

1 **Synergistic Cooling Effects (SCEs) of Urban Green-Blue Spaces on Local Thermal**  
2 **Environment: A Case Study in Chongqing, China**

3

4 **ABSTRACT**

5 Both green and blue space are found to be effective for urban heat mitigation and air quality  
6 improvement. However, studies on the Synergistic Cooling Effects (SCEs) of green-blue spaces  
7 are limited. This paper aims to investigate the SCEs of green-blue spaces in Chongqing, a typical  
8 hot humid city in China, through the field measurement and numerical simulation. First, air  
9 temperature and relative humidity over different land-use sites (forest, lawn, and impervious  
10 pavement) were measured with and without water simultaneously, from July to August, 2018.  
11 Experimental results revealed the SCEs of green-blue spaces were obvious in 7–12 m  
12 surrounding waterfront areas, where the mean air temperature reduction was 3.3°C higher than  
13 the sums of cooling effect of standalone water and forest. Additionally, an ENVI-met model was  
14 validated against the measured data before conducting simulation for the study area in five  
15 scenarios, including one control group with no trees and four greening cases with different Leaf  
16 Area Index (LAI) values to investigate the importance of green infrastructure on the waterfront  
17 thermal environment. Simulation results showed that a decrease of 1.0 LAI can lead to a reduction  
18 of average air temperature by 0.19–0.31°C, possibly owing to the enhanced ventilation flow.

19

20 *Keywords:* Synergistic Cooling Effects (SCEs); Urban Cool Island (UCI); Thermal environment;  
21 Green space; Blue space.

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25 **Nomenclatures**

<i>SCE</i>	Synergistic cooling effect	<i>LAD</i>	Leaf area density (m <sup>2</sup> /m <sup>3</sup> )
<i>UGI</i>	Urban green infrastructure	<i>LAI</i>	Leaf area index
<i>UBS</i>	Urban blue space	<i>L<sub>m</sub></i>	Maximal LAD
<i>UCI</i>	Urban cool island	<i>Z<sub>m</sub></i>	Height of the maximal LAD (m)
<i>UHI</i>	Urban heat island	<i>LBC</i>	Lateral boundary conditions
<i>CFD</i>	Computational fluid dynamic	<i>MCI</i>	Mean cool island intensity (°C)
<i>LST</i>	Local standard temperature (°C)	<i>RMSE</i>	Root means square error
<i>T<sub>a</sub></i>	Air temperature (°C)	<i>MAPE</i>	Mean absolute percentage error (%)
<i>T<sub>0</sub></i>	<i>T<sub>a</sub></i> derived from the nearby weather station located in an open space (°C)	<i>T<sub>Mi</sub></i>	Average <i>T<sub>a</sub></i> of five waterfront sites at each time (°C)
<i>RH</i>	Air relative humidity (%)	<i>N</i>	Total of verified sites
<i>T<sub>a, observed</sub></i>	Measured air temperature (°C)	<i>T<sub>a, observed</sub></i>	Measured air relative humidity (%)
<b>Subscript Symbols</b>			
<i>a</i>	Air	<i>m</i>	Maximum
<i>M</i>	Average	<i>i</i>	Number of site
<i>observed</i>	Measured data	<i>predicted</i>	Simulated data

26

27 **1 Introduction**

28 Continuous urbanization has led to urban ecological landscapes gradually undergoing  
 29 increasing spatial differentiation, contributing to intensified global warming and the creation of  
 30 urban heat islands (UHIs) [1][2]. Elevated concentrations of air pollution and extreme heat events  
 31 resulting from these phenomena lead to increases in peak-hour power-demands and other  
 32 ecologically adverse outcomes, as well as increases in heat-related morbidity and mortality of  
 33 urban residents [3][4]. The growing evidence that global warming is occurring and the desire to  
 34 reduce pollution have driven societies to take stringent action and reshaped public awareness  
 35 and behavior [5]. In this context, mitigation of both air pollution and the UHI effect is of high societal  
 36 value, and is thus an area of focus for governments. In response to recent changes in urban  
 37 microclimates and outdoor thermal comfort, a variety of measures and practices have been  
 38 proposed or developed – many of them nature-based – to improve thermal comfort and reduce  
 39 the UHI effect [6].

40 Urban green infrastructure (UGI) and urban blue space (UBS) are nature-based elements  
 41 of urban land use that play indispensable roles in improving the urban thermal environment

42 [7][8][9][10]. UGI provides surface heat-exchange structures that can be regulated to create urban  
43 cool islands (UCIs) through shadowing, photosynthesis, evapotranspiration, and air-movement  
44 shielding [11][12][13], while UBS lows air temperature by its large specific heat capacity and  
45 radiative characteristics (transmission, absorption, reflection, and atmospheric reverse radiation  
46 across the long-wavelength part of the radiation spectrum). UBS enables water surface energy to  
47 be transferred through conduction, convection, and advection with water bodies, and thus has a  
48 similar cooling effect as UGI in hot weather, alleviating the extreme heat in urban areas  
49 [14][15][16][17]. The cooling effect of UGI is influenced by structure, size, shape, vegetation types,  
50 and spatial distribution [18], while that of UBS is affected by wind direction, speed, size, urban  
51 geometry, and neighborhood building layout [19].

52 The UCI-generating potential of UGI and UBS has attracted increasing research attention  
53 and intensive discussion, with experiments focusing on the quantitative intensity of the UCI effect.  
54 In Nagoya, UGI and UBS induced an air temperature reduction of 1.9°C during the summer, and  
55 the cooling effect extended 300 m from the green space [20]. Wang and Akbari [21] found that  
56 UGI in Montreal could reduce air temperature by 4°C at tree level (i.e., 20 m above the ground),  
57 and by 2°C at greater heights (i.e., up to 60 m above the ground). Different water body types have  
58 various UBS cooling effects: for example, a 22-m-wide river was found to cool waterfront streets  
59 by 1.5–2.0°C [22], while other kinds of waterfront land use may be differently affected. Moreover,  
60 UBS-driven temperature changes can extend from a waterfront across impervious ground  
61 surfaces to 400 m, across lawn and bare land 362.5 m and 262.5 m, respectively, and through  
62 forest and farmland to 462.5 m [10]. Waterfront open space has also been found to boost the  
63 airflow and cooling effects of UBS [23]. For example, lakes are highly beneficial for urban wind  
64 farms [15]. Conversely, due to the heat storage capacity and thermal inertia of water, it warms  
65 more slowly than its surroundings during the day. Similarly, its speed of cooling is also slower at  
66 night, so that rivers and lakes cause the nocturnal temperature to rise in urban areas [24].  
67 However, the effects of UBS on the urban thermal environment are still poorly understood [13].

68 Both UGI and UBS can effectively reduce air pollution. With respect to the UGI, it has been  
69 shown that some air pollutants (e.g., SO<sub>2</sub>, O<sub>3</sub>, and NO<sub>x</sub>) are absorbed physically through the  
70 stomata of green plants or the leaf cuticles of plants and trees [25], or biochemically degraded by  
71 various metabolic processes in tree leaves [26]. Nowak et al. found that green plants removed  
72 238.4 tons/year of air pollutants in Tabriz, Iran [27]. In the context of UBS, air pollution is known  
73 to be negatively correlated with relative humidity, but positively correlated with temperature [28].  
74 Thus, evaporation from water bodies can also effectively reduce nearby air pollution, thereby  
75 accelerating the sedimentation of particulate matter and restraining the secondary transformation  
76 of precursors to particulate matter [29]. Additionally, the cooling effect of water leads to a  
77 temperature difference between lakes and surrounding land, which generates an offshore lake  
78 breeze [30].

79 The efficiency of urban land use is closely tied to local patterns of economic development,  
80 thus expanding the area of green and blue spaces to mitigate the UHI is highly infeasible in urban  
81 areas. The most practical strategy is instead to maximize the cooling effects of the existing blue  
82 and green spaces [31][32][33], however, only a few studies have focused on this approach. In  
83 recent years, the importance of synergistic cooling effects (SCEs) for green-blue space planning  
84 has been identified. To reap the full benefits of UCIs in highly urbanized areas, a balance must  
85 be found between the requirements of green and blue infrastructural elements [14][34]. To this  
86 end, several optimized methods have been proposed for designing green-blue spaces to  
87 maximize UCIs in large-scale urban areas, including integrating the eco-systems of green lands  
88 and water bodies, limiting riparian development, creating artificial water bodies within parks,  
89 studding older districts with green land, setting green bands along roads in the prevailing wind  
90 direction, and adding “wedges” of green land on the edge of cities [10][31].

91 However, despite extensive discussions on the capacity of urban blue and green spaces to  
92 mitigate UHI, four essential long-term aspects have not been well addressed, as follows. (1)  
93 Although previous studies have focused on the UCI effect and the factors affecting standalone

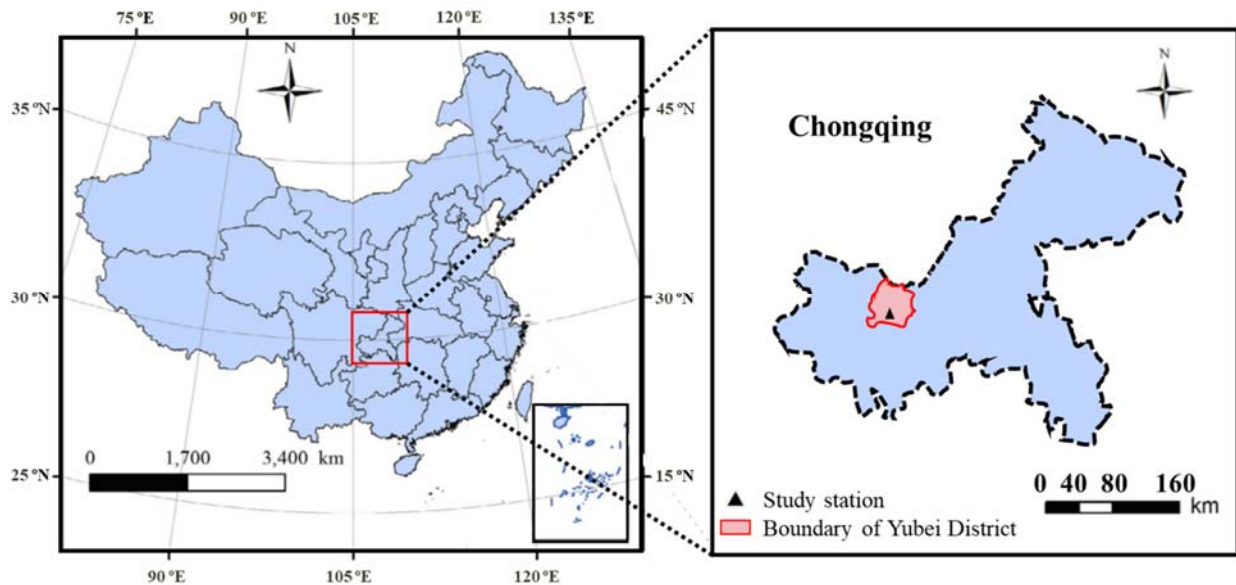
94 green [11][12][18][33][35] and blue spaces [19][23][31][36], there have been very few  
95 observational studies of the integrated synergistic dynamics of co-existing green and blue spaces  
96 [37]. (2) Researchers have mainly focused on large-scale rivers and lakes and have proposed  
97 frameworks for urban green-blue space planning based on these results, in line with theories of  
98 landscape ecology and urban planning [1][31][37], but have neglected quantitative examination  
99 of how dynamic SCEs of micro-scale green-blue spaces can mitigate the UHI in regional thermal  
100 environments. (3) The scope of the UCI effect has been investigated [10], but the spatiotemporal  
101 variations of heat due to different types of waterfront land-use have not. (4) Few studies have  
102 explored the relationship between the tree species present in waterfront green infrastructure and  
103 the UCI effect, despite the fact that the UCI effect is known to be species-specific [33][38].

