

1 **Statins associate with better clinical outcomes in chronic hepatitis B patients**  
2 **with HBsAg seroclearance**

3 **Short title: statins use after HBsAg-seroclearance**

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1 **Abstract**

2 **Introduction:** We aimed to describe long-term clinical outcomes in chronic hepatitis  
3 B (CHB) patients after HBsAg seroclearance, and identify factors that modify disease  
4 outcomes.

5 **Methods:** CHB patients with HBsAg seroclearance occurring between 1986 and 2017  
6 were recruited. Primary outcome was cirrhosis/hepatocellular carcinoma (HCC), and  
7 secondary outcomes were hepatic decompensation, liver-related death/transplantation,  
8 and all-cause mortality. Multivariable Cox model included demographics, prior  
9 antivirals, comorbidities, drugs (statins, metformin, proton-pump inhibitors, non-  
10 selective beta-blockers), and laboratory parameters (platelet, liver function test,  
11 prothrombin time, alpha-fetoprotein [AFP], anti-HBs). Statin users were propensity  
12 score matched (PSM) with non-users (1:2 ratio) for survival analysis of all outcomes.

13 **Results:** Of 913 patients with HBsAg seroclearance (male:613[67.1%]; median  
14 age:53.4 years [range:18.5–87.0]), 129 (14.1%) were statin users. During median  
15 follow-up of 7.7 years (up to 29.1 years), 64/833 (7.7%) developed cirrhosis, 25/905  
16 (2.8%) developed HCC, 3/913 (0.3%) underwent transplantation, and 76/913 (8.3%)  
17 died. Statins associated with lower cirrhosis/HCC risk (adjusted hazard ratio  
18 [aHR]:0.44;95% CI:0.20–0.96; aHR for every one-year increase in use:0.85;95%  
19 CI:0.75–0.97). Statin users had no hepatic decompensation or liver-related  
20 death/transplantation (vs 18/778 [2.3%] and 18/784 [2.3%] cases in statin non-users,  
21 respectively). Statins also associated with lower all-cause mortality risk  
22 (aHR:0.21;95% CI:0.08–0.53). PSM yields consistent results for beneficial effects of  
23 statins (log-rank  $p < 0.05$  for all outcomes). Other factors for cirrhosis/HCC included  
24 increasing age (aHR:1.06), diabetes (aHR:2.03), higher creatinine (aHR:1.008),  
25 GGT>50U/L (aHR:3.25) and AFP>9ng/ml (aHR:10.14).

1 **Conclusion:** Patients with HBsAg seroclearance have favorable long-term survival.

2 However, liver-related adverse outcomes still develop, necessitating further

3 investigations on beneficial effects of statins.

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1 **Introduction**

2 Chronic hepatitis B (CHB) infection affects 292 million people worldwide.<sup>1</sup> It can  
3 lead to hepatitis flare, cirrhosis,<sup>2</sup> hepatocellular carcinoma (HCC),<sup>3-5</sup> hepatic  
4 decompensation, and mortality. Even in quiescent phase, hepatitis B virus (HBV)  
5 reactivation triggered by immunosuppressive use could result in life-threatening liver  
6 failure.<sup>6</sup>

7

8 Hepatitis B surface antigen (HBsAg) seroclearance is a clinical event with an annual  
9 incidence of 1.2%,<sup>7</sup> occurring either spontaneously or during treatment with  
10 nucleos(t)ide analogues (NAs) or interferon.<sup>8-10</sup> Time-dependent decline in liver  
11 stiffness was demonstrated after HBsAg seroclearance. It is an important milestone  
12 for CHB infection and currently regarded as functional cure of CHB infection, as it is  
13 associated with better prognosis (lower cirrhosis and HCC risk) compared with  
14 HBsAg-positive patients.<sup>11-13</sup>

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16 However, HBsAg seroclearance does not imply elimination of adverse outcomes.  
17 Studies investigating risk factors for adverse outcomes after HBsAg seroclearance are  
18 sparse. While anti-HBs positivity is a protective factor against HBV reactivation in  
19 occult HBV carriers receiving rituximab,<sup>14</sup> its prognostic significance after HBsAg  
20 seroclearance is currently unknown. In addition, modifiable risk factors including  
21 pharmacological agents and cardiometabolic risk factors, were under-reported.

22 Identification of factors for adverse outcomes after HBsAg seroclearance and drugs  
23 that modify disease course helps risk stratification and streamline management in terms  
24 of follow-up and HCC surveillance interval.

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1 In this context, we aimed to conduct a large cohort study to 1)describe clinical course  
2 of CHB patients after a prolonged period of HBsAg seroclearance, and 2)identify  
3 factors for adverse events, in particular the role of statins on modifying disease  
4 course.

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1 **Methods**

2 *Study design and patient recruitment*

3 This was a retrospective cohort study with longitudinal follow-up of consecutive CHB  
4 patients (HBsAg-positivity  $\geq 6$  months) who achieved HBsAg seroclearance. CHB  
5 patients aged  $\geq 18$  years, who followed up at Hepatology Clinic of Queen Mary  
6 Hospital between 27 October 1986 and 30 June 2017, with documented HBsAg  
7 seroclearance, defined as HBsAg negativity on repeat testing (Abbott Laboratories,  
8 Chicago, IL) for  $\geq 6$  months and during subsequent follow-ups, were identified.  
9 Exclusion criteria were non-Asians, chronic hepatitis C infection, autoimmune  
10 hepatitis, primary biliary cholangitis and Wilson's disease.

11

12 *Ethics statement*

13 The study protocol was approved by Institutional Review Board, University of Hong  
14 Kong and West Cluster of Hospital Authority, Hong Kong (UW 19-818).

