

# Precise Luminous Flux and Color Control of Dimmable Red-Green-Blue Light-Emitting Diode Systems

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**Abstract**—A generic red, green, blue (RGB) LED system encompasses complex interactions of power, heat, light, and color, which pose a major challenge for achieving precise control over luminance and color-mixing in high-quality lighting applications. In this paper, new nonlinear empirical models of a practical RGB LED system with closed-loop control are formulated. They enable precise prediction of luminous flux and color coordinates by using the three distinct reference voltages as the control variables for independent current regulation across the red, green and blue LED strings. The proposed empirical models are experimentally verified by using a hardware prototype of a DC-DC single-inductor three-output (SITO) LED driver with proportional-integral (PI) compensators and time-interleaving control scheme. The measured values of luminous flux and color coordinates agree closely with the predicted values from the models.

**Index Terms**—Luminous flux, color coordinates, red-green-blue (RGB) light-emitting diode (LED) system, single-inductor three-output (SITO) LED driver.

## I. INTRODUCTION

Precise illumination and color control in red-green-blue (RGB) light-emitting diode (LED) systems remains a grand challenge facing researchers and engineers in the lighting industry. Typically, a dimmable RGB lamp combines the three primary colors, namely, red, green and blue, in different intensities to produce a broad array of colors. Nowadays, a myriad of RGB intelligent LED solutions such as Philips Hue, Osram Lightfy, Ikea Trådfri or Xiaomi Yeelight have been developed for various color tunable ambient and mood lighting applications. Yet, in practice, the preciseness and performance of dimming and color mixing are still far from satisfactory. This is mainly attributed to the fact that such LED products are based on simplistic linear control methodologies that do not take into account the actual nonlinear characteristic of the LEDs [1]–[12]. Although they can attain the basic functions of dimming and

color control, the resulting lighting control is highly inaccurate and inconsistent [1]–[3],[12].

In general, an LED system involves complex interactions of power, heat, light and color. Such interdependence transpires physically that a change in the LED power leads to a corresponding change in the light intensity and junction temperature, which in turn causes an unwanted shift in the color coordinates [12], [13]. Such color deviation can be perceived by the human eye. On the other hand, the existing multicolored LED systems adopt the conventional linear approach, which assumes that the color coordinates of red, green and blue LEDs remain constant. They employ either analog or PWM dimming to perform color mixing. Unfortunately, such an assumption fails to take into consideration the thermal interdependence among the LEDs, which accounts for their inability in achieving precise dimming and color control. To address these issues, a closed-loop nonlinear scheme for precisely controlling the luminous flux and correlated color temperature (CCT) has been introduced for a bicolor white LED system [14], [15]. Nonetheless, it requires the use of a dedicated color sensor to perform optical feedback for attaining precise dimming and CCT control. Also, this approach is only applicable to a simple bicolor white LED lamp comprising warm-white and cool-white LEDs.

Recently, a precise color control scheme based on the empirical nonlinear color model was proposed for RGB LED systems [13]. Compared with the conventional linear approach, the nonlinear approach reported in [13] significantly reduces the color deviation between the measured color point and the target color point. Nonetheless, the RGB LEDs were driven by benchtop power supplies in an open-loop configuration without current regulation. In the absence of any feedback control, the LED current is virtually uncontrollable. The actual LED current value would be different from the desired target value. It should also be noted that the empirical color models were formulated by assuming that the nominal currents across the red, green and blue LEDs are identical. But, in practice, they could be different from each other. Unfortunately, the empirical models do not account for any potential current mismatch across the three LED strings. This implies that the nonlinear color control method in [13] cannot be readily applied to a practical multistring LED system with closed-loop current control. In addition, the theoretical underpinning and empirical model for achieving precise dimming in RGB LED systems are not yet covered.

In view of the aforementioned issues, this paper presents a precise dimming and color control method for a real RGB system with accurate and independent current regulation across the three LED strings. Based on the single-inductor multiple-output (SIMO) power converter architecture [16]–[26], a DC-DC single-inductor three-output (SITO) buck-type LED driver with a reconfigurable digital controller is constructed. Due to

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the use of a single inductor to drive multiple LED strings, the SIMO topology is chosen in order to realize a compact, low-cost and scalable multi-string LED driver. The nominal current in each of the three LED strings can be precisely and independently controlled by adjusting the corresponding reference voltage. Nonlinear empirical luminous flux and color models for precise dimming and color control are formulated by using the three reference voltages as control variables of this tricolor system. The proposed control method can be easily implemented in any RGB LED systems for practical lighting applications. The close agreement between the model predictions and the measured results confirm the validity of the tricolor models and the control scheme. The paper is organized as follows. Section II introduces the concept of brightness and color control of a single-inductor three-output (SITO) LED driver for RGB systems. Section III presents the nonlinear empirical luminous flux and color models. Section IV compares the experimental results of color prediction based on the conventional linear and the proposed nonlinear method. Finally, Section V draws the conclusions of this research work.

## II. BRIGHTNESS AND COLOR CONTROL OF SITO LED DRIVER FOR RGB SYSTEMS

The DC-DC single-inductor three-output (SITO) LED driver transforms a single DC input voltage ( $V_{in}$ ) into three individual DC output currents ( $I_R, I_G, I_B$ ), where  $I_R$  is the current across the red LED string,  $I_G$  is the current across the green LED string, and  $I_B$  is the current across the blue LED string. Fig. 1 shows the circuit diagram of a DC-DC buck SITO LED driver with closed-loop control. Since a typical RGB LED system comprises at least three LED strings, three feedback loops, one per LED string, are required for independent current regulation.

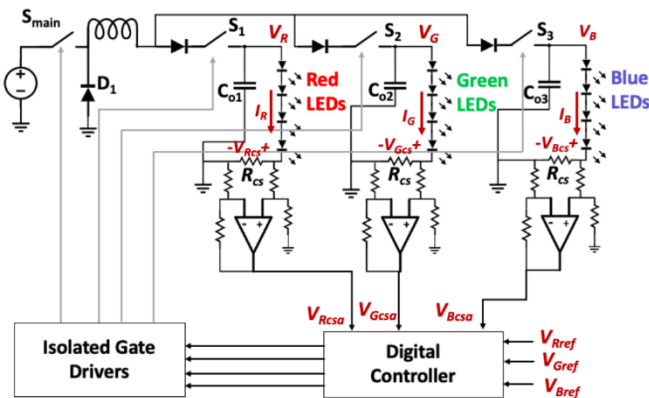


Fig. 1. Circuit diagram of SITO LED driver with feedback control.

A small current sense resistor is connected in series with each LED string. The voltage across each current sense resistor ( $V_{Rcs}, V_{Gcs}, V_{Bcs}$ ) is amplified by the op amp and then fed into the digital controller. A 32-bit 150 MHz microcontroller (MCU) development board [27] is used to implement the digital controller. An on-board analog-to-digital converter (ADC) transforms the amplified current sense voltages ( $V_{Rcsa}, V_{Gcsa}, V_{Bcsa}$ ) into their digital counterpart, which are then subtracted from the corresponding reference voltages ( $V_{Rref}, V_{Gref}, V_{Bref}$ ) in order to generate the error signals. Each error signal is fed into the proportional-integral (PI) compensator in series with the

PWM modulator and a limiter to generate a PWM signal with a certain on-time duty ratio ( $D_R, D_G$  or  $D_B$ ). A 3-to-1 multiplexer is used to select one of the three PWM signals to be fed to the input of the isolated gate driver, which generates the gate-drive signal for the main switch ( $v_{gs,main}$ ) of the SITO LED driver. An embedded C program is used to implement the functions of the digital controller shown in Fig. 2.

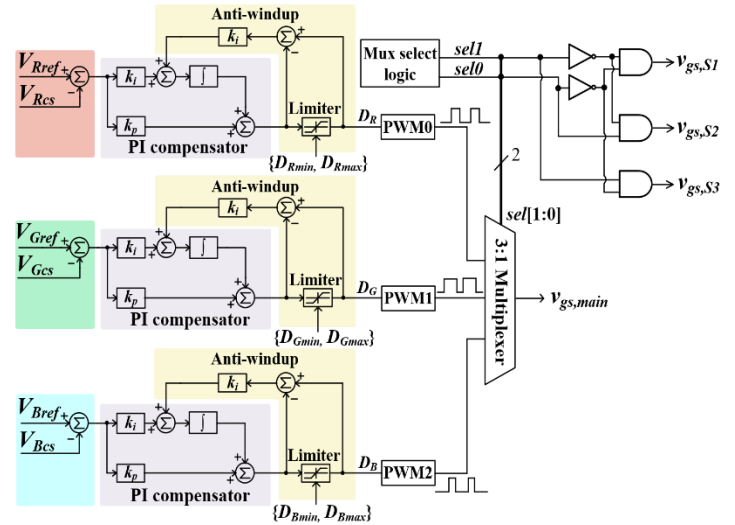


Fig. 2. Functional block diagram of the digital controller.

Proper values of  $k_p$  and  $k_i$  are chosen for the PI compensator to attain accurate and stable regulation of the current-sense voltage (or LED current). An anti-windup scheme is used to avoid overflow of the integrator and maintain linear operation of the PI compensator. The digital controller ensures that the nominal current in each LED string tracks closely with the corresponding reference voltage. The three reference voltages become the control variables in the closed-loop RGB system. In practice, the current in the three LED strings can be precisely and independently regulated by employing three distinct reference voltages.

Despite the fact that the SITO LED driver with feedback control has been reported in the literature [23], [25], the relationship between the electrical and optical properties of such kind of LED driver is not well understood. Hence, to fill the gap in our understanding, the effect of the variations of the three reference voltages on the luminous flux and color point is formally investigated. The theoretical model of the luminous flux as a function of the three reference voltages will be analytically derived. Subsequently, nonlinear empirical flux and color models will be formulated, which enable us to accurately predict the luminous flux and color point of the RGB LED system for any given set of reference voltages. This eliminates the need to physically measure these parameters in the laboratory using expensive test equipment such as the PMS-50 Spectrophotometer [28] and an integrating sphere, which is a tedious and time-consuming process.

Let  $\phi_T$  be the total luminous flux of an RGB LED system,  $\phi_R$  be the luminous flux emitted by the red LED only,  $\phi_G$  be the luminous flux emitted by the green LED only, and  $\phi_B$  be the luminous flux emitted by the blue LED only.

$$\phi_T = \phi_R + \phi_G + \phi_B \quad (1)$$

In general, the luminous flux ( $\phi$ ) of an LED system can be expressed as

$$\phi = N \times E \times P_{LED} \quad (2)$$

where  $N$  is the number of LEDs,  $E$  is the luminous efficacy (lumen/Watt) per LED and  $P_{LED}$  is the electrical power of a single LED (W).

By combining (1) and (2), we have

$$\phi_T = N_R \times E_R \times P_R + N_G \times E_G \times P_G + N_B \times E_B \times P_B \quad (3)$$

For ease of discussion, suppose  $N_R = N_G = N_B = N$  and  $E_R = E_G = E_B = E$ . Equation (3) can be simplified as follows.

$$\phi_T = N \times E \times (P_R + P_G + P_B) \quad (4)$$

where  $P_R$ ,  $P_G$  and  $P_B$  represent the electrical power of the red, green and blue LED, respectively.

The LED power ( $P_{LED}$ ) can be defined as  $P_{LED} = V_{LED} \times I_{LED}$ , where  $V_{LED}$  is the LED voltage and  $I_{LED}$  is the LED current. Hence, equation (4) can be re-expressed as follows.

$$\phi_T = N \times E \times (V_R \times I_R + V_G \times I_G + V_B \times I_B) \quad (5)$$

where ( $V_R$ ,  $V_G$ ,  $V_B$ ) represent the voltage across the red, green and blue LED and ( $I_R$ ,  $I_G$ ,  $I_B$ ) represent the current across the red, green and blue LED, respectively. Since closed-loop control is used in the RGB system, as shown in Fig. 1, the DC current ( $I_R$ ,  $I_G$ ,  $I_B$ ) in each of the red, green or blue LED strings is independently regulated by the corresponding reference voltage ( $V_{Rref}$ ,  $V_{Gref}$ ,  $V_{Bref}$ ). Under steady-state condition, the amplified current sense voltage ( $V_{Rcsa}$ ,  $V_{Gcsa}$ ,  $V_{Bcsa}$ ) is the same as the corresponding reference voltage, i.e.,  $V_{Rcsa} = V_{Rref}$ ,  $V_{Gcsa} = V_{Gref}$ , and  $V_{Bcsa} = V_{Bref}$ . In principle, the LED current can be expressed in terms of the current sense resistor ( $R_{cs}$ ), the gain of the current sense amplifier ( $G_{cs}$ ), and the reference voltage. For simplicity, the values of  $G_{cs}$  and  $R_{cs}$  are assumed to be identical across the three LED strings. However, the current sense voltages can be different due to unbalanced current across the three LED strings. Thus, the current sense voltages are uniquely represented as ( $V_{Rcs}$ ,  $V_{Gcs}$ ,  $V_{Bcs}$ ).

$$\begin{cases} I_R = \frac{V_{Rcs}}{R_{cs}} = \frac{V_{Rref}}{G_{cs}R_{cs}} = kV_{Rref} \\ I_G = \frac{V_{Gcs}}{R_{cs}} = \frac{V_{Gref}}{G_{cs}R_{cs}} = kV_{Gref} \\ I_B = \frac{V_{Bcs}}{R_{cs}} = \frac{V_{Bref}}{G_{cs}R_{cs}} = kV_{Bref} \end{cases} \quad (6)$$

$$\text{where } k = \frac{1}{G_{cs}R_{cs}}.$$

Hence, the total luminous flux of an RGB LED system can be formally expressed as

$$\phi_T = N \times E \times k (V_R \times V_{Rref} + V_G \times V_{Gref} + V_B \times V_{Bref}) \quad (7)$$

In general, the total luminous flux can be expressed as a function of the three distinct reference voltages as follows.

$$\phi_T = k_R V_{Rref} + k_G V_{Gref} + k_B V_{Bref} \quad (8)$$

where  $k_R$ ,  $k_G$  and  $k_B$  are parametric constants given in equation (9).

$$\begin{cases} k_R = N \times E \times k \times V_R \\ k_G = N \times E \times k \times V_G \\ k_B = N \times E \times k \times V_B \end{cases} \quad (9)$$

Equation (9) assumes the number of red, green or blue LEDs ( $N$ ), luminous efficacy ( $E$ ), the gain of operational amplifier ( $G_{cs}$ ) and the value of current sense resistor ( $R_{cs}$ ) are constants.