104         Against this background, this study is focused on quantifying the SCEs of urban green and  
105 blue spaces on the local thermal environment in a hot-humid climate by on-site measurements  
106 and computational fluid dynamics (CFD) simulations. Specifically, this study comprises: (1)  
107 investigation of the spatiotemporal variations between common land-use types (i.e., impervious  
108 surfaces, forest, and lawn) with and without water based on integrated synergistic dynamics  
109 observations; (2) quantification of the SCEs of urban blue and green spaces and measurement  
110 of the spatiotemporal mitigation of summer surface UHIs due to different land-use combinations;  
111 (3) utilization of a validated ENVI-met model to qualify the effect of different tree species in a  
112 waterfront forest on the SCE of green-blue space.

113         These key tasks were addressed by co-modeling the thermal benefits of green-blue space  
114 design with the effects of all other ecosystem services. The outcomes of this study will serve as  
115 a realistic reference for theory as well as practice in urban and regional planning regarding urban  
116 water bodies and landscape design. Additionally, they will contribute to further reducing air  
117 pollution, mitigating the UHI effect, and improving thermal comfort for urban residents.

118 **2 Study area**

119 This study was conducted in Palm Springs International Garden (29.72°N, 106.63°E), No.  
120 88, Jinkai Avenue, Yubei District, central Chongqing, China, as shown in Figure 1. The garden  
121 covers an area of approximately 1,200 acres, including approximately 160 acres of blue space.  
122 Although most of the site consists of open space with a relatively large water area, the terrain is  
123 undulating and the site is predominantly downwind of a high barrier formed by a mountain and  
124 several buildings, which may have a significant effect on the surrounding wind environment.  
125 Chongqing has a hot-humid climate with wet but very hot summers, and mild and wet winters,  
126 such that the relative humidity is greater than 70% in all months: the mean annual relative humidity  
127 is 78.9% and the maximum relative humidity is 85.9%, in December [39]. The annual mean  
128 temperature is 18.6°C and the maximum outdoor air temperature is up to 28.5°C higher in summer  
129 than in winter, ranging from approximately 7.5°C in December to 35.8°C in June. Solar radiation  
130 is much greater in summer, ranging from 121.2 W/m<sup>2</sup> in January to 558.8 W/m<sup>2</sup> in September  
131 [40][41].



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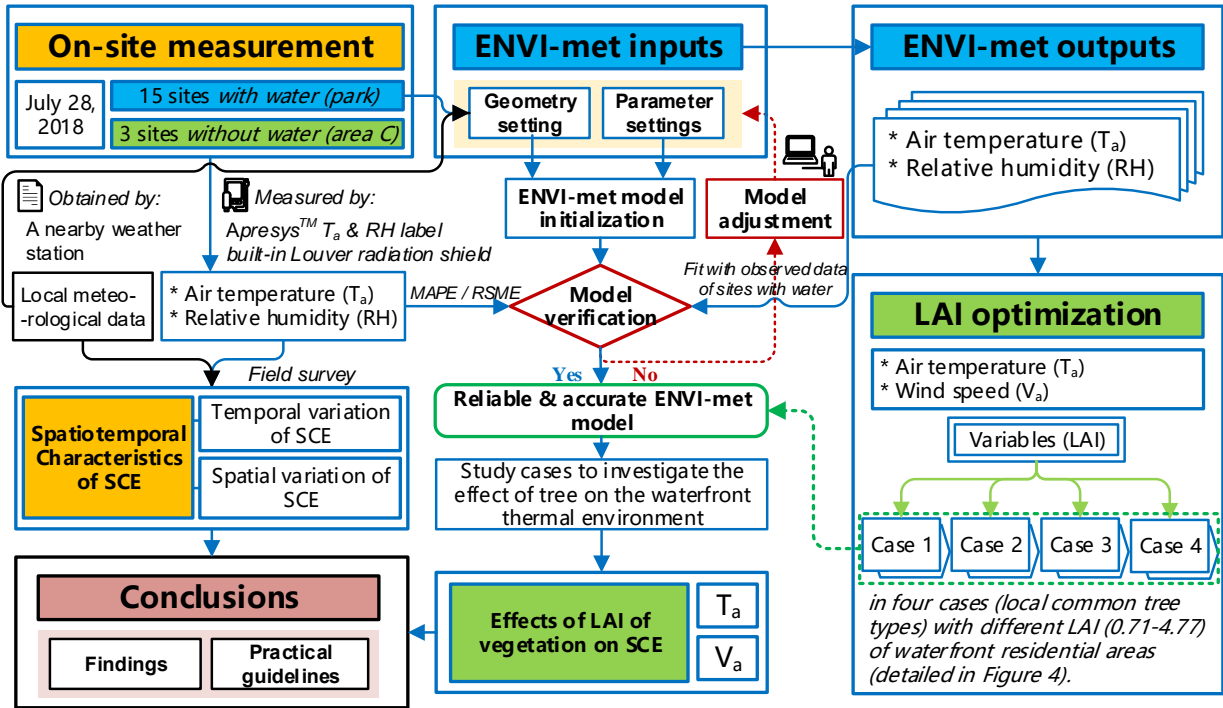
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Figure 1. Location of study area and meteorological station.

### 134 **3 Methodology**

#### 135 **3.1 Methodological framework**

136         The methodological framework of this study is shown in Figure 2. The study area contained  
137 18 observation sites, comprising 15 sites with water and three without water (Figure 3). We  
138 conducted a field survey to characterize the built environment of the study area (i.e., the  
139 landscape cover and land use, i.e., green and blue infrastructure, impervious pavement, buildings,  
140 and constructions) and then built and parameterized the models using ENVI-met V4.4. On-site  
141 measurements of the park and area C (Figure 3) were used to calculate the spatiotemporal  
142 characteristics of the SCE. Furthermore, the observed air temperature ( $T_a$ ) and relative humidity  
143 (RH) were compared with the results predicted by ENVI-met to validate the simulation  
144 performance. Additionally, the leaf area index (LAI) parameters of four locally common tree  
145 species were added to the database of ENVI-met, and simulations including each of these four  
146 species were conducted to predict how the LAI of vegetation affected the waterfront thermal  
147 environment. The simulated results were compared with those from the control group, which  
148 comprised a simulation of the basic case devoid of the landscape parameters of waterfront areas.  
149 Finally, conclusions were drawn and practical guidelines for landscape designers and  
150 policymakers were prepared.



151

152

Figure 2. Flow chart of the overall study.

153 **3.2 On-site measurement**

154 To investigate the SCEs of urban blue and green spaces on the UHI, microclimate, and

155 outdoor thermal comfort in a representative urban environment in summer, field observations

156 were simultaneously carried out in a low-density residential neighborhood and an urban park. The

157 observations were made during clear and windless days from July 1 to September 1, 2018.

158 According to previous studies, the mitigating effects of water evaporation on the  $T_a$  and RH

159 generally extend to a distance of 20.0 m from a blue-space border [42]. Sites 1–5 (in “Park” area),

160 6–10 (in area A), and 11–15 (in “Park” area) were located on a waterfront pavement of impervious

161 material, or in a forest, or on a lawn, all of which contained additional water features, while sites

162 16, 17, and 18 (in area C) were on a pavement, or in a forest, or on a lawn, all without additional

163 water features (Figure 3). The testing sites were arranged according to the *JGJ/T 347-2014*

164 *Standards for Testing Methods of Building Thermal Environment* and relevant provisions of the

165 *Code for Surface Meteorological Observations*.



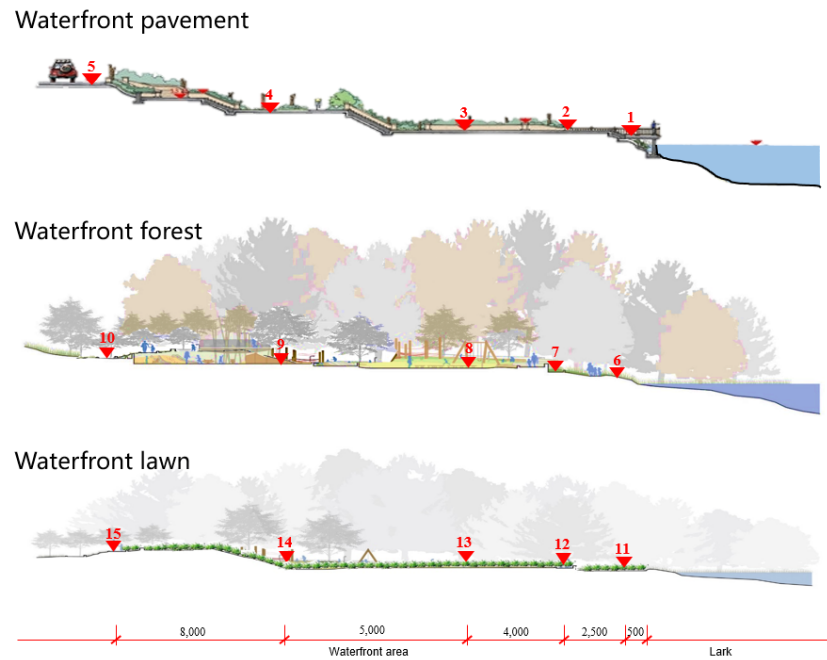


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167 Figure 3. The location and general view of the study area (A represents the waterfront low-rise  
 168 residential building area, B represents the waterfront villa area, and C represents the high-rise  
 169 building area).

170 The on-site measurements were simultaneously performed at the pedestrian level (i.e., 1.5  
 171 m above the ground) using micrometeorological stations equipped with Louver radiation shields,  
 172 where these stations contained a built-in thermistor temperature sensor connected to a data  
 173 logger with an accuracy of 0.1°C along the measurement route. The  $T_a$  of each site was  
 174 automatically recorded at 5-min recording intervals. Sites 1–15 were located on the waterfront  
 175 pavement, or in a forest, or on a lakefront lawn at distances of 0.5 m, 3.0 m, 7.0 m, 12.0 m, and  
 176 20.0 m from the lake. Figure 4 shows the layout of the three sets of measurement points in the  
 177 eco-park, and Table 1 illustrates the landscape parameters for each individual measurement site  
 178 within the study area. Each set of measurement points was arranged radially, according to the  
 179 direction tangential to the contour of the water body, although the actual arrangement of the  
 180 measuring equipment was partly dictated by the greatly undulating ground. Wind speed and

181 direction were obtained from the micrometeorological stations nearest the measurement sites. In  
 182 most cases, the wind did not change significantly during any given measurement session.



183  
 184 Figure 4. Profile of SCE measuring points and the general study area.