15

16 *Outcomes of interest*

17 The primary outcome was cirrhosis (definition to be provided in subsequent section)  
18 and/or HCC development. Patients were observed from HBsAg seroclearance to  
19 development of cirrhosis or HCC (whichever occurred first), or censored at last clinic  
20 follow-up, liver transplantation or death.

21

22 The secondary outcomes were 1)hepatic decompensation, 2)composite outcome of  
23 liver-related death or liver transplantation, and 3)all-cause mortality. Hepatic  
24 decompensation was defined by occurrence of ascites, variceal bleeding, hepatic  
25 encephalopathy, or hepatorenal syndrome. Liver-related death was defined as death

1 related to adverse liver outcomes including spontaneous bacterial peritonitis (SBP),  
2 variceal bleeding, hepatic encephalopathy, hepatorenal syndrome and HCC.

3

4 *Laboratory monitoring, diagnosis of cirrhosis and surveillance for hepatocellular*  
5 *carcinoma*

6 Clinical characteristics included age at HBsAg seroclearance, sex, spontaneous or on-  
7 treatment HBsAg seroclearance status, diabetes mellitus (DM), other comorbidities  
8 including cardiovascular diseases (defined by the presence of ischemic heart disease,  
9 stroke, use of aspirin and clopidogrel), smoking, alcoholism, and concomitant  
10 medications (statins,<sup>15</sup> metformin,<sup>16</sup> proton-pump inhibitors,<sup>17</sup> and non-selective beta-  
11 blockers [NSSBs]<sup>18</sup>). Chronic obstructive pulmonary disease (COPD) and alcohol-  
12 related diseases (gastrointestinal, hepatic, cardiac and neurological) were used as  
13 proxies for heavy smoking and alcoholism as smoking and drinking history were not  
14 documented in some patients. Available statins included simvastatin, atorvastatin and  
15 rosuvastatin, while NSSBs included propranolol, nadolol and carvedilol. Drug  
16 exposure was defined as  $\geq 30$ -day use after HBsAg seroclearance.

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18 Laboratory parameters included complete blood count, liver function test (albumin,  
19 bilirubin, alanine aminotransferase [ALT], aspartate aminotransferase [AST], alkaline  
20 phosphatase [ALP], gamma-glutamyl transferase [GGT]), creatinine, prothrombin  
21 time (PT), alpha-fetoprotein (AFP) and anti-HBs positivity (lower limit of  
22 detection: 10 mIU/mL). As 240 (26.3%) of the cohort did not undergo ultrasonography  
23 (USG) and none had data of controlled attenuation parameter from transient  
24 elastography at or before HBsAg seroclearance, raised GGT >50 U/L was used as a  
25 surrogate marker of hepatic steatosis.



1 *Follow-up, surveillance of hepatocellular carcinoma and diagnosis of adverse*  
2 *outcomes*

3 Patients were followed up every 6-12 months with regular blood tests. They were  
4 advised for USG of liver at 6-monthly intervals. HCC was diagnosed by histology or  
5 imaging (triphasic computed tomography [CT] scan or magnetic resonance imaging  
6 [MRI]).

7  
8 Cirrhosis was diagnosed by (1)imaging (USG/CT/MRI showing small liver, irregular  
9 liver outline, or features of portal hypertension–splenomegaly/varices/ascites),  
10 (2)transient elastography (liver stiffness [LS] >12 kilopascals [kPa] with normal ALT,  
11 or >13.4kPa if ALT 1-5 x upper limit of normal<sup>19</sup>), (3)liver biopsy, or (4)clinical  
12 features (thrombocytopenia, coagulopathy, ascites, SBP, hepatic hydrothorax,  
13 gastroesophageal varices, hepatic encephalopathy). LS was measured by Fibroscan  
14 (Echosens®, Paris, France) using M and XL probe for patients with body mass index  
15 (BMI)<30kg/m<sup>2</sup> and ≥30kg/m<sup>2</sup>, respectively. Cirrhotic patients were offered endoscopy  
16 to screen for gastroesophageal varices.

17

## 18 **Statistical analysis**

19 All statistical analyses were performed using R version 3.2.3 (R Foundation for  
20 Statistical Computing) statistical software. Continuous variables were expressed as  
21 median and interquartile range (IQR). Mann-Whitney U-test was used to compare  
22 continuous variables of two groups. Chi-square test or Fisher’s exact test when  
23 appropriate, was applied for comparing categorical variables. Wilcoxon signed rank  
24 test was used to compare the median values of liver function parameters at baseline  
25 and five years after HBsAg seroclearance among statin users.

1 Multivariable Cox proportional hazards model was used to derive adjusted hazard  
2 ratio (aHR) of primary and secondary outcomes. To deal with missing data, multiple  
3 imputation was used to construct 50 complete datasets by imputing missing  
4 variables.<sup>20</sup> All variables were included into multivariable analysis due to negative  
5 confounding.<sup>21</sup> For example, statin users tend to have more cardiometabolic risk  
6 factors/diseases which are risk factors for cirrhosis progression. Without adjustment  
7 for cardiometabolic risk factors/diseases (i.e. negative confounding factor), potential  
8 beneficial effect of statins may be biased towards null or even opposite direction.  
9  
10 Subgroup analysis for the primary outcome was performed according to anti-HBs  
11 positivity and duration of HBsAg seroclearance (<5 vs ≥5 years).  
12  
13 Sensitivity analysis was performed by propensity score matching (PSM) to control for  
14 confounding. PS was estimated by multivariable logistic regression based on  
15 aforementioned covariates.<sup>21</sup> In the current study, statin users were matched to non-  
16 statin users in a 1:2 ratio without replacement using a greedy distance-based matching  
17 algorithm with the logit of the PS within 0.1 standard deviation. Balance of covariates  
18 between the two groups was assessed by absolute standardized difference (ASD),  
19 with value of <0.20 indicating good balance. This was achieved for statin users and  
20 non-users (data not shown).  
21  
22 As a statistically significant association was found between outcomes and statins,  
23 duration-response relationship was elicited to strengthen causality. Duration of statin  
24 use was categorized into three groups: i) <180days, ii) ≥180 to <3years, and  
25 iii) ≥3years.