Equation (8) shows that the total luminous flux is a linear combination of the three reference voltages. Based on this linear relationship, an increase in one of the three reference voltages leads to a proportional increase in the luminous flux. However, this simplistic linear model does not include the thermal aspects of the LED system. In [7], a general photoelectrothermal (PET) theory was developed, which integrates the photometric, electrical, and thermal properties of an LED system. According to the PET theory, the total luminous flux can be modeled as

$$\phi_T = \alpha_1 P_{LED} - \alpha_2 P_{LED}^2 \quad (10)$$

where  $\alpha_1$  and  $\alpha_2$  are parametric constants that depend on the rated efficacy ( $E_o$ ) at room temperature, the heat coefficient ( $k_h$ ), the electrical coefficient ( $k_e$ ), the thermal resistance of the heatsink ( $R_{hs}$ ), and the LED's junction-to-case resistance ( $R_{jc}$ ) [7]. For an RGB system, equation (10) can be re-expressed as

$$\phi_T = \alpha_1 P_{RGB} - \alpha_2 P_{RGB}^2 \quad (11)$$

where  $P_{RGB}$  is defined as the "per unit" power of one red LED, one green LED and one blue LED, i.e.,  $P_{RGB} = P_R + P_G + P_B$ .

Similar to (7),  $P_{RGB}$  can be expressed in terms of the three reference voltages, i.e.,

$$P_{RGB} = k (V_R \times V_{Rref} + V_G \times V_{Gref} + V_B \times V_{Bref}) \quad (12)$$

By substituting (12) into (11) and re-arranging, we have

$$\begin{aligned} \phi_T = & -\alpha_2 k^2 V_R^2 V_{Rref}^2 + \alpha_1 k V_R V_{Rref} - 2\alpha_2 k^2 V_R V_G V_{Rref} V_{Gref} \\ & - \alpha_2 k^2 V_G^2 V_{Gref}^2 + \alpha_1 k V_G V_{Gref} - 2\alpha_2 k^2 V_G V_B V_{Gref} V_{Bref} \\ & - \alpha_2 k^2 V_B^2 V_{Bref}^2 + \alpha_1 k V_B V_{Bref} - 2\alpha_2 k^2 V_B V_R V_{Bref} V_{Rref} \end{aligned} \quad (13)$$

Equation (13) defines the general nonlinear model of the luminous flux as a function of the three reference voltages ( $V_{Rref}$ ,  $V_{Gref}$ ,  $V_{Bref}$ ). It is a second degree polynomial. For a given set of reference voltages ( $V_{Rref}$ ,  $V_{Gref}$ ,  $V_{Bref}$ ), the corresponding current values ( $I_R$ ,  $I_G$ ,  $I_B$ ) can be determined from (6). By referring to the I-V curve of the red, green or blue LED from the datasheet, the corresponding values of ( $V_R$ ,  $V_G$ ,  $V_B$ ) can also be obtained. The total luminous flux  $\phi_T$  can therefore be calculated from (13). It is interesting to note that if  $\alpha_2$  is zero, equation (13) reduces to (8), which means that the linear flux model is a special case of the nonlinear flux model.

In general, the number of red, green and blue LEDs may *not* be the same in the RGB LED system. Suppose the system contains distinct numbers of red, green and blue LEDs, where  $N_R$ ,  $N_G$  and

$N_B$  are the number of red, green and blue LEDs, respectively. The power of one red LED, one green LED and one blue LED can be expressed as

$$\begin{cases} P_R = V_R I_R = k V_R V_{Rref} \\ P_G = V_G I_G = k V_G V_{Gref} \\ P_B = V_B I_B = k V_B V_{Bref} \end{cases} \quad (14)$$

Equation (10) can therefore be re-expressed in terms  $P_R$ ,  $P_G$  and  $P_B$  as follows.

$$\phi_T = \alpha_1 (N_R P_R + N_G P_G + N_B P_B) - \alpha_2 (N_R P_R + N_G P_G + N_B P_B)^2 \quad (15)$$

By substituting (14) into (15) and re-arranging, we have

$$\begin{aligned} \phi_T = & -\alpha_2 k^2 k_R^2 V_{Rref}^2 + \alpha_1 k k_R V_{Rref} - 2\alpha_2 k^2 k_R k_G V_{Rref} V_{Gref} \\ & - \alpha_2 k^2 k_G^2 V_{Gref}^2 + \alpha_1 k k_G V_{Gref} - 2\alpha_2 k^2 k_G k_B V_{Gref} V_{Bref} \\ & - \alpha_2 k^2 k_B^2 V_{Bref}^2 + \alpha_1 k k_B V_{Bref} - 2\alpha_2 k^2 k_B k_R V_{Bref} V_{Rref} \end{aligned} \quad (16)$$

It is interesting to note that equation (16) resembles equation (13), both of which are second degree polynomial. Equation (16) represents a more generalized nonlinear flux model because the number of red, green and blue LEDs can be the same or different.

In the study of color science, one of the first mathematically defined color spaces is the CIE 1931 color system created by the International Commission on Illumination (CIE) in 1931. For a given power-spectral density  $P(\lambda)$ , the XYZ tristimulus values are defined as follows [29].

$$X = \int_{\lambda} \bar{x}(\lambda) P(\lambda) d\lambda \quad (17a)$$

$$Y = \int_{\lambda} \bar{y}(\lambda) P(\lambda) d\lambda \quad (17b)$$

$$Z = \int_{\lambda} \bar{z}(\lambda) P(\lambda) d\lambda \quad (17c)$$

where  $\bar{x}(\lambda)$ ,  $\bar{y}(\lambda)$  and  $\bar{z}(\lambda)$  are the color-matching functions, which are the numerical description of the chromatic response of the CIE standard observer. In practice, the CIE xyY color space is often used to specify colors. Basically, the chromaticity of a certain color is specified by the  $(x, y)$  color coordinates. Fig. 3 shows the CIE 1931 color space chromaticity diagram.

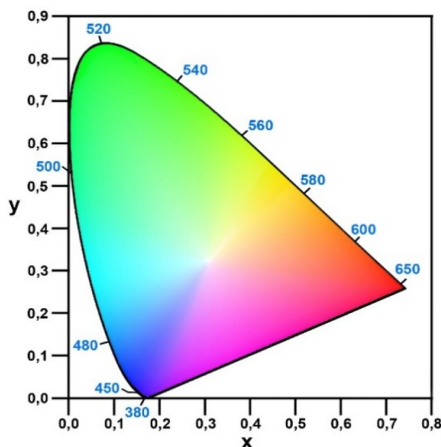


Fig. 3. CIE 1931 color space chromaticity diagram.

The  $Y$  parameter is a quantitative measure of the brightness (or luminance) of a color. The values of  $(x, y)$  can be obtained from the normalization of the XYZ tristimulus values, that is,

$$\begin{cases} x = \frac{X}{X+Y+Z} \\ y = \frac{Y}{X+Y+Z} \end{cases} \quad (18)$$

The CIE 1931 color space is superseded by the CIE 1960 Uniform Color Space (UCS) with uniform  $(u, v)$  color coordinates. The  $(u, v)$  color coordinates can be obtained from the XYZ tristimulus values as follows.

$$\begin{cases} u = \frac{4X}{X+15Y+3Z} \\ v = \frac{6Y}{X+15Y+3Z} \end{cases} \quad (19)$$

For the sake of quantifying the color deviation of a light source, a new parameter  $\Delta uv$  is defined as

$$\Delta uv = \sqrt{(u - u_o)^2 + (v - v_o)^2} \quad (20)$$

where  $(u, v)$  is the actual color coordinates of the light source and  $(u_o, v_o)$  is the target color coordinates.  $\Delta uv$  is the Euclidean distance between the actual color point and the target color point, which represents the deviation of the actual color point from the target one. The specifications of color tolerance for LED sources are given in [30].

Color mixing in the existing RGB LED lamps are primarily based on simple linear color averaging of the red, green and blue LEDs. A certain color can be produced by a linear combination of the three primary colors, which is the fundamental concept of colorimetry [31], [32]. In other words, the total tristimulus value  $(X_T, Y_T, Z_T)$  is a linear combination of  $(X_R, Y_R, Z_R)$  units of red color,  $(X_G, Y_G, Z_G)$  units of green color, and  $(X_B, Y_B, Z_B)$  units of blue color. Mathematically, it can be written as

$$\begin{bmatrix} X_T(V_{Rref}, V_{Gref}, V_{Bref}) \\ Y_T(V_{Rref}, V_{Gref}, V_{Bref}) \\ Z_T(V_{Rref}, V_{Gref}, V_{Bref}) \end{bmatrix} = \begin{bmatrix} X_R(V_{Rref}) \\ Y_R(V_{Rref}) \\ Z_R(V_{Rref}) \end{bmatrix} + \begin{bmatrix} X_G(V_{Gref}) \\ Y_G(V_{Gref}) \\ Z_G(V_{Gref}) \end{bmatrix} + \begin{bmatrix} X_B(V_{Bref}) \\ Y_B(V_{Bref}) \\ Z_B(V_{Bref}) \end{bmatrix} \quad (21)$$

where  $V_{Rref}$ ,  $V_{Gref}$  and  $V_{Bref}$  are the reference voltages, and  $X_R$ ,  $X_G$  and  $X_B$  are the tristimulus values of the red, green and blue LEDs, respectively.  $(X_T, Y_T, Z_T)$  are the total tristimulus values of the resulting color mixture. A major limitation of the simplistic linear approach is that it neglects the non-ideal factors such as the effect of temperature variation on tristimulus values and the thermal interdependency among the three different types of LEDs [13], [31], [32]. The inaccuracy of the linear model in predicting the color point has already been proven in [13]. Hence, in the following section, a new nonlinear empirical color model for a closed-loop RGB system will be discussed in detail.

### III. NEW NONLINEAR LUMINANCE AND COLOR MODEL FOR CLOSED-LOOP RGB SYSTEMS

According to the PET theory [7], a portion of the LED power is dissipated as heat. The color of the LED depends on the junction temperature, which is a function of the LED power, current driving technique, heatsink material and size as well as the ambient temperature ( $T_a$ ). Fig. 4 shows a simplified thermal

model of an RGB LED system. The junction-to-case thermal resistance of the red, green and blue LED are modeled by  $R_{R,jc}$ ,  $R_{G,jc}$  and  $R_{B,jc}$ , respectively. Since the three LEDs are mounted on the same heatsink,  $R_{R,hs}$ ,  $R_{G,hs}$ ,  $R_{B,hs}$  are the thermal resistance between the corresponding LED and the heatsink. In addition, each LED is subject to the heat dissipated by its neighboring LEDs. For example, the red LED will be thermally coupled to the green and blue LEDs. Hence,  $R_{RG}$ ,  $R_{RB}$  and  $R_{GB}$  represent the thermal resistance between two adjacent LEDs, as depicted in Fig. 4. The actual arrangement of the LEDs will affect the values of the thermal resistance. In the proposed nonlinear empirical luminous flux and color models for closed-loop RGB systems, such thermal interdependency will be taken into consideration.

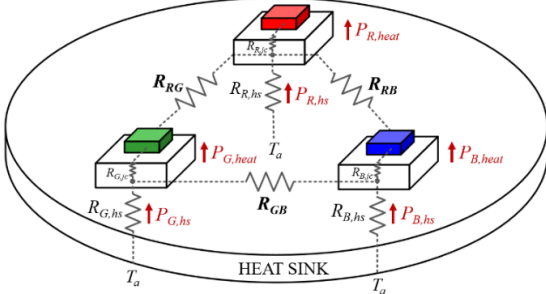


Fig. 4. A simple thermal model of an RGB LED system.

#### A. Nonlinear Empirical Luminous Flux Model

Based on the SITO LED driver with feedback control shown in Fig. 1, the nonlinear empirical luminous flux model is obtained by varying the three reference voltages ( $V_{Rref}$ ,  $V_{Gref}$ ,  $V_{Bref}$ ) accordingly and measuring the total luminous flux. The following procedures are performed during the measurement. Fig. A1 in the Appendix contains a flowchart which provides a graphical illustration of these procedures.

1. Mount the red, green and blue LEDs on the same heatsink which are placed inside the integrating sphere.
2. Connect the first, second and third output of the SITO LED driver with feedback control to the red, green and blue LED string, respectively.
3. The current value of the red LED ( $I_R$ ) is varied incrementally from 50 mA to 550 mA with a step size of 50 mA while the current values of the green LED and blue LEDs ( $I_G$ ,  $I_B$ ) are kept constant. By applying closed-loop control, the value of  $I_R$  can be varied by adjusting the corresponding normalized reference voltage ( $V_{Rref}$ ) from 0.1 V to 1.0 V with a step size of 80 mV. The general relationship between  $I_R$  and  $V_{Rref}$  is given by (6). For each sweep of  $V_{Rref}$  values, the reference voltage values of the green and blue LEDs ( $V_{Gref}$ ,  $V_{Bref}$ ) are kept constant (e.g.  $V_{Gref} = 0.5$  V and  $V_{Bref} = 0.5$  V). Intuitively, as the value of  $V_{Rref}$  increases, a larger current will flow across the red LEDs which leads to an increase in the total luminous flux of the emitted light. Because the values of  $V_{Gref}$  and  $V_{Bref}$  are fixed, the heat dissipated by the green and blue LEDs in steady-state condition is constant. As a result, the luminous flux is measured at the two boundary conditions: 1. Minimum thermal energy of the green and blue LEDs will be produced when they are maximally dimmed (by setting  $V_{Gref} = 0.1$  and  $V_{Bref} = 0.1$  V); 2. Maximum thermal energy of the green and blue LEDs will be generated when they are fully turned ON (by setting  $V_{Gref} = 1$  V and  $V_{Bref} = 1$  V). In addition, a third

(middle) case is considered (i.e.,  $V_{Gref} = 0.5$  V and  $V_{Bref} = 0.5$  V). Fig. 5 shows the variation of the measured flux ( $\phi_m$ ) against  $V_{Rref}$  for three distinct sets of ( $V_{Gref}$ ,  $V_{Bref}$ ) values. It shows a nonlinear relationship between  $\phi_m$  and  $V_{Rref}$ . As  $V_{Rref}$  increases, the rate of increase of  $\phi_m$  is reduced. It is also interesting to note that with larger values of ( $V_{Gref}$ ,  $V_{Bref}$ ), the luminous flux becomes smaller at a particular value of  $V_{Rref}$ . This is attributed to the fact that a larger value of  $V_{Gref}$  (or  $V_{Bref}$ ) means a larger current value of  $I_G$  (or  $I_B$ ), which implies more heat produced by the green (or blue) LED is being transferred to the red LED. Consequently, the red LED becomes dimmer even with the same value of  $V_{Rref}$  (or  $I_R$ ).

