

1           **Selection of the lowest instrumented vertebra in main thoracic**  
2           **adolescent idiopathic scoliosis – Is it safe to fuse shorter than the last**  
3           **touched vertebra?**

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18 **Ethics:**               This study was approved by the Institutional Review Board at The University  
19                           of Hong Kong.

20  
21 **Disclosures:**       The authors have no financial or competing interests related to this work.  
22

23 **Funding:**             No relevant funding to report  
24

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1 **Abstract**

2 **Purpose:** To assess the radiographic and functional outcome using a standardized flexibility-based  
3 fusion strategy and to determine whether fusing shorter than the last touched vertebrae (LTV) was a  
4 safe approach in flexible main thoracic (MT) adolescent idiopathic scoliosis (AIS) curves.

5 **Methods:** This was a prospective study of consecutive patients with AIS surgically treated with  
6 alternate-level pedicle screw instrumentation. Only patients with selective fusion of the MT curves  
7 were included in the study. Fusion level selection was based on the fulcrum bending radiograph  
8 method. Preoperative, postoperative and two-year follow-up radiographs were used to determine the  
9 Cobb angle, apical translation of the MT, proximal thoracic and lumbar curves, and the LTV. The  
10 truncal shift, radiographic shoulder height, coronal and sagittal balance parameters were also  
11 measured, fusion mass shift, and lower instrumented vertebrae (LIV) disc angle were also measured.  
12 Patients were grouped based on the position of the LIV as proximal to the LTV (proxLTV), at the  
13 LTV (atLTV), and distal to the LTV (distLTV). Any adding-on was determined and the refined 22-  
14 item Scoliosis Research Society questionnaire was obtained. Univariate comparative analysis was  
15 performed between the groups and multiple logistic regression was used to examine variables  
16 contributing to adding-on.

17 **Results:** A total of 109 patients were included in the study and 43 were in the proxLTV, 45 were in  
18 the atLTV and 21 in the distLTV groups. Preoperatively, distLTV group had greater lumbar Cobb  
19 angle, lumbar apical translation and less flexibility in the MT curve. At two-year follow-up, the  
20 groups did not differ in MT curve correction but the distLTV had larger lumbar Cobb angle, more  
21 apical translation and worse coronal balance. Distal adding-on was most common in the proxLTV  
22 group (26%). Adding-on was only associated with younger patients at the time of surgery but not  
23 with any radiographic parameter. No differences in SRS-22r scores were observed between groups.

1 **Conclusions:** Proximal fusion carries the risk of adding-on but leaving unfused segments in the lower  
2 spine increases the potential for compensatory mechanisms to improve spinal and truncal balance. In  
3 mature patients with a flexible MT curve surgeons may consider fusion at or cranial to the LTV.

4

5 **Level of evidence:** II

6 **Key words:** Adolescent idiopathic scoliosis; AIS; selective thoracic fusion; adding-on; fusion mass  
7 shift; last touched vertebrae; fulcrum-bending radiograph; flexibility

## 1 **Background**

2           Current surgical treatment for main thoracic (MT) adolescent idiopathic scoliosis (AIS)  
3 focuses on achieving a balanced spine, good curve correction and solid spinal fusion while leaving  
4 as many unfused segments as possible in the lumbar spine. Selection of the lowest instrumented  
5 vertebra (LIV) is of critical importance as it represents the distal junction where the rigid implant  
6 transitions into a mobile lumbar segment. Choosing a LIV that is too proximal can lead to progression  
7 of the unfused curves, spinal decompensation or adding-on while an LIV that is too distal results in  
8 unnecessary sacrifice of the lumbar area that may in turn lead to adjacent accelerated degenerative  
9 disc disease, chronic lumbar pain as well as unnecessary health-care costs[1–4]. Selection of the  
10 correct LIV is a challenging task.

11           As we broaden our understanding of curve behavior and instrumentation techniques improve,  
12 surgeons are becoming confident in selecting LIV more proximal than previously proposed.  
13 Traditionally, the stable vertebra (SV) has been considered a safe choice of LIV in MT curves but  
14 studies have shown that many curves can safely be treated with a LIV proximal to the SV[5]. Lenke  
15 et al and the Harms study group[6] showed that in MT and double thoracic curves, the last touched  
16 vertebra (LTV) was a useful landmark for LIV selection and that fusion shorter than the LTV may  
17 result in lumbar decompensation. This has been supported by other studies suggesting LTV as the  
18 LIV in main thoracic AIS[7, 8]. Other studies suggest that the neutral vertebra (NV) is a key reference  
19 for fusion selection[9]. The majority of studies, however, are based on the standing antero-posterior  
20 radiograph alone. MT AIS curves differs substantially in terms of flexibility and the need for  
21 instrumentation likely vary accordingly. The fulcrum bending radiograph (FBR) has shown a strong  
22 positive correlation with the surgical curve correction in thoracic curves for all-pedicle screw  
23 constructs[10]. Luk et al[11] published guidelines for selection for fusion levels based on curve

1 flexibility. This approach has been shown to save fusion levels compared to conventional approaches  
2 based on the standing AP radiograph[12].

3 The aim of the current study was to assess the radiographic and functional outcome using a  
4 standardized flexibility-based fusion strategy. The main objective was to assess whether fusing  
5 shorter than the LTV was a safe approach in flexible MT AIS curves.

## 6 **Materials and methods**

### 7 **Inclusion**

8 Following ethics committee approval, we performed a prospective study of a consecutive  
9 series of patients with AIS treated surgically over a 5-year period with alternate-level pedicle screw  
10 instrumentation at a single institution. Only patients with selective fusion of the MT curve in Lenke  
11 types 1, 2, 3 and 4, with two-year follow-up were included. All patients underwent standard postero-  
12 anterior (PA), lateral radiographs and FBR of the MT curve prior to surgery. The method for obtaining  
13 FBR has been reported. In short, the patient was in the lateral decubitus position and hinged over a  
14 radiolucent fulcrum placed under the rib corresponding to the apex of the curve.