1 For survival analysis, Kaplan-Meier method was used to analyze adverse outcomes  
2 with log-rank test. As Kaplan-Meier method did not control for confounding, we used  
3 PS matched cohort to ensure balance in characteristics between statin users and non-  
4 users.

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6 A two-sided p-value of  $<0.05$  was used to define statistical significance.

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## 1 **Results**

### 2 *Patient Characteristics*

3 A total of 913 patients with HBsAg seroclearance between 1986 and 2017 were  
4 identified. Patient recruitment process is depicted in **Supplementary figure 1**.  
5 Clinical characteristics and laboratory parameters are shown in **Table 1**. There were  
6 613 (67.1%) males, and median age at HBsAg seroclearance was 53.4years  
7 (IQR:45.2–60.9;range:18.5–87.0). Among them, 818 (89.6%) were treatment naïve,  
8 while 95 (10.4%) had prior antiviral treatment (interferon:3, lamivudine:29,  
9 adefovir:2, telbivudine:3, entecavir:53, tenofovir:5); 513 (56.2%) developed anti-HBs  
10 at a median duration of 1.0year (IQR:0–3.0).

11

12 The median follow-up duration of the whole cohort was 7.7years (IQR:4.5–13.2; up  
13 to 29.1). The median follow-up duration in statin users and statin non-users was 7.9  
14 years (IQR: 4.5–12.0) and 7.6 years (IQR: 4.5–13.2), respectively. Before HBsAg  
15 seroclearance, 79 (8.7%) had cirrhosis (eight decompensated cases) and eight (0.9%)  
16 had HCC. After patients with baseline cirrhosis were excluded, 64 of 833 (7.7%)  
17 developed cirrhosis after HBsAg seroclearance at a median of 6.6years (IQR:2.0–  
18 11.7) (**figure 1a**). After those with prior HCC were excluded, 25 of 905 (2.8%)  
19 developed HCC at a median of 6.6years (IQR:2.8–9.8;range:0.6–21.0) (**figure 1b**).  
20 Eighteen of 64 (28.1%) with cirrhosis development progressed to develop hepatic  
21 decompensation (median:6.2years;IQR:3.2–11.5). Three (0.3%) underwent liver  
22 transplantation, and 76 (8.3%) patients died (liver-related deaths:17; non-liver-related  
23 deaths:59) (**figures 1c and 1d**).

24

1 Before HBsAg seroclearance, six had ascites (two had SBP), 13 had gastroesophageal  
2 varices (two had bleeding), and two had hepatic encephalopathy. After HBsAg  
3 seroclearance, 12 of 907 (1.3%) without baseline ascites developed ascites  
4 (median:6.5years;IQR:2.7–12.9), 15 of 900 (1.7%) developed gastroesophageal  
5 varices (7 [46.7%] had bleeding) at a median of 4.4years (IQR:2.3–8.8), and 3 of 911  
6 (0.3%) developed hepatic encephalopathy (median:3.5years;IQR:2.2–4.1).

7

8 There were 129 (14.1%) patients used statins (median duration:2.8 years;IQR:1.0–  
9 5.0), 36 (3.9%) used NSSBs (median:1.8 years;IQR:0.2–5.2), 66 (7.2%) used  
10 metformin (median:2.6 years;IQR:0.8–5.4), and 109 (11.9%) used PPIs (median:0.3  
11 years;IQR:0.04–2.1). Statin users were older (61.2 vs 52.1 years;p<0.001) with a  
12 higher proportion having DM (47.7% vs 20.6%;p<0.001), cardiovascular diseases  
13 (53.5% vs 4.8%;p<0.001), and being smokers (4.7% vs 0.9%;p=0.005) (**Table 1**).  
14 Statin users had a higher median level of baseline creatinine (85 vs  
15 81umol/L;p=0.005), ALT (23 vs 20U/L;p=0.002), AST (23 vs 22U/L;p=0.032), ALP  
16 (65 vs 62U/L;p=0.024), and GGT level (27 vs 22U/L;p<0.001). A higher proportion  
17 of statin users received NSSBs (7.8% vs 3.3%;p=0.016), metformin (31.0% vs  
18 3.3%;p<0.001), and PPIs (37.2% vs 7.8%;p<0.001).

19

20 ***Factors associated with cirrhosis/hepatocellular carcinoma after HBsAg***  
21 ***seroclearance (primary outcome)***

22 **Table 2** shows independent risk factors for cirrhosis/HCC when patients without  
23 baseline cirrhosis or prior HCC at HBsAg seroclearance were analyzed (n=833).

24 These include increasing age (aHR:1.06;95% CI:1.03–1.09), DM (aHR:2.03;95%  
25 CI:1.17–3.51), higher creatinine level (aHR:1.008;95% CI:1.005–1.012),

1 GGT>50U/L (aHR:3.25;95% CI:1.77–5.97), and AFP>9ng/ml (aHR:10.14;95%  
2 CI:3.33–30.94). The aHR was 2.07 (95% CI:1.15–3.72;p=0.015) by comparing age  
3  $\geq 50$  vs <50years.

4  
5 Statins were associated with lower cirrhosis/HCC risk (aHR:0.44;95% CI:0.20–0.96)  
6 (**Table 2**). PSM yields consistent result (aHR:0.30;95% CI:0.10–0.86) (**Table 3**). For  
7 every one-year increase in statin use, aHR of cirrhosis/HCC was 0.85 (95% CI:0.75–  
8 0.97;p=0.018). Compared with statin use for <180days, aHR was 1.36 (95% CI:0.53–  
9 3.47;p=0.517) for statin use  $\geq 180$ days but <3years, and 0.36 (95% CI:0.14–  
10 0.93;p=0.034) for statin use  $\geq 3$ years.