4. The current value of the green LED ( $I_G$ ) is varied in 50 mA increments over a full range of 50 mA to 550 mA while the current values of the red LED and blue LEDs ( $I_R$ ,  $I_B$ ) are held constant.  $I_G$  can be precisely controlled by adjusting the value of  $V_{Gref}$  in 80 mV increments from 0.1 V to 1.0 V while the values of  $V_{Rref}$  and  $V_{Bref}$  remain unchanged. Fig. 6 shows the variation of  $\phi_m$  versus  $V_{Gref}$  for three distinct sets of ( $V_{Rref}$ ,  $V_{Bref}$ ) values.
5. The current value of the blue LED ( $I_B$ ) is changed in 50 mA increments over a full range of 50 mA to 550 mA while the current values of the red LED and green LEDs ( $I_R$ ,  $I_G$ ) stay constant.  $I_B$  can be precisely controlled by varying  $V_{Bref}$  in 80 mV increments from 0.1 V to 1.0 V whereas  $V_{Rref}$  and  $V_{Gref}$  are held constant. Fig. 7 shows the variation of  $\phi_m$  versus  $V_{Bref}$  for three different sets of ( $V_{Rref}$ ,  $V_{Gref}$ ) values.

The measurement results contain a total of 104 measured data of ( $\phi_m$ ,  $V_{Rref}$ ,  $V_{Gref}$ ,  $V_{Bref}$ ). Fig. 8 shows the measured flux values, which are interpolated by the solid blue curve. Because the theoretical luminous flux equation in (13) has a degree of two, a second degree polynomial is used to best fit the measured data, as shown by the dotted orange curve in Fig. 8. By invoking linear regression with the fitlm function in Matlab, a second-order polynomial function of luminous flux is obtained in (18). Table I contains the values of the nine coefficients in (18).

$$\begin{aligned} \phi_T(V_{Rref}, V_{Gref}, V_{Bref}) = & \alpha_{R1}V_{Rref}^2 + \alpha_{R2}V_{Rref} + \alpha_{R3}V_{Rref}V_{Gref} \\ & + \beta_{G1}V_{Gref}^2 + \beta_{G2}V_{Gref} + \beta_{G3}V_{Gref}V_{Bref} \\ & + \gamma_{B1}V_{Bref}^2 + \gamma_{B2}V_{Bref} + \gamma_{B3}V_{Bref}V_{Rref} \end{aligned} \quad (22)$$

where  $\alpha_{R1}$ - $\alpha_{R3}$ ,  $\beta_{G1}$ - $\beta_{G3}$ , and  $\gamma_{B1}$ - $\gamma_{B3}$  are the coefficients.

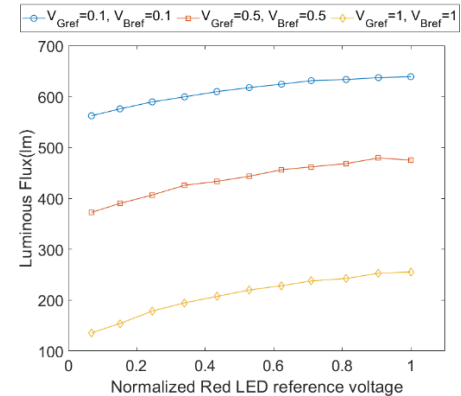


Fig. 5. Measured flux ( $\phi_m$ ) versus normalized reference voltage of red LED ( $V_{Rref}$ ).

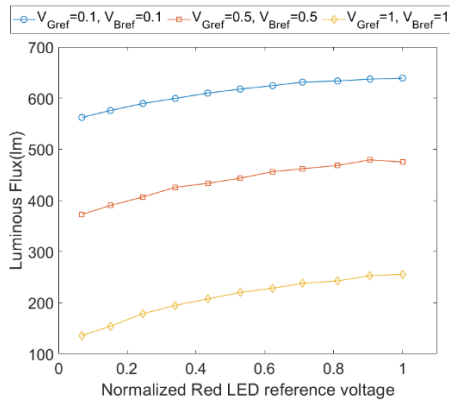


Fig. 6. Measured flux ( $\phi_m$ ) versus normalized reference voltage of green LED ( $V_{Gref}$ ).

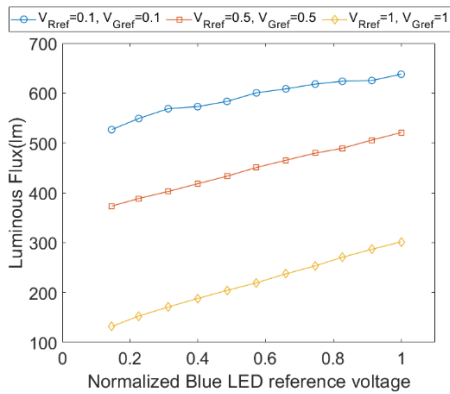


Fig. 7. Measured flux ( $\phi_m$ ) versus normalized reference voltage of blue LED ( $V_{Bref}$ ).

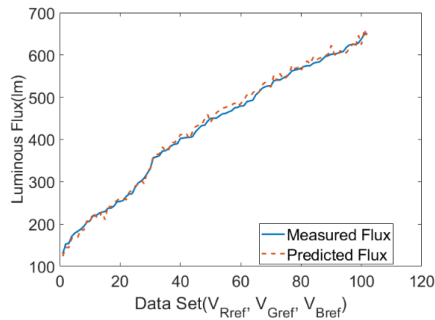


Fig. 8. Measured flux vs. predicted flux from curve fitting function.

TABLE I. VALUES OF THE NINE COEFFICIENTS IN (18).

$\alpha_{R1}$	$\alpha_{R2}$	$\alpha_{R3}$
-117.7415	288.1818	-60.154
$\beta_{G1}$	$\beta_{G2}$	$\beta_{G3}$
-245.2950	652.2079	-32.4688
$\gamma_{B1}$	$\gamma_{B2}$	$\gamma_{B3}$
-72.0415	300.4316	-48.9859

From (22), the total luminous flux can be predicted for any given set of  $(V_{Rref}, V_{Gref}, V_{Bref})$ . The mean percentage error between the predicted flux values and the measured ones is only 1.86%. Equation (22) represents the nonlinear empirical model of luminous flux for the closed-loop RGB system. It is worth noting that (22) has the same form as (13), which means that the

empirical and theoretical models agree closely with each other. Consider the special case when all LEDs are fully turned OFF (i.e.,  $V_{Rref}=0$ ,  $V_{Gref}=0$ ,  $V_{Bref}=0$ ). The empirical model predicts that the luminous flux is zero, i.e.,  $\phi_T(0, 0, 0) = 0$ , which is the expected value. Conversely, when all LEDs are fully turned ON (i.e.,  $V_{Rref}=1$ ,  $V_{Gref}=1$ ,  $V_{Bref}=1$ ), the luminous flux attains its maximum value ( $\phi_{T,max}$ ). So, equation (22) can be re-expressed as (23), which is basically the *sum* of all coefficients, that is,

$$\phi_{T,max} = \phi_T(1,1,1) = \sum_{i=1}^9 \alpha_i \quad (23)$$

By substituting the coefficient values in Table I into (23),  $\phi_{T,max}$  is calculated to be around 669.97 lm, which is in good agreement with the measured value of 658.02 lm.

### B. Nonlinear Empirical Color Model

In the RGB LED system, the color of each LED chip depends not only on its own junction temperature but also on the heat generated by the neighboring LEDs (assuming that they share the same heatsink) and the ambient temperature. From (18), the  $(x, y)$  color coordinates is a function of the  $(X, Y, Z)$  tristimulus values. Any variations in the tristimulus values will cause a shift in the color coordinates, thus resulting in a color change of the LED. The thermal coupling among the RGB LEDs will also be taken into consideration in the nonlinear empirical color model. In this investigation, the RGB LEDs are mounted on the same heatsink and connected to the SITO LED driver, the following procedures are performed to construct the nonlinear color model. Fig. A2 in the Appendix contains a flowchart which provides a graphical illustration of these procedures.

1. Treat the red LED as the major color source. The reference voltages of the green and blue LED are set to zero (i.e.,  $V_{Gref} = 0$  V,  $V_{Bref} = 0$  V), which means they are fully switched OFF. This serves as the upper boundary condition in which no heat energy is transmitted from the green and blue LED sources to the red LED source. Perform a sweep of the reference voltage of red LED ( $V_{Rref}$ ) ranging from 0.1 V to 1 V and measure the tristimulus values of the red LED source ( $X_R, Y_R, Z_R$ ). Conversely, the reference voltages of the green and blue LED are set to one (i.e.,  $V_{Gref} = 1$  V,  $V_{Bref} = 1$  V) so that they can be fully turned ON. This serves as the lower boundary condition in which maximum heat energy is transmitted from the green and blue LED sources to the red LED source. Then, sweep  $V_{Rref}$  from 0.1 V to 1 V and measure the tristimulus values. The same measurement process is replicated for two intermediate cases, namely, green LED is ON and blue LED is OFF ( $V_{Gref} = 1$  V,  $V_{Bref} = 0$  V), and green LED is OFF and blue LED is ON ( $V_{Gref} = 0$  V,  $V_{Bref} = 1$  V). It is envisaged that the tristimulus values of these two middle cases should be located within the two boundary conditions. Fig. 9 depicts the measured tristimulus values ( $X_R, Y_R, Z_R$ ) of the red LED under the four different conditions. It is observed that at a particular  $V_{Rref}$  value, maximum tristimulus values of the red LED occur when the green and blue LEDs are OFF. It is because there is no heat energy is transferred from the green and blue LEDs to the red LED. Likewise, minimum tristimulus values of the red LED occur when the green and blue LEDs are fully turned ON. This is attributed to maximum heat transfer from the green and blue LEDs. It becomes apparent that for a given

value of  $V_{Rref}$ , the tristimulus values of red LED source under other operating conditions of green and blue LEDs are located somewhere between the maximum and minimum curves. The tristimulus profiles of the red LED in Fig. 9 consistently show that the  $(X_R, Y_R, Z_R)$  values are at their maximum value when  $V_{Rref}$  is about 0.5 V to 0.6 V, regardless of the operating conditions of the other LEDs. This can be elucidated by the fact that as  $V_{Rref}$  continues to rise, a larger current across the red LED further increases the heat dissipation and accumulation within the LED device, thereby leading to the degradation and shift in its color spectrum. Consequently, from (13a)–(13c), the  $(X_R, Y_R, Z_R)$  values will decrease at larger values of  $V_{Rref}$ .

2. Treat the green LED as the main color source. Both the red and blue LEDs are switched OFF by setting  $V_{Rref}$  and  $V_{Bref}$  to zero. Sweep  $V_{Gref}$  from 0.1 V to 1 V and measure the tristimulus values of the green LED source  $(X_G, Y_G, Z_G)$ . On the other hand, both the red and blue LEDs are fully switched ON by setting  $V_{Rref}$  and  $V_{Bref}$  to one. Sweep  $V_{Gref}$  from 0.1 V to 1 V and measure the tristimulus values. The same procedure is then repeated for two intermediate cases, i.e., red LED is ON and blue LED is OFF ( $V_{Rref} = 1, V_{Bref} = 0$ ), and red LED is OFF and blue LED is ON ( $V_{Rref} = 0, V_{Bref} = 1$ ). Fig. 10 shows the measured  $(X_G, Y_G, Z_G)$  values of the green LED under the four operating conditions.
3. Treat the blue LED as the main color source. Both the red and green LEDs are switched OFF by setting  $V_{Rref}$  and  $V_{Gref}$  to zero. Sweep  $V_{Bref}$  incrementally from 0.1 V to 1 V and record the tristimulus values of the blue LED source  $(X_B, Y_B, Z_B)$ . Contrarily, both the red and green LEDs are fully turned ON by setting  $V_{Rref}$  and  $V_{Gref}$  to one. Then, sweep  $V_{Bref}$  from 0.1 V to 1 V and measure the tristimulus values of the blue LED source. The same steps are repeated for two middle cases, i.e., red LED is ON and green LED is OFF ( $V_{Rref} = 1, V_{Gref} = 0$ ) and red LED is OFF and green LED is ON ( $V_{Rref} = 0, V_{Gref} = 1$ ). Fig. 11 shows the measured  $(X_B, Y_B, Z_B)$  values of the blue LED for the four operating conditions.

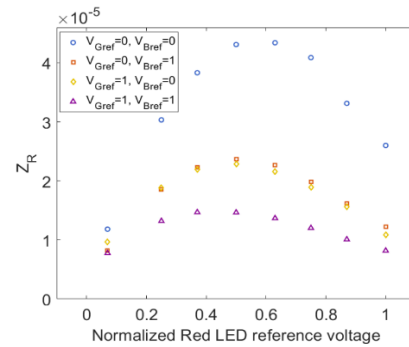
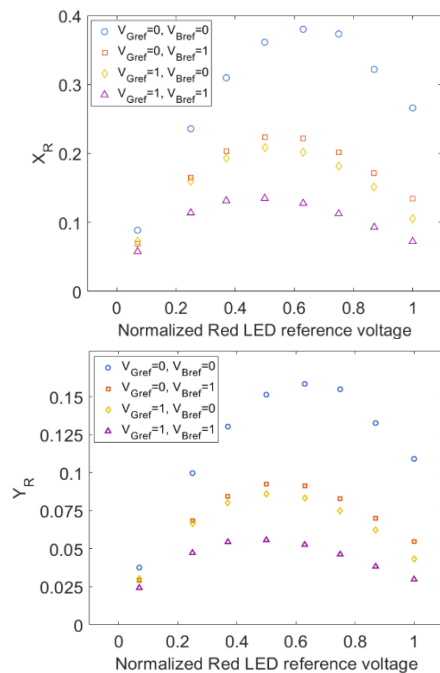


Fig. 9. Measured tristimulus values  $(X_R, Y_R, Z_R)$  of the red LED.

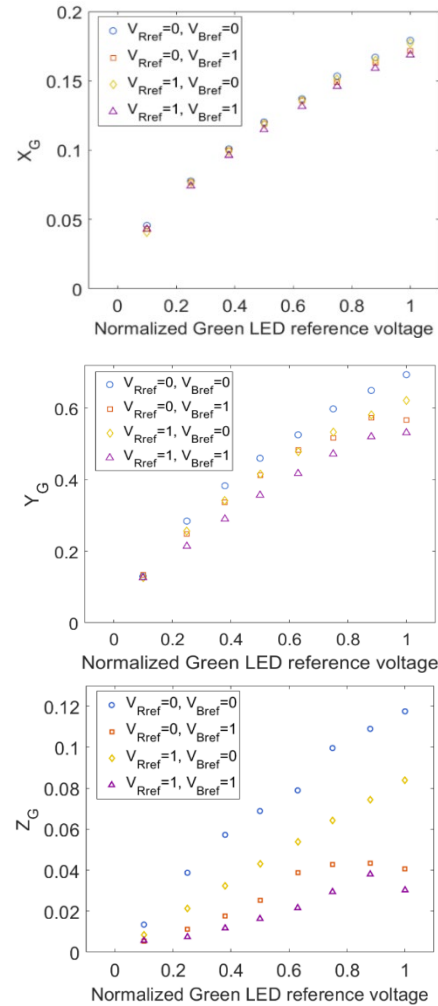
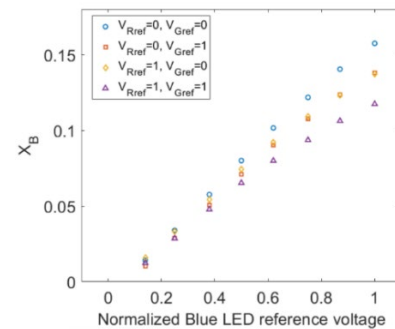


Fig. 10. Measured tristimulus values  $(X_G, Y_G, Z_G)$  of the green LED.