### 15 **Fusion selection**

16 Selection of the fusion level was standardized and based on the protocol previously reported  
17 by Luk et al[1] (**Figure 1**). In general, based on the FBR, a line was drawn at the inferior endplate of  
18 the estimated LIV. From the midpoint of the line above at the estimated LIV, a perpendicular line  
19 was erected (center line). After the estimated proximal instrumented vertebra (PIV) was identified, a  
20 line was drawn at the superior endplate. The Cobb angle is determined based on the estimated LIV  
21 and PIV. If the shift from the PIV was greater than 20 mm from the center line, the next caudal  
22 vertebra was chosen as the LIV. However, if the shift was less than 20 mm and the Cobb angle was

1 greater than 20 degrees, then the next cranial vertebra was chosen as the estimated PIV. All patients  
2 underwent standardized correction strategies with alternate-level pedicle screw insertion, rod rotation  
3 maneuver and segmental distraction of the concavity and compression of the convexity.

#### 4 **Data collection**

5 Radiographs were assessed preoperatively, postoperatively and at two-year follow-up. We  
6 determined the Cobb angle and apical translation of the MT, the proximal thoracic and the lumbar  
7 curve. Also, we measured the truncal shift, radiographic shoulder height, coronal and sagittal  
8 balance[13]. Truncal shift was defined by measuring the perpendicular distance from the center sacral  
9 vertical line (CSVL) to a line that bisects the distance from the lateral edges of the rib margins in the  
10 mid thoracic level. Coronal balance was defined as the degree of deviation from the center of the S1  
11 upper end plate to a vertical line drawn from the C7 spinous process. Fusion mass shift was measured  
12 as previously reported[14]. LIV disc angle was measured as the angle between the inferior endplate  
13 of the LIV and the superior endplate of the LIV+1.

14 Two observers (first and last author) independently assessed the preoperative PA radiograph  
15 and determined the following parameters (**Figure 2**): Stable vertebra (SV): The most cephalad  
16 vertebra immediately below the end vertebra of the major curve that is most closely bisected by the  
17 CSVL. Last touched vertebra (LTV): To optimize reproducibility we defined the LTV as the last  
18 cephalad vertebra touched by the CSVL by more than 2 mm. Neutral vertebra (NV): The most  
19 cephalad vertebra below the apex of the major curve whose pedicles are symmetrically located within  
20 the radiographic silhouette of the vertebral body. Cases of disagreement was noted, and a consensus  
21 was made to establish a value to carry forward for analysis.

22 Based on the LIV, patients were grouped as proxLTV, if the LIV was proximal to the LTV,  
23 atLTV if the LIV was at the level of LTV or distLTV if LIV was distal to LTV. Adding-on was

1 determined at the two-year follow-up and was defined as a progressive increase in the number of  
2 vertebrae included distally within the primary curve combined with either an increase of more than 5  
3 mm in deviation from the CSVL of the first vertebra below the LIV, or an increase of more than 5°  
4 in the angulation of the first disc below the LIV[2] Patients were asked to fill out a validated version  
5 of the refined 22-item Scoliosis Research Society (SRS-22r) questionnaire both at the preoperative  
6 and two-year postoperative stage.

## 7 **Statistics**

8 All statistical analyses were performed using R version 3.4.0 (R core team, 2014, Vienna,  
9 Austria). Data was reported as proportions (%), mean ± standard deviation or median with  
10 interquartile range. Data distribution was assessed by histograms. For the univariate comparative  
11 analysis, continuous data was compared between groups using analysis of variance (ANOVA) or  
12 Kruskal-Wallis with post-hoc analysis using Tukey's test. Categorical variables were compared using  
13 Pearson's chi-squared test or Fisher's test where appropriate. Multiple logistic regression was used  
14 to examine explanatory variables for adding-on. Cohen Kappa was used to assess the reliability  
15 between the two raters in determining the NV, LTV and SV. In accordance with Landis and Koch[15],  
16 the results of reliability (k) were classified into slight (<0.20), fair (0.21-0.40), moderate (0.41-0.60),  
17 substantial (0.61-0.80), and almost perfect agreement (>0.81). The significance level was set at 0.05.

## 18 **Results**

19 Of the 109 patients included in the study, 93 cases (85%) were Lenke type 1 and 16 were type  
20 2. There were 43 patients in the proxLTV, 45 in the atLTV and 21 in the distLTV group. (**Table 1**).  
21 Preoperative variables are seen in **table 2**. At the preoperative stage, the groups differed in terms of  
22 MT flexibility, lumbar apical translation and trunk shift ( $p \leq 0.005$ ). The distLTV group had less  
23 flexible MT curves and had significantly larger thoracolumbar/lumbar (TLL) Cobb angle ( $p = 0.009$ ).



1 At two-year follow-up, the groups did not differ in MT curve correction or radiographic shoulder  
2 height (**Table 3**). Again, the distLTV group had significantly larger TLL Cobb angle or more apical  
3 translation. Mean coronal balance was  $9 \pm 7$ ,  $11 \pm 9$  and  $19 \pm 16$  mm ( $p < 0.001$ ) in the proxLTV,  
4 atLTV and distLTV groups respectively. Trunk shift was  $6 \pm 5$ ,  $9 \pm 7$  and  $15 \pm 10$ . Two raters  
5 determined the preoperative LTV, NV and SV. Cohen's kappa was 0.85 (95%CI 0.77-0.93) for LTV,  
6 0.72 (0.62-0.81) for NV and 0.85 (0.78-0.93) for SV.