185 Table 1. Landscape parameters for each individual measurement site of study area

Site	Area	Distance from water (m), or location type	Landscape cover	With or without water
1		0.5		
2		3.0	Mainly concrete pavement, a few trees	
3		7.0		
4		12.0		
5		20.0		
6		0.5		
7	Park	3.0	Residential street with greenery, urban park with lush forest	With water
8		7.0		
9		12.0		
10		20.0		
11		0.5	A few trees and pavements, mainly grass cover	
12		3.0		
13		7.0		
14		12.0		
15		20.0		
16	Area C	Concrete pavement	Concrete pavement	Without water
17		Tree	Tree	
18		Lawn	Lawn	

186 Due to the large daily fluctuations of  $T_a$ , the data at 09:00, 14:00, 19:00, and 23:00 were  
187 selected to represent morning, afternoon, evening, and night time, respectively. In this study area  
188 containing a large lake, the different types of lakefront ground surface were widely distributed,  
189 and had markedly different cooling effects. Thus, to explore the effect of the waterfront  
190 environment on the UHI of the existing residential area in Chongqing, we compared the values of  
191 mean cool island intensity (MCII) to illustrate the cooling effect caused by different waterfront  
192 surfaces, as expressed in Equation (1) [7]:

$$193 \quad MCII = T_0 - T_{Mi} \quad (1)$$

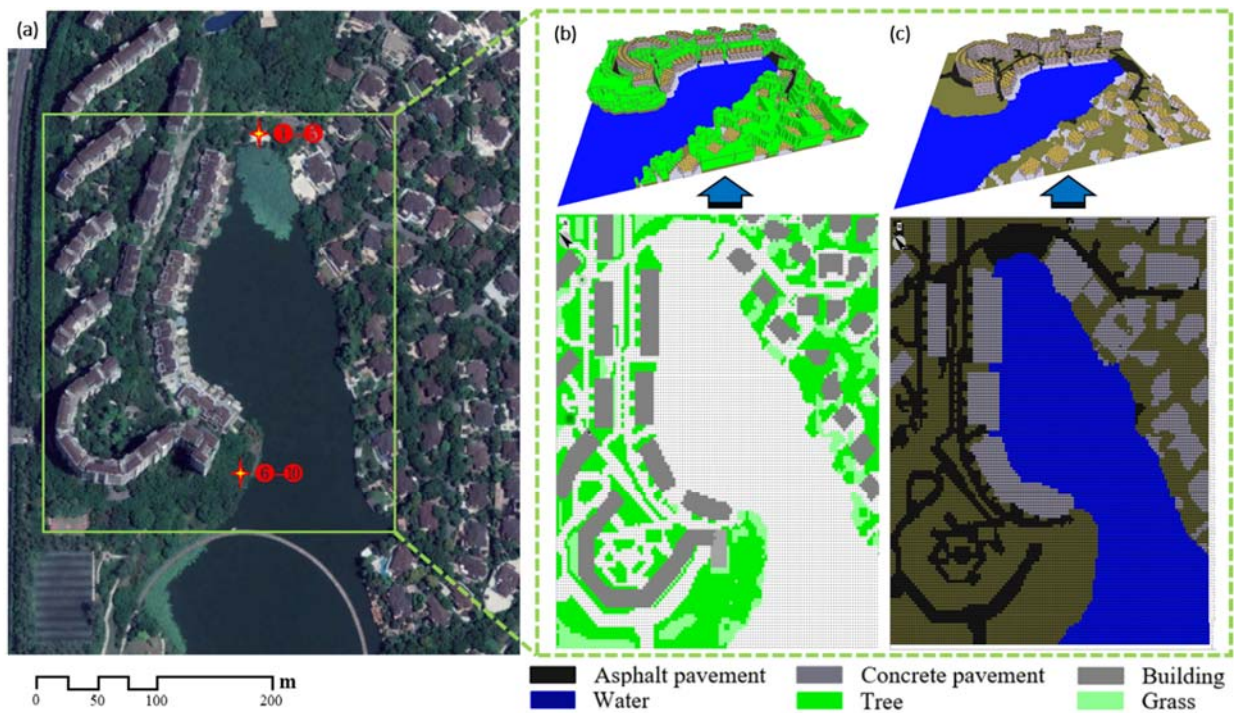
194 where  $T_0$  represents the  $T_a$  derived from the nearby weather station located in an open space and  
195  $T_{Mi}$  represents the average  $T_a$  of five waterfront sites at each time.

196 In addition, the correlation between the distance to the park and  $T_a$  was examined to clarify  
197 the total SCEs of the waterfront pavement, forest, and lawn on the surrounding area. Finally, the  
198 individual SCE was estimated by subtracting the cooling effect of water and land-types from the  
199 total SCEs. Here, the cooling effect of water was calculated as the difference between pavement  
200 sites with and without water, and the cooling effect of standalone land-type was calculated as the  
201 difference between pavement sites with and without water.

### 202 **3.3 ENVI-met simulation**

203 To investigate the local influence of different waterfront tree species on the waterfront  
204 thermal environment, the ENVI-met model v4.4.1 was used to simulate the microclimate of the  
205 Chongqing residential area containing the observation sites, based on synergistic dynamics.  
206 Figure 5 illustrates the simulation domain for field measurement and numerical modeling. ENVI-  
207 met uses statistically significant differences to estimate and compare the functions of underlying  
208 urban surfaces, buildings, and other infrastructure by combining the fundamental laws of fluid  
209 dynamics and thermodynamics into a holistic three-dimensional numerical model for urban  
210 microclimate simulation. The model can be updated dynamically according to thermal conditions,

211 and the driving forces of wind and solar radiation [43][44][45]. Additionally, the new ENVI-met  
 212 model contains specialized 3D vegetation geometries and a “forestpass” function, allowing  
 213 description of detailed forms, distributions, energy and mass balance of vegetation, and the  
 214 turbulence properties of leaves. ENVI-met has been widely applied in evaluating the effects of  
 215 urban green and blue spaces on the microclimate and thermal comfort in cities, and its performance  
 216 has been validated in many places. Importantly, adjustments for the local vegetation geometries  
 217 and underlying surface materials have been shown to further improve the model’s effectiveness  
 218 [46][47][48].



219  
 220 Figure 5. Geometric map and ENVI-met models of the study area and field measurement sites,  
 221 where these are: (a) the chosen sites; (b) the land-use map of the study area in ENVI-met, and  
 222 (c) a model without vegetation and other landscape parameters (control group).

### 223 3.3.1 Model setup and initialization

224 The model domain had an area of 198,400 m<sup>2</sup> (620 m × 320 m) and was modeled up to a  
225 vertical height of 90 m. The domain was characterized by densely placed low-rise residential  
226 buildings (on the west side of the lake), sparsely placed villas (on the east side of the lake), three  
227 impervious main roads, several side pavements, several types of vegetation, and a lake between  
228 two groups of buildings (Figure 5). The study area within this domain was divided into 120 × 140  
229 square grids with 2.5 m resolution on the horizontal surface and 30 vertical telescoping grids with  
230 an initial resolution of 1.5 m and an extension factor of 20%. To minimize boundary effects, five  
231 nested grids were added to move the horizontal boundary away from the study area to ensure  
232 stability of the simulation. In addition, the model area was rotated 315° north, away from the grid,  
233 so that most façades were aligned parallel to the model structure.

234 Three-dimensional geometric information, dimensions of green and blue spaces and  
235 buildings, and a soil database were derived from Google Earth™ satellite images (captured on  
236 August 24, 2018), and on-site measurements. The building properties in the model were set up  
237 according to *JGJ26-2010 Design Standard for Energy Efficiency of Residential Buildings in*  
238 *Severe Cold and Cold Zones* (Table 2). The properties of urban natural elements and artificial  
239 surfaces are also shown in Table 3 [48][49]. Note that the original vegetation geometries built into  
240 ENVI-met relate to commonly found plants in high latitude countries such as Germany, with large  
241 leaves and high leaf area density (LAD). Zheng [50] summarized the commonly found plants in  
242 hot and humid areas of China (comprising six deciduous broad-leaved forests and nine evergreen  
243 broad-leaved forests in Chongqing) and found that the forests in these areas featured plants small  
244 in size and low in LAI (<5.0). In this study, therefore, seven typical plant species representative  
245 of each broad type of vegetation were chosen. Table 4 presents the properties of the dominant  
246 plant species in the simulation area. Aside from the height, crown diameter, albedo, and  
247 transmittance of a plant species, the LAD is a key parameter to describe the leaf distribution in  
248 the vertical direction. To estimate the morphological characteristics and energy balance of these

249 typical plants, the ENVI-met model was used to divide the plants into 10 layers and analyze the  
 250 LAD in each layer by the following equation (Equation (2)):

$$251 \quad LAI = \int_0^h L(z) dz = \int_0^h L_m \left( \frac{h-z_m}{h-z} \right)^n \exp \left[ n \left( 1 - \frac{h-z_m}{h-z} \right) \right] dz, n = \begin{cases} 6, 0 \leq z \leq z_m \\ 0.5, z_m \leq z \leq h \end{cases} \quad (2)$$

252 where  $L_m$  represents the maximal LAD and  $Z_m$  represents the height of the maximal LAD, m.

253 Table 2. Attributes of building roofs and walls

	Thickness (m)	Albedo (%)	Emissivity (%)	Heat capacity (J/kg·K)	Conductivity (W/m·K)	Density (kg/m <sup>3</sup> )	Roughness (m)
Roof	0.30	0.5	0.9	1300	0.84	1900	0.02
Wall	0.30	0.3	0.9	1050	0.81	1800	0.02

254 Table 3. Attributes of ground profiles

Material	Thickness (m)	Heat capacity [L/(m <sup>3</sup> ·K)·10 <sup>-6</sup> ]	Heat conductivity (W/m·K)	Roughness (m)	Albedo (%)	Emissivity (%)
Soil		1.21	0.00	0.02	0.20	0.98
Water	3.00	0.00	0.00	0.01	0.00	0.96
Impervious	0.3	2.25	1.05	0.01	0.20	0.90

255 Table 4. The physical parameters of the plants within the study area

Type	Height (m)	Crown diameter (m)	LAI	Albedo (%)	Transmittance (%)
<i>Ficus microcarpa</i>	8.09	0.30	4.77	28.02%	9%
<i>Trachycarpus fortunei</i>	10.42	0.63	4.53	27.23%	11%
<i>Magnolia grandiflora</i>	6.75	0.14	2.83	27.61%	7%
<i>Bauhinia purpurea</i>	8.79	0.31	4.41	31.12%	8%
<i>Platanus orientalis</i>	11.34	0.57	0.71	29.32%	5%
<i>Ligustrum compactum</i>	5.51	0.20	2.19	30.01%	12%
<i>Cerasus yedoensis</i>	4.15	0.25	1.46	22.39%	13%

256  
 257 Moreover, to eliminate numerical instability, forced lateral boundary conditions were used to  
 258 initialize the model. The meteorological data from on-site measurements and a nearby weather  
 259 station were derived to initialize the simulation and to force hourly temperature and humidity  
 260 profiles. Other relevant parameters were configured in accordance with the actual field status, as  
 261 shown in Table 5. Initial wind direction and speed were set as 315° and 1.5 m/s, respectively. To  
 262 overcome the influence of the initialization (6 h) and to reduce the computation time, the



263 simulations were started on a typical summer day, July 28, 2017, at 00:00 am for a continuous 24  
 264 h period.