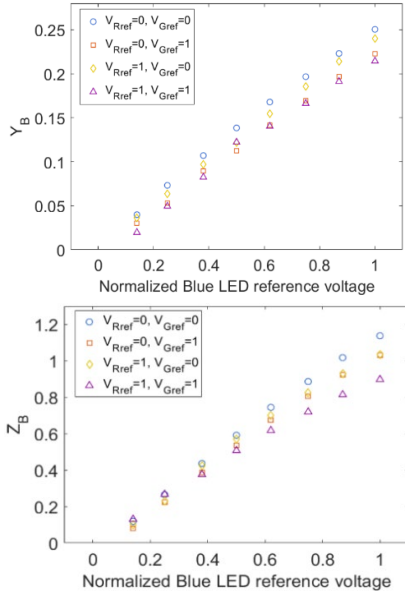


Fig. 11. Measured tristimulus values ( $X_B$ ,  $Y_B$ ,  $Z_B$ ) of the blue LED.

To obtain a best fitting curve for the measured values of ( $X_R$ ,  $Y_R$ ,  $Z_R$ ) of the red LED source in Fig. 9, a third-order polynomial function of the following form is used.

$$\begin{aligned} \begin{bmatrix} X_R(V_{Rref}, V_{Gref}, V_{Bref}) \\ Y_R(V_{Rref}, V_{Gref}, V_{Bref}) \\ Z_R(V_{Rref}, V_{Gref}, V_{Bref}) \end{bmatrix} &= \begin{bmatrix} \alpha_{XR1}(V_{Gref}, V_{Bref}) \\ \alpha_{YR1}(V_{Gref}, V_{Bref}) \\ \alpha_{ZR1}(V_{Gref}, V_{Bref}) \end{bmatrix} V_{Rref}^3 \\ &+ \begin{bmatrix} \beta_{XR1}(V_{Gref}, V_{Bref}) \\ \beta_{YR1}(V_{Gref}, V_{Bref}) \\ \beta_{ZR1}(V_{Gref}, V_{Bref}) \end{bmatrix} V_{Rref}^2 + \begin{bmatrix} \gamma_{XR1}(V_{Gref}, V_{Bref}) \\ \gamma_{YR1}(V_{Gref}, V_{Bref}) \\ \gamma_{ZR1}(V_{Gref}, V_{Bref}) \end{bmatrix} V_{Rref} \end{aligned} \quad (24a)$$

for  $0 \leq V_{Rref} \leq 0.5$ .

$$\begin{aligned} \begin{bmatrix} X_R(V_{Rref}, V_{Gref}, V_{Bref}) \\ Y_R(V_{Rref}, V_{Gref}, V_{Bref}) \\ Z_R(V_{Rref}, V_{Gref}, V_{Bref}) \end{bmatrix} &= \begin{bmatrix} \alpha_{XR2}(V_{Gref}, V_{Bref}) \\ \alpha_{YR2}(V_{Gref}, V_{Bref}) \\ \alpha_{ZR2}(V_{Gref}, V_{Bref}) \end{bmatrix} V_{Rref}^3 \\ &+ \begin{bmatrix} \beta_{XR2}(V_{Gref}, V_{Bref}) \\ \beta_{YR2}(V_{Gref}, V_{Bref}) \\ \beta_{ZR2}(V_{Gref}, V_{Bref}) \end{bmatrix} V_{Rref}^2 + \begin{bmatrix} \gamma_{XR2}(V_{Gref}, V_{Bref}) \\ \gamma_{YR2}(V_{Gref}, V_{Bref}) \\ \gamma_{ZR2}(V_{Gref}, V_{Bref}) \end{bmatrix} V_{Rref} \end{aligned} \quad (24b)$$

for  $0.5 < V_{Rref} \leq 1$ .

where  $\alpha_{XR1}$ ,  $\beta_{XR1}$ ,  $\gamma_{XR1}$ ,  $\alpha_{YR1}$ ,  $\beta_{YR1}$ ,  $\gamma_{YR1}$ ,  $\alpha_{ZR1}$ ,  $\beta_{ZR1}$ ,  $\gamma_{ZR1}$ ,  $\alpha_{XR2}$ ,  $\beta_{XR2}$ ,  $\gamma_{XR2}$ ,  $\alpha_{YR2}$ ,  $\beta_{YR2}$ ,  $\gamma_{YR2}$ ,  $\alpha_{ZR2}$ ,  $\beta_{ZR2}$  and  $\gamma_{ZR2}$  are functions of two variables ( $V_{Gref}$ ,  $V_{Bref}$ ) that model the thermal coupling between the green and blue LED sources to the red one. Equation (24a) and (24b) model the rising and falling sections of the tristimulus curve, respectively. For the tristimulus value of  $X_R$ ,  $\alpha_{XR1}$ ,  $\beta_{XR1}$ ,  $\gamma_{XR1}$  (or  $\alpha_{XR2}$ ,  $\beta_{XR2}$ ,  $\gamma_{XR2}$ ) determine the gradient (slope) of the  $X_R$  curve at a particular value of  $V_{Rref}$ . The two boundary conditions, i.e.,  $0 \leq V_{Gref} \leq 1$  and  $0 \leq V_{Bref} \leq 1$ , will produce a range of gradient values. For the tristimulus value of  $X_R$ , we have

$$\begin{aligned} \alpha_{XRG,min1}(\alpha_{XRG,min2}) &\leq \alpha_{XR1}(\alpha_{XR2}) \leq \alpha_{XRG,max1}(\alpha_{XRG,max2}) \\ \beta_{XRG,min1}(\beta_{XRG,min2}) &\leq \beta_{XR1}(\beta_{XR2}) \leq \beta_{XRG,max1}(\beta_{XRG,max2}) \\ \gamma_{XRG,min1}(\gamma_{XRG,min2}) &\leq \gamma_{XR1}(\gamma_{XR2}) \leq \gamma_{XRG,max1}(\gamma_{XRG,max2}) \end{aligned} \quad (25)$$

Likewise, for the tristimulus values of  $Y_R$  and  $Z_R$ , we have

$$\begin{aligned} \alpha_{YRG,min1}(\alpha_{YRG,min2}) &\leq \alpha_{YR1}(\alpha_{YR2}) \leq \alpha_{YRG,max1}(\alpha_{YRG,max2}) \\ \beta_{YRG,min1}(\beta_{YRG,min2}) &\leq \beta_{YR1}(\beta_{YR2}) \leq \beta_{YRG,max1}(\beta_{YRG,max2}) \end{aligned} \quad (26)$$

$$\begin{aligned} \gamma_{YRG,min1}(\gamma_{YRG,min2}) &\leq \gamma_{YR1}(\gamma_{YR2}) \leq \gamma_{YRG,max1}(\gamma_{YRG,max2}) \\ \alpha_{ZRG,min1}(\alpha_{ZRG,min2}) &\leq \alpha_{ZR1}(\alpha_{ZR2}) \leq \alpha_{ZRG,max1}(\alpha_{ZRG,max2}) \\ \beta_{ZRG,min1}(\beta_{ZRG,min2}) &\leq \beta_{ZR1}(\beta_{ZR2}) \leq \beta_{ZRG,max1}(\beta_{ZRG,max2}) \\ \gamma_{ZRG,min1}(\gamma_{ZRG,min2}) &\leq \gamma_{ZR1}(\gamma_{ZR2}) \leq \gamma_{ZRG,max1}(\gamma_{ZRG,max2}) \end{aligned} \quad (27)$$

By applying linear interpolation in the operating region bounded by the respective maximum and minimum values, the functions of  $\alpha_{XR1}$ ,  $\alpha_{YR1}$ ,  $\alpha_{ZR1}$ ,  $\alpha_{XR2}$ ,  $\alpha_{YR2}$  and  $\alpha_{ZR2}$  can be expressed as

$$\begin{aligned} \begin{bmatrix} \alpha_{XR1}(V_{Gref}, V_{Bref}) \\ \alpha_{YR1}(V_{Gref}, V_{Bref}) \\ \alpha_{ZR1}(V_{Gref}, V_{Bref}) \end{bmatrix} &= \begin{bmatrix} \alpha_{XR,01} \\ \alpha_{YR,01} \\ \alpha_{ZR,01} \end{bmatrix} + \begin{bmatrix} \alpha_{XRG,max1} - \alpha_{XRG,min1} \\ \alpha_{YRG,max1} - \alpha_{YRG,min1} \\ \alpha_{ZRG,max1} - \alpha_{ZRG,min1} \end{bmatrix} V_{Gref} \\ &+ \begin{bmatrix} \alpha_{XRB,max1} - \alpha_{XRB,min1} \\ \alpha_{YRB,max1} - \alpha_{YRB,min1} \\ \alpha_{ZRB,max1} - \alpha_{ZRB,min1} \end{bmatrix} V_{Bref} \quad \text{for } 0 \leq V_{Rref} \leq 0.5 \end{aligned} \quad (28a)$$

$$\begin{aligned} \begin{bmatrix} \alpha_{XR2}(V_{Gref}, V_{Bref}) \\ \alpha_{YR2}(V_{Gref}, V_{Bref}) \\ \alpha_{ZR2}(V_{Gref}, V_{Bref}) \end{bmatrix} &= \begin{bmatrix} \alpha_{XR,02} \\ \alpha_{YR,02} \\ \alpha_{ZR,02} \end{bmatrix} + \begin{bmatrix} \alpha_{XRG,max2} - \alpha_{XRG,min2} \\ \alpha_{YRG,max2} - \alpha_{YRG,min2} \\ \alpha_{ZRG,max2} - \alpha_{ZRG,min2} \end{bmatrix} V_{Gref} \\ &+ \begin{bmatrix} \alpha_{XRB,max2} - \alpha_{XRB,min2} \\ \alpha_{YRB,max2} - \alpha_{YRB,min2} \\ \alpha_{ZRB,max2} - \alpha_{ZRB,min2} \end{bmatrix} V_{Bref} \quad \text{for } 0.5 < V_{Rref} \leq 1 \end{aligned} \quad (28b)$$

The functions of  $\beta_{XR1}$ ,  $\beta_{YR1}$ ,  $\beta_{ZR1}$ ,  $\beta_{XR2}$ ,  $\beta_{YR2}$  and  $\beta_{ZR2}$  can be written in a similar fashion as

$$\begin{aligned} \begin{bmatrix} \beta_{XR1}(V_{Gref}, V_{Bref}) \\ \beta_{YR1}(V_{Gref}, V_{Bref}) \\ \beta_{ZR1}(V_{Gref}, V_{Bref}) \end{bmatrix} &= \begin{bmatrix} \beta_{XR,01} \\ \beta_{YR,01} \\ \beta_{ZR,01} \end{bmatrix} + \begin{bmatrix} \beta_{XRG,max1} - \beta_{XRG,min1} \\ \beta_{YRG,max1} - \beta_{YRG,min1} \\ \beta_{ZRG,max1} - \beta_{ZRG,min1} \end{bmatrix} V_{Gref} \\ &+ \begin{bmatrix} \beta_{XRB,max1} - \beta_{XRB,min1} \\ \beta_{YRB,max1} - \beta_{YRB,min1} \\ \beta_{ZRB,max1} - \beta_{ZRB,min1} \end{bmatrix} V_{Bref} \quad \text{for } 0 \leq V_{Rref} \leq 0.5 \end{aligned} \quad (29a)$$

$$\begin{aligned} \begin{bmatrix} \beta_{XR2}(V_{Gref}, V_{Bref}) \\ \beta_{YR2}(V_{Gref}, V_{Bref}) \\ \beta_{ZR2}(V_{Gref}, V_{Bref}) \end{bmatrix} &= \begin{bmatrix} \beta_{XR,02} \\ \beta_{YR,02} \\ \beta_{ZR,02} \end{bmatrix} + \begin{bmatrix} \beta_{XRG,max2} - \beta_{XRG,min2} \\ \beta_{YRG,max2} - \beta_{YRG,min2} \\ \beta_{ZRG,max2} - \beta_{ZRG,min2} \end{bmatrix} V_{Gref} \\ &+ \begin{bmatrix} \beta_{XRB,max2} - \beta_{XRB,min2} \\ \beta_{YRB,max2} - \beta_{YRB,min2} \\ \beta_{ZRB,max2} - \beta_{ZRB,min2} \end{bmatrix} V_{Bref} \quad \text{for } 0.5 < V_{Rref} \leq 1 \end{aligned} \quad (29b)$$

Likewise, the functions of  $\gamma_{XR1}$ ,  $\gamma_{YR1}$ ,  $\gamma_{ZR1}$ ,  $\gamma_{XR2}$ ,  $\gamma_{YR2}$  and  $\gamma_{ZR2}$  can be written as

$$\begin{aligned} \begin{bmatrix} \gamma_{XR1}(V_{Gref}, V_{Bref}) \\ \gamma_{YR1}(V_{Gref}, V_{Bref}) \\ \gamma_{ZR1}(V_{Gref}, V_{Bref}) \end{bmatrix} &= \begin{bmatrix} \gamma_{XR,01} \\ \gamma_{YR,01} \\ \gamma_{ZR,01} \end{bmatrix} + \begin{bmatrix} \gamma_{XRG,max1} - \gamma_{XRG,min1} \\ \gamma_{YRG,max1} - \gamma_{YRG,min1} \\ \gamma_{ZRG,max1} - \gamma_{ZRG,min1} \end{bmatrix} V_{Gref} \\ &+ \begin{bmatrix} \gamma_{XRB,max1} - \gamma_{XRB,min1} \\ \gamma_{YRB,max1} - \gamma_{YRB,min1} \\ \gamma_{ZRB,max1} - \gamma_{ZRB,min1} \end{bmatrix} V_{Bref} \quad \text{for } 0 \leq V_{Rref} \leq 0.5 \end{aligned} \quad (30a)$$



$$\begin{bmatrix} \gamma_{XR2}(V_{Gref}, V_{Bref}) \\ \gamma_{YR2}(V_{Gref}, V_{Bref}) \\ \gamma_{ZR2}(V_{Gref}, V_{Bref}) \end{bmatrix} = \begin{bmatrix} \gamma_{XR,02} \\ \gamma_{YR,02} \\ \gamma_{ZR,02} \end{bmatrix} + \begin{bmatrix} \gamma_{XRG,max2} - \gamma_{XRG,min2} \\ \gamma_{YRG,max2} - \gamma_{YRG,min2} \\ \gamma_{ZRG,max2} - \gamma_{ZRG,min2} \end{bmatrix} V_{Gref} \\ + \begin{bmatrix} \gamma_{XRB,max2} - \gamma_{XRB,min2} \\ \gamma_{YRB,max2} - \gamma_{YRB,min2} \\ \gamma_{ZRB,max2} - \gamma_{ZRB,min2} \end{bmatrix} V_{Bref} \quad \text{for } 0.5 < V_{Rref} \leq 1 \quad (30b)$$