11

### 12 *Effects of statins on secondary outcomes after HBsAg seroclearance*

13 **Table 3** shows effects of statins on hepatic decompensation, liver-related  
14 mortality/liver transplantation, and all-cause mortality. There were no cases of hepatic  
15 decompensation or liver-related death/liver transplantation in statins users compared  
16 with 18 of 778 (2.3%) and 18 of 784 (2.3%) cases in statin non-users, respectively.

17

18 Statins were associated with lower risk all-cause mortality risk (aHR:0.21;95%  
19 CI:0.08–0.53). PSM yields consistent result (aHR:0.33;95% CI:0.13–0.87) (**Table 3**).  
20 For every one-year increase in statin use, aHR of death was 0.80 (95% CI:0.69–  
21 0.92;p=0.002). Compared with statin use for <180days, aHR was 0.31 (95% CI:0.08–  
22 1.16;p=0.082) for statin use  $\geq 180$ days but <3years, and 0.26 (95% CI:0.08–  
23 0.84;p=0.025) for statin use  $\geq 3$ years.

24

### 25 *Risk factors associated with secondary outcomes after HBsAg seroclearance*

1 **Supplementary Table 1** shows independent factors for hepatic decompensation.  
2 These included increasing age (aHR:1.13;95% CI:1.07–1.19), male sex  
3 (aHR:5.47;95% CI:1.14–26.30), baseline cirrhosis (aHR:13.21;95% CI:4.82–36.25),  
4 thrombocytopenia (aHR:2.79;95% CI:1.05–7.42), higher creatinine level  
5 (aHR:1.014;95% CI:1.002–1.026), bilirubin>17umol/L (aHR:6.91;95% CI:2.44–  
6 19.53), ALP>110U/L (aHR:5.23;95% CI:1.60–17.05), GGT>50U/L (aHR:4.87;95%  
7 CI:1.84–12.88), and metformin (aHR:8.25;95% CI:2.62–25.95). The aHR was 16.34  
8 (95% CI: 2.08–128.19;p=0.008) by comparing age ≥50 vs <50years.

9

10 **Table 4** shows independent factors for liver-related death/liver transplantation. These  
11 included increasing age (aHR:1.10;95% CI:1.05–1.16), male sex (aHR:7.45;95%  
12 CI:2.08–26.63), baseline cirrhosis (aHR:13.58;95% CI:4.77–38.64),  
13 thrombocytopenia (aHR:3.46;95% CI:1.29–9.30), higher creatinine level  
14 (aHR:1.007;95% CI:1.005–1.010), longer PT (aHR:1.26;95% CI:1.09–1.45), NSSBs  
15 (aHR:3.11;95% CI:1.18–8.18), metformin (aHR:5.93;95% CI:1.64–21.37) and PPIs  
16 (aHR:5.38;95% CI: 2.07–13.97). The aHR was 2.60 (95% CI:0.71–9.54;p=0.150) by  
17 comparing age ≥50 vs <50years.

18

19 **Supplementary Table 2** shows independent factors for all-cause mortality. These  
20 included increasing age (aHR:1.10;95% CI:1.07–1.13), antiviral treatment  
21 (aHR:2.69;95% CI:1.08–6.70), baseline cirrhosis (aHR:4.03;95% CI:2.13–7.61),  
22 cardiovascular diseases (aHR:2.13;95% CI:1.12–4.06), higher creatinine level  
23 (aHR:1.004;95% CI:1.001–1.008), ALP>110U/L (aHR:3.57;95% CI:1.21–10.52).  
24 The aHR was 4.80 (95% CI:2.29–10.07;p<0.001) by comparing age ≥50 vs <50years.

25

1 **Serial changes in liver function parameters among statin users**

2 **Supplementary Table 3** shows the serial changes in liver function parameters at  
3 baseline and five years after HBsAg seroclearance among statin users. There was  
4 statistically significant difference in the median values of albumin (43 vs 44), AST  
5 (23 vs 25), ALP (65 vs 69) and INR (1.0 vs 1.0), but no statistically significant  
6 difference in the median values of bilirubin, ALT, and GGT.

7

8 **Subgroup analysis**

9 Among patients with positive baseline anti-HBs, aHR of cirrhosis/HCC with statin  
10 use was 0.96 (95% CI:0.33–2.83). Among patients with negative baseline anti-HBs,  
11 aHR was 0.29 (95% CI:0.07–1.12) (**Supplementary Table 4**).

12

13 Among patients with duration of HBsAg seroclearance of <5years, aHR of  
14 cirrhosis/HCC with statin use was 0.17 (95% CI:0.04–0.84). Among patients with  
15 duration of HBsAg seroclearance of ≥5years, aHR was 0.45 (95% CI:0.15–1.34)  
16 (**Supplementary Table 4**).

17

18 ***Survival analysis***

19 *Cirrhosis and/or HCC*

20 For whole cohort, overall 5-year, 10-year, 15-year and 20-year probability of  
21 cirrhosis/HCC-free survival was 95.7% (95% CI:94.2–97.1%), 90.4% (95% CI:87.9–  
22 93.0%), 86.7% (95% CI: 83.2–90.3%), and 83.2% (95% CI:78.5–88.1%),  
23 respectively. In PS matched cohort, statin users had better cirrhosis/HCC-free survival  
24 (log rank p=0.020) (**figure 2a**)

25



1 *Hepatic decompensation*

2 Overall 5-year, 10-year, 15-year and 20-year probability of hepatic decompensation-  
3 free survival was 99.0% (95% CI:98.3–99.7%), 98.0% (95% CI:96.9–99.1%), 96.8%  
4 (95% CI:95.0–98.5%), and 94.7% (95% CI:91.2–98.2%), respectively. Among statin  
5 users, there no events of hepatic decompensation. In PS matched cohort, statin users  
6 had better decompensation-free survival (log rank  $p=0.020$ ) (**figure 2b**).

