1	PERSPECTIVE
2	Public health measures to slow community spread of COVID-19
3	Benjamin J. Cowling, PhD ¹ , Allison Aiello, PhD ²
4	
5	Affiliations:
6	1. World Health Organization Collaborating Centre for Infectious Disease Epidemiology
7	and Control, School of Public Health, University of Hong Kong, Hong Kong
8	2. Department of Epidemiology, Carolina Population Center, Gillings School of Global Public
9	Health, University of North Carolina, Chapel Hill, USA
10	
11	Correspondence to: <u>bcowling@hku.hk</u> and <u>aaiello@email.unc.edu</u>
12	
13	Word count: 1359
14	
15	
16	
17	COVID-19 was initially identified in an outbreak of viral pneumonia in Wuhan in
18	December 2019, and has now been recognized in 77 countries with over 90,000 laboratory-
19	confirmed cases and over 3,000 deaths as of 3 March 2020 [1]. The epidemiology of COVID-19
20	has recently become clearer as incident cases continue to rise and researchers refine estimates of
21	the severity, transmissibility, and populations affected. Based on available data, COVID-19 is
22	efficiently transmitted in the community, and the proportion of infections leading to severe
23	illness is particularly high among adults \geq 50 years of age and among individuals with comorbid

24 health conditions. Although rare, severe cases have also been reported among younger

- 25 individuals. Thus far, the estimated basic reproductive number (R₀) of COVID-19 is higher than
- that of influenza [2], as is the case fatality risk for adults and older individuals.

An estimated 80% of COVID-19 cases are mild [1]. This is not a glass half full statistic, as 20% of infections result in clinically severe cases that have the potential to overwhelm already overburdened health facilities. Given the lack of vaccines and effective antivirals, non-

30 pharmaceutical interventions (NPIs) are the most effective available interventions for local and

31 global control and mitigation of COVID-19. To date, measures aimed at slowing introduction of

32 infection globally have included travel restrictions, isolation of confirmed cases, and quarantine

33 of exposed persons. In the United States, NPIs have reduced the number of infected persons

34 entering the country, but recent outbreaks in multiple US states make it clear that these measures

35 have delayed but not prevented community transmission. In 2009, NPIs were able to delay large

36 epidemic waves of pandemic influenza A(H1N1)pdm09 in some locations until after the

37 summer, since influenza transmission tends to be reduced by higher temperatures and humidity.

38 It is unclear whether COVID-19 transmission will be heavily affected by seasonal weather

39 variation, given that transmission is now occurring in multiple tropical and sub-tropical

40 locations.

Given many uncertainties regarding the potential for widespread community transmission of COVID-19, community mitigation measures to curb local transmission must be carefully considered and applied where possible. In the 1918/19 influenza pandemic, timely and sustained use of a broad set of NPIs including school closures, banning of mass gatherings, mandatory wearing of masks, isolation of ill persons, and appropriate disinfection/hygiene measures reduced mortality in a number of US cities [3]. These measures decreased transmission, spread

the epidemic over a longer period of time, reduced the height of the epidemic peak, and reduced
the overall number of infected persons and overall health impact. Here, we discuss NPIs that
may be most effective given our current understanding of COVID-19 epidemiology (Table).

50

51 Personal protective measures and environmental measures

Personal protective measures such as hand hygiene and face mask use are included in public health guidelines for pandemic preparedness. Hand hygiene effectively reduces the transmission of respiratory infections through indirect contact in the community setting, and should be practiced by ill individuals, their contacts, and the larger population to limit the risk of transmission through fomites [4]. Most coronaviruses, including SARS-CoV-2, are inactivated by alcohol-based hand sanitizers and disinfectants such as bleach. Environmental disinfection with appropriate sanitizers is also recommended [4].

59 As hand hygiene does not affect direct transmission of COVID-19 by respiratory droplets 60 or aerosols, face masks have been widely deployed by at-risk populations in China and some 61 other locations in Asia, for example in Hong Kong and Taiwan. The efficacy of face masks 62 among healthy individuals is unclear, but masks may protect others, particularly healthcare 63 workers, from actively symptomatic individuals with COVID-19. The combination of masks and 64 hand hygiene, however, has been shown to reduce transmission of respiratory viruses and serves 65 to highlight that layering of NPIs is more effective at reducing disease transmission than any NPI 66 alone [4]. Mask use could be recommended for ill persons, for uninfected persons who are caring 67 for ill persons, and for those interacting in highly crowded settings where widespread community 68 transmission is known to be occurring. If face masks are widely recommended, demand may 69 quickly exhaust limited supplies that are most critical for reducing transmission in high-exposure settings such as hospitals and clinics. This balance requires careful attention. N95 masks should
be preserved for medical personnel only.

