ears. A short, lively orchestral piece featuring a carillon
257 and wind instruments was then played at 70 dB (A) to ensure good music quality. Testing was
258 conducted in a soundfield in a double-walled, sound-treated room which met ANSI/ASA S3.1-
259 1999 (R2013) standards for maximum permissible ambient noise levels for uncovered ears.

260 The MoCA, OBK, IDN, and sentence perception tests were conducted according to manual
261 instructions in a random order. For sentence perception, the synthesized sentences and noise were
262 presented via a loudspeaker located 1 m directly in front of the participant (0-degree azimuth).
263 Before the test, each participant attended a training session and listened to 3 lists of sentences (i.e.,
264 1 list each in the NT, FT, and RT conditions) to become familiar with the sentences and procedures.
265 A printed copy of these 3 lists of sentences was provided to each participant. Participants were
266 allowed to read the transcription of sentences during the familiarization stage. In the testing session,
267 there were 6 conditions: 2 S/N levels (quiet and 8 dB S/N) \times 3 tone conditions (FT, NT, and RT).
268 Each condition consisted of 2 lists of 10 sentences. The order of lists was randomized across
269 participants. Participants were instructed to repeat the sentences as well as they could and make
270 their best guesses regarding the stated words. No feedback was given. Intelligibility was scored as
271 the number of words correctly repeated. Only exact matches in pronunciation were accepted. The
272 whole testing session for each participant took approximately 2 hours with a 15-minute break after
273 the first hour. Participants were given more breaks upon request.

274 For the MoCA, OBK, and IDN, instructions were provided with HAs at optimal settings.
275 Participants' understanding of test instructions was checked before test administration. To ensure
276 that participants would not have difficulty seeing test stimuli, they were instructed to wear their
277 prescription glasses during testing. None of the participants reported any problems completing
278 these tasks due to issues with vision. Participants were requested to complete a test trial before the
279 actual testing for the OBK and IDN assessments to minimize bias caused by the inability to hear
280 or comprehend test instructions or see test stimuli.

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282

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RESULTS

284 Figure 2 shows the mean recognition scores in HA users from the present study compared to
285 those obtained for NH listeners from F. Chen et al. (2014). Given the presence of floor and ceiling
286 effects, percent correct scores were first converted to rational arcsine units (RAU) (Studebaker
287 1985). A one-way repeated measures analysis of variance (ANOVA) was then used to examine
288 the effects of tone-processing conditions on percent correct scores in both quiet and noise.

289 In quiet, a Mauchly's test indicated that the assumption of sphericity was violated, $\chi^2(2) = 8.7$,
290 $p < .05$; therefore, degrees of freedom were corrected using Huynh-Feldt estimates of sphericity (ϵ
291 = .8). Sentence perception was significantly affected by tone-processing conditions ($F(1.7, 51.8)$
292 = 192.3, $p < .01$). Bonferroni post-hoc tests revealed that sentence recognition scores in the NT
293 condition (mean = 80.0, SD = 13.4) were significantly higher than those in the RT condition (mean
294 = 32.1, SD = 11.1, $p < .01$) and the FT condition (mean = 42.4, SD = 19.5, $p < .01$). Sentence
295 recognition scores in the FT condition (mean = 42.4, SD = 19.5) were significantly higher than
296 those in the RT condition (mean = 32.1, SD = 11.1, $p < .01$).

297 In noise, a Mauchly's test indicated that the assumption of sphericity was not violated;
298 therefore, degrees of freedom were not corrected. Sentence perception was significantly affected
299 by tone-processing conditions, ($F(2, 62) = 234.8, p < .01$). Bonferroni post-hoc tests revealed that
300 sentence recognition scores in the NT condition (mean = 70.0, SD = 16.0) were significantly higher
301 than those in the RT condition (mean = 23.3, SD = 12.7, $p < .01$) and the FT condition (mean =
302 27.0, SD = 16.0, $p < .01$). However, sentence recognition scores in the FT and RT conditions were
303 not significantly different.