265 Table 5. Initial parameters values for ENVI-met simulation.

Parameters	Definition	Value
Meteorological data	Wind speed, 10 m above ground (m/s)	1.5
	Wind direction (0°: N; 90°: E; 180°: S; 270°: W)	315°
	Roughness length ( $z_0$ ) at reference point	0.01*
	Initial temperature of atmosphere (K)	Simple force
	Specific humidity at 2500 m (g/kg)	15.48*
	Relative humidity at 2 m (%)	94
	Initial soil temperature (K)	239
Lateral boundary conditions (LBC)	Initial soil relative humidity (%)	60
	LBC for temperature and humidity	Forced
	LBC for turbulence	Cyclic

266 Note: asterisked (\*) parameters were sourced from the default values of ENVI-met v4.4.1.

### 267 3.3.2 Case studies

268 Five scenarios (Scenarios 1–5, comprising Cases 1–4 and the control group) were  
 269 examined to investigate the effects of plants with different LAIs on waterfront microclimates and  
 270 thermal comfort. The control group (i.e., the basic model, e.g., Figure 5 (c)) was configured without  
 271 the vegetation inside the park region. Consequently, four simulation cases with vegetation were  
 272 performed and the relevant microclimate data ( $T_a$  and wind speed) were obtained at 1.5 m from  
 273 the ground. Figure 5 shows the spatial arrangement and LAI values of the five scenarios within  
 274 the model domain. Four plant types (*Ficus microcarpa*, *Trachycarpus fortunei*, *Magnolia*  
 275 *grandiflora*, and *Platanus orientalis*) were selected from Table 4. These four species of tree have  
 276 relatively small differences in height, crown diameter, albedo, and transmittance, and thus the  
 277 average values for each of these parameters (i.e., 9.15 m, 0.36 m, 28.04%, and 8.00%), were  
 278 used for all four species. However, the four species differed significantly in LAI, and the  $T_a$  and  
 279 RH differences between the tree types in both horizontal and vertical domains were estimated by  
 280 Leonardo, the management function in ENVI-met for 3D microclimate simulation results.  
 281 Accordingly, the net cooling effect and wind speed reduction in cases 1–4 were calculated as the

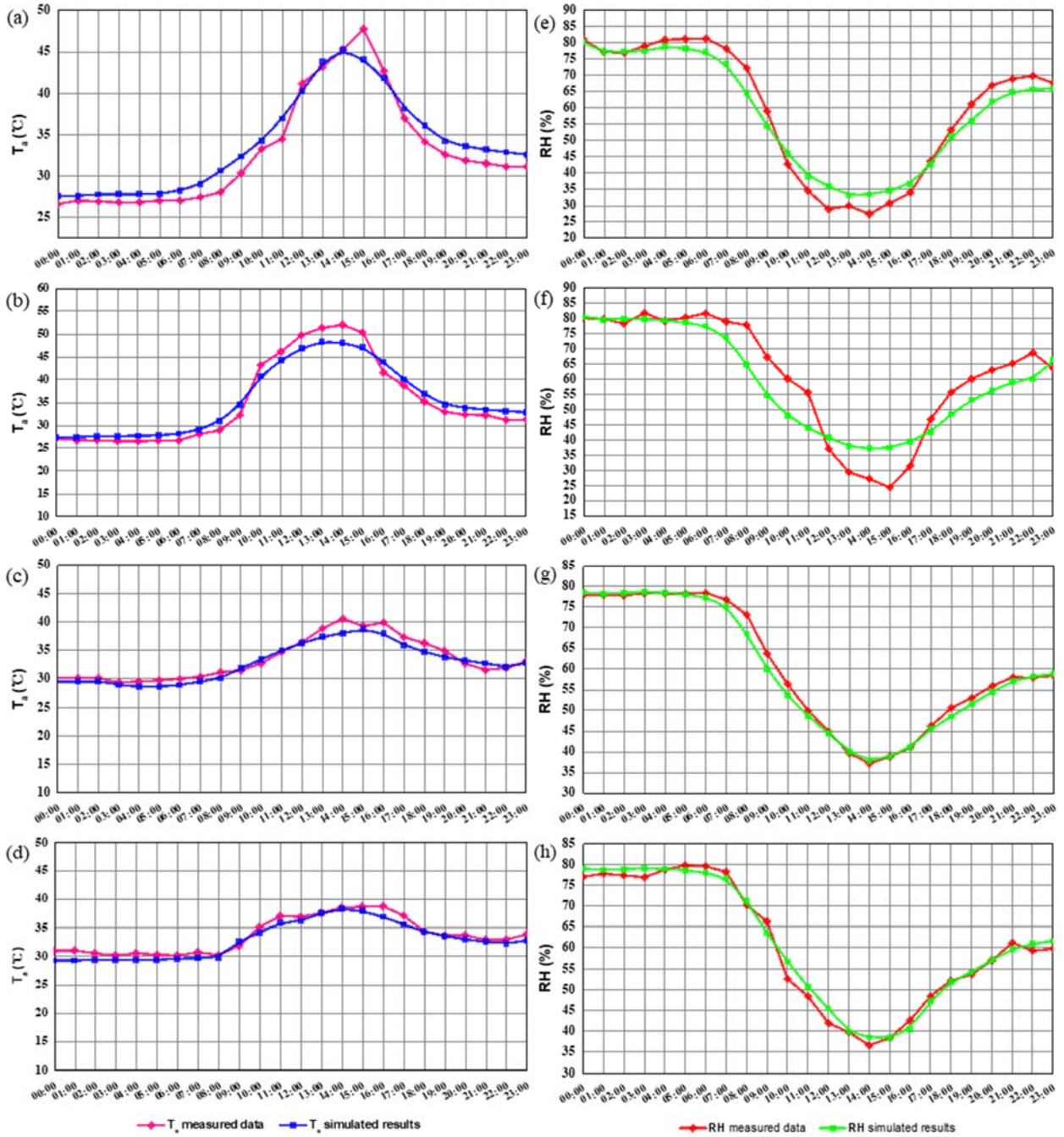
282 difference between the measured values for these two parameters in cases 1-4 and the simulated  
283 values for the control group.

## 284 **4 Results and discussion**

### 285 **4.1 Model validation**

286 Tsoka summarized 189 studies in which various climatic areas across the world were  
287 examined utilizing ENVI-met, and concluded that the model maintained high accuracy and  
288 reliability across diverse regions [51]. The ENVI-met simulations in this study area were  
289 conducted on the hottest day of the study period, i.e., July 28, 2018. To account for the  
290 complicated effects of underlying surface conditions, the  $T_a$  and RH from four representative sites  
291 1.5 m above the ground – i.e., at site 1 (impervious pavement close to lake), site 5 (impervious  
292 pavement 20 m away from lake), site 6 (a plant close to lake), and site 10 (a plant 20 m away  
293 from lake) – were extracted for comparison with the measured data at these four sites.





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Figure 6. Comparison between measured and simulated values of (a)–(d)  $T_a$ , and (e)–(h) RH from 00:00 to 24:00 on July 28, where (a) and (e) are values for representative site 1, (b) and (f) are values for representative site 5, (c) and (g) are values for representative site 6, and (d) and (h) are values for representative site 10.

299 Figure 6 compares the measured  $T_a$  and RH at the four sites and the corresponding model  
 300 results from 00:00 to 24:00 on July 28. The ENVI-met estimation outputs for the  $T_a$  are in good  
 301 agreement with the observed value at each site, as shown in Figure 6 (a)–(d).  $T_a$  peaks in the  
 302 afternoon: between 14:00 and 15:00, the observed  $T_a$  is between 47.7°C and 51.8°C, compared  
 303 with ENVI-met-predicted values of 47.3°C and 47.8°C, at pavement sites 1 and 5, respectively.  
 304 At sites 6 and 10, the measured  $T_a$  is between 29.3°C and 40.5°C and the ENVI-met values are  
 305 38.2°C and 38.7°C, respectively. Furthermore, as seen in Figure 6, good agreement also exists  
 306 between the observed and the ENVI-met-generated RH results. RH reaches a maximum at  
 307 approximately 06:00 for pavement sites 1 and 5, where the observed RH values range from 81.4–  
 308 81.8% compared with ENVI-met estimations of 77.2–77.6%, while for sites 6 and 10 the observed  
 309 RH values are 70.1–78.8% versus 70.1–79.0% as ENVI-met values.

310 To further evaluate the accuracy of the simulation, the root-mean-square error (RMSE) and  
 311 mean absolute percentage error (MAPE) were selected to evaluate the validity of the simulating  
 312 models. RMSE and MAPE are calculated by following equations:

$$313 \quad RMSE = \sqrt{\frac{1}{N} \sum_{t=1}^N (T_{a,observed} - T_{a,predicted})^2} \quad (3)$$

$$314 \quad MAPE = \sum_{t=1}^N \left| \frac{RH_{observed} - RH_{predicted}}{RH_{observed}} \right| \times \frac{100}{N} \quad (4)$$

315 These two indexes are used to measure the deviation between the observed value and  
 316 predicted value, which can better reflect the actual situation of the error of predicted value. Lower  
 317 values of RMSE and MAPE are indicative of better model performance. According to previous  
 318 research on the accuracy of the ENVI-met model, an acceptable performance requires a  $T_a$  RMSE  
 319 < 1.31–1.63°C, and an RH MAPE < 5.00% [51][52]. Table 6 presents the RMSE and MAPE for  
 320 ENVI-met in the studied area. Overall, a strong agreement was found between the simulated  
 321 results and the observed data, with RMSE and MAPE ranges of 1.02–1.95°C and 1.86%–4.94%,  
 322 respectively.

323

Table 6. RMSE and MAPE of the ENVI-met model in the study area.

Error indices	Site	T <sub>a</sub> (°C)	RH (%)
RMSE	1	1.58	
	5	1.95	
	6	1.12	
	10	1.02	
MAPE	1		4.94
	5		6.45
	6		1.86
	10		2.57

324

The ENVI-met microclimate model has been validated in a wide range of regions and cities [53][54][55]. In this study, ENVI-met was validated in Chongqing by comparing the measured data with the simulated results, and the accuracy and reliability were again estimated by RSME and MAPE. Although the error indices indicated an overall qualitative agreement with the measurements, the predicted T<sub>a</sub> of all four sites were lower than the observed data in the daytime. Nonetheless, these values fell within the error band of the measured data (Figure 6). A similar trend was reported by Toudert [43]. Furthermore, the simulated results predicted the maximum T<sub>a</sub> would occur approximately 1 h earlier than was actually observed (Figure 6). Notably, the prediction of RH has been identified as a common weakness of ENVI-met in many studies [52]. This implies the existence of flaws in the radiation model of ENVI-met, making it likely that the long-wave radiation from ground objects and the thermal stability of each underlying surface type in the study area would not be accurately simulated. This proved to be the case, with particularly large errors evident in data from site 5. We propose that the observed data were influenced by anthropogenic heat discharge, including human body-heat emission and heat from transportation modes, causing higher T<sub>a</sub> and lower RH than predicted by ENVI-met. The simplified assumptions of the model may also have contributed to these errors.