By substituting (28), (29) and (30) into (24), the general expression of  $(X_R, Y_R, Z_R)$  can be obtained as follows.

$$\begin{bmatrix} X_R \\ Y_R \\ Z_R \end{bmatrix} = \left\{ \begin{bmatrix} \alpha_{XR,01} \\ \alpha_{YR,01} \\ \alpha_{ZR,01} \end{bmatrix} + \begin{bmatrix} \alpha_{XRG,max1} - \alpha_{XRG,min1} \\ \alpha_{YRG,max1} - \alpha_{YRG,min1} \\ \alpha_{ZRG,max1} - \alpha_{ZRG,min1} \end{bmatrix} V_{Gref} + \begin{bmatrix} \alpha_{XRB,max1} - \alpha_{XRB,min1} \\ \alpha_{YRB,max1} - \alpha_{YRB,min1} \\ \alpha_{ZRB,max1} - \alpha_{ZRB,min1} \end{bmatrix} V_{Bref} \right\} V_{Rref}^3 \\ + \left\{ \begin{bmatrix} \beta_{XR,01} \\ \beta_{YR,01} \\ \beta_{ZR,01} \end{bmatrix} + \begin{bmatrix} \beta_{XRG,max1} - \beta_{XRG,min1} \\ \beta_{YRG,max1} - \beta_{YRG,min1} \\ \beta_{ZRG,max1} - \beta_{ZRG,min1} \end{bmatrix} V_{Gref} + \begin{bmatrix} \beta_{XRB,max1} - \beta_{XRB,min1} \\ \beta_{YRB,max1} - \beta_{YRB,min1} \\ \beta_{ZRB,max1} - \beta_{ZRB,min1} \end{bmatrix} V_{Bref} \right\} V_{Rref}^2 \\ + \left\{ \begin{bmatrix} \gamma_{XR,01} \\ \gamma_{YR,01} \\ \gamma_{ZR,01} \end{bmatrix} + \begin{bmatrix} \gamma_{XRG,max1} - \gamma_{XRG,min1} \\ \gamma_{YRG,max1} - \gamma_{YRG,min1} \\ \gamma_{ZRG,max1} - \gamma_{ZRG,min1} \end{bmatrix} V_{Gref} + \begin{bmatrix} \gamma_{XRB,max1} - \gamma_{XRB,min1} \\ \gamma_{YRB,max1} - \gamma_{YRB,min1} \\ \gamma_{ZRB,max1} - \gamma_{ZRB,min1} \end{bmatrix} V_{Bref} \right\} V_{Rref} \\ \text{for } 0 \leq V_{Rref} \leq 0.5 \quad (31a)$$

$$\begin{bmatrix} X_R \\ Y_R \\ Z_R \end{bmatrix} = \left\{ \begin{bmatrix} \alpha_{XR,02} \\ \alpha_{YR,02} \\ \alpha_{ZR,02} \end{bmatrix} + \begin{bmatrix} \alpha_{XRG,max2} - \alpha_{XRG,min2} \\ \alpha_{YRG,max2} - \alpha_{YRG,min2} \\ \alpha_{ZRG,max2} - \alpha_{ZRG,min2} \end{bmatrix} V_{Gref} + \begin{bmatrix} \alpha_{XRB,max2} - \alpha_{XRB,min2} \\ \alpha_{YRB,max2} - \alpha_{YRB,min2} \\ \alpha_{ZRB,max2} - \alpha_{ZRB,min2} \end{bmatrix} V_{Bref} \right\} V_{Rref}^3 \\ + \left\{ \begin{bmatrix} \beta_{XR,02} \\ \beta_{YR,02} \\ \beta_{ZR,02} \end{bmatrix} + \begin{bmatrix} \beta_{XRG,max2} - \beta_{XRG,min2} \\ \beta_{YRG,max2} - \beta_{YRG,min2} \\ \beta_{ZRG,max2} - \beta_{ZRG,min2} \end{bmatrix} V_{Gref} + \begin{bmatrix} \beta_{XRB,max2} - \beta_{XRB,min2} \\ \beta_{YRB,max2} - \beta_{YRB,min2} \\ \beta_{ZRB,max2} - \beta_{ZRB,min2} \end{bmatrix} V_{Bref} \right\} V_{Rref}^2 \\ + \left\{ \begin{bmatrix} \gamma_{XR,02} \\ \gamma_{YR,02} \\ \gamma_{ZR,02} \end{bmatrix} + \begin{bmatrix} \gamma_{XRG,max2} - \gamma_{XRG,min2} \\ \gamma_{YRG,max2} - \gamma_{YRG,min2} \\ \gamma_{ZRG,max2} - \gamma_{ZRG,min2} \end{bmatrix} V_{Gref} + \begin{bmatrix} \gamma_{XRB,max2} - \gamma_{XRB,min2} \\ \gamma_{YRB,max2} - \gamma_{YRB,min2} \\ \gamma_{ZRB,max2} - \gamma_{ZRB,min2} \end{bmatrix} V_{Bref} \right\} V_{Rref} \\ \text{for } 0.5 < V_{Rref} \leq 1 \quad (31b)$$

From the measurement results in Fig. 9, the numerical values of the parameters in (31a) and (31b) are obtained, as listed in Table II and III, respectively.

TABLE II. PARAMETER VALUES IN (27a) FOR RED LEDs.

$\alpha_{XR,01}$	$\alpha_{XRG,max1}$	$\alpha_{XRG,min1}$	$\alpha_{XRB,max1}$	$\alpha_{XRB,min1}$
0.35513	0.94109	0.355129	0.71865	0.35513
$\alpha_{YR,01}$	$\alpha_{YRG,max1}$	$\alpha_{YRG,min1}$	$\alpha_{YRB,max1}$	$\alpha_{YRB,min1}$
0.16320	0.41332	0.16320	0.71865	0.16320
$\alpha_{ZR,01}$	$\alpha_{ZRG,max1}$	$\alpha_{ZRG,min1}$	$\alpha_{ZRB,max1}$	$\alpha_{ZRB,min1}$
$7.64 \times 10^{-5}$	0.00017	$7.64 \times 10^{-5}$	0.000102	$7.64 \times 10^{-5}$
$\beta_{XR,01}$	$\beta_{XRG,max1}$	$\beta_{XRG,min1}$	$\beta_{XRB,max1}$	$\beta_{XRB,min1}$
-1.25128	-1.17171	-1.25128	-1.47996	-1.25128
$\beta_{YR,01}$	$\beta_{YRG,max1}$	$\beta_{YRG,min1}$	$\beta_{YRB,max1}$	$\beta_{YRB,min1}$
-0.55003	-0.73933	-0.55003	-0.62847	-0.55003
$\beta_{ZR,01}$	$\beta_{ZRG,max1}$	$\beta_{ZRG,min1}$	$\beta_{ZRB,max1}$	$\beta_{ZRB,min1}$
-0.00021	-0.000267	-0.00021	-0.000195	-0.00021
$\gamma_{XR,01}$	$\gamma_{XRG,max1}$	$\gamma_{XRG,min1}$	$\gamma_{XRB,max1}$	$\gamma_{XRB,min1}$
1.25260	1.03126	1.25260	1.00079	1.25260
$\gamma_{YR,01}$	$\gamma_{YRG,max1}$	$\gamma_{YRG,min1}$	$\gamma_{YRB,max1}$	$\gamma_{YRB,min1}$
0.533984	0.43513	0.53398	0.41912	0.53398
$\gamma_{ZR,01}$	$\gamma_{ZRG,max1}$	$\gamma_{ZRG,min1}$	$\gamma_{ZRB,max1}$	$\gamma_{ZRB,min1}$
0.00017	0.000135	0.00017	$1.19 \times 10^{-4}$	0.00017

TABLE III. PARAMETER VALUES IN (27b) FOR RED LEDs.

$\alpha_{XR,02}$	$\alpha_{XRG,max2}$	$\alpha_{XRG,min2}$	$\alpha_{XRB,max2}$	$\alpha_{XRB,min2}$
0.26895	0.24204	0.26895	0.43457	0.26895
$\alpha_{YR,02}$	$\alpha_{YRG,max2}$	$\alpha_{YRG,min2}$	$\alpha_{YRB,max2}$	$\alpha_{YRB,min2}$
0.12815	0.10632	0.12815	0.18639	0.12815
$\alpha_{ZR,02}$	$\alpha_{ZRG,max2}$	$\alpha_{ZRG,min2}$	$\alpha_{ZRB,max2}$	$\alpha_{ZRB,min2}$
$6.93 \times 10^{-5}$	$4.04 \times 10^{-5}$	$6.93 \times 10^{-5}$	$6.29 \times 10^{-5}$	$6.93 \times 10^{-5}$

$\beta_{XR,02}$	$\beta_{XRG,max2}$	$\beta_{XRG,min2}$	$\beta_{XRB,max2}$	$\beta_{XRB,min2}$
-1.36598	-0.97479	-1.36598	-1.29553	-1.36598
$\beta_{YR,02}$	$\beta_{YRG,max2}$	$\beta_{YRG,min2}$	$\beta_{YRB,max2}$	$\beta_{YRB,min2}$
-0.60045	-0.41350	-0.60045	-0.54738	-0.60045
$\beta_{ZR,02}$	$\beta_{ZRG,max2}$	$\beta_{ZRG,min2}$	$\beta_{ZRB,max2}$	$\beta_{ZRB,min2}$
-0.00023	$-1.29 \times 10^{-4}$	-0.00023	$-1.67 \times 10^{-4}$	$-2.31 \times 10^{-4}$
$\gamma_{XR,02}$	$\gamma_{XRG,max2}$	$\gamma_{XRG,min2}$	$\gamma_{XRB,max2}$	$\gamma_{XRB,min2}$
1.36151	0.83811	1.36151	0.99573	1.36151
$\gamma_{YR,02}$	$\gamma_{YRG,max2}$	$\gamma_{YRG,min2}$	$\gamma_{YRB,max2}$	$\gamma_{YRB,min2}$
0.58070	0.35043	0.58070	0.41583	0.58070
$\gamma_{ZR,02}$	$\gamma_{ZRG,max2}$	$\gamma_{ZRG,min2}$	$\gamma_{ZRB,max2}$	$\gamma_{ZRB,min2}$
$1.88 \times 10^{-4}$	$9.93 \times 10^{-5}$	$1.88 \times 10^{-4}$	$1.16 \times 10^{-4}$	$1.88 \times 10^{-4}$

To best fit the measured tristimulus values  $(X_G, Y_G, Z_G)$  and  $(X_B, Y_B, Z_B)$  of the green and blue LED sources [see Fig. 10 and Fig. 11], second-order polynomial functions are used. By employing similar interpolation method, the general expressions of  $(X_G, Y_G, Z_G)$  and  $(X_B, Y_B, Z_B)$  are obtained in (32) and (33), respectively. Table IV and V contain the corresponding parameter values.

$$\begin{bmatrix} X_G \\ Y_G \\ Z_G \end{bmatrix} = \left\{ \begin{bmatrix} \alpha_{XG,0} \\ \alpha_{YG,0} \\ \alpha_{ZG,0} \end{bmatrix} + \begin{bmatrix} \alpha_{XGR,max} - \alpha_{XGR,min} \\ \alpha_{YGR,max} - \alpha_{YGR,min} \\ \alpha_{ZGR,max} - \alpha_{ZGR,min} \end{bmatrix} V_{Rref} + \begin{bmatrix} \alpha_{XGB,max} - \alpha_{XGB,min} \\ \alpha_{YGB,max} - \alpha_{YGB,min} \\ \alpha_{ZGB,max} - \alpha_{ZGB,min} \end{bmatrix} V_{Bref} \right\} V_{Gref}^2 \\ + \left\{ \begin{bmatrix} \beta_{XG,0} \\ \beta_{YG,0} \\ \beta_{ZG,0} \end{bmatrix} + \begin{bmatrix} \beta_{XGR,max} - \beta_{XGR,min} \\ \beta_{YGR,max} - \beta_{YGR,min} \\ \beta_{ZGR,max} - \beta_{ZGR,min} \end{bmatrix} V_{Rref} + \begin{bmatrix} \beta_{XGB,max} - \beta_{XGB,min} \\ \beta_{YGB,max} - \beta_{YGB,min} \\ \beta_{ZGB,max} - \beta_{ZGB,min} \end{bmatrix} V_{Bref} \right\} V_{Gref} \\ (32)$$

$$\begin{bmatrix} X_B \\ Y_B \\ Z_B \end{bmatrix} = \left\{ \begin{bmatrix} \alpha_{XB,0} \\ \alpha_{YB,0} \\ \alpha_{ZB,0} \end{bmatrix} + \begin{bmatrix} \alpha_{XBR,max} - \alpha_{XBR,min} \\ \alpha_{YBR,max} - \alpha_{YBR,min} \\ \alpha_{ZBR,max} - \alpha_{ZBR,min} \end{bmatrix} V_{Rref} + \begin{bmatrix} \alpha_{XBG,max} - \alpha_{XBG,min} \\ \alpha_{YBG,max} - \alpha_{YBG,min} \\ \alpha_{ZBG,max} - \alpha_{ZBG,min} \end{bmatrix} V_{Gref} \right\} V_{Bref}^2 \\ + \left\{ \begin{bmatrix} \beta_{XB,0} \\ \beta_{YB,0} \\ \beta_{ZB,0} \end{bmatrix} + \begin{bmatrix} \beta_{XBR,max} - \beta_{XBR,min} \\ \beta_{YBR,max} - \beta_{YBR,min} \\ \beta_{ZBR,max} - \beta_{ZBR,min} \end{bmatrix} V_{Rref} + \begin{bmatrix} \beta_{XBG,max} - \beta_{XBG,min} \\ \beta_{YBG,max} - \beta_{YBG,min} \\ \beta_{ZBG,max} - \beta_{ZBG,min} \end{bmatrix} V_{Gref} \right\} V_{Bref} \\ (33)$$

TABLE IV. PARAMETER VALUES IN (28) FOR GREEN LEDs.