7

8 *Liver-related death and/or liver transplantation*

9 Overall 5-year, 10-year, 15-year and 20-year probability of liver-related  
10 death/transplantation-free survival was 99.0% (95% CI:98.3–99.7%), 97.9% (95%  
11 CI:96.8–99.1%), 97.0% (95% CI:95.3–98.8%), and 94.1% (95% CI:89.8–98.5%),  
12 respectively. Among statin users, there were no events of liver-related death or  
13 transplantation. In PS matched cohort, statin users had better transplantation-free  
14 survival (log rank  $p=0.030$ ) (**figure 2c**).

15

16 *All-cause mortality*

17 Overall 5-year, 10-year, 15-year and 20-year survival probability was 97.0% (95%  
18 CI:95.9–98.2%), 93.5% (95% CI:91.6–95.5%), 89.0% (95% CI: 85.9–92.1%), and  
19 76.0% (95% CI:69.3–83.3%), respectively. In PS matched cohort, statin users had  
20 better survival (log rank  $p=0.010$ ) (**figure 2d**).

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1 **Discussion**

2 We described long-term clinical outcomes after HBsAg seroclearance and identified  
3 risk factors for adverse outcomes. Importantly, statin use is shown first time in  
4 literature to reduce adverse outcomes after HBsAg seroclearance, including  
5 cirrhosis/HCC, hepatic decompensation, liver-related mortality/transplantation, and  
6 all-cause mortality.

7

8 To our knowledge, this is one of the largest cohorts with prolonged follow-up (up to  
9 21 years) after HBsAg seroclearance. Previous studies had relatively smaller sample  
10 size (ranging from 49 to 422),<sup>12, 22, 23</sup> and most had limited follow-up duration  
11 (mean:1.6-1.9years).<sup>12, 23</sup> While various risk factors for adverse outcomes were  
12 identified including well-known ones like older age, male sex and cirrhosis,  
13 importance of cardiometabolic risk factors/diseases should be highlighted. DM was  
14 associated with higher cirrhosis/HCC risk (aHR:2.03), and raised GGT (a surrogate  
15 marker of hepatic steatosis) was associated with higher risk of cirrhosis/HCC  
16 (aHR:3.25) and hepatic decompensation (aHR:4.87). Cardiovascular diseases were  
17 associated with higher all-cause mortality risk (aHR:2.13). Cardiometabolic risk  
18 factors/diseases like DM and metabolic syndrome are known risk factors for hepatic  
19 steatosis and hence cirrhosis progression.<sup>24-26</sup>

20

21 Prior antiviral treatment was a risk factor for death (aHR:2.69), which may signify  
22 underlying advanced fibrosis/cirrhosis not detected clinically/biochemically at  
23 baseline. Nevertheless, there was no statistically significant difference in the  
24 outcomes of cirrhosis/HCC and liver-related mortality/transplantation between NA-  
25 induced and spontaneous HBsAg seroclearance. This may be related to the smaller

1 sample size and number of events leading to underpower. While Chen et al<sup>22</sup> could  
2 not demonstrate a difference in adverse outcomes between NA-induced and  
3 spontaneous HBsAg seroclearance, their cohort had few adverse outcomes (only one  
4 death and subsequent cirrhosis after HBsAg seroclearance was not reported).  
5  
6 Our study is the first to demonstrate statins were protective against hepatic-related  
7 adverse outcomes after HBsAg seroclearance. It is noteworthy that statins users were  
8 generally older, and a higher proportion of were smokers and had cardiometabolic  
9 diseases, which are also risk factors for cirrhosis. This provides further proof that  
10 statin use is associated with better clinical outcomes despite the higher associated  
11 intrinsic risk in statin users after multivariable analyses. This protective effect is likely  
12 mediated via both cardiovascular disease prevention and reduction of cirrhosis. A  
13 meta-analysis shows statins associate with lower risk of cirrhosis progression,  
14 decompensation and mortality among cirrhotic patients.<sup>15</sup> Statins mitigate oxidative  
15 stress by suppressing activation of inflammatory cells, improve endothelial function  
16 by enhancing nitric oxide synthesis, and increase number of endothelial progenitor  
17 cells. Subgroup analysis does not show protective effect of statins on cirrhosis/HCC  
18 among patients with positive anti-HBs (HR:0.96;p=0.945). On the other hand, there  
19 was a borderline significance among patients with negative anti-HBs  
20 (HR:0.29;p=0.073), which is likely due to underpower from subgroup analysis. This  
21 marked difference in the statin effect as regards the presence of anti-HBs warrants  
22 further investigation. Interestingly, although there was statistically significant  
23 difference in the median values of albumin, AST, ALP and INR among statin users at  
24 five years after HBsAg seroclearance, the clinical significance of this is not prominent  
25 given the small numerical differences. One of the possible reasons is the generally

1 good clinical outcome of patients with HBsAg seroclearance who used statins. While  
2 a beneficial effect of statins has been demonstrated on prevention of cirrhosis/HCC  
3 and hepatic decompensation (categorical outcome), the biochemical improvement of  
4 liver function parameters (numerical outcome) may not be distinctly demonstrated.

