

1 **Effectiveness of partial and full influenza vaccination in children aged <9 years in Hong**
2 **Kong, 2011-2019**

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33 Full vaccination was significantly more protective than partial vaccination in preventing
34 children 6 months to 8 years of age against influenza-associated hospitalization, supporting
35 the current ACIP recommendation of 2-dose regimen in previously unvaccinated children.

36

37 **ABSTRACT**

38 **INTRODUCTION:** Two doses of influenza vaccination are recommended for previously
39 unvaccinated children aged <9 years, and receipt of one dose is sometimes termed “partial
40 vaccination”. We assessed the vaccine effectiveness (VE) against influenza hospitalization of
41 partial and full influenza vaccination among children in Hong Kong.

42 **METHODS:** Using the test-negative design we enrolled 23,187 children aged <9 years
43 admitted to hospitals with acute respiratory illness between September 2011 through to
44 March 2019. Vaccination and influenza status were recorded. Fully vaccinated children
45 included those vaccinated with two doses, or one dose if they were previously vaccinated.
46 Partially vaccinated children included those who should receive two doses but received only
47 one dose. We estimated VE using conditional logistic regression models matching on
48 epidemiological week.

49 **RESULTS:** Overall VE estimates among fully and partially vaccinated children were 73%
50 (95% confidence interval, CI: 69% ,77%) and 31% (95% CI: 8%, 48%), respectively.
51 Consistently higher VE was observed in fully vaccinated children against each influenza
52 type/subtype. VE of partial vaccination did not vary by age groups.

53 **CONCLUSIONS:** Partial vaccination was significantly less effective than full vaccination.
54 Our study supports the current recommendation of two doses of influenza vaccination in
55 previously unvaccinated children <9 years of age.

56

57 **INTRODUCTION**

58 Influenza is a major cause of morbidity and mortality worldwide. Children are at increased
59 risk of influenza virus infection, and young children are at high risk of associated
60 complications and sequelae, some of which require hospitalization [1]. Influenza in children
61 is also associated with substantial medical costs, absenteeism from schools and loss of
62 productivity due to care-giving by parents or guardians [2, 3].

63

64 Influenza vaccination is an essential component of the public health measures used to control
65 influenza. A systematic review reported that vaccinated children are 43% to 69% less likely
66 to have medically-attended influenza during the influenza season, compared with
67 unvaccinated children, with some variation by influenza type/subtype [4]. The Advisory
68 Committee of Immunization Practices recommends that children below 9 years of age receive
69 two doses of influenza vaccine if they have never been vaccinated, and then one dose is
70 sufficient in subsequent years [5-7]. The rationale for requiring two doses in the first year is
71 because children of this age group may not yet have been exposed to natural influenza virus
72 infections and may lack pre-existing antibodies against some or all influenza types/subtypes.
73 Therefore, for previously unvaccinated children, the first dose of influenza vaccine is
74 administered to prime or stimulate the immune response, followed by a second dose to
75 achieve a protective antibody response [6, 8, 9].

76

77 The test-negative design (TND) is increasingly used for the routine monitoring of influenza
78 vaccine effectiveness (VE), informing annual vaccination policy and facilitating scientific
79 research into factors affecting variability in VE. Children who have been “partially
80 vaccinated” (previously unvaccinated children who only receive one dose) are often excluded
81 from annual VE estimation or included in analyses as unvaccinated or vaccinated [10-14]. In

82 tropical and subtropical locations, such as Hong Kong, where there are longer periods of
83 influenza activity each year, we have hypothesized that children may be more frequently
84 infected and more likely to have had one or more priming infections before the age of 9 than
85 in other locations [15, 16]. Here, we aimed to examine the effectiveness of full and partial
86 vaccination in children <9 years of age using data pooled across multiple seasons.

87

88 **METHODS**

89 We have been enrolling children 6 months to 17 years of age into a test-negative design
90 (TND) study in Hong Kong since September 2011 [11, 17-20]. Between September 2011 and
91 August 2015 we enrolled children in two public hospitals in Hong Kong: Queen Mary
92 Hospital and Pamela Youde Nethersole Eastern Hospital. From September 2015 to present
93 we enrolled children in three public hospitals in Hong Kong: Queen Mary Hospital, Princess
94 Margaret Hospital and Yan Chai Hospital. These large general hospitals capture 90% of acute
95 admissions from their surrounding local districts and provide a representative sample of
96 children with acute respiratory illness requiring hospitalization in Hong Kong. In the present
97 study we analyze data on children 6 months to 8 years of age admitted during the 7.5-year
98 period from 4 September 2011 through to 15 March 2019.