72

73

3 Isolation of ill and quarantine of exposed persons

In some locations around the world, confirmed cases of COVID-19 are being medically isolated in hospitals, and their close contacts are being carefully traced and quarantined at home or in designated quarantine facilities. This requires excellent laboratory surveillance to pick up COVID-19 cases in the community, including cases with mild illness. To date (13 March), these containment measures appear to have been able to prevent sustained local transmission in Hong Kong, Singapore and Taiwan.

80 Medical isolation of cases has been feasible in outbreaks of SARS and MERS because 81 infections are generally severe and of a limited number, but similar practices are less useful in 82 influenza epidemics because of the huge number of cases and difficulties in identifying mild 83 infections [5]. Quarantine of asymptomatic exposed persons has also been used to contain SARS 84 and MERS outbreaks, but will not be feasible in designated quarantine facilities if there is 85 widespread community transmission of COVID-19. Moreover, quarantine measures can be 86 costly, challenging to enforce, and introduce location-specific ethical and legal challenges that 87 may hamper control efforts. Perhaps the most important NPIs in this domain are strong, 88 coordinated public health messaging to self-isolate when ill. Previous work has demonstrated 89 that the speed with which infected populations are quarantined, through a combination of 90 hospital-based isolation and self-quarantining, accelerates during epidemics of emerging disease 91 like COVID-19 [6]. Public health messaging to leverage and augment this natural acceleration of 92 isolation and quarantine practices may be critical in the context of widespread community

transmission. Expanding access to surveillance and diagnostic testing is also critical to identify
transmission clusters where isolation is most important.

95

96 Community mitigation measures

97 In most locations containment efforts are likely to be ineffective in preventing epidemics, 98 and public health measures will be needed to mitigate the pandemic impact at a local level [7]. 99 As local epidemics progress towards a peak in incidence there will be a surge in healthcare 100 demand, and particularly the demand for intensive care, to a level that is likely to overwhelm the 101 healthcare system. The aim of mitigation is to reduce this surge as much as possible. Community 102 mitigation measures generally promote social distancing to reduce transmission, but can be 103 extremely disruptive and have population-specific economic consequences [5]. Similar to 104 influenza pandemics, mitigation measures that could be considered for COVID-19 include the 105 temporary closure of schools and workplaces, cancellation of mass gatherings for a period of 106 time to flatten the epidemic peak. Voluntary avoidance measures, where people choose to stay at 107 home more often will also contribute to social distancing.

108 Careful consideration of the positive and negative effects of school closures in the US is 109 critical, as prolonged closures disproportionately affect low income families and must include 110 contingency plans for providing free meals and other programming to families that rely on 111 school-based learning and economic support. Currently, it appears that children can be infected 112 as easily as adults, but that the risk of severe disease is very low in this group. Given that 113 children can be infected, it is reasonable to believe that they would also be contagious, although 114 the importance of children in community transmission of COVID-19 has not yet been quantified. 115 Closure of workplaces introduces similar ethical concerns, as low-income workers often have

116	limited ability to work from home without loss of pay and other benefits. Careful evaluation				
117	should be given to the timing and duration of community mitigation measures to maximise the				
118	beneficial epidemiologic effects while minimising social and economic harm.				
119					
120	Conclusions				
121	Given the evolving picture of the COVID-19 pandemic, the application of layered, multi-				
122	faceted, location- and population-specific NPIs will need to be considered and initiated quickly				
123	to curb widespread transmission. When NPIs are <i>reactive</i> to widespread transmission, instead of				
124	proactive to the potential for transmission, they often fail to reduce rates of illness. The types of				
125	proactive measures we describe here were successful in mitigating the 1918/19 influenza				
126	pandemic and may be just as valuable almost a century later.				
127					
128					
129	References				
130	1. World Health Organization. Report of the WHO-China Joint Mission on Coronavirus Disease				
131	2019 (COVID-19) Available at: https://www.who.int/docs/default-source/coronaviruse/who-				
132	china-joint-mission-on-covid-19-final-report.pdf. Accessed March 13 2020.				
133	2. Li Q, Guan X, Wu P, et al. Early Transmission Dynamics in Wuhan, China, of Novel				
134	Coronavirus-Infected Pneumonia. N Engl J Med 2020.				

- 135 3. Bootsma MC, Ferguson NM. The effect of public health measures on the 1918 influenza
- 136 pandemic in U.S. cities. Proc Natl Acad Sci U S A **2007**; 104:7588-93.