### 7 **Adding-on subgroup analysis**

8 Distal adding-on was observed in 11 patients (26%) in the proxLTV group, four patients (9%)  
9 in the atLTV group and one patient (5%) in the distLTV group ( $p = 0.031$ ) (**Table 3**). Adding-on thus  
10 occurred primarily in the proxLTV group and a post-hoc analysis was conducted on this group to  
11 identify potential explanatory variables for the development of adding-on (**Table 4**). Mean age at the  
12 time of surgery was  $14.6 \pm 2.1$  and  $12.9 \pm 1.9$  in the no adding-on and adding-on group respectively  
13 ( $p = 0.024$ ). By similar degree, the median Risser grade was 4 (IQR: 3-4) and 2 (IQR: 0-4) ( $p = 0.029$ )  
14 respectively. At the immediate postoperative stage, the groups did not differ significantly in terms of  
15 MT or TLL Cobb angle trunk shift or coronal balance. At two-year follow-up, TLL Cobb angle was  
16  $11 \pm 7$  and  $10 \pm 8$  ( $p = 0.756$ ), trunk shift was  $7 \pm 6$  and  $5 \pm 4$  ( $p = 0.236$ ) and radiographic shoulder  
17 height was  $11 \pm 8$  and  $10 \pm 7$  ( $p = 0.584$ ) in the no adding-on and adding-on group respectively.

18 SRS22r scores at two-year follow-up on all patients is shown in **Figure 3**. The adding-on  
19 group and no adding-on group did not differ on any domain score or the total scores ( $p \leq 0.279$ ).

### 20 **Discussion**

21 The purpose of the present study was to challenge the principles of LIV selection primarily  
22 based on the PA radiograph. Using a flexibility-based fusion selection principle we saved one to two  
23 fusion levels in 43 patients. We found that in the proxLTV group that rate of distal adding-on was

1 higher (26%) but patients were overall well balanced with better global balance and less truncal shift.  
2 Subgroup analysis showed that the main predictor for adding-on in the proxLTV group was young  
3 age and skeletal immaturity at the time of surgery. The adding-on group showed no increased trunk  
4 shift or global imbalance.

5 The distLTV group had a low rate of adding-on but we found lumbar decompensation with  
6 increased translation of the lumbar apex. However, it should be noted that this group had a larger  
7 TLL curve preoperatively, which may bias the follow-up results. Cao et al examined Lenke type 2A  
8 curves and found 50% rate of adding-on when fused proximal to the LTV (n=18). The authors found  
9 inferior curve correction in this group and did not see any difference in coronal balance. However,  
10 fusion selection was not based on curve flexibility but rather surgeon's discretion. With longer fusions  
11 in the distLTV group, there is a reduced capacity for compensation by the unfused lumbar segments.  
12 Adding-on is less frequent in this group and thus results in poorer global balance. It is important to  
13 note that the distLTV results were contributed by the same correction rate as proxLTV and atLTV  
14 but in less flexible curves. Our standardized method of alternate level pedicle screw strategy without  
15 releases may not be suitable for these stiffer curves with greater apical translation. A more aggressive  
16 approach to the correction or avoiding selective thoracic fusions may be necessary to achieve better  
17 radiographic outcomes.

18 Selection of the LIV is an important factor in the development of adding-on and since curve  
19 behavior can be unpredictable surgeons may be inclined to fuse longer rather than shorter.  
20 Preoperative planning and fusion selection has been shown to be highly variable between surgeons  
21 irrespective of whether LTV, NV or SV is used as reference[16–18]. We found that SV and LTV had  
22 very good interrater reliability and in this respect are good reference points for preoperative planning.  
23 NV had inferior reliability, which is in line with previous studies[19] and may not be considered a

1 reliable preoperative reference as both intra- and interobserver variation is considerable. In the current  
2 study fusion selection was based on structured protocol taking into consideration the preoperative  
3 flexibility as well as the squared fusion mass principle. As mentioned in the methods, we aim to  
4 achieve a squared fusion mass by choosing the PIV and LIV that provides <20mm shift and <20  
5 degrees in Cobb angle on FBRs. We also aim to achieve this intraoperatively with a whole spine  
6 radiograph after correction followed by further compression of the concavity and distraction of the  
7 convexity as necessary. Shown by similar fusion mass shift between the proxLTV, atLTV and  
8 distLTV, but larger residual Cobb angle in the distLTV group, we have compromised on less  
9 satisfactory Cobb angle correction and more fusion levels to achieve a parallel fusion mass using the  
10 similar correction strategy. As such, our results are not biased by surgeon preferences, which is a  
11 strength. However, in patients with greater lumbar deformity, greater lumbar apical translation, more  
12 trunk shift and less flexible MT curves, we may elect to perform more aggressive MT correction than  
13 our usual strategy to maximize outcomes. This will require further study to verify our hypothesis.

14 Adding-on is a radiological phenomenon that represents progression or “extension” of the  
15 primary curve. Although adding-on has not been linked to treatment failure, pain or decreased health-  
16 related quality of life it is generally considered a poor outcome as the potential for disc wedging may  
17 lead to degenerative changes later in life and/or the need for extending the fusion distally[20]. Our  
18 study was not able to identify a single significant predictor for adding-on (**Table 4**) but found that  
19 MT curve correction, truncal balance and choice of LIV plays a crucial role. At two-year follow-up,  
20 we did not see any signs of lumbar decompensation or imbalance in the adding-on group and we  
21 found no difference in SRS-22 scores. We hypothesize that while leaving unfused distal segments  
22 may predispose to disc wedging (adding-on) it also allows for a higher level of compensatory changes  
23 in the lumbar spine that ultimately leads to a balanced spine. We would encourage future studies to

1 examine the long-term clinical consequences of disc wedging and its role as a primary focus in AIS  
2 deformity surgery.

### 3 **Conclusions**

4 Proximal fusion shorter than the LTV carries the risk of adding-on but leaving unfused  
5 segments in the lower spine increases the potential for compensatory mechanisms to improve spinal  
6 and truncal balance. Previously deemed safer longer fusions with recruitment of more lumbar  
7 segments may lead to less adding-on but more coronal imbalance. Fusion selection remains an art  
8 and also a science. In mature patients with a flexible MT curve surgeons may consider fusion at or  
9 cranial to the LTV.

