



# Chronic mild stress paradigm as a rat model of depression: facts, artifacts, and future perspectives

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Received: 8 May 2020 / Accepted: 15 September 2021 / Published online: 24 January 2022

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## Abstract

**Rationale** The chronic mild stress (CMS) paradigm was first described almost 40 years ago and has become a widely used model in the search for antidepressant drugs for major depression disorder (MDD). It has resulted in the publication of almost 1700 studies in rats alone. Under the original CMS procedure, the expression of an anhedonic response, a key symptom of depression, was seen as an essential feature of both the model and a depressive state. The prolonged exposure of rodents to unpredictable/uncontrollable mild stressors leads to a reduction in the intake of palatable liquids, behavioral despair, locomotor inhibition, anxiety-like changes, and vegetative (somatic) abnormalities. Many of the CMS studies do not report these patterns of behaviors, and they often fail to include consistent molecular, neuroanatomical, and physiological phenotypes of CMS-exposed animals.

**Objectives** To critically review the CMS studies in rats so that conceptual and methodological flaws can be avoided in future studies.

**Results** Analysis of the literature supports the validity of the CMS model and its impact on the field. However, further improvements could be achieved by (i) the stratification of animals into ‘resilient’ and ‘susceptible’ cohorts within the CMS animals, (ii) the use of more refined protocols in the sucrose test to mitigate physiological and physical artifacts, and (iii) the systematic evaluation of the non-specific effects of CMS and implementation of appropriate adjustments within the behavioral tests.

**Conclusions** We propose methodological revisions and the use of more advanced behavioral tests to refine the rat CMS paradigm, which offers a valuable tool for developing new antidepressant medications.

**Keywords** Chronic mild stress (CMS) · Depression · Sucrose test · Anhedonia · Forced swimming · Open field · Inter-individual variability · Stress resilience · Rat

## Introduction

Major depressive disorder (MDD) is a common psychiatric illness that has an enormous impact on quality of life. In 2012, the World Health Organization (WHO) described

MDD as a ‘global crisis’. Almost a decade later, it remains a leading contributor to the global burden of disease. Moreover, the treatment of MDD continues to pose significant challenges for clinicians. In the United States, depression has a prevalence of 10%, and up to one-in-five individuals will

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experience MDD over the course of a lifetime (Hasin et al. 2018; Gauthier et al. 2019). Furthermore, the COVID-19 outbreak and associated social distancing rules have increased the prevalence of MDD (Chaturvedi 2020; Wind et al. 2020). Thus, the incidence of mental disorders, including MDD, is likely to rise, which will impact not only on the individuals affected, but also their relatives, caregivers, and the wider community (Wind et al. 2020). Consequently, the need to identify new effective therapy is urgently required, and this requires the use of clinically relevant preclinical models and appropriate outcome measures.