99

100 Children who presented to one of these hospitals with fever (body temperature of at least
101 38°C) and at least one respiratory symptom such as cough, sore throat and runny nose with
102 any duration of symptoms were eligible for recruitment. Upon enrollment, nasopharyngeal
103 samples were collected, and influenza virus infection was confirmed by reverse-transcriptase
104 polymerase-chain-reaction (PCR) and/or viral culture. Parents or legal guardians were
105 interviewed to obtain vaccination status, including the dosage and type of vaccination, using

106 a standardized questionnaire. Vaccination records were subsequently verified using
107 immunization records, electronic medical records, or by contacting vaccine providers.

108

109 ***Definition of vaccination status***

110 Children 6 months to 8 years of age who were vaccinated with two doses of trivalent
111 inactivated influenza vaccine (TIV) or quadrivalent inactivated influenza vaccine (QIV) at
112 least four weeks apart, with the most recent dose received within 6 months and at least 14
113 days prior to admission were considered as fully vaccinated. Children who were vaccinated
114 with at least one dose within 6 months and at least 14 days prior to admission were also
115 considered as fully vaccinated if they have been previously vaccinated with at least one dose
116 of seasonal influenza vaccines containing A(H1N1)pdm09 strains, regardless of changes in
117 vaccine compositions. Most of the children who were previously vaccinated received two
118 doses of vaccines in the subsequent season. Children who had never been vaccinated and
119 therefore should have received two doses but instead only received one dose within 6 months
120 and at least 14 days prior to admission were considered as partially vaccinated.

121

122 ***Statistical analyses***

123 Children who were tested positive for influenza A(H1N1), A(H3N2) or B by PCR and/or
124 viral culture were considered as influenza-positive cases, whereas those who were tested
125 negative for influenza were considered as influenza-negative controls. Children who received
126 the most recent vaccination dose within 14 days of admission or those with unknown
127 vaccination status were excluded from analyses. In a sensitivity analysis we considered the
128 former as unvaccinated. We also performed sensitivity analysis restricted to children tested
129 using PCR.

130

131 We first compared the characteristics of cases and controls using χ^2 tests. We controlled for
132 calendar time and age which had been identified as confounders using directed acyclic graphs
133 [21]. To evaluate the vaccine effectiveness (VE) of full and partial vaccination, controls were
134 matched to cases on epidemiological week to control for variations in vaccination coverage
135 and infection risk over the influenza season. We then conducted conditional logistic
136 regression by adjusting for age and age-squared, with the latter term allowing for potential
137 non-linear effects of age. The exposure odds ratio is a direct estimate of the risk ratio because
138 of the incidence density sampling approach used in the study design [22, 23]. VE was
139 estimated as one minus the adjusted odds ratios of vaccination, multiplied by 100%. We
140 estimated the overall VE of full and partial vaccination against influenza A and B combined,
141 and performed subgroup analyses to estimate VE against influenza A(H1N1), A(H3N2) and
142 B separately. VE was estimated for all children combined and by age strata: 6 months to 2
143 years, 3 to 5 years and 6 to 8 years of age. We compared whether there was significant
144 difference between these two groups using an interaction test that compares the
145 corresponding log-odds ratios in the regression model from which VEs were derived [24]. A
146 p-value less than 0.05 indicates a significant difference between the two VE estimates.

147

148 Each influenza season was defined as the time period between the first epidemiological week
149 in September and the last epidemiological week in August the following year, except for
150 season 2018/19 where data up to 15 March 2019 were analyzed. All statistical analyses were
151 performed using R version 3.6.0 (R Foundation for Statistical Computing, Vienna, Austria).

152

153 **RESULTS**

154 A total of 23,447 children aged between 6 months and 8 years old were admitted with fever
155 and respiratory symptoms during the study period of 4 September 2011 through to 15 March