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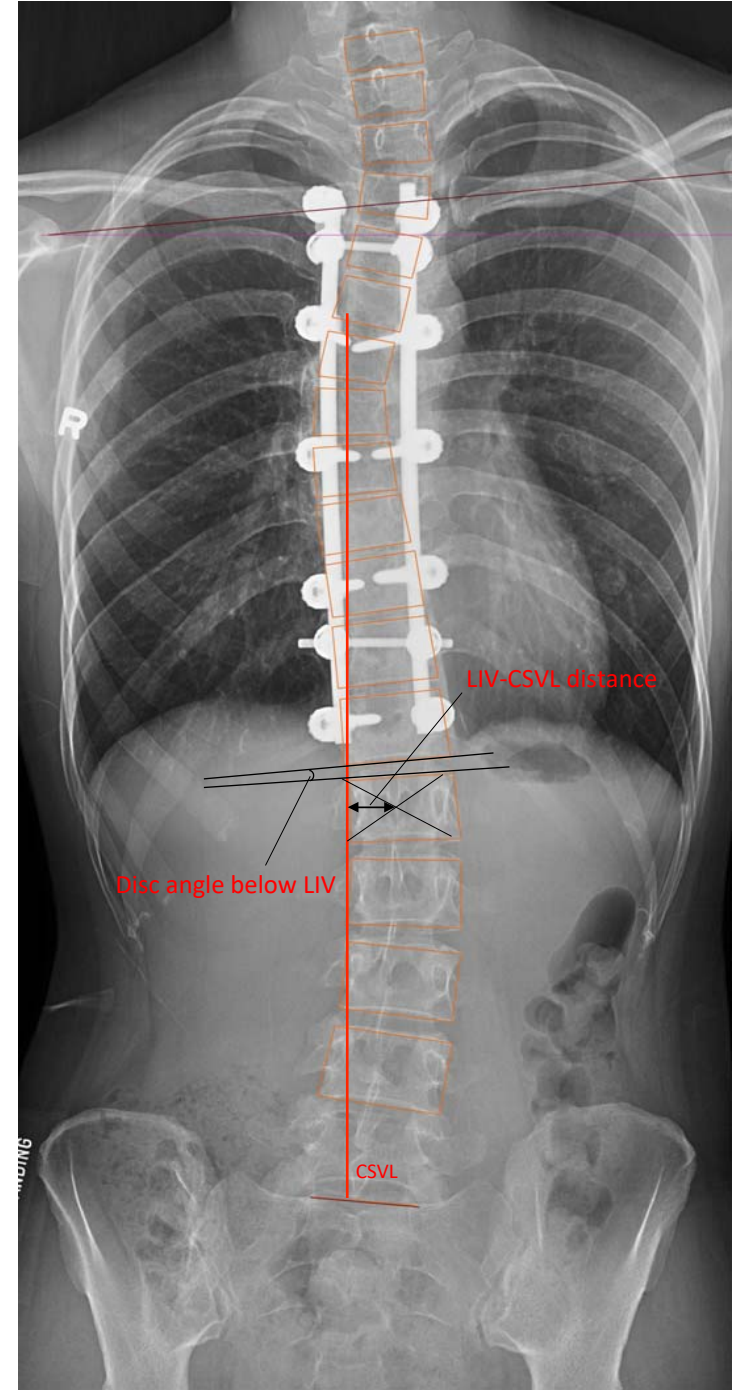