$\alpha_{XG,0}$	$\alpha_{XGR,max}$	$\alpha_{XGR,min}$	$\alpha_{XGB,max}$	$\alpha_{XGB,min}$
-0.12711	-0.13931	-0.12711	-0.15163	-0.12711
$\alpha_{YG,0}$	$\alpha_{YGR,max}$	$\alpha_{YGR,min}$	$\alpha_{YGB,max}$	$\alpha_{YGB,min}$
-0.49553	-0.45918	-0.49553	-0.53569	-0.49553
$\alpha_{ZG,0}$	$\alpha_{ZGR,max}$	$\alpha_{ZGR,min}$	$\alpha_{ZGB,max}$	$\alpha_{ZGB,min}$
-0.04168	-0.00322	-0.04168	-0.03299	-0.04168
$\beta_{XG,0}$	$\beta_{XGR,max}$	$\beta_{XGR,min}$	$\beta_{XGB,max}$	$\beta_{XGB,min}$
0.29714	0.31134	0.29714	0.31878	0.29714
$\beta_{YG,0}$	$\beta_{YGR,max}$	$\beta_{YGR,min}$	$\beta_{YGB,max}$	$\beta_{YGB,min}$
1.17687	1.06814	1.17687	1.10570	1.17687
$\beta_{ZG,0}$	$\beta_{ZGR,max}$	$\beta_{ZGR,min}$	$\beta_{ZGB,max}$	$\beta_{ZGB,min}$
0.15970	0.08736	0.15970	0.07014	0.15970

TABLE V. PARAMETER VALUES IN (29) FOR BLUE LEDs.

$\alpha_{XB,0}$	$\alpha_{XBR,max}$	$\alpha_{XBR,min}$	$\alpha_{XBG,max}$	$\alpha_{XBG,min}$
0.01	-0.01052	0.01	0.00980	0.01
$\alpha_{YB,0}$	$\alpha_{YBR,max}$	$\alpha_{YBR,min}$	$\alpha_{YBG,max}$	$\alpha_{YBG,min}$
-0.01664	-0.05178	-0.01664	0.01413	-0.01664
$\alpha_{ZB,0}$	$\alpha_{ZBR,max}$	$\alpha_{ZBR,min}$	$\alpha_{ZBG,max}$	$\alpha_{ZBG,min}$
-0.00725	-0.13676	-0.00725	0.03833	-0.00725
$\beta_{XB,0}$	$\beta_{XBR,max}$	$\beta_{XBR,min}$	$\beta_{XBG,max}$	$\beta_{XBG,min}$
0.15125	0.15034	0.15125	0.13231	0.15125
$\beta_{YB,0}$	$\beta_{YBR,max}$	$\beta_{YBR,min}$	$\beta_{YBG,max}$	$\beta_{YBG,min}$
0.25855	0.30190	0.25855	0.21266	0.25855
$\beta_{ZB,0}$	$\beta_{ZBR,max}$	$\beta_{ZBR,min}$	$\beta_{ZBG,max}$	$\beta_{ZBG,min}$
1.16734	1.18728	1.16734	1.02041	1.16734

The total tristimulus values ( $X_T$ ,  $Y_T$ ,  $Z_T$ ) of the closed-loop RGB system is the superposition (sum) of the tristimulus values of red, blue and green LED sources, which can be obtained by adding Equation (31)–(33). By entering the parameter values of Table II–V into (31)–(33), we can write

$$\begin{bmatrix} X_T \\ Y_T \\ Z_T \end{bmatrix} = \begin{bmatrix} X_R \\ Y_R \\ Z_R \end{bmatrix} + \begin{bmatrix} X_G \\ Y_G \\ Z_G \end{bmatrix} + \begin{bmatrix} X_B \\ Y_B \\ Z_B \end{bmatrix}$$

$$= \left\{ \begin{bmatrix} \alpha_{XR,01} \\ \alpha_{YR,01} \\ \alpha_{ZR,01} \end{bmatrix} + \begin{bmatrix} \alpha_{XRG1} \\ \alpha_{YRG1} \\ \alpha_{ZRG1} \end{bmatrix} V_{Gref} + \begin{bmatrix} \alpha_{XRB1} \\ \alpha_{YRB1} \\ \alpha_{ZRB1} \end{bmatrix} V_{Bref} \right\} V_{Rref}^3$$

$$+ \left\{ \begin{bmatrix} \beta_{XR,01} \\ \beta_{YR,01} \\ \beta_{ZR,01} \end{bmatrix} + \begin{bmatrix} \beta_{XRG1} \\ \beta_{YRG1} \\ \beta_{ZRG1} \end{bmatrix} V_{Gref} + \begin{bmatrix} \beta_{XRB1} \\ \beta_{YRB1} \\ \beta_{ZRB1} \end{bmatrix} V_{Bref} \right\} V_{Rref}^2$$

$$+ \left\{ \begin{bmatrix} \gamma_{XR,01} \\ \gamma_{YR,01} \\ \gamma_{ZR,01} \end{bmatrix} + \begin{bmatrix} \gamma_{XRG1} \\ \gamma_{YRG1} \\ \gamma_{ZRG1} \end{bmatrix} V_{Gref} + \begin{bmatrix} \gamma_{XRB1} \\ \gamma_{YRB1} \\ \gamma_{ZRB1} \end{bmatrix} V_{Bref} \right\} V_{Rref}$$

$$+ \left\{ \begin{bmatrix} \alpha_{XG,0} \\ \alpha_{YG,0} \\ \alpha_{ZG,0} \end{bmatrix} + \begin{bmatrix} \alpha_{XGR} \\ \alpha_{YGR} \\ \alpha_{ZGR} \end{bmatrix} V_{Rref} + \begin{bmatrix} \alpha_{XGB} \\ \alpha_{YGB} \\ \alpha_{ZGB} \end{bmatrix} V_{Bref} \right\} V_{Gref}^2$$

$$+ \left\{ \begin{bmatrix} \beta_{XG,0} \\ \beta_{YG,0} \\ \beta_{ZG,0} \end{bmatrix} + \begin{bmatrix} \beta_{XGR} \\ \beta_{YGR} \\ \beta_{ZGR} \end{bmatrix} V_{Rref} + \begin{bmatrix} \beta_{XGB} \\ \beta_{YGB} \\ \beta_{ZGB} \end{bmatrix} V_{Bref} \right\} V_{Gref}$$

$$+ \left\{ \begin{bmatrix} \alpha_{XB,0} \\ \alpha_{YB,0} \\ \alpha_{ZB,0} \end{bmatrix} + \begin{bmatrix} \alpha_{XBR} \\ \alpha_{YBR} \\ \alpha_{ZBR} \end{bmatrix} V_{Rref} + \begin{bmatrix} \alpha_{XBG} \\ \alpha_{YBG} \\ \alpha_{ZBG} \end{bmatrix} V_{Gref} \right\} V_{Bref}^2$$

$$+ \left\{ \begin{bmatrix} \beta_{XB,0} \\ \beta_{YB,0} \\ \beta_{ZB,0} \end{bmatrix} + \begin{bmatrix} \beta_{XBR} \\ \beta_{YBR} \\ \beta_{ZBR} \end{bmatrix} V_{Rref} + \begin{bmatrix} \beta_{XBG} \\ \beta_{YBG} \\ \beta_{ZBG} \end{bmatrix} V_{Gref} \right\} V_{Bref}$$

for  $0 \leq V_{Rref} \leq 0.5$  (34a)

$$\begin{bmatrix} X_T \\ Y_T \\ Z_T \end{bmatrix} = \begin{bmatrix} X_R \\ Y_R \\ Z_R \end{bmatrix} + \begin{bmatrix} X_G \\ Y_G \\ Z_G \end{bmatrix} + \begin{bmatrix} X_B \\ Y_B \\ Z_B \end{bmatrix}$$

$$= \left\{ \begin{bmatrix} \alpha_{XR,02} \\ \alpha_{YR,02} \\ \alpha_{ZR,02} \end{bmatrix} + \begin{bmatrix} \alpha_{XRG2} \\ \alpha_{YRG2} \\ \alpha_{ZRG2} \end{bmatrix} V_{Gref} + \begin{bmatrix} \alpha_{XRB2} \\ \alpha_{YRB2} \\ \alpha_{ZRB2} \end{bmatrix} V_{Bref} \right\} V_{Rref}^3$$

$$+ \left\{ \begin{bmatrix} \beta_{XR,02} \\ \beta_{YR,02} \\ \beta_{ZR,02} \end{bmatrix} + \begin{bmatrix} \beta_{XRG2} \\ \beta_{YRG2} \\ \beta_{ZRG2} \end{bmatrix} V_{Gref} + \begin{bmatrix} \beta_{XRB2} \\ \beta_{YRB2} \\ \beta_{ZRB2} \end{bmatrix} V_{Bref} \right\} V_{Rref}^2$$

$$+ \left\{ \begin{bmatrix} \gamma_{XR,02} \\ \gamma_{YR,02} \\ \gamma_{ZR,02} \end{bmatrix} + \begin{bmatrix} \gamma_{XRG2} \\ \gamma_{YRG2} \\ \gamma_{ZRG2} \end{bmatrix} V_{Gref} + \begin{bmatrix} \gamma_{XRB2} \\ \gamma_{YRB2} \\ \gamma_{ZRB2} \end{bmatrix} V_{Bref} \right\} V_{Rref}$$

$$+ \left\{ \begin{bmatrix} \alpha_{XG,0} \\ \alpha_{YG,0} \\ \alpha_{ZG,0} \end{bmatrix} + \begin{bmatrix} \alpha_{XGR} \\ \alpha_{YGR} \\ \alpha_{ZGR} \end{bmatrix} V_{Rref} + \begin{bmatrix} \alpha_{XGB} \\ \alpha_{YGB} \\ \alpha_{ZGB} \end{bmatrix} V_{Bref} \right\} V_{Gref}^2$$

$$+ \left\{ \begin{bmatrix} \beta_{XG,0} \\ \beta_{YG,0} \\ \beta_{ZG,0} \end{bmatrix} + \begin{bmatrix} \beta_{XGR} \\ \beta_{YGR} \\ \beta_{ZGR} \end{bmatrix} V_{Rref} + \begin{bmatrix} \beta_{XGB} \\ \beta_{YGB} \\ \beta_{ZGB} \end{bmatrix} V_{Bref} \right\} V_{Gref}$$

$$+ \left\{ \begin{bmatrix} \alpha_{XB,0} \\ \alpha_{YB,0} \\ \alpha_{ZB,0} \end{bmatrix} + \begin{bmatrix} \alpha_{XBR} \\ \alpha_{YBR} \\ \alpha_{ZBR} \end{bmatrix} V_{Rref} + \begin{bmatrix} \alpha_{XBG} \\ \alpha_{YBG} \\ \alpha_{ZBG} \end{bmatrix} V_{Gref} \right\} V_{Bref}^2$$

$$+ \left\{ \begin{bmatrix} \beta_{XB,0} \\ \beta_{YB,0} \\ \beta_{ZB,0} \end{bmatrix} + \begin{bmatrix} \beta_{XBR} \\ \beta_{YBR} \\ \beta_{ZBR} \end{bmatrix} V_{Rref} + \begin{bmatrix} \beta_{XBG} \\ \beta_{YBG} \\ \beta_{ZBG} \end{bmatrix} V_{Gref} \right\} V_{Bref}$$

for  $0.5 < V_{Rref} \leq 1$  (34b)

TABLE VI. PARAMETER VALUES IN (34a) AND (34b).

$\alpha_{XRG1}$	$\alpha_{XRB1}$	$\alpha_{XRG2}$	$\alpha_{XRB2}$
0.58596	0.36352	-0.02691	0.16562
$\alpha_{YRG1}$	$\alpha_{YRB1}$	$\alpha_{YRG2}$	$\alpha_{YRB2}$
0.25012	0.55545	-0.02183	0.05824
$\alpha_{ZRG1}$	$\alpha_{ZRB1}$	$\alpha_{ZRG2}$	$\alpha_{ZRB2}$
$9.36 \times 10^{-5}$	$2.56 \times 10^{-5}$	$-2.89 \times 10^{-5}$	$-6.4 \times 10^{-6}$
$\beta_{XRG1}$	$\beta_{XRB1}$	$\beta_{XRG2}$	$\beta_{XRB2}$
0.07957	-0.22868	0.39119	0.07045
$\beta_{YRG1}$	$\beta_{YRB1}$	$\beta_{YRG2}$	$\beta_{YRB2}$
-0.1893	-0.07844	0.18695	0.05307
$\beta_{ZRG1}$	$\beta_{ZRB1}$	$\beta_{ZRG2}$	$\beta_{ZRB2}$
$-5.7 \times 10^{-5}$	$1.5 \times 10^{-5}$	$1.01 \times 10^{-4}$	$6.4 \times 10^{-5}$
$\gamma_{XRG1}$	$\gamma_{XRB1}$	$\gamma_{XRG2}$	$\gamma_{XRB2}$
-0.22134	-0.25181	-0.5234	-0.36578
$\gamma_{YRG1}$	$\gamma_{YRB1}$	$\gamma_{YRG2}$	$\gamma_{YRB2}$
-0.09885	-0.11486	-0.23027	-0.16487
$\gamma_{ZRG1}$	$\gamma_{ZRB1}$	$\gamma_{ZRG2}$	$\gamma_{ZRB2}$
$-3.5 \times 10^{-5}$	$-5.1 \times 10^{-5}$	$-8.87 \times 10^{-5}$	$-7.2 \times 10^{-5}$
$\alpha_{XGR}$	$\alpha_{XGB}$	$\alpha_{XBR}$	$\alpha_{XBG}$
-0.0122	-0.02452	-0.02052	$-2 \times 10^{-4}$
$\alpha_{YGR}$	$\alpha_{YGB}$	$\alpha_{YBR}$	$\alpha_{YBG}$
0.03635	-0.04016	-0.03514	0.03077
$\alpha_{ZGR}$	$\alpha_{ZGB}$	$\alpha_{ZBR}$	$\alpha_{ZBG}$
0.03846	$8.69 \times 10^{-3}$	-0.12951	0.04558
$\beta_{XGR}$	$\beta_{XGB}$	$\beta_{XBR}$	$\beta_{XBG}$
0.0142	0.02164	$-9.1 \times 10^{-4}$	-0.01894
$\beta_{YGR}$	$\beta_{YGB}$	$\beta_{YBR}$	$\beta_{YBG}$
-0.10873	-0.07117	0.04335	-0.04589
$\beta_{ZGR}$	$\beta_{ZGB}$	$\beta_{ZBR}$	$\beta_{ZBG}$
-0.07234	-0.08956	0.01994	-0.14693

Note that the remaining parameter values in (34a) and (34b), e.g.  $\alpha_{XR,01}$ ,  $\alpha_{YR,01}$ ,  $\alpha_{ZR,01}$ ,  $\alpha_{XR,02}$ ,  $\alpha_{YR,02}$  and  $\alpha_{ZR,02}$ , are already listed in Table II–V and are not replicated in Table VI.

#### IV. EXPERIMENTAL RESULTS

A photograph of the hardware prototype of the DC-DC SITO buck LED driver is shown in Fig. 12.

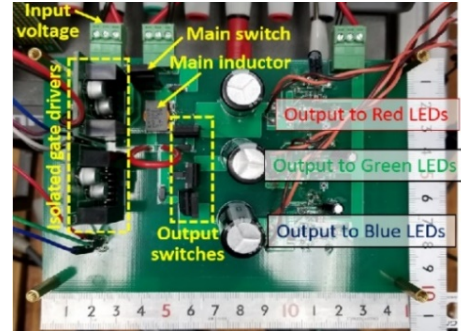


Fig. 12. Photograph of the DC-DC SITO buck LED driver.