304 Mean percent correct scores were marginally reduced (by approximately 5 percentage points)
305 in quiet among participants with NH when mismatched lexical tone information was introduced
306 (see Figure 2). Intelligibility declined substantially among HA users (a reduction of 36 percentage
307 points when comparing NT to FT conditions and 47 percentage points from NT to RT conditions).
308 The decline in sentence perception performance from NT to RT or FT conditions among HA users
309 (approximately 42 percentage points) was significantly greater than that in listeners with NH
310 (approximately 5 percentage points) (1-sample t-test, $t(31) = 24.1$, $p < .001$). In noise, the mean
311 decrease in sentence recognition scores from NT to RT or FT conditions was approximately 45
312 percentage points in HA users and approximately 25 percentage points in listeners with NH. As
313 the noise level was set at 8 dB S/N in the current study while it was set at 0 dB S/N in F. Chen et
314 al. (2014), direct comparisons in intelligibility in noise between the 2 samples could not be
315 performed.

316 **The effects of cognitive function**

317 To evaluate the impact of cognitive function on speech perception when tone contour
318 information was mismatched, the difference in scores obtained in NT and FT or RT conditions
319 was calculated and related to cognitive function. Thus, $D(NT-FT)$, $D(NT-RT)$, $D(NTN-FTN)$, and
320 $D(NTN-RTN)$ represent the decline in recognition scores from the NT to FT conditions in quiet,
321 NT to RT conditions in quiet, NT to FT conditions in noise, and NT to RT conditions in noise,
322 respectively. Table 1 shows the results for $D(NT-FT)$, $D(NT-RT)$, $D(NTN-FTN)$, and $D(NTN-$
323 $RTN)$ as well as the general cognitive ability, attention, and working memory measured using the
324 MoCA, OBK, and IDN tests, respectively.

325 The following linear mixed effects model was developed in R (R Core Team, 2014) to
326 determine the statistical significance of each fixed effect, including age at testing, duration of HA

327 use, hearing thresholds, education level, general cognitive ability, attention, and working memory
328 skills on the logit-transformed D(NT-FT), D(NT-RT), D(NTN-FTN), and D(NTN-RTN) values.

$$329 \quad y_{ij} = \alpha + \beta_{age:test} x_i^{age} + \beta_{aid:test} x_i^{aid} + \beta_{thre:test} x_i^{thre} + \beta_{edu:test} x_i^{edu} + \beta_{gen:test} x_i^{gen} \\ 330 \quad \quad \quad + \beta_{att:test} x_i^{att} + \beta_{mem:test} x_i^{mem} + \tau_i + \varepsilon_{ij}$$

331 where y_{ij} is the j -th test score ($i = 1, \dots, 4$) and $x_i^{(\cdot)}$ is the value of the covariate of the i -th
332 participant. Note that we have separate regression coefficient β values for each test and we assume
333 the effect is linear for the continuous covariates. Meanwhile, τ_i is the random effect for the i -th
334 participant and ε_{ij} is the random error for the observation, which follows $N(0, \sigma_\tau^2)$ and $N(0, \sigma^2)$
335 meaning that the correlation between the test score of the same participant is $\sigma_\tau^2 / (\sigma^2, \sigma_\tau^2)$. ‘Age’,
336 ‘aid’, ‘thre’, ‘edu’, ‘gen’, ‘att’, and ‘mem’ represent the age at testing, duration of HA use, hearing
337 thresholds, education level, general cognitive ability, attention, and working memory skills,
338 respectively.

339 The model revealed that there was a significant effect of education level on D(NTN-RTN)
340 ($t(68) = -2.17, p < .05$), but cognitive function was not significantly associated with D(NT-FT),
341 D(NT-RT), D(NTN-FTN), or D(NTN-RTN) values (see Table 2).

342

343

DISCUSSION

344 While the presence of mismatched lexical tone information might have partly affected
345 performance in quiet, this effect was slightly more pronounced in noise. In fact, the mean
346 decrement in sentence intelligibility reached 40 percentage points in quiet and 45 percentage points
347 in noise in HA users in the presence of mismatched lexical tone information. This emphasizes the
348 importance of accurately representing tone information for Mandarin speakers using HAs. The

349 results of the current study support the hypothesis that lexical tone contours are important for
350 Mandarin sentence perception both in quiet and noise for HA users. These findings are different
351 from those of previous studies conducted in participants with NH (F. Chen et al. 2014; Feng et al.
352 2012; Patel 2010; Wang et al. 2013); they reported that mismatched lexical tone cues did not impair
353 sentence perception in quiet among participants with NH. As mentioned earlier, NH listeners were
354 able to use contextual cues to fill in the gaps (Chen et al. 2014a) but HA users were not able to do
355 so efficiently due to deficient hearing ability. That is, HAs do not provide sufficient compensation
356 (Ewert et al. 2013) even for listening in quiet. In other words, other phonemic and contextual cues
357 are inadequate for HA users to perceive sentences with mismatched lexical tone information.
358 Similar findings were reported by Chen et al. (2018) in NH Mandarin speakers listening to acoustic
359 signals low pass-filtered to simulate HA processing. Thus, our first hypothesis that HA users would
360 experience a greater reduction in sentence intelligibility in both quiet and noise compared to NH
361 listeners was supported.