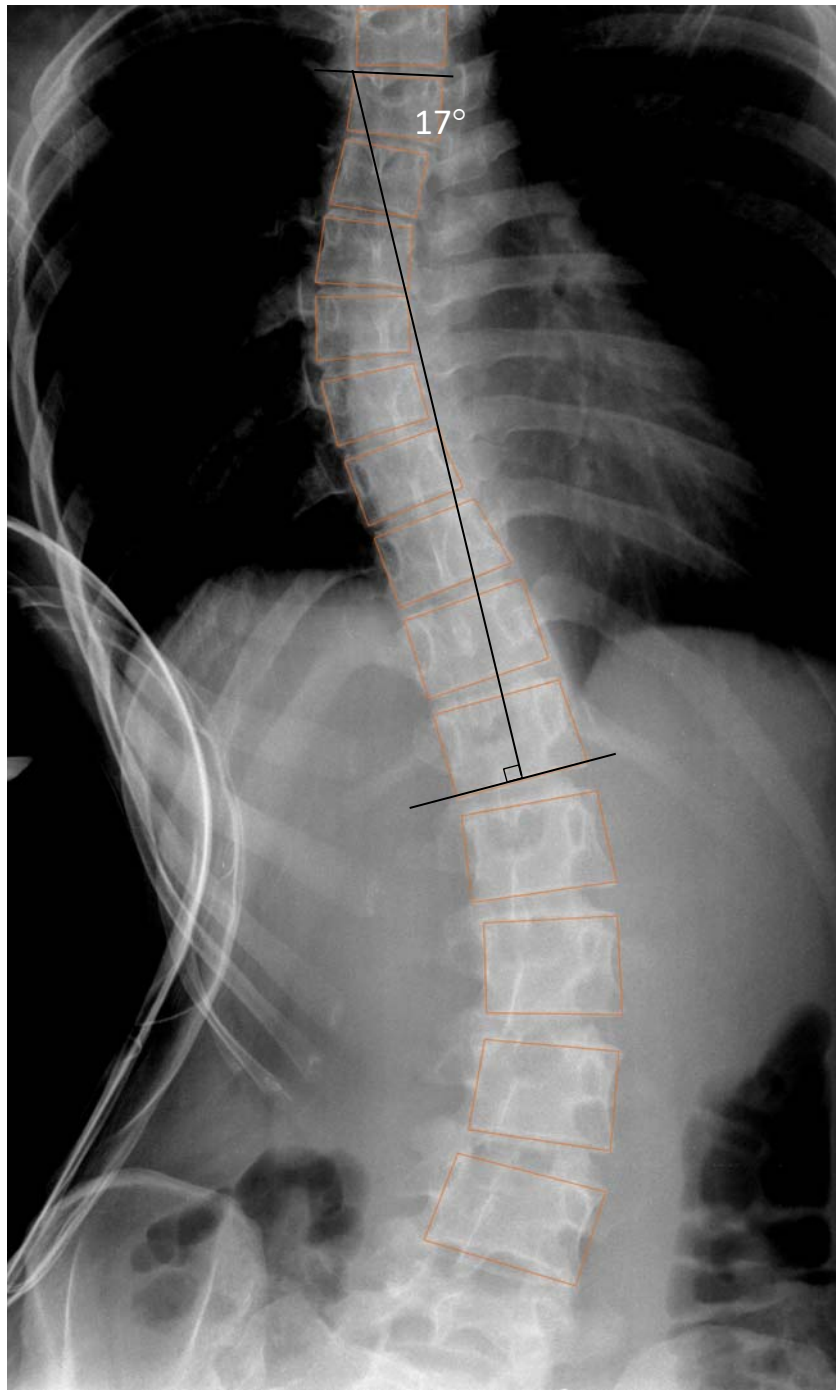
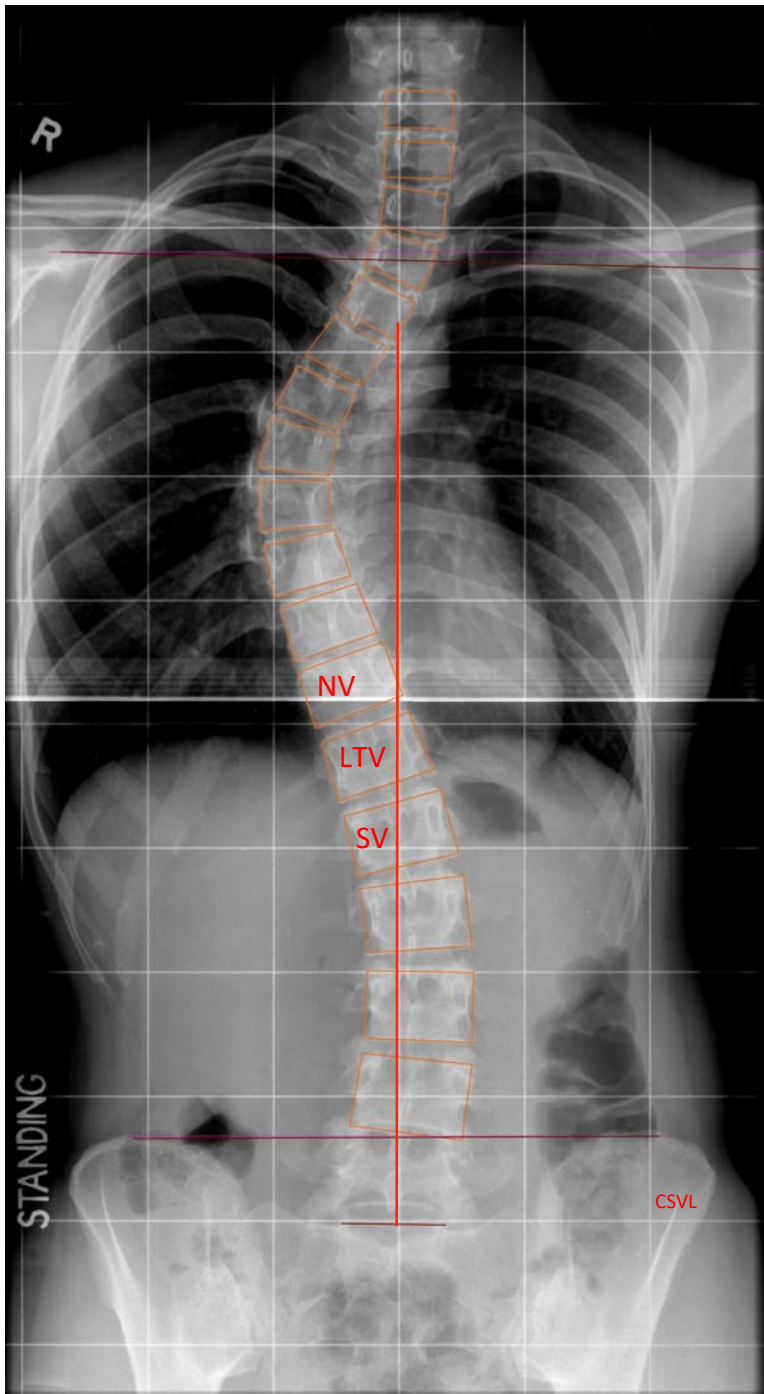
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