Table VII shows the design specifications while the part numbers of the key components are listed in Table VIII. Fig. 13 shows the entire experimental setup.

TABLE VII. DESIGN SPECIFICATIONS.

Parameter	Value
Input voltage	24 V
Main inductor	3 $\mu$ H
Output capacitor per string	1 mF
Switching frequency of main switch	150 kHz
Current sense resistor	100 m $\Omega$
LED current range per string	50–550 mA
Typical forward current per red LED	350 mA
Typical forward voltage per red LED	2.9 V
Typical forward current per green LED	350 mA
Typical forward voltage per green LED	3.21 V
Typical forward current per blue LED	350 mA
Typical forward voltage per blue LED	2.95 V
Total number of red LEDs used	4
Total number of green LEDs used	4
Total number blue LEDs used	4

TABLE VIII. LIST OF KEY COMPONENTS.

Component	Part number
Power MOSFET	Infinion IPA083N10N5
Gate driver	ADUM3223ARZ
Main inductor	EPCOS (TDK) B82559A0302A013
Schottky diode	Comchip Technology CDBA240LL-G
Current sense resistor	Vishay Dale WSLT2512R1000FEA
Operational amplifier	OPA354
Microcontroller (MCU)	TMS320F28335
Red LED chip	Lumiled LXML-PD01-0050
Green LED chip	Lumiled LXML-PM01-0090
Blue LED chip	Lumiled LXML-PB01-0040

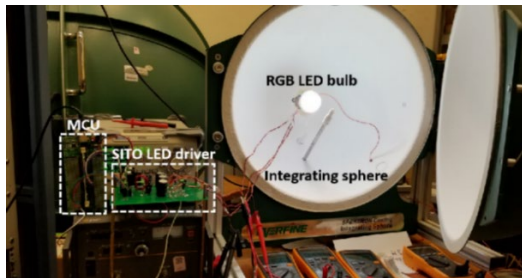


Fig. 13. Experimental setup of the closed-loop RGB LED system.

Due to the inherent nature of the time-multiplexing control scheme of the SITO LED driver, the discharging time interval of the output capacitor dominates a full cycle per output, where a full cycle is 1/50 kHz (or 20  $\mu$ s). Hence, a larger output capacitor helps reduce the output voltage (or current) ripple. Besides, an LED device under forward bias exhibits an exponential I-V relationship, which means that a small change in the LED voltage will lead to a larger change in the LED current. Such current variation will become the largest when the forward current reaches its maximum value of 550 mA for the specified target current range of 50 mA–550 mA. Thus, we set a stringent condition of 1% LED current ripple at the “worst-case” operating point of 550 mA. Based on this design constraint, the minimum value of the output capacitor can be

determined by using equation (35). In short, (35) is derived by using the equivalent circuit diagram of a particular output of the SITO LED driver whereby the inductor current is zero (or the corresponding output switch is switched OFF).

$$C_o \geq \frac{V_o}{R_{LED} \left( \left| \frac{\Delta V_o}{\Delta t} \right| + R_{ESR} \left| \frac{\Delta I_o}{\Delta t} \right| \right)} \quad (35)$$

where  $V_o$  is the output voltage,  $R_{LED}$  is the equivalent resistance of the LED string,  $R_{ESR}$  is the equivalent series resistance (ESR) of the output capacitor,  $\Delta V_o$  is the reduction of the output voltage,  $\Delta I_o$  is the reduction of the output (LED) current, and  $\Delta t$  is the discharging time interval of the output capacitor.

From the I-V curve of the chosen blue LED, a 0.1% change in the forward voltage ( $V_F$ ) results in a 1% change in the forward current ( $I_F$ ). For the blue LED string, when  $I_F = 550$  mA,  $V_o = 11.57$  V.  $\Delta V_o = 0.1\% \times 11.57$  V = 11.57 mV.  $\Delta I_o = 1\% \times 550$  mA = 5.5 mA.  $R_{ESR}$  is assume to be 60 m $\Omega$ . The simulation results show that  $\Delta t \approx 16.62$   $\mu$ s. By substituting these values into (35),  $C_o \geq 771$   $\mu$ F. Since most electrolytic capacitor (E-cap) have a  $\pm 20\%$  tolerance, the minimum value of  $C_o$  is determined to be  $771$   $\mu$ F  $\times 1.1 \approx 848$   $\mu$ F. The commercially-available E-cap with a rated voltage of 25 V manufactured by, say EPCOS-TDK Electronics, whose value is nearest to and greater than 848  $\mu$ F is 1000  $\mu$ F (or 1 mF). Hence, 1 mF output capacitor is used for the blue LED string. The above analysis is repeated for the green and red LED string. For the green LED string, the minimum value of  $C_o$  is determined to be 816  $\mu$ F. Likewise, for the red LED string, the minimum value of  $C_o$  is determined to be 732  $\mu$ F. Either 820  $\mu$ F or 1 mF standard E-cap can be used. But since 1 mF E-caps are already available in our lab, it is also used as the output capacitor for the green and red LED strings.

To start with, the preciseness of our current regulation is experimentally verified. In view of the errors in the current-sensing circuit and digital control, the measured value of the LED current in each string is compared to the corresponding expected (target) value, as shown in Table IX. Normalized reference voltages are provided for ease of comparison.

TABLE IX. COMPARISON BETWEEN MEASURED AND EXPECTED LED CURRENT VALUES.

Exp. current (mA)	Meas. $I_R$ (mA)	Meas. $I_G$ (mA)	Meas. $I_B$ (mA)	Norm. $V_{Rref}$ (V)	Norm. $V_{Gref}$ (V)	Norm. $V_{Bref}$ (V)
50	51	49	46	0.07	0.10	0.14
100	98	95	97	0.15	0.18	0.22
150	150	146	146	0.24	0.27	0.31
200	199	197	196	0.33	0.36	0.39
250	248	245	246	0.43	0.45	0.47
300	297	295	295	0.52	0.54	0.56
350	351	352	352	0.62	0.64	0.65
400	401	400	399	0.71	0.73	0.74
450	453	446	452	0.81	0.80	0.82
500	504	505	504	0.91	0.91	0.92
550	551	556	555	1.00	1.00	1.00

The experimental results show that the average error between the expected current and the actual current is only 1.478% and

the maximum error is 8% (when the expected current is 50 mA and the measured  $I_B = 46$  mA). It shows that within the specified range of LED current (50–550 mA), the closed-loop control is capable of achieving a reasonably precise current regulation, albeit the errors generated by the circuit sensing circuitry.

To further study how the accuracy of the closed-loop current control of the SITO LED driver will ultimately affect the optical performance, six different sets of optical measurements are performed at different times. In each set, six separate tests are conducted. For example, the first two tests (Test 1 and 2) are performed in the afternoon and evening of the first day. The next two tests (Test 3 and 4) are performed in the afternoon and evening of the second day, etc. The objective is to verify the consistency and accuracy of the measured optical parameters across different combinations of the reference voltages (or LED current levels) and different times. In particular, it is crucial to verify how the current fluctuations will affect the optical parameters such as luminous flux and color coordinates. Table X to XIV show the experimental results with different combinations of target LED currents ( $I_R, I_G, I_B$ ) across the three strings. The target current values are listed in the table caption.

TABLE X. MEASURED VALUES OF LUMINOUS FLUX AND COLOR COORDINATES WITH TARGET CURRENT VALUES OF ( $I_R, I_G, I_B$ ) = (130 mA, 280 mA, 435 mA).

	Meas. $I_R$ (mA)	Meas. $I_G$ (mA)	Meas. $I_B$ (mA)	Lum. Flux (lm)	x- coord.	y- coord.
TEST 1	133	281	441	470.53	0.1852	0.342
TEST 2	129	275	434	471.16	0.1855	0.3416
TEST 3	129	275	435	473.06	0.1857	0.3412
TEST 4	129	276	436	471.64	0.1849	0.3415
TEST 5	129	276	435	472.42	0.1855	0.3412
TEST 6	130	276	435	472.25	0.1854	0.3423

TABLE XI. MEASURED VALUES OF LUMINOUS FLUX AND COLOR COORDINATES WITH TARGET CURRENT VALUES OF ( $I_R, I_G, I_B$ ) = (290, 445 mA, 50 mA).

	Meas. $I_R$ (mA)	Meas. $I_G$ (mA)	Meas. $I_B$ (mA)	Lum. Flux (lm)	x- coord.	y- coord.
TEST 1	286	440	52	479.98	0.3105	0.5473
TEST 2	286	441	53	478.66	0.3085	0.5464
TEST 3	286	441	53	479.01	0.3088	0.5463
TEST 4	286	441	53	479.36	0.3089	0.5462
TEST 5	286	440	52	477.43	0.309	0.5479
TEST 6	286	441	53	481.26	0.3105	0.5467

TABLE XII. MEASURED VALUES OF LUMINOUS FLUX AND COLOR COORDINATES WITH TARGET CURRENT VALUES OF ( $I_R, I_G, I_B$ ) = (445 mA, 110 mA, 255 mA).

	Meas. $I_R$ (mA)	Meas. $I_G$ (mA)	Meas. $I_B$ (mA)	Lum. Flux (lm)	x- coord.	y- coord.
TEST 1	444	109	253	305.59	0.2676	0.3218
TEST 2	444	110	253	304.09	0.264	0.3223
TEST 3	443	109	254	303.77	0.2644	0.3218
TEST 4	444	110	253	303.95	0.264	0.3215
TEST 5	444	110	253	305.59	0.2651	0.3226
TEST 6	444	109	253	304.81	0.2656	0.3222

TABLE XIII. MEASURED VALUES OF LUMINOUS FLUX AND COLOR COORDINATES WITH TARGET CURRENT VALUES OF ( $I_R, I_G, I_B$ ) = (400 mA, 400 mA, 400 mA).

	Meas. $I_R$ (mA)	Meas. $I_G$ (mA)	Meas. $I_B$ (mA)	Lum. Flux (lm)	x- coord.	y- coord.
TEST 1	401	400	399	543.31	0.2022	0.3824
TEST 2	400	400	399	544.08	0.2027	0.3819
TEST 3	400	400	399	538.54	0.1996	0.3826
TEST 4	400	400	399	541.93	0.2015	0.3814
TEST 5	400	399	398	543.22	0.2025	0.383
TEST 6	400	399	399	543.74	0.2025	0.3833

TABLE XIV. MEASURED VALUES OF LUMINOUS FLUX AND COLOR COORDINATES WITH TARGET CURRENT VALUES OF ( $I_R, I_G, I_B$ ) = (50 mA, 50 mA, 50 mA).

	Meas. $I_R$ (mA)	Meas. $I_G$ (mA)	Meas. $I_B$ (mA)	Lum. Flux (lm)	x- coord.	y- coord.
TEST 1	52	49	46	122.25	0.3012	0.4351
TEST 2	52	49	46	122.35	0.301	0.4348
TEST 3	51	48	46	120.30	0.3018	0.4359
TEST 4	51	48	46	120.27	0.3019	0.4352
TEST 5	51	48	46	120.02	0.3016	0.4356
TEST 6	52	50	46	123.01	0.3023	0.4345

To summarize, the measured DC value of each LED string is within  $\pm 5$  mA when compared with the corresponding target current. This means that the measured currents are in close agreement with the corresponding target currents, thereby confirming that the current regulation is consistent and accurate. Using the data in Test 1 as the golden reference, the average error of luminous flux is only 0.32%. The average error of the x-coordinate is 0.54% while that of the y-coordinate is 0.15%. In particular, from Table IX, it is observed that the maximum current error of 8% is produced when the LED current is at its minimum value (i.e.  $I_R = I_G = I_B = 50$  mA). So, we measured the luminous flux and color points at the minimum current value. From the measured data in Table XIV, the percentage errors of luminous flux and color coordinates are very small. In this case, the maximum percentage error of luminous flux is 1.82% while the maximum percentage errors of the x- and y-coordinates are only 0.3023% and 0.18%, respectively. The experimental results show that the measured optical parameters are fairly consistent across different current values and different times. In short, the errors generated by the current sensing circuit when the SITO LED driver operates within the target current range (50–550 mA) are shown to be reasonably small. Hence, the circuit errors are not significant enough to affect the luminous flux and color point of the RGB LED system.

To experimentally verify the hardware prototype of the SITO LED driver, the key signals such as the inductor current ( $I_L$ ), the red, green and blue LED currents ( $I_R, I_G, I_B$ ) along with the gate drive signals of the main switch ( $S_{main}$ ) and the output switches ( $S_1, S_2, S_3$ ) are measured. Fig. 14 shows the measured current waveforms of  $I_L, I_R, I_G$  and  $I_B$ . Fig. 15 shows the measured waveforms of the gate drive signals of  $S_{main}, S_1, S_2$  and  $S_3$ .

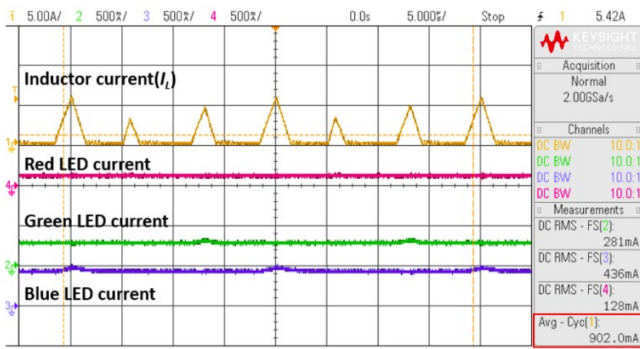


Fig. 14. Measured waveforms of the inductor current and the red, green and blue LED current.

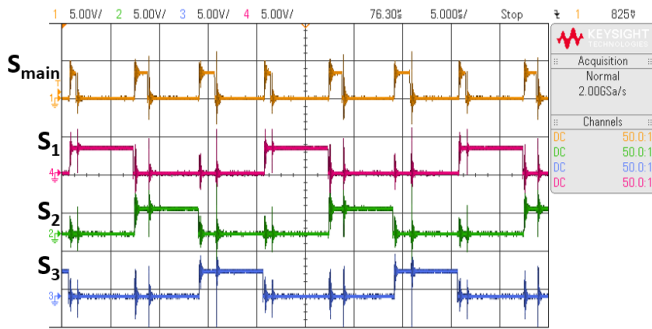


Fig. 15. Measured waveforms of the gate drive signals of the main switch and the three output switches.

Fig. 14 shows that the inductor current returns to zero before the end of each switching cycle, which indicates that SITO LED driver operates in discontinuous conduction mode (DCM). It also shows an uneven distribution of the peak values of the inductor current. This is attributed to *unequal* current levels across the three LED strings. In this unbalanced load current condition, the red LED string carries the smallest current of 129.3 mA whereas the blue LED carries the largest current of 432.9 mA. The on-time duty ratio of  $S_{main}$  pertaining to the red LED string is the smallest, which leads to the lowest peak value of the inductor current. Conversely, the on-time duty ratio of  $S_{main}$  pertaining to blue LED string is the largest, which results in the highest peak value of the inductor current.