362 One feature of the current study was the use of TTS to decouple the tone contour and the
363 harmonic structure, thus preserving the harmonic structures to some degree. One may argue that
364 sentences manipulated with TTS may not sound natural; therefore, results could have been
365 adversely affected. However, anecdotal reports from most participants of the present study
366 suggested that the synthesized speech had normal intonation both in quiet and noise partly because
367 the NeoSpeech TTS engine generated speech with Mandarin tonal sandhi. Furthermore, F. Chen et
368 al. (2014) reported 100% intelligibility in quiet among NH listeners when sentences were
369 processed with TTS. Thus, poor sentence intelligibility in quiet in the FT and RT conditions was
370 unlikely due to whether TTS-synthesized sentences sounded natural. However, it is possible that
371 unnatural sounds make it more difficult for HA users to perceive sentences in noise compared to

372 their NH peers as HAs provide less redundant acoustic cues for sentence perception.

373 The second hypothesis, that speech intelligibility with distorted tones (i.e., the RT condition)
374 was significantly worse than that with absent tonal information (i.e., the FT condition), was
375 supported only in quiet, not in noise. A flat F0 contour made it easier to “track” the target stream
376 and the FT condition made the task less cognitively demanding than the RT condition (Chen et al.
377 2018). However, it was not significantly more difficult to perform tasks in the RT condition than
378 the FT condition in noise. This finding for hearing-impaired individuals differed from previous
379 findings for NH listeners, where Chen et al. (2018) measured intelligibility using 2 types of
380 sentences from a corpus of Chinese nonsense sentences: single-tone sentences containing Tone 1
381 and Tone 0 (the neutral tone) only (i.e., having a flat F0 contour) and multi-tone sentences
382 containing all tones (i.e., having a varying F0 contour). They reported that intelligibility in steady
383 noise was significantly better with flat-F0 sentences than with multi-tone sentences among
384 Mandarin speakers with NH. These discrepant findings between NH and hearing-impaired
385 participants might be related to the fact that the advantage of a flat F0 contour of “tracking” the
386 target stream could be counteracted by the introduction of noise for HA users.

387 Finally, the linear mixed effects model indicated that no cognitive function had a significant
388 relationship with the decline in speech reception when the lexical tone contours were mismatched.
389 Therefore, the third hypothesis that higher cognitive function would be related to better speech
390 recognition with mismatched tone contours was not supported. This indicated that the loss of tone
391 information could not be compensated for by those who have better cognitive function,
392 emphasizing the importance of accurately representing tone information via HAs. Only education
393 level was found to be significantly related to sentence intelligibility in the RT test condition in
394 noise. This significant relationship may be mediated by the proficiency of standard Mandarin

395 Chinese. Participants with higher education levels are more likely to exhibit better linguistic skills,
396 allowing them to use top-down processing more efficiently to compensate for the loss of lexical
397 tone information.

398 **Limitations**

399 One limitation of the current study is the lack of proper controls in the demographics of
400 participants in the HA and NH groups. In the current study, we compared results from these groups
401 with those of NH listeners from F. Chen et al., (2014). In F. Chen et al., (2014), participants were
402 primarily graduate students at the University of Hong Kong aged between 23 and 30 years while
403 participants in this study were primarily older adults with an average of 9.5 years of education.
404 This study found that participants with higher education levels performed significantly better in
405 the RT test condition while age was not significantly associated with performance in any test
406 conditions. If we had included a control group with matched education levels, it is possible that
407 the differences in performance in the RT test condition between these 2 groups would not be as
408 large as those found in the current study.

409 Another limitation of this study is that all HA users were fitted with the Phonak Beolero V90-
410 P HA and were using them for the first time, although they previously wore other Phonak HAs. If
411 given time to adjust, it is possible that their performance would improve as HA benefits usually
412 stabilize 4 to 6 weeks after fitting (Humes et al. 2012); also, after a person adjusts, we would expect
413 better performance compared to what we observed in this study. However, it is doubtful that
414 improvements will result in such sufficient adaptation that mismatched tone contours no longer
415 matter for sentence recognition.