- 137 4. Xiao J, Shiu EYC, Gao H, et al. Nonpharmaceutical Measures for Pandemic Influenza in
- 138 Nonhealthcare Settings-Personal Protective and Environmental Measures. Emerg Infect Dis

139 **2020**; 26.

- 140 5. Fong MW, Gao H, Wong JY, et al. Nonpharmaceutical Measures for Pandemic Influenza in
- 141 Nonhealthcare Settings-Social Distancing Measures. Emerg Infect Dis **2020**; 26.
- 142 6. Drake JM, Chew SK, Ma S. Societal learning in epidemics: intervention effectiveness during
- 143 the 2003 SARS outbreak in Singapore. PLoS One **2006**; 1:e20.
- 144 7. Anderson RM, Heesterbeek H, Klinkenberg D, Hollingsworth TD. How will country-based
- 145 mitigation measures influence the course of the COVID-19 epidemic? Lancet **2020**:DOI:
- 146 https://doi.org/10.1016/S0140-6736(20)30567-5.
- 147
- 148
- 149
- 150

151 Funding and Acknowledgements

- 152 BJC is supported by the National Institute of Allergy and Infectious Diseases under Centers of
- 153 Excellence for Influenza Research and Surveillance (CEIRS) contract number
- 154 HHSN272201400006C, and the Health and Medical Research Fund (Hong Kong). AEA is
- supported by the following National Institute of Health grants (R01 EB025021, R01 AG057800,
- 156 R01 MD011728, UL1TR001111, R01 AI129788, T32 HD091058, R01 MD013349, R21
- 157 MD012345, R01 AG061437). None of the funders had any role in the study design and the
- 158 collection, analysis, and interpretation of data, or in the writing of the article and the decision to
- 159 submit it for publication. We thank Evans Lodge for helpful discussions.
- 160

161 Declaration of Interests

- 162 BJC consults for Roche and Sanofi Pasteur. AEA received funding from the Infectious Disease
- 163 Society of America, Russel Sage Foundation, and has consulted for Kinsa Inc, and received an
- 164 unrestricted fund for hand hygiene research from Gojo Industries, Inc in 2015. The authors report
- 165 no other potential conflicts of interest.

167 Table. Characteristics and transmission dynamics of COVID-19, SARS, MERS and

168 influenza*

Characteristic	COVID-19 (SARS-	SARS-CoV/MERS-	Influenza virus
	CoV-2 infection)	CoV infection	infection (including
			seasonal epidemics
			and pandemics)
Clinical severity profile	Can cause severe	Causes almost	Can cause severe
	disease, most infections	exclusively severe	disease, most infections
	mild	disease	mild
Infection fatality risk ^a	Unclear but could be in	10% to 30%	Seasonal: $\leq 0.1\%$
	the range 0.5% to 1%		1918/19 pandemic: 2%
Incubation period	Mean 5-6 days, upper	Mean 3-5 days, upper	Mean 1 day, upper limit
	limit around 14 days	limit around 14 days	around 3 days
Basic reproductive	Thought to be around	SARS: 1.5 to 4	Thought to be around
number ^b	1.5 to 3.0	MERS: 0.5 to 1	1.5 to 2.0
Modes of transmission	Not established but	Mainly respiratory	Mainly respiratory
	presumed to be mainly	droplets, some evidence	droplets, may also
	respiratory droplets and	of spread via fomites	spread through aerosols
	spread via fomites.		and fomites
	Aerosols and fecal-oral		
	might play some role.		
Infectiousness profile	Most infectious around	Most infectious 7-10	Most infectious around
	the time of illness	days after illness onset	the time of illness onset
	onset, infectiousness		

may start slightly

before illness onset

Location of person-to-	Mainly community, can	Mainly spreads in	Mainly community, can
person transmission	also spread in hospitals	hospitals	also spread in hospitals
Importance of children	Unclear. Children can	Not important	Very important
in transmission	become infected but		
dynamics	have mild symptoms.		
Possible to contain an	Unlikely ^c	Yes with careful	Not possible
outbreak and avoid		isolation of cases,	
widespread		quarantine of their	
transmission?		contacts, and	
		appropriate hospital	
		infection control	

^a The proportion of infections that will ultimately be fatal (note, this is likely to vary by age)

^b The expected number of additional cases that one case will generate, on average, over the course of its

171 infectious period in an otherwise uninfected population (note that this can vary by location for a variety of

172 reasons).

^c As of writing in early March 2020 it appears that China has contained its first wave of infections, but

174 only by using very extreme measures including mass isolation/quarantine outside the home and

175 monitoring of social distancing based on cellphone and strict enforcement by local officials.

176 *SARS- Severe Acute Respiratory Syndrome, MERS- Middle East Respiratory Syndrome

177

178