340

## 4.2 Local thermal environment over green and blue spaces

341

### 4.2.1 Comparison of T<sub>a</sub> profiles with and without blue spaces

342

Figure 7 compares the T<sub>a</sub> trends between all sites with and without water on the hottest day, i.e., July 28, 2018. As expected, impervious pavement, which is one of the most common

343

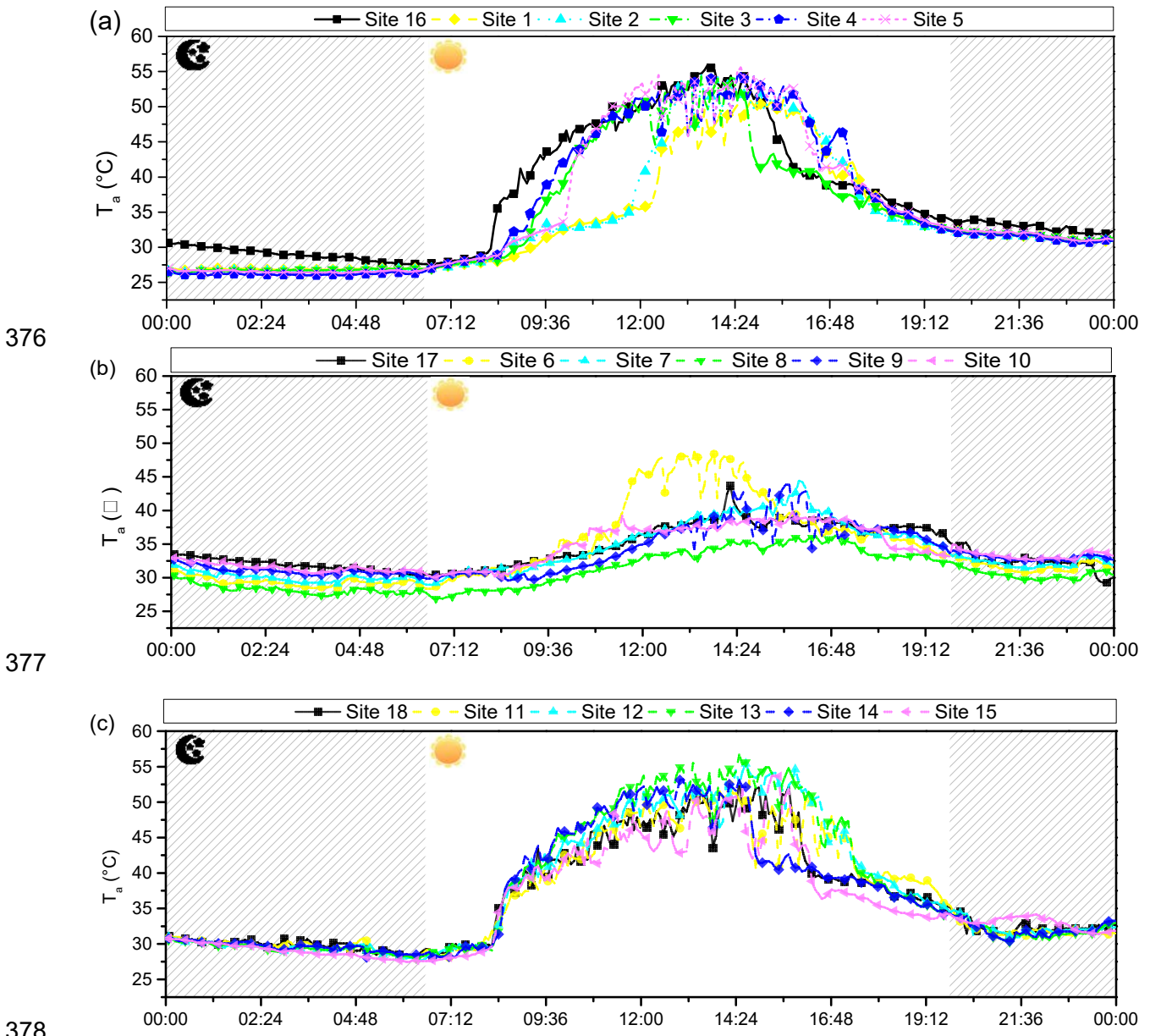
344 underlying surfaces in urban areas, possessed the highest daytime mean  $T_a$  of 35.7–37.0°C,  
345 while the dense forest yielded the strongest cooling effect with a mean  $T_a$  of 30.6–34.1°C. The  $T_a$   
346 range of the lawn sites was 35.7–37.8°C, these  $T_a$  are hotter than those for impervious pavement.

347 As is shown in Figure 6 (a), the warming effect of the unshaded pavement (site 16) is  
348 obvious: the daytime mean and maximum  $T_a$  are respectively 1.6–2.1°C and 1.7–5.3°C hotter  
349 than the observed data of the waterfront pavement sites 1-5. Additionally, the  $T_a$  at site 16  
350 increases markedly from 8:00 to 12:00 and reaches a maximum at 14:00, while the  $T_a$  of waterfront  
351 sites reaches a maximum half an hour later. In contrast, the cooling effect of water evaporation  
352 significantly lowers the  $T_a$  of the waterfront pavement, an effect that gradually weakens with  
353 increasing radial distance. The maximum and mean  $T_a$  of waterfront pavement sites shows an  
354 upward trend, with a sharp increase from 50°C and 33.6°C at site 1 to 54.8°C and 35.7°C at site  
355 5, respectively. At night these differences in  $T_a$  increase to 0.9–2.0°C and peak at 2.0–4.5°C.

356 At the waterfront urban forest sites 6–10 and the forest-only site 17, Figure 7 (b) shows that  
357 the  $T_a$  remains stable between 27.6 and 31.1°C at night, and then reaches a maximum at 14:30–  
358 15:00. Site 6 possesses the highest mean and maximum  $T_a$  of any waterfront forest site. It must  
359 be noted that site 6 was very close to the lake (i.e., 0.5 m away), where few trees were available  
360 for shading due to tree-felling activity. Accordingly, the mean and maximum  $T_a$  of site 6 are 36.6°C  
361 and 52.9°C, respectively, approaching those of the overall hottest site, site 16 (56.3°C and 37.0°C,  
362 respectively) and the second-hottest site, site 1 (51.0°C and 33.6°C).

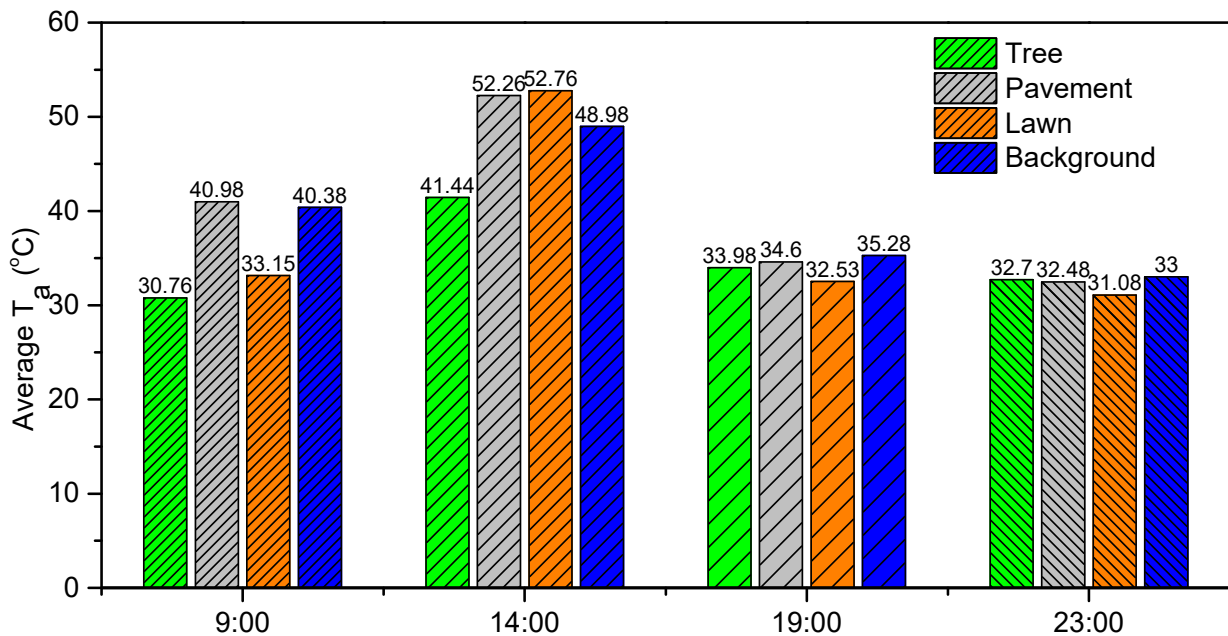
363 The differences in  $T_a$  between forest areas with and without water remained large  
364 throughout the day, with a daytime mean  $T_a$  difference of -1.5–3.9°C, and a daytime maximum  $T_a$   
365 of -13.6–5.6°C. Compared with forest-only sites, waterfront forest sites, excluding site 6, exhibited  
366 stronger cooling effects; the greatest cooling effect of 27.2–32.9°C was observed at site 8 (7 m  
367 from the lake), followed by site 7 (30.2–39.2°C), site 9 (30.8–38.5°C), and finally site 10 (31.1–  
368 37.8°C). A similar  $T_a$  variation between sites 10 and 17 demonstrated the importance of the  
369 evaporative cooling effect, which extended 20 m from the waterfront.

370 In contrast, the mean daytime  $T_a$  of the waterfront lawn, containing sites 11–15, was 0.8–  
 371 2.1°C higher than that of the non-waterfront lawn site 18, and this difference increased to 2.6°C  
 372 when compared with the daytime maximum  $T_a$ . The increase in  $T_a$  of waterfront lawn sites can be  
 373 attributed to the presence of sunburnt grass and the sensible heat exchange effect of water, as  
 374 the lake accumulates considerable heat in the daytime and then releases it after 15:00. Therefore,  
 375 the waterfront lawn was 2.3–3.8°C hotter than the non-waterfront lawn between 15:00 and 20:00.



379 Figure 7. Comparison of  $T_a$  temporal changes between the observation sites with and without  
 380 water on July 28, 2018: (a) pavement sites; (b) forest sites; and (c) lawn sites.

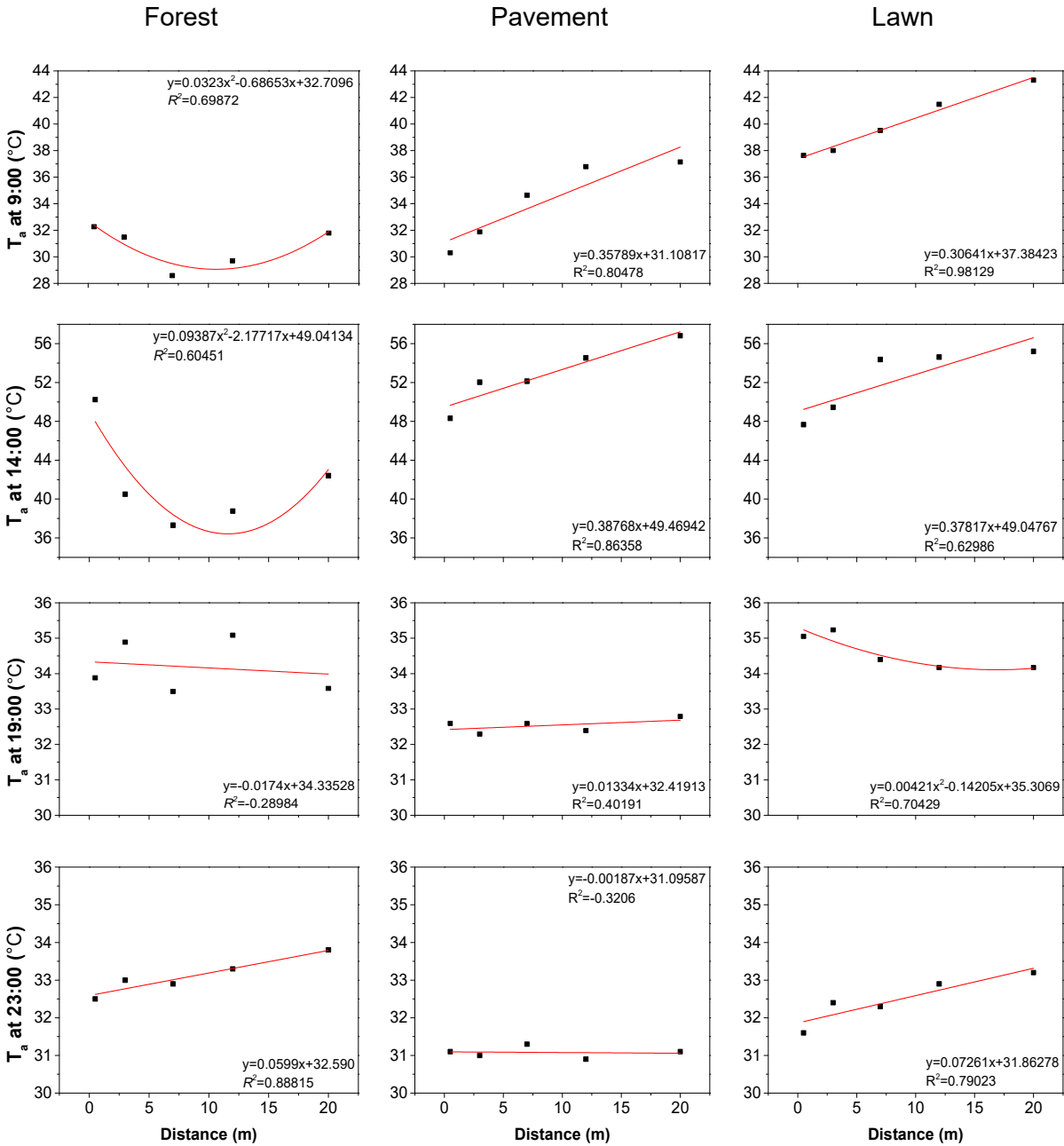
381 As is shown in Figure 7, the  $T_a$  of each observed site changes significantly throughout the  
 382 day, and the study sites are not uniform in temperature. Hence, it was considered that the average  
 383 temperature provided the clearest picture of the differences in measured  $T_a$  between specific  
 384 underlying urban surfaces and the micrometeorological parameters from a nearby weather  
 385 station. Figure 8 compares the average  $T_a$  for three typical underlying urban surfaces with the  $T_a$   
 386 from a nearby weather station at 09:00, 14:00, 19:00, and 23:00. As can be seen, the  $T_a$  of  
 387 waterfront forests is significantly lower than that of surrounding urban areas throughout the day,  
 388 corresponding to MCII values of 9.62°C, 7.54°C, 1.30°C, and 0.30°C at 09:00, 14:00, 19:00, and  
 389 23:00, respectively. These results indicate that waterfront forests can mitigate the UHI effect  
 390 throughout the day. Meanwhile, it can be seen that the waterfront lawn reduces the UHI effect by  
 391 1.92–7.23°C at most times, but aggravates it at 14:00 (MCII = -3.76°C). Finally, waterfront  
 392 pavement exacerbates the UHI effect during the daytime, but has a small cooling effect (MCII =  
 393 0.52°C) in the evening.