416 In addition, signals were processed with a dual compression algorithm, leading to more linear
417 processing for speech-like signals compared to fast acting compression. As a result, spectral

418 characteristics were better preserved and the gain prescribed using the “Adaptive Phonak Digital
419 Tonal” fitting algorithm was 2–5 dB higher than National Acoustic Laboratories (NAL)-NL2
420 (tonal) range between 300 Hz and 2000 Hz with a comparable compression ratio; therefore, it is
421 likely that low frequency cues important for tonal language are better preserved. Thus, care should
422 be exercised when using these findings to analyze different HAs.

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425 **Conclusion**

426 This is the first study to examine the contribution of lexical tone information on Mandarin
427 sentence intelligibility in HA users. We found that speech intelligibility was significantly reduced
428 (by approximately 40 percentage points) in the presence of distorted lexical tone information in
429 both quiet and noise. Although lexical tone information provides redundant cues for Mandarin
430 sentence perception in quiet for NH listeners, HA users were less able to use of contextual cues to
431 understand speech with mismatched tones, suggesting that tone information should be preserved
432 as much as possible to facilitate speech perception. In addition, distorted tones caused greater
433 difficulty in speech perception than when tone contour information was missing in quiet but not in
434 noise. Furthermore, the lack of a significant relationship between cognitive function and sentence
435 perception with mismatched lexical tone information indicated that HA users with better cognitive
436 function cannot compensate for the loss of lexical tone information in decoding sentences. These
437 findings highlight the need for the accurate representation of lexical tone information in HAs for
438 Mandarin speakers.

439

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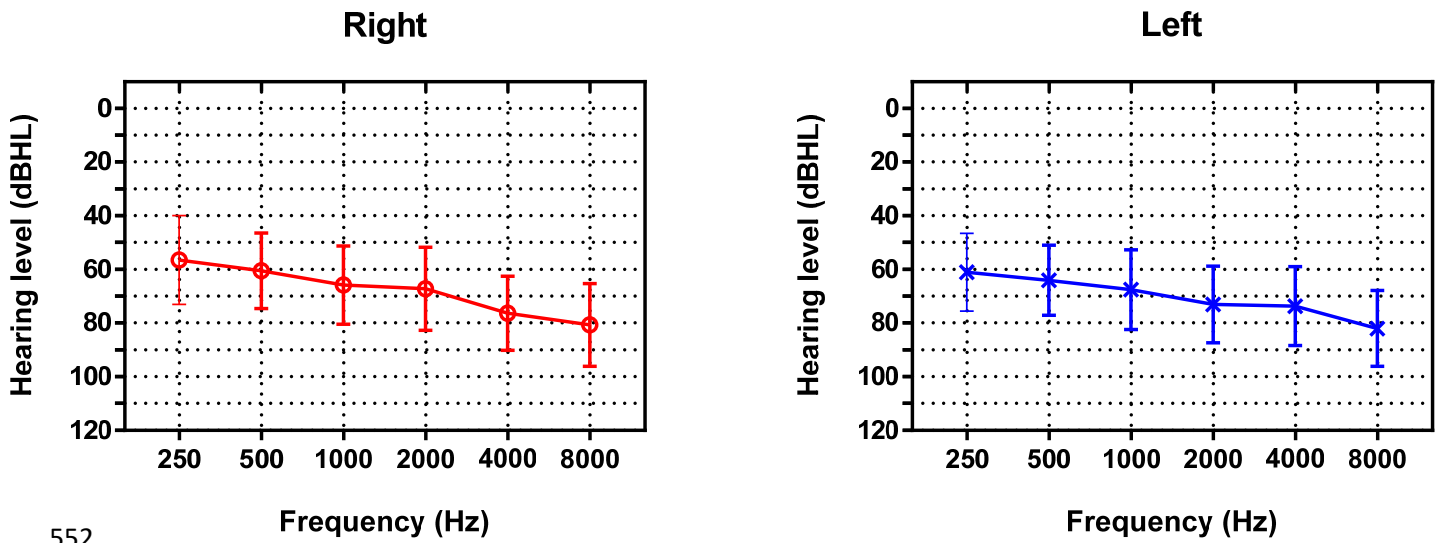
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549 **Figure Legends**

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551 Figure 1. Mean pure-tone thresholds with standard deviations (SDs) presented as error bars.