5

6 As for liver-related death/transplantation, NSSBs (aHR:3.11), metformin (aHR:5.93),  
7 and PPIs (aHR:5.38) were risk factors. Poorer glycemic control mandates metformin  
8 use, explaining its higher risk of hepatic decompensation (aHR:8.25) and liver-related  
9 death (aHR:5.93). Indeed, metformin improves survival of diabetic patients with  
10 cirrhosis.<sup>27</sup> Studies of diabetic patients with HBsAg seroclearance adjusting for serial  
11 HbA1c measurements and other anti-diabetic medications are required to investigate  
12 role of metformin in liver outcomes. A meta-analysis showed PPIs associated with  
13 higher risk of adverse liver outcomes and mortality which may be due to gut  
14 dysbiosis.<sup>17</sup> NSSB use may signify more severe liver disease (and hence liver-related  
15 mortality) in our current study, although recent study showed patients with  
16 decompensated cirrhosis had higher mortality if cardiac output was  $<5\text{L}/\text{min}$ .<sup>28</sup>

17

18 A few limitations of this study should be acknowledged. First, a significant proportion  
19 of patients did not undergo USG, transient elastography and liver biopsy at HBsAg  
20 seroclearance, precluding a more homogeneous definition of cirrhosis and hepatic  
21 steatosis. Data on BMI at the time of HBsAg seroclearance was not available. Second,  
22 data on HBV genotype, a risk factor for adverse hepatic outcomes, were unavailable.  
23 Third, due to incomplete documentation of smoking and alcohol intake, COPD and  
24 alcohol-related diseases were chosen as surrogate markers to reflect more severe  
25 disease spectrum. Fourth, generalizability of study results should be confirmed by

1 multi-center studies involving Caucasians, as different genotypes predominate in  
2 Asian and non-Asian countries.<sup>29</sup>

3  
4 Our study has several important clinical implications. Identification of factors for  
5 adverse outcomes after HBsAg seroclearance helps streamline management in a cost-  
6 effective manner based on risk stratification. Particular attention should be paid to  
7 cardiometabolic risk factors apart from known factors like older age and cirrhosis.  
8 Importance of monitoring of hepatic steatosis (via controlled attenuation parameter  
9 measurement) should be emphasized, as resolution of severe steatosis is associated  
10 with fibrosis regression in quiescent CHB patients.<sup>25</sup> Initiation of statins may be  
11 considered in patients with HBsAg seroclearance at high risk of adverse clinical  
12 outcomes, especially those with borderline indications for cardiovascular disease  
13 prevention.

14

## 15 **Conclusion**

16 There is overall good long-term prognosis after HBsAg seroclearance, although some  
17 patients still develop adverse clinical outcomes. In addition to known risk factors like  
18 older age, male sex and cirrhosis, cardiometabolic risk factors are important for  
19 prognostication. Statins are associated with improved prognosis after HBsAg  
20 seroclearance.

21

## 22 ***Data availability***

23 Data will not be shared due to confidentiality.

24

## 25 **Animal research (Ethics)**

1 This study did not involve animal research.

2

3 **Consent to participate (Ethics)**

4 This is a retrospective cohort study and has been approved by Institutional Review  
5 Board, University of Hong Kong and West Cluster of Hospital Authority, Hong  
6 Kong.

7 **Consent to publish (Ethics)**

8 Participate identity was anonymized in this study.

9

10 **Clinical Trials Registration**

11 This is not a clinical trial.

12

13 **Authors contributions**

14 **Drs. Ka-Shing Cheung and Wai-Kay Seto** were involved with study concept and  
15 design; acquisition of data; analysis and interpretation of data; drafting of manuscript;  
16 **Dr. Lok Ka Lam** was involved with acquisition of data. **Dr. Lung-Yi Mak and**  
17 **James Fung** were involved with analysis and interpretation of data; and critical  
18 revision of the manuscript for important intellectual content. **Prof Man-Fung Yuen**  
19 were involved with the study concept and design; analysis and interpretation of data;  
20 drafting of manuscript; critical revision of the manuscript for important intellectual  
21 content; and study supervision. The corresponding author had full access to all data,  
22 and was fully responsible for the data integrity and statistical analysis. All authors  
23 revised the manuscript and approved the final version of this article.

24

25 ***Conflicts of Interest***

1 WK Seto is an advisory board member of AbbVie and Gilead Sciences, and received  
2 speaker fees from AbbVie, Gilead Sciences and Mylan. J Fung received research  
3 funding from Novartis. MF Yuen is advisory board member and received speaker's fees  
4 from AbbVie, Janssen, Biocartis NV, Bristol Myers Squibb, Fujirebio Incorporation,  
5 Gilead Sciences, Merck Sharp and Dohme, Sysmex Corporation. MF Yuen does  
6 consulting for Aligos Pharmaceuticals, Assembly Biosciences, Arbutus Biopharma,  
7 Dicerna Pharmaceuticals, Clear-B Therapeutics, GlaxoSmithKline, Immunocore,  
8 Springbank Pharmaceuticals and also received research funding from Bristol Myers  
9 Squibb and Gilead Sciences. LY Mak is advisory board member of Gilead Sciences.  
10 The remaining authors have no conflicts of interest.

11

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13 Nil

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1 **FIGURE LEGEND**

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3 **Figure 1: Kaplan Meier plot of proportion of patients developing (a) cirrhosis;**  
4 **(b) HCC; (c) liver-related death or liver transplantation; (d) all-cause death of**  
5 **the whole study cohort after HBsAg seroclearance**

6 Abbreviations: HBsAg, hepatitis B surface antigen; HCC, hepatocellular carcinoma;  
7 PS, propensity score

8

9 **Figure 2: Kaplan Meier plot of (a) cirrhosis and/or HCC-free survival; (b)**  
10 **hepatic decompensation-free survival; (c) liver-related death and/or liver**  
11 **transplantation-free survival; (d) survival after HBsAg seroclearance according**  
12 **to statin use among PS matched cohort**

13 Abbreviations: HBsAg, hepatitis B surface antigen; HCC, hepatocellular carcinoma;  
14 PS, propensity score

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1 **Table 1. Characteristics of patients with HBsAg seroclearance**