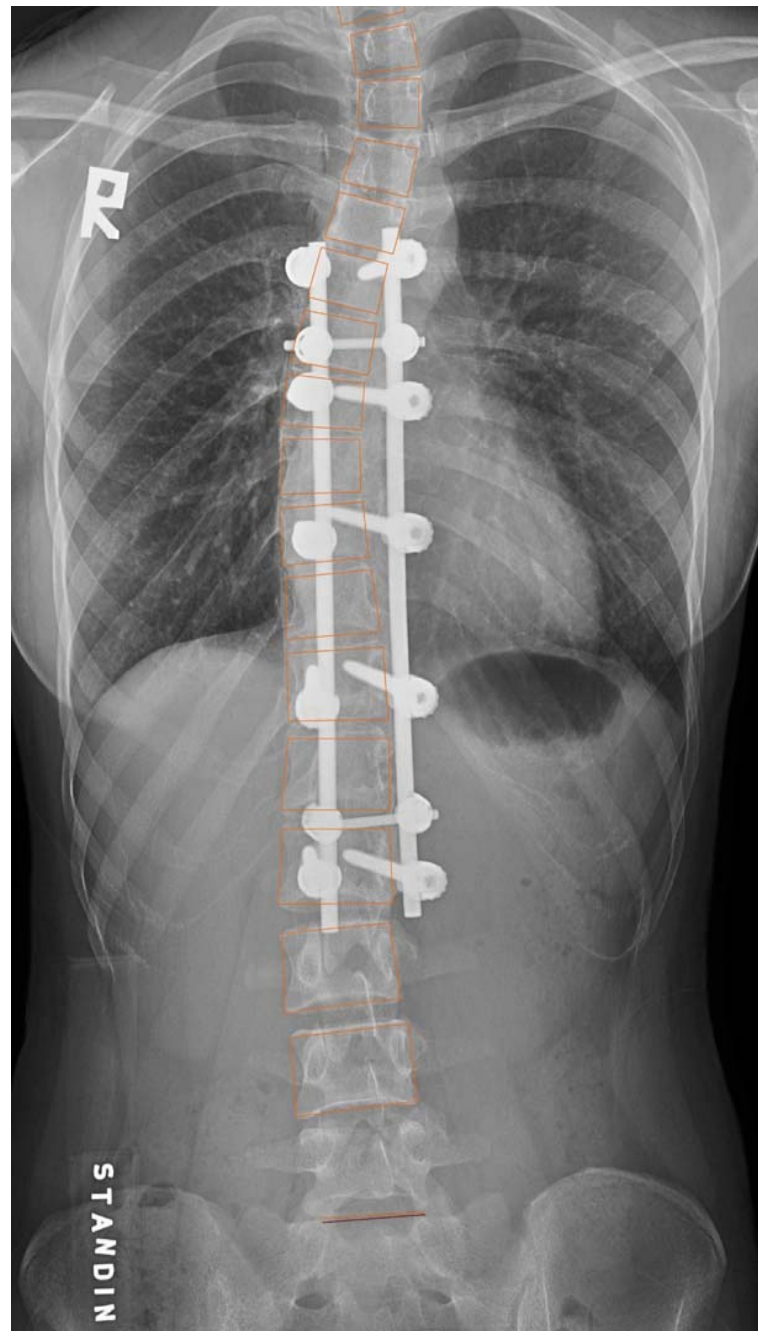
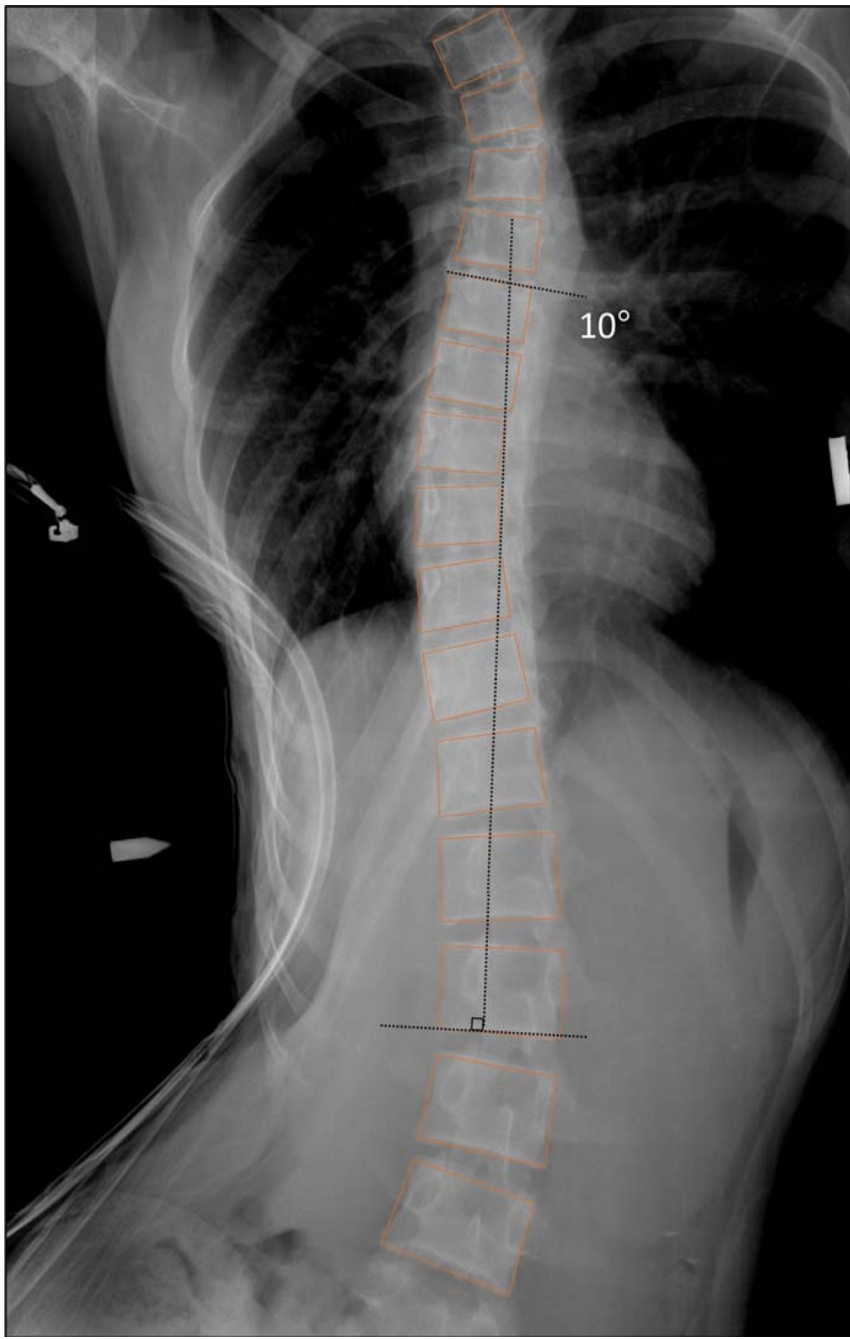
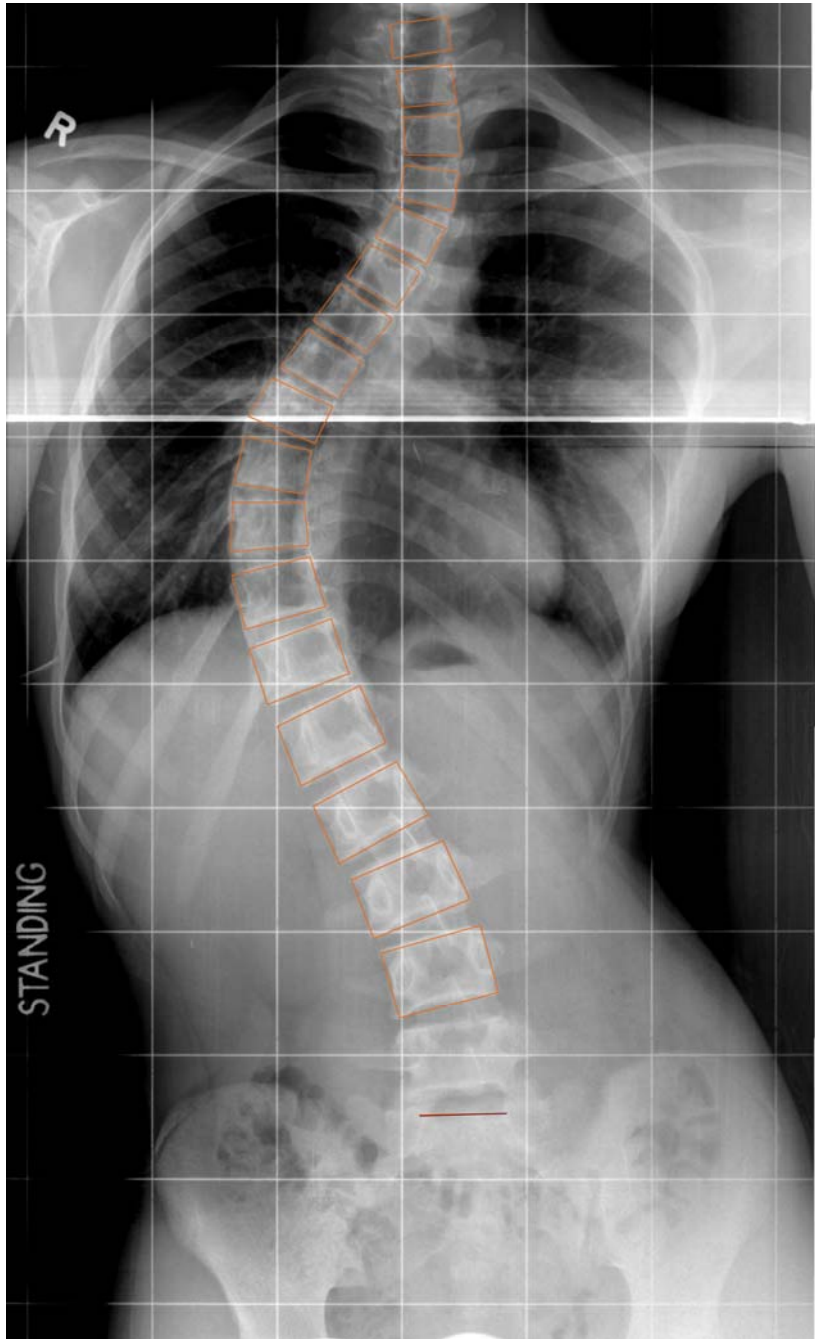