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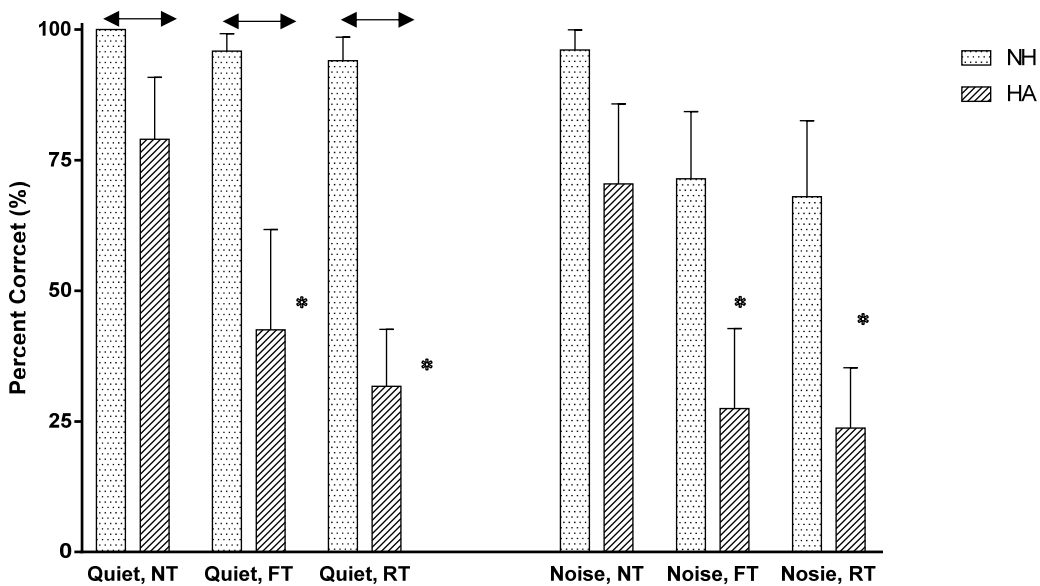
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562 Figure 2. Sentence intelligibility of hearing aid (HA) users from the current study and normal
 563 hearing (NH) listeners from F. Chen et al. (2014). The * symbol indicates significantly worse
 564 within-individual performance in the FT and RT conditions than in the NT condition. A double
 565 arrow (\longleftrightarrow) suggests that HA users performed worse than NH listeners in the same tone
 566 condition. It should be noted that a direct comparison of performance in noise could not be made
 567 between HA users and NH listeners because results were obtained at different signal-to-noise ratios
 568 (S/Ns).



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575 Table 1. Mean scores, standard deviations, and ranges of D(NT-FT), D(NT-RT), D(NTN-FTN), D(NTN-
 576 RTN), general cognitive ability, attention, and working memory.

	Mean	Standard deviation	Minimum	Maximum
General cognitive ability (MoCA score)	23.75	3.4	17	30
Attention (OBK score)	2.91	0.11	2.7	3.13
Working memory (IDN score)	3.07	0.16	2.6	3.32
D(NT-FT)	0.36	0.13	0.12	0.61
D(NT-RT)	0.47	0.12	0.2	0.7
D(NTN-FTN)	0.43	0.14	0.2	0.68
D(NTN-RTN)	0.47	0.13	0.2	0.7

577 D(NT-FT), D(NT-RT), D(NTN-FTN), D(NTN-RTN) represent decline in sentence recognition scores from
 578 NT to FT condition in quiet, NT to RT condition in quiet, NT to FT condition in noise, and NT to RT
 579 condition in noise, respectively.

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Table 2. *t* statistics of the effects of age at testing, duration of hearing aid use, hearing thresholds, education level, general cognitive ability, attention, and working memory skills on the logit-transformed D(NT-FT), D(NT-RT), D(NTN-FTN), and D(NTN-RTN) values.

Effects	D(NT-FT)	D(NT-RT)	D(NTN-FTN)	D(NTN-RTN)
Age at testing	0.62	-0.38	-1.53	-0.24
Duration of hearing aid use	0.03	1.6	1.18	0.55
Hearing thresholds	0.59	0.21	-0.55	-0.25
Education level	1.90	-1.16	-2.17*	-1.28
General cognitive ability	-0.27	0.76	-0.73	1.08
Attention	0.13	0.87	1.34	1.66
Working memory	0.38	0.32	0.88	-0.62

* $p < 0.05$

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