394

395 Figure 8. Comparison of average  $T_a$  of waterfront forests, pavement, and lawn with those of a  
 396 nearby weather station at 09:00, 14:00, 19:00, and 23:00.

397 **4.2.2 Spatial variation of air temperature over waterfront sites**



398 Figure 9. Relationship between the distance from the lake and  $T_a$  for every measurement site  
 399 (i.e., impervious surfaces, forest, and lawn).

400 Figure 9 shows the spatial distribution of  $T_a$  at waterfront forest, pavement, and lawn sites  
401 at 09:00, 14:00, 19:00, and 23:00, and the relationship between distance from the lake and  $T_a$  for  
402 each observed site. Both heat inertia and the distance to water cause the differences in the  $T_a$   
403 distribution at the waterfront sites that have various underlying urban surfaces. Figure 9 indicates  
404 that at 09:00, 14:00, and 23:00, the cooling effect weakens as the distance from the lake border  
405 increases, and that from morning to night, the  $T_a$  of the waterfront pavement and lawn increases  
406 while that of the forest first falls and then rises. Furthermore, the strongest cooling effect is that of  
407 the waterfront forest in the daytime, while the waterfront forest and lawn have a higher  $T_a$  than  
408 the waterfront pavement from evening until night.

409 In the daytime, for the waterfront forest, as the distance from the lake increased the  $T_a$   
410 decreased to a daytime minimum, before rising until the end of the day. These SCEs of the forest  
411 and lake was particularly evident at approximately 10 m from the water at solar noon (14:00),  
412 when the coefficient of determination  $R^2$  was 0.605. For waterfront pavement and lawn surfaces,  
413 a similar  $T_a$  variation was observed: as the distance from the lake increased, the  $T_a$  continuously  
414 increased; the cooling effect of water evaporation was most significant at 14:00, when  $R^2$  was  
415 0.864 and 0.630 for pavement and lawn, respectively. For each 1 m increase in the distance from  
416 the lake, the  $T_a$  increased by approximately 0.39°C and 0.38°C for pavement and lawn,  
417 respectively. In addition, buildings shaded the waterfront pavement in the morning, resulting in  $T_a$   
418 at this location being 4.5–5.5°C lower than that of the waterfront lawn. The differences in the  
419 spatial distribution of  $T_a$  indicate that the urban surface characteristics surrounding blue space  
420 significantly influence the cooling range and intensity. For the waterfront forest, the shading effect  
421 and heat inertia of trees generated for evaporative cooling, contributing to the significant cooling  
422 intensity of this landscape type. However, a high density of trees causes airflow shielding,  
423 especially if these are tall trees, which limits the extent of spatial penetration of the cooling effect  
424 of green-blue spaces into the urban environment. Therefore, enhanced cooling extends only a  
425 relatively short distance beyond the perimeter of the waterfront forest.



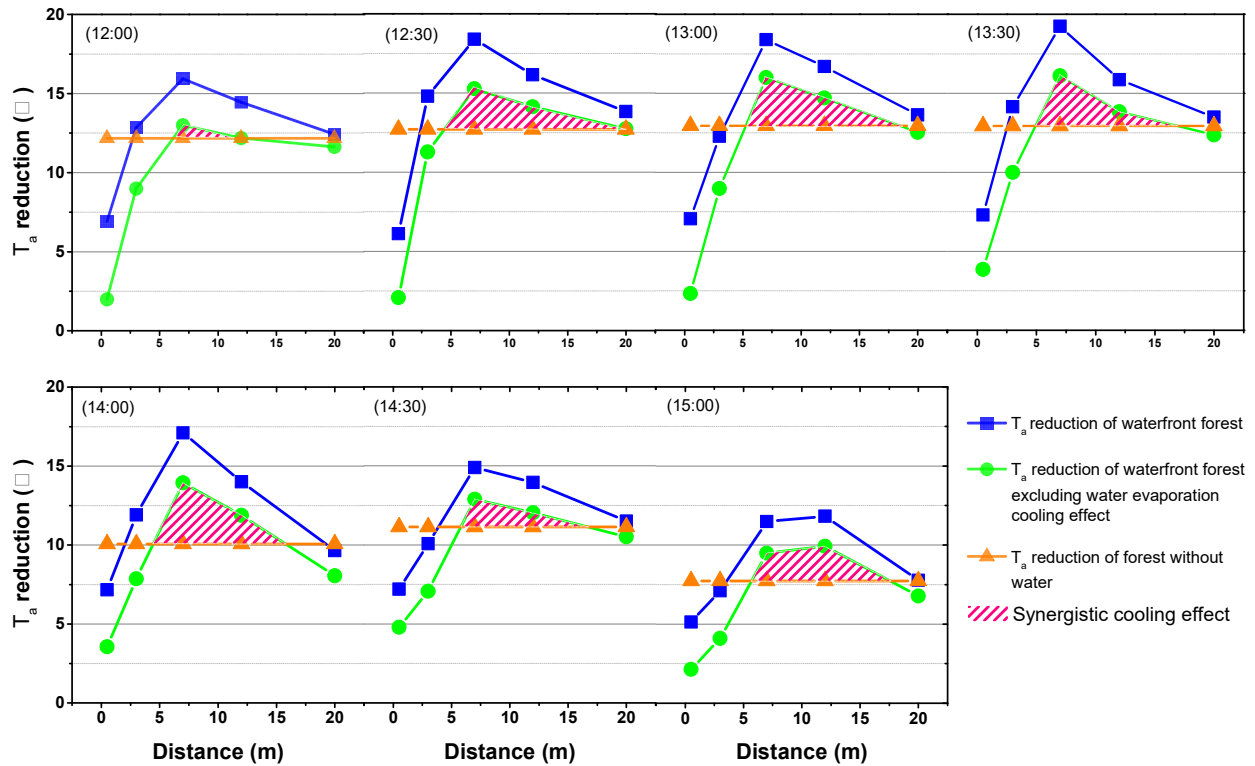
426 In contrast, the warming effect of heat release from water was obvious: at 19:00 the  $T_a$   
427 decreased with increasing distance from the lake margin. The  $R^2$  value for this effect was 0.290,  
428 0.401, and 0.704 for forest, pavement, and lawn, respectively. For each 1 m increase in distance  
429 away from the lake, the  $T_a$  decreased by approximately 0.02–0.03°C. Due to their greater heat  
430 storage capacity, the  $T_a$  of forest and lawn were 1.3–1.8°C higher than that of the pavement,  
431 which remained at approximately 32.5°C. At 23:00,  $T_a$  began to increase as the distance from the  
432 lake margin increased, indicating that the release of heat stored in the daytime was almost  
433 complete. Specifically, with increasing distance from the lake, the  $T_a$  of the forest and lawn  
434 continuously increased by approximately 0.06°C and 0.07°C per unit distance, with  $R^2$  values of  
435 0.888 and 0.790, respectively. However, due to the greater heat inertia of vegetation compared  
436 with pavement, the  $T_a$  of forest and lawn were 1.5–2.7°C higher than that of hard road surfaces,  
437 and the temperature in the waterfront plaza remained approximately 31.0°C.

#### 438 **4.2.3 Temporal variation of synergistic cooling effects (SCEs)**

439 By measuring the spatial distribution of  $T_a$  surrounding the lake, it was found that the green  
440 and blue spaces exhibited a significant SCE, owing mainly to the combined influences of water  
441 evaporation, shading, and improved heat inertia. The SCE was estimated by subtracting the  
442 individual cooling effects of water and forest from the total cooling, where the cooling effect of  
443 water was calculated as the temperature difference between pavements with and without water,  
444 and the cooling effect of forest was calculated as the temperature difference between forest with  
445 and without water. Figure 10 shows that after subtracting the cooling effect of water, the remaining  
446  $T_a$  reduction of waterfront forest was still higher than that of forest without water, where the red  
447 grating area represents the SCE between 12:00 and 15:00.

448 These results implied that the SCE of the forest and lake occurred at some distance from  
449 the water; i.e., as can be seen in Fig. 10, as the distance from the lake border increases, the SCE  
450 increases for approximately 5.0 m, peaks at approximately 7.0 m, and finally decreases at 12.0–

451 18.0 m. The cooling effect of water evaporation is most significant at 14:00, being approximately  
 452 4.3°C. Notably, the  $T_a$  reduction of the non-waterfront trees falls to approximately 10°C at 14:00,  
 453 possibly because a photosynthetic “noon break” occurs at this time [7]. After the peak, the SCE  
 454 gradually weakens as the  $T_a$  decreased, and drops to 3.3–5.0°C at 15:00. Additionally, due to the  
 455 lack of shading in site 6 (0.5 m away from water border), worse cooling effect was observed in  
 456 site 6,  $T_a$  reduction of site 6 was approximately 10 °C lower than that of site 17. This lack of  
 457 shading is unavoidable in Chongqing, as the serious soil erosion, shallow, thin soil is commonly  
 458 mixed with large quantities of shale or mudstone debris in this city, fewer trees are planted close  
 459 to the water border [56].