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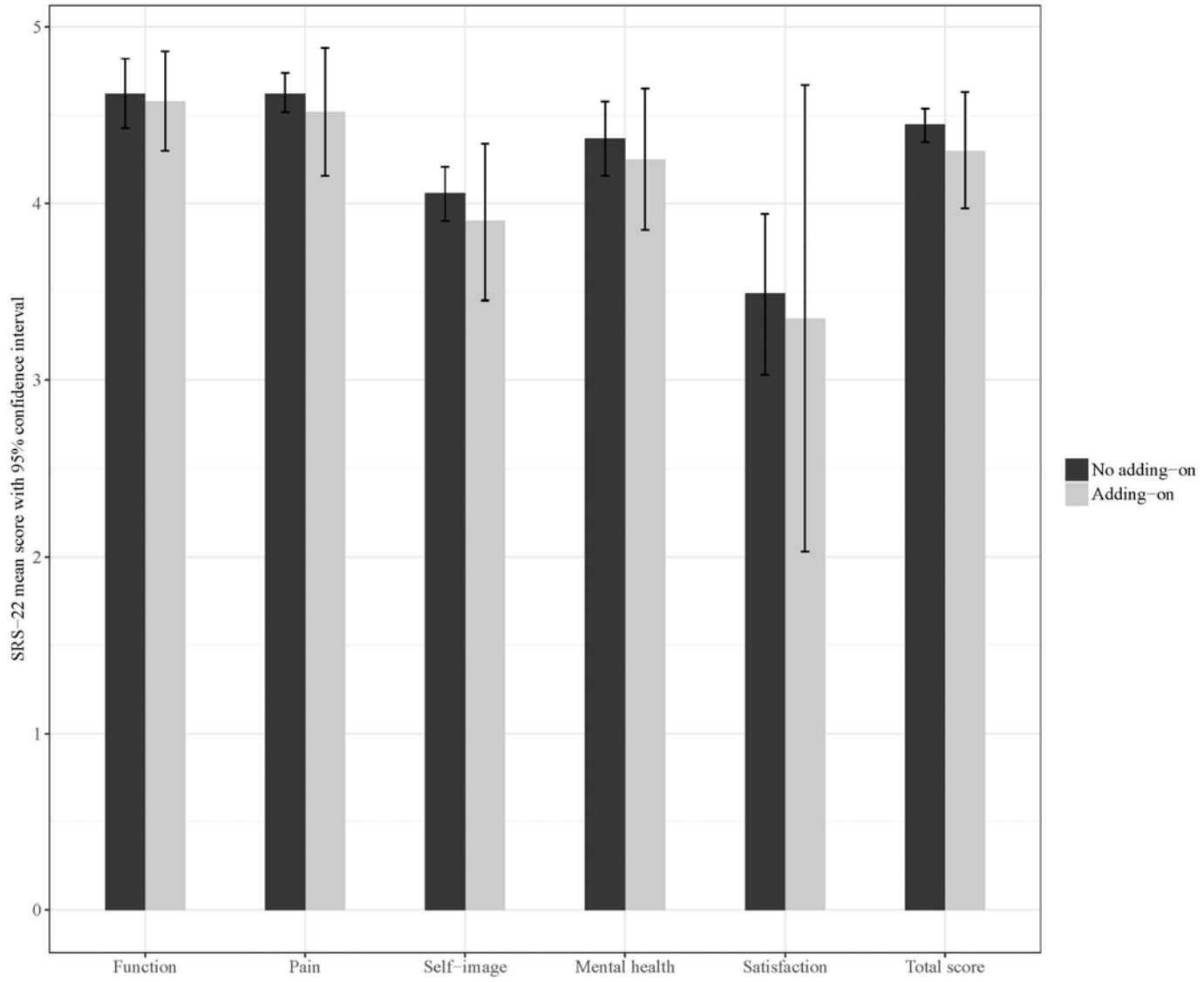