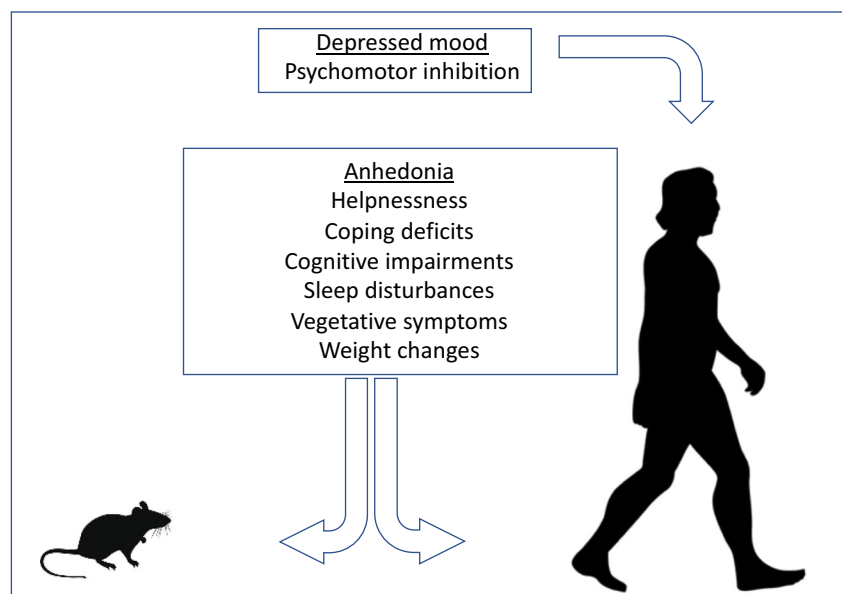
The Diagnostic and Statistical Manual, 5th Edition (DSMV), defines MDD as the ‘presence of at least one core symptom, lasting for a minimum of two weeks that is typically accompanied by subsidiary symptoms’. Anhedonia, a decreased ability to experience pleasure, together with a persistently low mood, is commonly regarded as a key symptom of clinical depression (Hamilton 1967; Klein 1974). MDD is also often associated with psychomotor inhibition, vegetative (somatic) symptoms, cognitive abnormalities, changes in appetite and body weight (Kessler et al. 2005; Kessler and Bromet 2013; Rizvi et al. 2016), as well as sleep disturbances (Baglioni et al. 2011). Some of these symptoms can be reproduced in animals (Fig. 1).

Despite a variety of therapeutic regimens available for depression, many of them appear to be effective in only about a half of patients, and up to 35% of MDD cases remain refractory to treatment (Munos 2009; Pigott et al. 2010; Insel and Sahakian 2012; Moeler 2017; Dubovsky 2018; Safer and Zito 2019). Drug resistance in the treatment of MDD is a growing problem in clinical psychiatry (Munos et al. 2009; Safer and Zito 2019; Goh et al. 2020). Another challenge in treating depressed patients is the chronic nature of the disease that

often necessitates lifelong drug treatment and, thus, the management of side effects, which may further contribute to the development of certain somatic problems in MDD patients, including type-2 diabetes in TCA-treated patients (Wang et al. 2021) and stroke in SSRI-treated patients (Trajkova et al. 2019), which increase risks of morbidity and death (Insel 2009; Baune et al. 2012; WHO 2012, 2017; Zuzarte et al. 2018).

The predominant pharmacotherapy for MDD remains the enhancement of brain monoaminergic neurotransmission, which is founded on the monoamine hypothesis of depression developed over half a century ago. Whilst the selective antagonism of N-methyl-D-aspartate (NMDA) receptors is a promising new mechanistic framework for treating depressive symptoms, the long drought between scientific breakthroughs for truly novel and effective antidepressant treatments raises important questions regarding the limitations of preclinical studies, and, in particular, the reliability and validity of animal models of depression (Cryan and Slattery 2007; Neumann et al. 2011; Borsini 2012; Wegener et al. 2012; Harro 2013; Harro et al. 2019). Rodents, despite being popular models for preclinical psychiatric research, are ill-suited for a complex understanding of the pathophysiology of human psychiatric diseases (Landgraf 2003; Ellenbroek and Youn 2016). The scarcity of research exploring new target treatments is made worse by a lack of robust or consistent methodologies for generating experimental models. Similarly, the way in which depressive-like behaviors are measured can be widely disparate between research groups (McArthur and Borsini 2006; Demin et al. 2019). Species-specific behavioral features might be due, for example, to the distinct differences in responses of the monoaminergic system, among other mechanisms, to stress in humans and in experimental animals (Barker et al.

**Fig. 1** Symptoms of major depression in translational aspect. Major depression is defined by the occurrence of at least one core symptom (underlined) lasting minimally two weeks that is typically accompanied by a number of subsidiary symptoms. Some of these symptoms are purely human phenomena though others can be recapitulated in laboratory rodents, including rats (*see the text*)



1994; Heyman 2007; Harro 2019). Consequently, to succeed in developing novel pharmacotherapies for MDD, it is crucial at the level of preclinical research to identify more robust and translationally valid parameters in animal models of depressive phenotypes.