Under the time-multiplexing control scheme, the energy stored in the inductor is successively transferred to each output channel in a round-robin, time-multiplexing manner. This means that only one output is enabled in each switching cycle. To eliminate cross-current conduction (or cross-regulation) issue across the three outputs, we need to make sure that the SITO LED driver stays in discontinuous conduction mode (DCM) at all times so that each LED string is fully decoupled in time. By definition, cross-regulation means a change in one output causes an unwanted change in another output, which is a common issue of SIMO-based converter. Additional experiments are conducted to study this issue. Fig. 16 shows an increase in the current of the red LED string from 130 mA to 400 mA. This is achieved by increasing the reference voltage of the red LED from 0.2 V to 0.71 V (both are normalized values). It shows that despite the load transient in red LED string, the current levels in the green and blue LED strings remain unchanged.

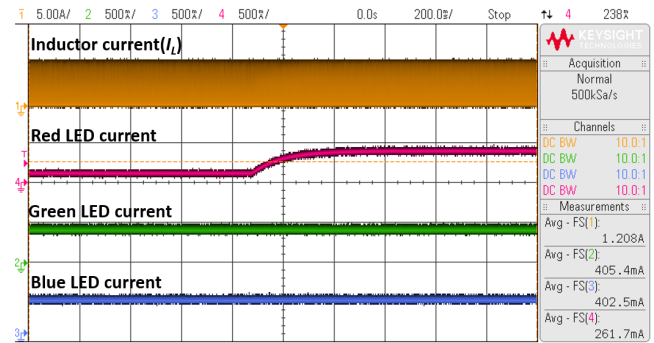


Fig. 16. Measured waveforms of the inductor current and the LED currents when red LED current increases from 130 mA to 400 mA.

Likewise, Fig. 17 shows the opposite scenario when the current in the red LED string is reduced from 400 mA to 130 mA. The experimental results show that the current levels of green and blue LED strings remain unaffected despite the load transient in the red LED string. Hence, the experimental results confirm that there is no noticeable cross-regulation.

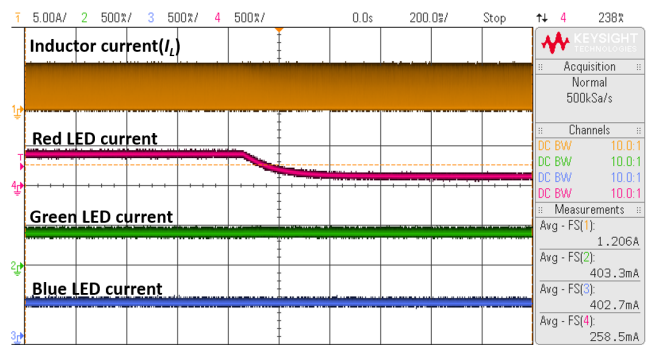


Fig. 17. Measured waveforms of the inductor current and the LED currents when red LED current decreases from 400 mA to 130 mA.

To experimentally verify the nonlinear empirical flux model, a wide range of reference voltage values ( $V_{Rref}$ ,  $V_{Gref}$ ,  $V_{Bref}$ ) are chosen to perform the flux measurement. The resulting emitted light from the RGB LED light bulb is measured by the PMS-50 Spectrophotometer [28], as depicted in Fig. 18.

Fig. 18 compares the measured flux with the calculated flux from the empirical model for the same set of reference voltage values. The experimental results show that the maximum percentage error between the measured and calculated flux values is around 8.35%. The average percentage error between the measured and calculated flux values is only 2.98%. In addition, the proposed color model is validated by comparing the measured and calculated ( $x$ ,  $y$ ) color coordinates. For example, the value of  $V_{Bref}$  is swept by keeping ( $V_{Rref}$ ,  $V_{Gref}$ ) constant at either (0.2 V, 0.4 V) or (0.4 V, 0.2 V).

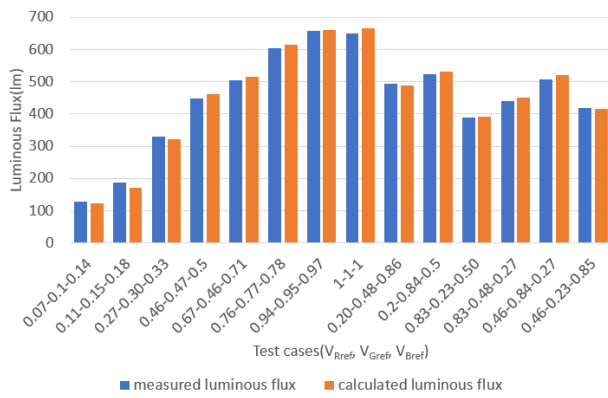


Fig. 18. Comparison of measured flux with calculated flux.

Fig. 19 compares the measured and calculated  $x$  and  $y$  values across different values of  $V_{Bref}$ . First, the total tristimulus values ( $X_T$ ,  $Y_T$ ,  $Z_T$ ) are measured, which are then mapped into CIE 1931 color space in terms of  $(x, y)$  color coordinates by using (14). The maximum percentage error between measured and calculated  $x$  value (or  $y$  value) is 4.93% (or 7.28%). The average percentage error between the measured and calculated  $x$  value (or  $y$  value) is 3.87% (or 2.05%). The experimental results show that the proposed color model offers highly accurate and effective color control.

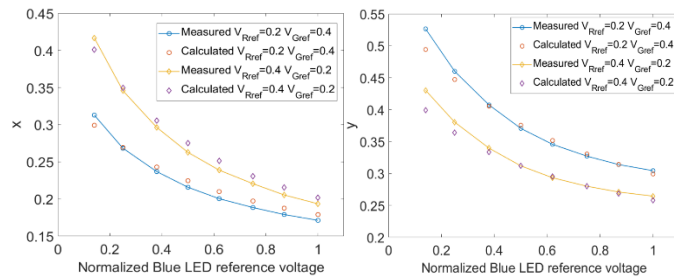


Fig. 19. Comparison of measured and calculated  $x$  and  $y$  values across different values of  $V_{Bref}$ .

Besides, we would like to compare the measured and calculated correlated color temperature (CCT) values across different values of  $V_{Bref}$ . In general, the following McCamy's formula is used to obtain the calculated CCT values from the chromaticity coordinates.

$$CCT = 449n^3 + 3525n^2 + 6823.3n + 5520.33 \quad (35)$$

where  $n = (x - 0.3320) / (0.1858 - y)$ .

The experimental measurements of CCT are carried out by using the PMS-50 Spectrophotometer and the integrating sphere. The value of  $V_{Bref}$  is swept from 0.14 V to 0.18 V while the values of  $V_{Ref}$  and  $V_{Gref}$  are fixed at 0.2 V and 0.1 V, respectively. From a practical standpoint, the CCT is tested within a range of 2000 K and 7500 K. The experimental results show that the average percentage error between the measured and calculated CCT values is 2.85%. The maximum percentage error between the measured and calculated CCT values is 4.39%, which are well within the maximum allowable CCT tolerance specified in [30]. Fig. 20 compares the measured and calculated CCT values across different values of  $V_{Bref}$ .

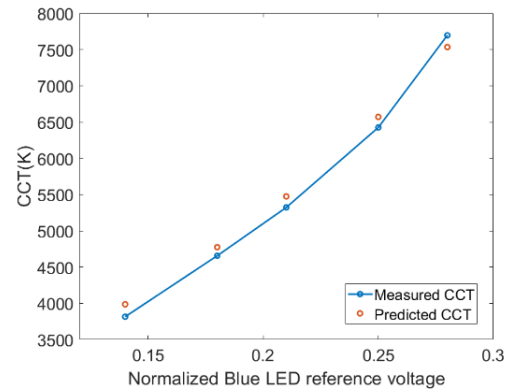


Fig. 20. Comparison between measured and calculated CCT values.

## VI. CONCLUSIONS

In this paper, a precise and holistic flux and color control scheme based on nonlinear empirical models for practical closed-loop RGB LED systems are presented. These models account for the highly nonlinear relationship of the thermal interdependencies among the different types of LEDs mounted on the same heatsink. The mathematical relationships between the flux and color coordinates as a function of the reference voltages in a closed-loop system are analytically derived. The proposed tricolor control method is implemented using a real SITO LED driver with feedback control. The experimental results corroborate that the model predictions of flux and color points are in good agreement with the measurement results.

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## APPENDIX

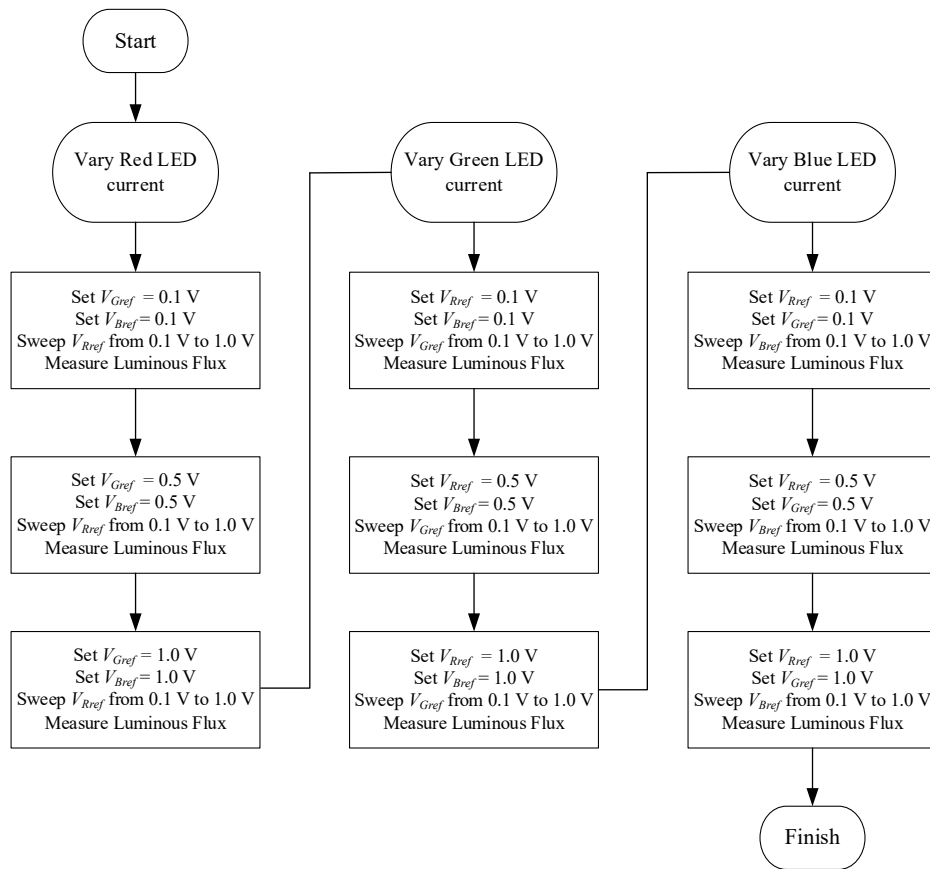


Fig. A1. Flowchart to illustrate the procedures of obtaining the proposed empirical luminous flux model.



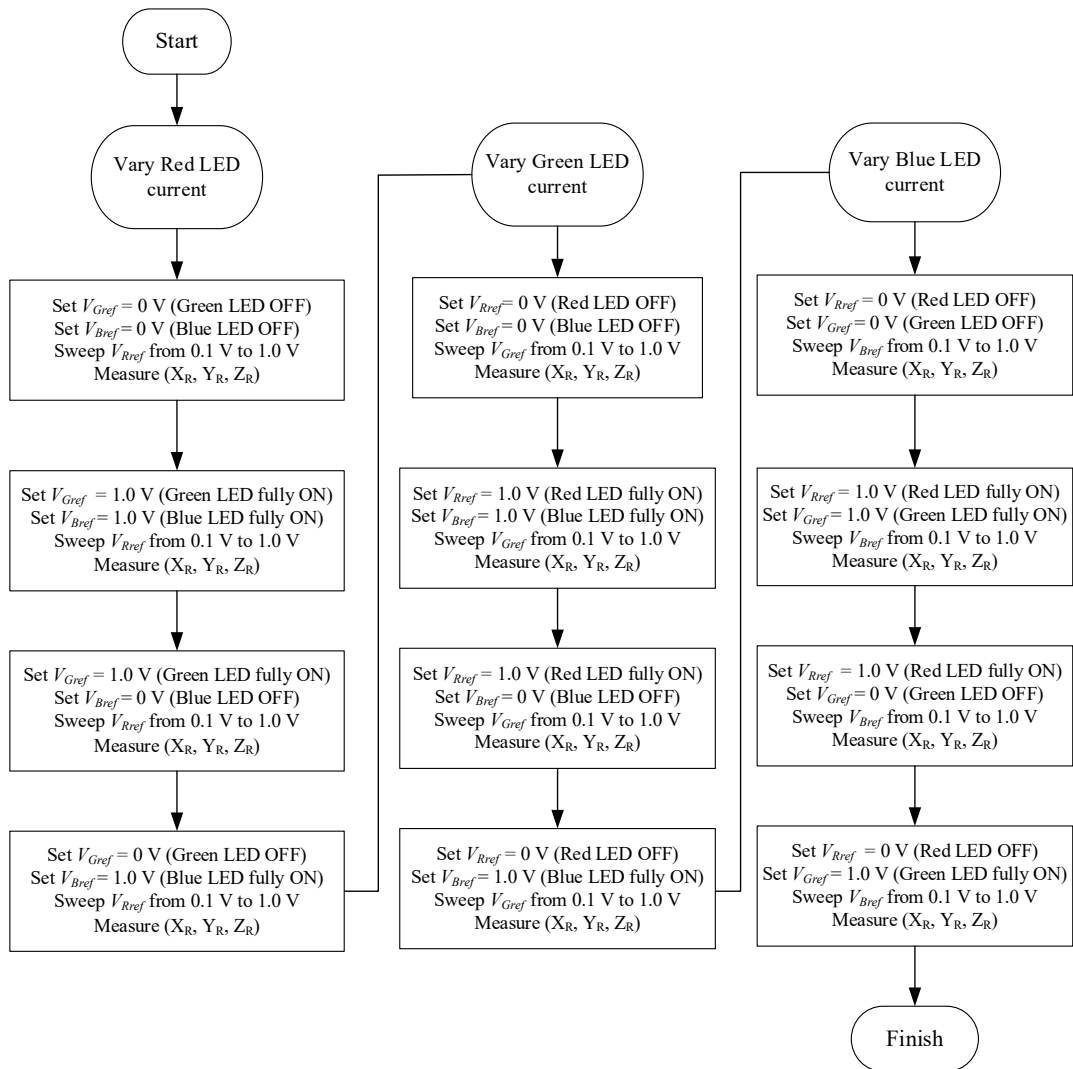


Fig. A2. Flowchart to illustrate the procedures of obtaining the proposed empirical color model.