**Figure 1**



**Figure 2**



**Figure 3**

<b>Location of LIV</b>	<b>No. of total patients (%)</b>	<b>Adding-on at 2y follow-up, No</b>
LTV -2	10 (9)	3
LTV - 1	33 (30)	8
LTV	45 (41)	4
LTV +1	18 (17)	1
LTV +2	2 (2)	0
LTV +3	1 (1)	0
<i>LTV: Last touched vertebra</i>		

	<b>proxLTV<sup>1</sup> n = 43</b>	<b>atLTV<sup>1</sup> n = 45</b>	<b>distLTV<sup>1</sup> n = 21</b>	<b>p-value</b>
Age	14.1 ± 2.2	15.6 ± 4.0	15.4 ± 2.2	0.062
Risser grade, median [IQR]	4 [2-4]	4 [3-5]	4 [4-4]	0.056
Cobb angle, main curve, °	56 ± 10	59 ± 10	59 ± 10	0.304
Flexibility, main curve, %	67 ± 13	57 ± 12	48 ± 12	<b>&lt; 0.001</b>
Cobb angle, TLL curve	30 ± 8	32 ± 7	37 ± 6	<b>0.009</b>
Flexibility, TLL curve	73 ± 33	77 ± 27	77 ± 13	0.834
Apical translation, lumbar, mm	7 ± 5	9 ± 8	13 ± 6	<b>0.005</b>
Disc angle below intended LIV	4 ± 3	8 ± 5	11 ± 6	< 0.001
Global balance, mm	13 ± 9	11 ± 8	11 ± 8	0.448
Trunk shift, mm	30 ± 11	24 ± 14	16 ± 12	<b>&lt; 0.001</b>
Radiographic should height, mm	7 ± 6	8 ± 8	7 ± 7	0.565
<sup>1</sup> Mean ± standard deviation unless otherwise specified TLL: thoracolumbar/lumbar LIV: Lowest instrumented vertebra				

<b>Table 3. Two-year follow-up</b>				
	<b>proxLTV<sup>1</sup></b>	<b>atLTV<sup>1</sup></b>	<b>distLTV<sup>1</sup></b>	<b>p-value</b>
Cobb angle, main curve, °	20 ± 7	20 ± 7	21 ± 8	0.76
Curve correction, main curve, %	64 ± 12	66 ± 12	64 ± 11	0.790
Cobb angle, TLL curve, °	11 ± 7	12 ± 8	21 ± 8	<b>&lt; 0.001</b>
Apical translation, TLL curve, mm	6 ± 5	9 ± 7	18 ± 9	<b>&lt; 0.001</b>
Coronal balance, mm	9 ± 7	11 ± 9	19 ± 16	<b>&lt; 0.001</b>
Trunk shift, mm	6 ± 5	9 ± 7	15 ± 10	<b>&lt; 0.001</b>
Fusion mass shift, mm	16 ± 12	15 ± 15	11 ± 11	0.381
LIV distance from CSVL, mm	14 ± 10	8 ± 5	20 ± 14	<b>&lt; 0.001</b>
Radiographic shoulder height, mm	11 ± 8	12 ± 9	13 ± 9	0.607
Adding-on, No. (%)	11 (26)	4 (9)	1 (5)	<b>0.031</b>
<sup>1</sup> Mean ± standard deviation unless otherwise specified TLL: thoracolumbar/lumbar LIV: Lowest instrumented vertebra CSVL: Central sacral vertical line				

**Table 4. Potentially explanatory variables for distal adding-on. Post hoc analysis on the proxLVT group.**

	No adding-on <sup>1</sup> n=32	Adding-on <sup>1</sup> n=11	p-value
<b>Preoperative variables</b>			
Age, years	14.6 ± 2.1	12.9 ± 1.9	<b>0.024</b>
Risser grade, median (IQR)	4 (3-4)	2 (0-4)	<b>0.029</b>
Flex, %	66 ± 15	67 ± 6	0.856
Cobb angle , main curve, °	57 ± 11	53 ± 6	0.183
Cobb angle TLL curve, °	30 ± 8	32 ± 6	0.491
Trunk shift, mm	31 ± 10	26 ± 15	0.141
<b>Immediate postoperative variables</b>			
Curve correction, %	73 ± 12	75 ± 7	0.501
Cobb angle TLL curve, °	11 ± 8	10 ± 7	0.823
Trunk shift, mm	9 ± 7	9 ± 7	0.830
Coronal balance, mm	11 ± 9	9 ± 7	0.467
Fusion mass shift	13 ± 11	12 ± 10	0.959
Tl tilt, °	9 ± 6	10 ± 6	0.657
LIV_disc_angle, °	4 ± 3	3 ± 3	0.304
LIV distance from CSVL, mm	8 ± 6	7 ± 7	0.533
<sup>1</sup> Mean ± standard deviation unless otherwise specified TLL: thoracolumbar/lumbar LIV: Lowest instrumented vertebra			