156 2019. After excluding 64 children without PCR or viral culture results on influenza, 181
157 children who received their most recent dose of influenza vaccination within 14 days of
158 admission, and 15 children with undetermined vaccination status, there were 23,187 children
159 remaining for inclusion in analyses including 3,852 (16.6%) children who were influenza-
160 positive cases and 19,335 (83.4%) children who were influenza-negative controls. All except
161 3288 (14.2%) children were tested using PCR. Cases and controls were enrolled year-round,
162 and there were prolonged influenza epidemics in most years, with ≥ 1 laboratory-confirmed
163 influenza admission in 336/393 (85%) of weeks across the entire study period (Figure 1). In
164 analyses we excluded the 57 weeks (1,563 controls) in which there were no influenza
165 admissions. Among cases, children tested positive for influenza A(H1N1), A(H3N2), B, and
166 more than one type/subtype were 1,543 (40.1%), 1,179 (30.6%), 1,119 (29.0%) and 11
167 (0.3%) respectively. Of 2,570 vaccinated children, 2,125 (82.7%) were classified as fully
168 vaccinated while 445 (17.3%) were classified as partially vaccinated. The majority (n=1,602,
169 62.3%) were vaccinated with QIV, 204 (7.9%) were vaccinated with TIV, 6 (0.2%) were
170 vaccinated with both TIV and QIV, and vaccine type was unknown for 758 (29.5%)
171 vaccinated children. Because of the small number of children who received TIV, we were not
172 able to conduct further analyses to compare TIV and QIV. As live-attenuated influenza
173 vaccine (LAIV) was only offered during seasons 2011/12, 2012/13 and 2018/19 alongside
174 inactivated vaccines, and the uptake of LAIV was low because it was only available in some
175 private sector clinics.

176

177 The characteristics of influenza-positive cases were compared to the influenza-negative
178 controls (Table 1). There were significant differences between cases and controls in terms of
179 age and sex. The proportion of fully vaccinated cases (n=201, 5.2%) was significantly lower
180 compared to that among controls (n=1,924, 10.0%) while no significant difference was

181 observed when comparing partially vaccinated cases (n=72, 1.9%) and controls (n=373,
182 1.9%). Among vaccinated controls, the proportion of children classified as fully vaccinated
183 increased with age group (6 months to 2 years: 76.2%; 3 to 5 years: 89.3%; 6 to 8 years:
184 93.5%) while the proportion of children classified as partially vaccinated decreased with age
185 group (6 months to 2 years: 23.8%; 3 to 5 years: 10.7%; 6 to 8 years: 6.5%).

186

187 The overall VE against influenza A and B combined was 73% (95% confidence interval, CI:
188 69%, 77%) for full vaccination and 31% (95% CI: 8%, 48%) for partial vaccination (Figure
189 2), and the protection conferred by full vaccination was significantly higher than that from
190 partial vaccination ($p<0.001$). In children 6 months to 2 years of age, the risk of influenza-
191 associated hospitalization was reduced by 74% (95% CI: 64%, 81%) among fully vaccinated
192 children compared to unvaccinated children. However, statistically significant VE was not
193 observed for partially vaccinated children of this age group (18%, 95% CI: -20%, 43%)
194 ($p<0.001$). Similar results were observed in children 6 to 8 years of age though VEs for full
195 and partial vaccination was not significantly different in this age group ($p>0.05$). Both full
196 (74%, 95% CI: 68%, 80%) and partial vaccination (47%, 95% CI: 13%, 67%) provided
197 statistically significant protection against influenza A and B combined in children 3 to 5
198 years of age, and in this age group we observed significantly higher protection provided by
199 full vaccination ($p=0.01$).

200

201 In analyses by influenza type/subtype, the estimated VE for full vaccination was 85% (95%
202 CI: 81%, 89%) against influenza A(H1N1), 55% (95% CI: 41%, 66%) against A(H3N2) and
203 66% (95% CI: 55%, 74%) against B virus (Figure 3). In contrast, no statistically significant
204 effectiveness was observed for partial vaccination except against influenza A(H1N1) (48%,
205 95% CI: 20%, 66%). The protection conferred by full vaccination was significantly higher

206 than that from partial vaccination against each influenza type/subtype. Estimated VE was
207 statistically significant against influenza A(H1N1), A(H3N2) and B in fully vaccinated
208 children of all ages, except against influenza A(H3N2) in children 6 to 8 years of age (39%,
209 95% CI: -14%, 67%). Partial vaccination was only significantly effective in reducing
210 hospitalization due to influenza A(H1N1) in children 3 to 5 years of age (56%, 95% CI: 8%,
211 79%). Full vaccination was significantly more protective than partial vaccination against
212 influenza A(H1N1) and A(H3N2) in children 6 months to 2 years and 3 to 5 years of age, and
213 also against influenza B in children 6 to 8 years of age.

214

215 In sensitivity analyses where children who received their most recent dose within 14 days
216 prior to admission were considered as unvaccinated, similar results were observed (data not
217 shown). Sensitivity analyses restricted to children tested by PCR also produced very similar
218 results.