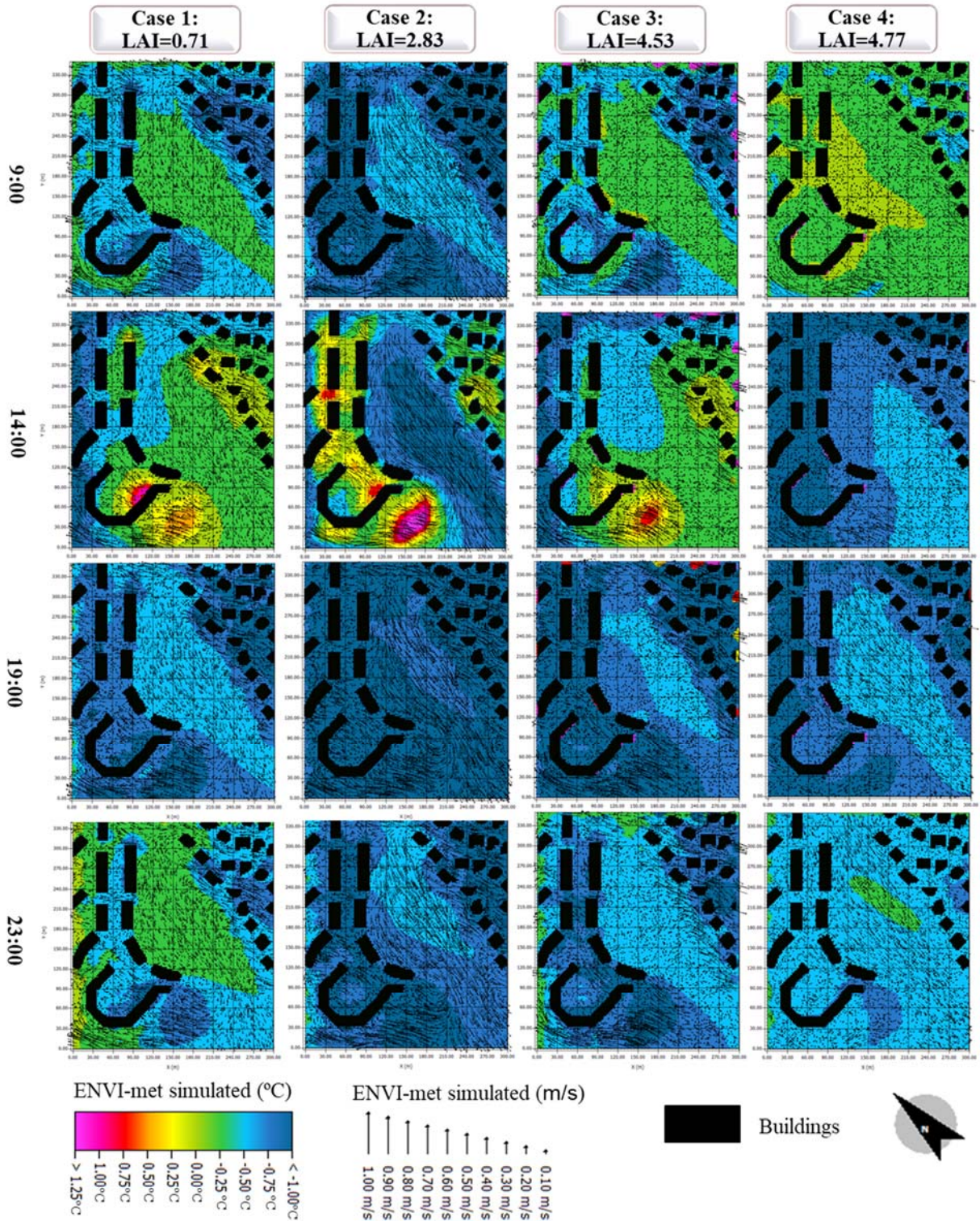


460

461

Figure 10. The SCEs of green and blue spaces between 12:00 and 15:00.

462 4.2.4 Effect of tree species with different LAI on the waterfront thermal environment as  
 463 measured by  $T_a$

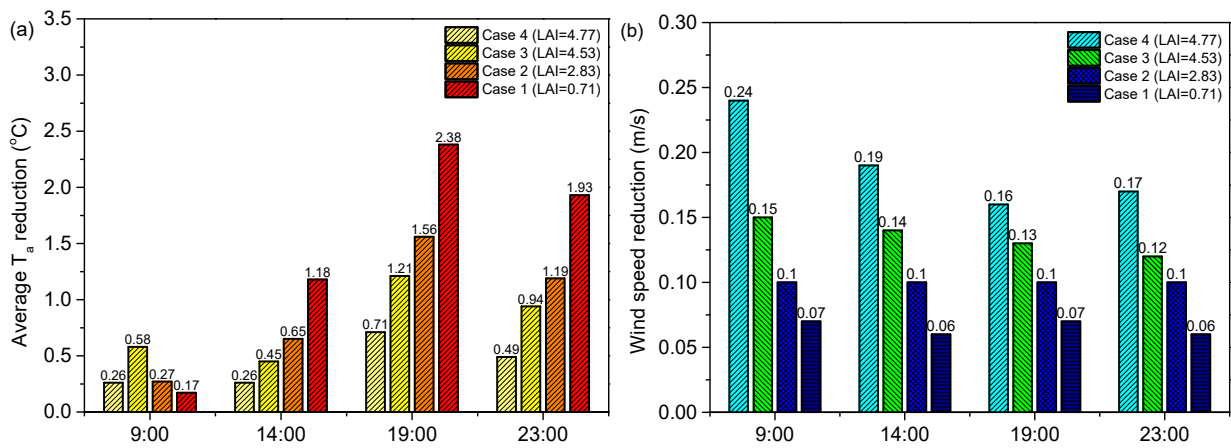


464 Figure 11. Simulated values of  $T_a$  and wind speed reduction in four cases at 09:00, 14:00,  
 465 19:00, and 23:00.  
 466



467 Figure 11 shows the regional cooling effect and wind speed reduction of the four cases. It is  
 468 evident that  $T_a$  is effectively reduced by the waterfront forest, i.e., vegetation significantly improves  
 469 the waterfront thermal environment, compared with the control group without UGI. It can be seen  
 470 that the cooling effect of the waterfront forest is greatest in the evening and night, followed by the  
 471 afternoon, and smallest in the morning. The  $T_a$  reduction by Case 1 (1.18–2.38°C) is significantly  
 472 better than that of Case 2–4 (0.26–0.71°C) at 14:00, 19:00, and 23:00. Conversely, at 09:00,  
 473 Case 1 (regional average reduction of 0.17°C) displays a weaker cooling effect than Case 2–4  
 474 (0.25–0.41°C), and Case 3 performs the most apparent cooling intensity.

475 In addition, the waterfront green infrastructure has different cooling effects on the three  
 476 waterfront building groups with different layouts, with the effect being greatest for multistory  
 477 residential buildings located west of the lake. The regional average  $T_a$  reduction range is 0.12–  
 478 0.34°C at 09:00, and reaches 0.74–2.15°C at 19:00. For the waterfront villa area located east of  
 479 the lake, at 09:00, the cooling intensity of waterfront green spaces is the smallest of all, ranging  
 480 from 0.06 to 0.28°C. At 19:00, the cooling effect in this area is at a maximum; the greatest cooling  
 481 intensity is 1.56°C, with an average of 0.33°C.



482  
 483 Figure 12. The average regional (a)  $T_a$  and (b) wind speed reductions of four cases at 09:00,  
 484 14:00, 19:00, and 23:00.

485 Figure 12 depicts the statistical analyses of the average regional  $T_a$  and wind speed

486 reductions in the four cases at the four representative times. The higher the regional  $T_a$  reduction,  
487 the weaker the wind flow, the smaller the LAI, and the more obvious the SCE. Furthermore, the  
488 larger the LAI, the greater the canopy resistance and the more obvious the wind velocity  
489 attenuation, as seen in Figure 12 (b). It can be seen that the average regional  $T_a$  reductions by  
490 the four species are lowest at 09:00, while the regional cooling effects generally decrease with  
491 increasing LAI. However, the attenuation of wind flow peaks at 09:00 (0.07–0.24 m/s). Case 2  
492 shows the greatest  $T_a$  reduction at this time, 0.58°C, and again at 14:00 and 23:00 when the  
493 cooling intensities are 0.26–1.18°C and 0.49–1.93°C, respectively. Meanwhile, the wind speeds  
494 decrease by 0.06–0.19 m/s at these times.

495 The cooling effect is most pronounced at 19:00, when the mean cooling intensity is 0.71–  
496 2.38°C. Meanwhile, the smallest attenuation of wind occurs at this time, being 0.06–0.16 m/s.  
497 Conversely, it can be seen that from afternoon to night the regional cooling intensity of the  
498 waterfront forest improves as the LAI decreased, and the gap in UCI ability between the four  
499 species widens. When the LAI decreases to 1.0, the average cooling effect increases by 0.19–  
500 0.31°C. At night (23:00), the lake dissipates heat to the surroundings due to its relatively high  
501 temperature, and the very low wind speed hinders the convection and heat dissipation of water.  
502 This leads to intensified heat conduction from the lake to the interior of the residential area,  
503 weakening the cooling effect of the area with high-LAI plant coverage.

## 504 **4.3 Discussion**

### 505 **4.3.1 SCEs of urban green and blue spaces on the local thermal environment**

506 Urban green and blue spaces are considered important UHI mitigation strategies  
507 [54][55][57], however, comparative assessment of their combined effect in different regions and  
508 cities is rare. Therefore, in this study, the spatial distribution of  $T_a$  throughout waterfront forest,  
509 lawn, and pavement environments in Chongqing was observed by on-site measurements. Then,  
510 the integrated dynamics of heat reduction between green and blue spaces were investigated and

511 analyzed. The results indicated that during the daytime, the  $T_a$  of forests was approximately  $1.6^\circ\text{C}$   
512 and  $2.9^\circ\text{C}$  lower than those of lawn and impervious pavement, respectively. The dense vegetation  
513 of the forest and greater heat release from anthropogenic components in urbanized sites are  
514 potential reasons for the lower temperature found in the former [14][58][59]. Additionally, lower  $T_a$   
515 was observed at the waterfront sites than at the sites without water. Meanwhile, the variation in  
516 the daytime mean  $T_a$  was relatively small at the waterfront sites. This indicates that water  
517 evaporation contributed to the stronger cooling effect in the waterfront thermal environment, which  
518 probably represent SCEs of the local water body (lake) and plants [60].

519 Furthermore, the lake improved the climate resilience of waterfront environments in the  
520 daytime, and not only strengthened the cooling effect by  $1.2\text{--}3.4^\circ\text{C}$  but also prolonged the cooling  
521 period by  $0.8\text{--}21.0\%$ . However, as the heat inertia and capacity of vegetation are much higher,  
522 the downtrend of  $T_a$  showed thermal hysteresis in the afternoon, concurrent with the heat release  
523 from the water body. The  $T_a$  of the waterfront forest and lawn were significantly higher than that  
524 of the non-waterfront forest and lawn between 17:00 and 20:00, while the greatest warming effect  
525 of at  $2.3\text{--}3.8^\circ\text{C}$  was observed in the waterfront lawn. This indicates that both cooling and heating  
526 effects were still significant even far downwind; similar results were reported by Akio in Nagoya  
527 [61], where a difference of  $3.0^\circ\text{C}$  was measured among downwind waterfront areas of different  
528 types.