	<b>Whole cohort (n=913)</b>	<b>Statin users (n=129)</b>	<b>Statin non-users (n=784)</b>	<b>p-value</b>
Age	53.4 (45.2 – 60.9)	61.2 (54.2 – 67.6)	52.1 (44.0 – 59.5)	<b>&lt;0.001</b>
Male (n, %)	613 (67.1%)	92 (71.3%)	521 (66.5%)	0.276
Anti-HBs positivity (n, %)	513 (56.2%)	80 (62.0%)	433 (55.2%)	0.150
Treatment naïve (n, %)	818 (89.6%)	114 (88.4%)	704 (90.0%)	0.624
Cirrhosis	80 (8.8%)	11 (8.5%)	69 (8.8%)	0.919
DM*	205/827 (24.8%)	61/128 (47.7%)	144/699 (20.6%)	<b>&lt;0.001</b>
Alcoholism <sup>#</sup>	11 (1.2%)	2 (1.6%)	9 (1.1%)	0.660
Smoking <sup>#</sup>	13 (1.4%)	6 (4.7%)	7 (0.9%)	<b>0.005</b>
Cardiovascular diseases	107 (11.7%)	69 (53.5%)	38 (4.8%)	<b>&lt;0.001</b>
Platelet (x10 <sup>9</sup> /L)	204 (171 – 241)	199 (169 – 226)	205 (171 – 243)	0.148
Creatinine (umol/L)*	82 (68 – 93)	85 (73 – 100)	81 (68 – 92)	<b>0.005</b>
Albumin (g/L)*	43 (41 – 45)	43 (41 – 45)	43 (41 – 46)	0.324
Bilirubin (umol/L)*	9 (6 – 12)	9 (6 – 12)	9 (6 – 12)	0.442
ALT (U/L)*	20 (15 – 28)	23 (16 – 33)	20 (15 – 27)	<b>0.002</b>
AST (U/L)*	22 (19 – 27)	23 (20 – 28)	22 (19 – 27)	<b>0.032</b>
ALP (U/L)*	62 (52 – 73)	65 (55 – 77)	62 (52 – 73)	<b>0.024</b>
GGT (U/L)*	22 (16 – 33)	27 (20 – 38)	22 (16 – 32)	<b>&lt;0.001</b>
PT (seconds)*	11.1 (10.6 – 11.8)	11.1 (10.7 – 11.8)	11.1 (10.6 – 11.8)	0.983
AFP (ng/ml)*	2 (2 – 3)	2 (2 – 3)	2 (2 – 3)	0.520
NSSBs (n, %)	36 (3.9%)	10 (7.8%)	26 (3.3%)	<b>0.016</b>
Metformin (n, %)	66 (7.2%)	40 (31.0%)	26 (3.3%)	<b>&lt;0.001</b>
PPIs (n, %)	109 (11.9%)	48 (37.2%)	61 (7.8%)	<b>&lt;0.001</b>

p-value < 0.05 is bold

\*missing data – DM: 86 (9.4%); creatinine: 138 (15.1%); platelet: 13 (1.4%); albumin: 4 (0.4%); bilirubin: 4 (0.4%); ALT: 5 (0.5%); AST: 7 (0.8%); ALP: 4 (0.4%); GGT: 17 (1.9%); PT: 82 (9.0%); AFP: 6 (0.7%)

<sup>#</sup>Chronic obstructive pulmonary disease (COPD) and alcohol-related diseases (gastrointestinal, hepatic, cardiac and neurological) were used as proxies for heavy smoking and alcoholism as the smoking and drinking history were not documented in some patients.

Abbreviations: anti-HBs, anti-hepatitis B surface antigen; DM, diabetes mellitus; COPD, chronic obstructive pulmonary disease; ALT, alanine aminotransferase; AST, aspartate aminotransferase;

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ALP, alkaline phosphatase; GGT, gamma glutamyl transferase; PT, prothrombin time; AFP, alpha fetoprotein; NSSBs, non-selective beta blockers; PPIs, proton pump inhibitors

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1 **Table 2. Risk factors for cirrhosis and/or hepatocellular carcinoma development after**  
 2 **HBsAg seroclearance (cohort number= 833, events=71)**

	Adjusted HR	95% CI	p-value
Age	1.06	1.03 – 1.09	<b>&lt;0.001</b>
Male	1.48	0.85 – 2.58	0.170
Antiviral treatment	1.57	0.60 – 4.14	0.362
Anti-HBs positivity	0.73	0.44 – 1.21	0.220
DM	2.03	1.17 – 3.51	<b>0.011</b>
Alcoholism*	0.36	0.04 – 2.98	0.346
Smoking*	0.65	0.08 – 5.08	0.683
Cardiovascular diseases	1.40	0.70 – 2.78	0.339
Platelet <150x10 <sup>9</sup> /L	1.37	0.73 – 2.58	0.326
Creatinine (umol/L)	1.008	1.005 – 1.012	<b>&lt;0.001</b>
Albumin <39 g/L	0.99	0.48 – 2.04	0.986
Bilirubin >17 umol/L	1.12	0.47 – 2.71	0.793
ALT >40 U/L	1.19	0.47 – 3.02	0.721
AST >40 U/L	1.69	0.53 – 5.39	0.371
ALP >110 U/L	1.31	0.40 – 4.31	0.661
GGT >50 U/L	3.25	1.77 – 5.97	<b>&lt;0.001</b>
PT (seconds)	1.06	0.96 – 1.17	0.224
AFP > 9 ng/ml	10.14	3.33 – 30.94	<b>&lt;0.001</b>
Statins	0.44	0.20 – 0.96	<b>0.038</b>
NSSBs	1.47	0.52 – 4.19	0.471
Metformin	1.88	0.82 – 4.30	0.133
PPIs	0.67	0.28 – 1.56	0.348

p-value < 0.05 is bold

\*Chronic obstructive pulmonary disease (COPD) and alcohol-related diseases (gastrointestinal, hepatic, cardiac and neurological) were used as proxies for heavy smoking and alcoholism as the smoking and drinking history were not documented in some patients.