219

220 **DISCUSSION**

221 Applying the TND to multiple-season data, we demonstrated that overall protection from full
222 vaccination against hospitalization due to influenza A and B combined was consistently
223 higher than that from partial vaccination for children 6 months to 8 years of age in Hong
224 Kong. Analysis by age group revealed that the effectiveness of partial vaccination was only
225 statistically significant in children 3 to 5 years of age against influenza A and B combined,
226 although estimates in the other age groups were less precise (Figure 2). Further subgroup
227 analyses also showed that full vaccination protected children of all ages against influenza
228 A(H1N1), A(H3N2) and B, except for influenza A(H3N2) in children 6 to 8 years of age,
229 whereas partial vaccination was only protective against influenza A(H1N1) in children 3 to 5
230 years of age.

231

232 Our results show that full vaccination is more effective than partial vaccination in preventing
233 influenza hospitalization in children <9 years of age. Cumulative incidence of infection
234 increases with age, and younger children are less likely to have been immunologically primed
235 to influenza particularly multiple types/subtypes [25]. Other studies have shown that
236 vaccination in previously vaccinated or infected children could mount an improved immune
237 responses compared to unvaccinated children, and it has been suggested that natural infection
238 is more effective than vaccination in priming immune response [8, 26, 27]. However, our
239 finding highlights the importance of the second dose to achieve a protective immune response
240 following immunologic priming with the first dose among those who are vaccinated for the
241 first time even up to the age of 9 years [6, 8]. We were unable to distinguish which children
242 had previously been naturally infected, but we found no evidence that effectiveness of partial
243 vaccination increased with age. Our findings of the differences in VE between full and partial
244 vaccination are largely consistent with other reports where full vaccination is more protective
245 than partial vaccination [28-44].

246

247 Although influenza A(H1N1), A(H3N2) and B often co-circulates in Hong Kong in most
248 years [16], in subgroup analyses, we observed statistically significant VE in partially
249 vaccinated children against influenza A(H1N1) only but not other influenza types/subtypes,
250 but full vaccination was consistently more protective than partial vaccination against each
251 influenza type/subtype. Our findings are consistent with a recent study that pooled data
252 across multiple seasons and two other studies which apply the TND study to examine VE by
253 influenza type in partially vaccinated children, though influenza A(H1N1) was not examined
254 separately in these studies [41, 44, 45]. Contrary to our findings are some studies which
255 reported higher VE against A(H3N2) among partially vaccinated children compared with

256 fully vaccinated children, possibly due to heterogeneity in prior vaccination status among
257 partially vaccinated children [39, 40, 46]. Meanwhile, of two studies in Japan, one reported
258 that one dose is sufficiently protective against both influenza A and B but not influenza
259 A(H1N1) and a second dose did not confer additional protection against influenza B [38],
260 while another reported significant VE against influenza A in partially vaccinated children
261 though the confidence intervals overlaps with that of full vaccination and these estimates
262 were likely confounded due to lack of adjustment for potential confounders such as age [43].
263 Together with a study by El Omeiri and colleagues, these 3 studies included only data from
264 one influenza season and may have limited power to detect differences in subgroup analyses
265 [42].

266

267 Our study shows no evidence of heterogeneity in VE among partially vaccinated children by
268 age groups, although estimates were imprecise. The mean VE of partial vaccination against
269 influenza A and B combined in children 3 to 5 years of age (47%) was higher than that in
270 children 6 months to 2 years of age (18%), however these estimates were not significantly
271 different ($p=0.17$). Although there is a lack of consensus on age group categorization, our
272 findings are nonetheless consistent with many other studies which assessed age group-
273 specific VE in partially vaccinated children [30-32, 37, 41, 44]. Similar to our studies, two
274 studies have reported significant and higher VE in partially vaccinated children 2 to 5 years
275 of age relative to children less than 2 years of age, but confidence intervals overlaps with
276 each other [37, 40]. Survival analyses in a prospective study reported that VE in partially
277 vaccinated children aged less than 2 was similar to the VE estimate including all partially
278 vaccinated children 6 months to 8 years of age [28]. The only study that demonstrates clear
279 differences in VE among partially vaccinated children by age group is an early case-control
280 study where children 2 to 5 years of age were significantly better protected by partial

281 vaccination than children less than 2 years of age [46]. The authors suggested that older
282 children are more likely to have a primed immune system, and were therefore sufficiently
283 protected by one dose of influenza vaccination, while also emphasized the importance of
284 two-dose vaccination in light of antigenic drift. However, as the proportion of partially
285 vaccinated children decreases with age in our study, we may have limited power to detect
286 protective VE in partially vaccinated children 6 to 8 years of age.