529 However, the cooling intensity of the study area showed clear temporal variation. The study  
530 area's MCII was not significant at 14:00 ( $7.54^\circ\text{C}$  for forest,  $-3.82^\circ\text{C}$  for pavement, and  $-3.78^\circ\text{C}$  for  
531 lawn), this is consistent with Yan's study in Beijing, which found that the cooling effect of an urban  
532 park in terms of UHI mitigation was lowest at 14:00 ( $0.6^\circ\text{C}$ ) [7]. At 23:00, the cooling effect was  
533  $0.91^\circ\text{C}$ , while at 19:00, the cooling effect was higher at  $1.58^\circ\text{C}$  ( $1.30^\circ\text{C}$  for forest,  $0.68^\circ\text{C}$  for  
534 pavement, and  $2.75^\circ\text{C}$  for lawn) owing to the heat release and residents' outdoor activities. Finally,  
535 the most pronounced cooling intensity was approximately  $5.42^\circ\text{C}$  at 09:00 ( $9.62^\circ\text{C}$  for forest, -  
536  $0.60^\circ\text{C}$  for pavement, and  $7.23^\circ\text{C}$  for lawn), considering the intense anthropogenic heat

537 discharged by transportation at this “rush hour” time, the  $T_a$  value at 09:00 would have been much  
538 higher without the effect of the park.

539 In contrast, Jauregui studied the cooling effect of a large urban park (without water) in  
540 Mexico. It was found that the park had the largest cooling effect at night because its cooling rate  
541 was even greater after sunset, reaching 3–4°C [62]. Consequently, it was concluded that blue  
542 space can improve the heat inertia of surrounding green space, reduce the peak temperature,  
543 and significantly lower the heating rate in the morning. Notably, the combined cooling effect of  
544 blue and green spaces was recorded as 5.42°C, compared with 3.6°C for parks and 2.9°C for  
545 lakes alone, according to a comparative assessment of six parks and three lakes in Chongqing  
546 [8].

547 In previous research, synergistic cooling was principally recommended as an achievable  
548 future improvement based on established principles, or regarded as a hypothetical explanation  
549 for anomalously high cooling enhancements [38]. The shading effect of waterfront greening  
550 extends to the surface of the water, weakening the solar radiation that enters the water body. In  
551 this study, the SCE was preliminarily estimated by subtracting the isolated cooling effects of the  
552 vegetation and water body from the total cooling; the results showed that the cooling extended 7–  
553 12 m further than that of a separate (non-waterfront) green space, and was more than 3.3°C in  
554 the daytime. It is found that the SCEs are more than cooler  $T_a$  of green-blue spaces than  
555 standalone green/blue space. In the daytime, the SCEs of green-blue spaces yielded 3.3°C more  
556 cooling intensity than standalone forest. It is possible owing to good ventilation and effective  
557 evapotranspiration. Conversely, the heat release of water body at night may warm the  
558 surrounding air. SCE is embodied in the concept of ‘blockage effects’ of the warm land-water  
559 breeze by trees at night, the SCEs of waterfront forests were most pronounced at 19:00, with a  
560  $T_a$  reduction of 0.71–2.38°C in the four cases compared to the baseline scenario.

561 As the distance from the lake border increased, the waterfront forest became denser with a  
562 less regular array of trees. Thus, with increasing distance, the shading effect of trees was

563 enhanced and their regulating effect on the temperature was greater. At observation site 15 (20  
564 m from the water), the cooling effect was similar to site 17, which indicates that the cooling effect  
565 of water on waterfront trees extended less than 20 m. However, the  $T_a$  of site 5 on the waterfront  
566 pavement was still 1.3°C lower than that a site 16. This revealed that the cooling effect of water  
567 on the pavement extended more than 20 m.

#### 568 **4.3.2 Influence of tree species on the blue space at daytime and night**

569 Previous studies have demonstrated that blue spaces improved the thermal comfort of  
570 urban environments, particularly in the daytime [22], and have confirmed that blue spaces had a  
571 cooling effect [63]. The  $T_a$  of the study area herein was further reduced via the SCEs of green and  
572 blue spaces, and different LAI-type trees showed significantly different effects (Figure 11). When  
573 high-LAI trees were present, the cooling effect of the water body failed to extend inside the  
574 residential areas, and the  $T_a$  differed markedly between the interior of the residential areas and  
575 the waterfront area. Trees with a higher LAI thus showed a lower cooling effect in the study area,  
576 although the variation in the  $T_a$  reduction as a function of tree type was smaller in the daytime.  
577 This latter effect was probably an example of SCE interactions between the local geography and  
578 plants, i.e. local geography acted as windshields in the park during the daytime, thus further  
579 reducing the cooling effect of both high-LAI and low-LAI waterfront trees [60]. In contrast, low-LAI  
580 trees displayed more pronounced SCEs with green and blue spaces and their cooling effects  
581 extended inside the residential areas in the afternoon. By improving the effect of water cooling,  
582 low-LAI trees showed stronger SCEs with blue spaces than did high-LAI trees. However, in the  
583 morning, the contribution of water evaporation to regional  $T_a$  reduction was smaller; hence, the  
584 shading function of dense trees resulted in their having a stronger cooling effect than low-LAI  
585 trees at this time of day [8].

586 It was previously found that at low altitudes, UBS had a significant UCI effect during the  
587 day, but could warm the surrounding air at night, possibly due to the temporal variation in the  
588 evaporative flux [15][38]. Considering the high heat storage and thermal inertia of water, green-



589 blue space can be regarded as a thermal buffer, which not only suppresses the peak value of air  
590 temperature, but also moderates its temporal variations. Blue spaces release heat at night to limit  
591 nocturnal cooling [24]. However, waterfront trees can isolate the heat released from hotter blue  
592 spaces at night and prevent residential areas from heating up. Compared to high-LAI trees, low  
593 LAI trees could promote the blue space to dissipate heat at night, as high LAI trees attenuated  
594 blue-space wind velocity less than did low-LAI trees.

595 Overall, although our results highlighted the waterfront forest as a way to provide SCEs –  
596 that is, further reduce the  $T_a$  of the waterfront thermal environment and urban microclimate – it is  
597 important that the forest should not be too dense, so that heat release from blue spaces at night  
598 is moderated and the  $T_a$  in residential areas does not rise to an intolerable level.

#### 599 **4.3.3 Air pollution control**

600 The use of urban green-blue space can improve air quality by reducing ambient  
601 temperature, increasing humidity, and reducing wind speed to reduce the concentration of air  
602 pollutants [28]. Herein, at 9:00–17:00, the green-blue space has apparent SCE within 7–12 m  
603 from the lake border (Figure 9), where the mean  $T_a$  reduction is 3.3°C higher near a waterfront  
604 urban forest than the sum of the cooling effects of standalone water and forest. Forests can  
605 constrain the wind velocity to a certain range, not only scattering airborne particles widely on the  
606 leaves, but also preventing the diffusion of air pollutants [27]. Although the shielding effect of high-  
607 LAI trees reduced the effect of water cooling inside the residential area, the trees with larger LAI  
608 herein (0.47–0.71) achieved more obvious wind velocity attenuation (0.06–0.19 m/s). Moreover,  
609 the cooling effect of water leads to a temperature difference between lake and land, which will  
610 create an offshore lake breeze. Then the transports pollutants to the surface of the water body  
611 where they are captured by subsidence airflow, thereby promoting air purification [64]. Therefore,  
612 theoretically, a combination of green and blue spaces can further reduce the air pollutant.

#### 613 **4.3.4 Practical guidelines for urban design strategies**

614 This study has revealed that green spaces connected with blue spaces intensify each  
615 other's UCI effects and improve the outdoor thermal environment [5][65]. Therefore, waterfront  
616 forests may have a significant effect on urban landscape planning and construction [36]. On a  
617 summer day, the waterfront forests displayed obvious SCEs within 7–12 m from the water's edge,  
618 where the  $T_a$  was lower than that of the non-waterfront forest by approximately 3.3 °C. Therefore,  
619 to decrease the UHI intensity and improve human thermal comfort, it is recommended that forest  
620 trails or sitting areas are introduced within waterfront forests, if possible, rather than on pavements  
621 or lawns far from water. On the summer day of this study, the trees with smaller LAIs produced a  
622 higher local  $T_a$  reduction. When the LAI decreased by 1.0, the average cooling effect increased  
623 by 0.19–0.31°C. Therefore, to improve UHI mitigation and urban landscape design, it is suggested  
624 to consider trees with lower LAIs when planting forests, so as to improve the regional cooling  
625 effect.

626 The cooling effect of water evaporation improved the thermal inertia of waterfronts,  
627 protecting against extreme weather situations [38], and not only enhanced the cooling effect, but  
628 also prolongs the duration of cooling by the waterfront. However, the warming period at the  
629 waterfront was also prolonged due to the heat release from water at night. Therefore, it is  
630 recommended that trees with smaller LAIs are planted around blue spaces. Trees can isolate the  
631 heat released from hotter blue spaces and prevent residential areas from heating up at night, and  
632 trees with lower LAI can achieve this more efficiently because they have less effect on the wind  
633 velocity.

#### 634 **5 Conclusions and future work**

635 This study investigated the potential of SCEs from urban green and blue spaces to improve  
636 the local thermal environment of residential surroundings in a hot-humid city. From the  
637 observational results, it was found that waterfront environments were significantly cooler than the  
638 corresponding sites without water during the daytime, but slightly warmer at night owing to heat

639 release. Due to the thermal inertia of the water, the temperature of the waterfront forests, lawns,  
640 and plazas changed more gradually during the day; the peak value decreased and the time of  
641 peak temperature lagged behind the non-water case. A significant reduction in the mean and  
642 maximum  $T_a$  (1.85°C and 3.50°C, respectively) was observed in the waterfront forest, followed by  
643 waterfront impervious pavement (1.80°C and 2.1°C, respectively). However, the waterfront lawn  
644 was actually 0.8–2.1°C hotter than the non-waterfront lawn, which indicates that lawn is unsuitable  
645 for waterfront areas.

646 The cooling intensity was prominent not only within the border of the blue space but also  
647 extended to approximately 20 m away. The waterfront forest was identified as providing  
648 significantly greater cooling effects than other surrounding land-use types, including waterfront  
649 lawn and pavement. The MCII of the study area was largest in the morning, due mainly to the  
650 effect of the waterfront forests. This provided evidence that the SCEs of green and blue spaces  
651 can better stabilize the fluctuation of temperature than other urban infrastructures. The air  
652 temperature first fell and then increased with increasing distance from the lake. Hence, the SCE  
653 was most effective at 7–12 m, where the  $T_a$  reduction of waterfront forest was higher than the  
654 sum of the separate forest and water terms by approximately 3.3°C in the daytime.

655 Furthermore, this study revealed the SCEs of green and blue spaces on the thermal  
656 environment of waterfront areas, and also found that tree species with low LAI had a greater  
657 cooling effect on the surrounding waterfront thermal environment. Specifically, when the LAI  
658 decreased by 1.0, the average cooling effect increased by 0.19–0.31°C. At night, water bodies  
659 can warm the surrounding air as a result of heat release. Through the blockage of the warm land–  
660 water breeze by trees, the SCE of waterfront forests was most pronounced at 19:00, with air  
661 temperature reductions of 0.71–2.38°C in the four cases compared with the baseline scenario.

662 This study provides scientific insights for guidance in the planning and design of waterfront  
663 areas, which should be included in strategic urban planning policies. Notably, these UCI effects  
664 depended strongly on the waterfront land cover in the immediate environment of the

665 measurement sites; lawn was found to be thermally unsuitable for waterfront land-use. More  
666 research is needed to qualify the co-effect of green and blue spaces on UHI and air pollution and  
667 to estimate the spatiotemporal characteristics of thermal environment improvement by SCEs via  
668 a comprehensive comfort index.

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