Abbreviations: HBsAg, hepatitis B surface antigen; HR, hazard ratio; 95% CI, 95% confidence interval; anti-HBs, anti-hepatitis B surface antigen; DM, diabetes mellitus; COPD, chronic obstructive pulmonary disease; ALT, alanine aminotransferase; AST, aspartate aminotransferase; ALP, alkaline phosphatase; GGT, gamma glutamyl transferase; PT, prothrombin time; AFP, alpha fetoprotein; NSSBs, non-selective beta blockers; PPIs, proton pump inhibitors

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1 **Table 3. Effect of statins on primary and secondary outcomes after HBsAg**  
 2 **seroclearance**

<b>Multivariable analysis</b>	<b>*aHR</b>	<b>95% CI</b>	<b>p-value</b>	<b>PS matching</b>	<b>HR</b>	<b>95% CI</b>	<b>p-value</b>
Cirrhosis and/or hepatocellular carcinoma (cohort number=833, events=71)	0.44	0.20 – 0.96	<b>0.038</b>	Cirrhosis and/or hepatocellular carcinoma (cohort number=163, events=26)	0.30	0.10 – 0.86	<b>0.025</b>
Hepatic decompensation (cohort number=905, events=18)	No events in statin users	n.a. #	n.a. #	Hepatic decompensation (cohort number=198, events=8)	No events in statin users	n.a. #	n.a. #
Liver-related mortality and/or liver transplantation (cohort number=913, events=18)	No events in statin users	n.a. #	n.a. #	Liver-related mortality and/or liver transplantation (cohort number=208, events=8)	No events in statin users	n.a. #	n.a. #
All-cause mortality (cohort number=913, events=76)	0.21	0.08 – 0.53	<b>&lt;0.001</b>	All-cause mortality (cohort number=207, events=29)	0.33	0.13 – 0.87	<b>0.026</b>

p-value <0.05 is bold

\*adjusted for age, sex, antiviral treatment, anti-HBs positivity, DM, alcoholism, smoking, cardiovascular diseases, thrombocytopenia, creatinine, hypoalbuminemia, hyperbilirubinemia, ALT, AST, ALP, GGT, PT, AFP, NSSBs, metformin and PPIs

#could not be calculated as there were no events in patients with statin use

Abbreviations: HBsAg, hepatitis B surface antigen; aHR, adjusted hazard ratio; 95% CI, 95% confidence interval; anti-HBs, anti-hepatitis B surface antigen; DM, diabetes mellitus; COPD, chronic obstructive pulmonary disease; ALT, alanine aminotransferase; AST, aspartate aminotransferase; ALP, alkaline phosphatase; GGT, gamma glutamyl transferase; PT, prothrombin time; AFP, alpha fetoprotein; NSSBs, non-selective beta blockers; PPIs, proton pump inhibitors

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1 **Table 4. Risk factors for liver-related mortality and/or liver transplantation after**  
 2 **HBsAg seroclearance (cohort=913, events=18)**

	Adjusted HR	95% CI	p-value
Age	1.10	1.05 – 1.16	<b>&lt;0.001</b>
Male	7.45	2.08 – 26.63	<b>0.002</b>
Antiviral treatment	1.15	0.14 – 9.39	0.895
Anti-HBs positivity	0.54	0.21 – 1.41	0.211
Cirrhosis	13.58	4.77 – 38.64	<b>&lt;0.001</b>
DM	0.96	0.37 – 2.51	0.936
Alcoholism*	2.78	0.34 – 22.98	0.344
Smoking*	n.a.#	n.a.#	n.a.#
Cardiovascular diseases	1.58	0.50 – 4.95	0.436
Platelet <150x10 <sup>9</sup> /L	3.46	1.29 – 9.30	<b>0.014</b>
Creatinine (umol/L)	1.007	1.005 – 1.010	<b>&lt;0.001</b>
Albumin <39 g/L	0.74	0.28 – 1.97	0.550
Bilirubin >17 umol/L	0.32	0.10 – 1.00	0.051
ALT >40 U/L	n.a.#	n.a.#	n.a.#
AST >40 U/L	n.a.#	n.a.#	n.a.#
ALP >110 U/L	0.24	0.07 – 0.91	<b>0.036</b>
GGT >50 U/L	1.83	0.58 – 5.76	0.304
PT (seconds)	1.26	1.09 – 1.45	<b>0.001</b>
AFP >9 ng/ml	7.55	0.97 – 58.68	0.053
Statins	n.a.#	n.a.#	n.a.#
NSSBs	3.11	1.18 – 8.18	<b>0.022</b>
Metformin	5.93	1.64 – 21.37	<b>0.006</b>
PPIs	5.38	2.07 – 13.97	<b>&lt;0.001</b>

p-value <0.05 is bold

\*Chronic obstructive pulmonary disease (COPD) and alcohol-related diseases (gastrointestinal, hepatic, cardiac and neurological) were used as proxies for heavy smoking and alcoholism as the smoking and drinking history were not documented in some patients.

#results not available as there were no events in patients with smoking, ALT >40 U/L, AST >40 U/L, and statin use

Abbreviations: HBsAg, hepatitis B surface antigen; HR, hazard ratio; 95% CI, 95% confidence interval; anti-HBs, anti-hepatitis B surface antigen; DM, diabetes mellitus; COPD, chronic obstructive pulmonary disease; ALT, alanine aminotransferase; AST, aspartate aminotransferase; ALP, alkaline phosphatase; GGT, gamma glutamyl transferase; PT, prothrombin time; AFP, alpha fetoprotein; NSSBs, non-selective beta blockers; PPIs, proton pump inhibitors

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