287

288 Our study relies on a large dataset of 23,187 children admitted to hospital across 7.5 years.
289 However, our study is not without limitations. Despite the very large sample size, the number
290 of partially vaccinated children of 6 to 8 years of age was small, and some age-specific
291 estimates were uncertain particularly in type/subtype-specific analyses. Our definition of
292 vaccination status based on a time frame of 6 months before hospitalization may lead to
293 misclassification of vaccination status in children who were vaccinated more than 6 months
294 before admission (e.g. vaccinated in October, admitted in July the following year), but the
295 majority of our data are from winter epidemics (Figure 1) while most vaccines are
296 administered between September and January and therefore any misclassification bias in VE
297 should be minimal [47]. We did not consider potential confounders such as pre-existing
298 medical conditions which may result in heterogeneity of VE, but we expect that children with
299 higher risk are more likely to be fully vaccinated. Moreover, our results are consistent with a
300 previous study which had adjusted for presence of comorbidity in their statistical model [44].
301 We did not have any information on prior exposure to influenza virus through natural
302 infections which may affect immune response to vaccination. If future studies were able to
303 collect blood samples before vaccination, this could be examined. Furthermore, VE study
304 reporting that VE in children repeatedly vaccinated are often lower than that in children

305 vaccinated in current season only indicates the importance of understanding immune
306 response in children [40].

307

308 In conclusion, full vaccination is more protective against influenza-associated hospitalization
309 than partial vaccination. Our results support the current Advisory Committee of
310 Immunization Practices recommendation where children below 9 years of age should receive
311 2 doses of influenza vaccine if they are vaccinated for the first time. While it remains a
312 challenge to predict predominating seasonal influenza strains to be included in vaccine
313 formulation to ensure a degree of antigenic match, efforts should be taken to encourage
314 vaccination. Meanwhile, quite a number of children in our study had received only one dose
315 of vaccination when they should have received two, and this high rate of partial (incomplete)
316 vaccination should be addressed. Results from a survey conducted in Hong Kong suggested
317 that partial vaccination in this age group is most commonly associated with unawareness of
318 2-dose recommendation [48], implying a potential avenue for improving compliance.

319

320

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327

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336

337 **POTENTIAL CONFLICTS OF INTEREST**

338 JSMP has received research funding from Crucell NV and serves as an ad hoc consultant
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341

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475 **FIGURE LEGENDS**

476 Figure 1. Distribution of enrolled cases (by influenza type/subtype) and controls across the
477 study period (4 September 2011 through 15 March 2019).

478

479 Figure 2. Overall vaccine effectiveness (VE) estimates for full and partial vaccination against
480 influenza A and B combined, for all ages combined, 6 months to 2 years, 3 to 5 years and 6 to
481 8 years of age.

482

483 Figure 3. Vaccine effectiveness (VE) estimates for full and partial vaccination against
484 influenza A(H1N1), A(H3N2) and B separately by age groups.

485

486 Table 1. Comparison of characteristics between influenza-positive cases and influenza-
 487 negative controls.

Characteristics, n(%)	Influenza-positive cases (n=3,852)	Influenza-negative controls (n=19,335)	p-value
Age group			
6 months – 2 years	1600 (41.5)	11208 (58.0)	<0.001
3 – 5 years	1537 (39.9)	5886 (30.4)	
6 – 8 years	715 (18.6)	2241 (11.6)	
Male	2086 (54.2)	10944 (56.6)	0.005
Receipt of any vaccination in the preceding 6 months			
All ages	273 (7.1)	2297 (11.9)	<0.001
6 months – 2 years	87 (5.4)	1064 (9.5)	<0.007
3 – 5 years	128 (8.3)	956 (16.2)	
6 – 8 years	58 (8.1)	277 (12.4)	
Receipt of full vaccination in the preceding 6 months			
All ages	201 (5.2)	1924 (10.0)	<0.001
6 months – 2 years	48 (55.2)	811 (76.2)	<0.001
3 – 5 years	102 (79.7)	854 (89.3)	
6 – 8 years	51 (87.9)	259 (93.5)	

Receipt of partial vaccination**in the preceding 6 months**

All ages	72 (1.9)	373 (1.9)	0.854
6 months – 2 years	39 (44.8)	253 (23.8)	0.052
3 – 5 years	26 (20.3)	102 (10.7)	
6 – 8 years	7 (12.1)	18 (6.5)	

Influenza season

2011/12	213 (5.5)	1001 (5.2)	<0.001
2012/13	126 (3.3)	1461 (7.6)	
2013/14	163 (4.2)	953 (4.9)	
2014/15	163 (4.2)	1266 (6.5)	
2015/16	912 (23.7)	4073 (21.1)	
2016/17	775 (20.1)	4128 (21.3)	
2017/18	761 (19.8)	4270 (22.1)	
2018/19	739 (19.2)	2183 (11.3)	

488 Note: p-values were estimated using χ^2 tests.

489