Chronic mild stress (CMS), an animal model of depression, was first developed in the 1980s (Katz 1981, 1982, 1984; Willner et al. 1987) and has gained favor for several reasons. Firstly, it was founded on the basis of etiological relevance, as the pathology in animals is induced with variable, unpredictable, and uncontrollable chronic stress, an established risk factor for depression (Kessler et al. 2005; Lesch and Mössner 2006). Secondly, the CMS model is associated with the development of anhedonia, a reduction of sensitivity to a reward, which was established as the primary criterion of a depressive-like state in animals (Willner et al. 1987; Willner 1992). Thus, CMS mimics stress-induced behavioral changes that resemble certain key features of MDD, i.e. it displays high face and construct validity. Additionally, CMS-induced depression-like changes can be alleviated with antidepressant treatments, suggesting pharmacological sensitivity of the CMS-induced pathology (Papp et al. 1994, 1996, 2003, 2016; Cryan et al. 2002; McArthur and Borsini 2006; Antoniuk et al. 2019).

The canonic CMS model stems from the original studies by Katz and co-workers who subjected rats to 21 consecutive days of stress-inducing conditions, including electric shocks, immobilization, swimming in cold water, and other strong stimuli, which cause a decrease in sucrose intake, which was interpreted as a sign of a hedonic deficit (Katz 1981). However, there remained a need to develop a model that better simulated the continuous mild stress that is often experienced by humans, and also exhibited anhedonia as a core feature and symptom of depression. To achieve this goal, Willner and his group used a set of milder stressors in which the animals are continually exposed to these micro-stressors in an unpredictable fashion (Willner 2017). The micro-stressors included soiled cage stress, presence of novel objects, group housing, light/dark reversal, noise bursts, restricted access to food, constant lighting, tilted cage, food and water deprivation, scotophoric light, among others. The protocols also extended the stress exposure for up to 3 months (Willner et al. 1987; Willner 1992, 2005). In the original version of the new CMS model, reward sensitivity was evaluated in a free-choice sucrose preference/consumption test following food and water deprivation and was shown to decrease within the first few weeks of exposure. It is of note that the sucrose preference/consumption can be restored to normal levels by treatment with antidepressant drugs (Willner 2016, 2017).

Despite overall extensive use of the CMS model for several decades, the reproducibility of anhedonia and depressive-like syndrome induced with CMS has been frequently reported as

insufficient. Even when genetically identical animals are used, studies often report contradictory outcomes and fail to define consistent molecular, neuroanatomical, and physiological phenotypes in either rats or mice (Forbes et al. 1996; Weiss 1997; Reid et al. 1997; Phillips and Bar 1997; Hagan and Hatcher 1997; Holmes 2003; Anisman and Matheson 2005; Strekalova et al. 2005, 2011). In some publications, stressed animals were reported to show “unreliable” decreases in sucrose intake that were “inconsistent” over time, for instance, in CMS-exposed Wistar and PVG hooded rats (Nielsen et al. 2000). Similar outcomes have been reported in other studies (Matthews et al. 1995; Hatcher et al. 1997; Harris et al. 1997; Harkin et al. 2002; Kompagne et al. 2008). Data on locomotion, anxiety, exploration, and other behaviors in CMS-exposed rodents often demonstrate paradoxical and conflicting behavioral changes, such as anxiolytic-like features in conjunction with decreased scores of helplessness, and discordance between the behavioral phenotype of chronically stressed animals and human symptoms of depression (*for a review, see*: Willner 2005; Anisman and Matheson 2005; Strekalova 2008; Strekalova and Steinbusch 2010; Slattery and Cryan 2017). As a consequence of the issues relating to the reproducibility and inconsistencies of stress-induced anhedonia, as measured by sucrose preference, the implementation and interpretation of the data from CMS models in relation to MDD research has proved problematic (*for a review, see*: Nestler et al. 2002; Cryan et al. 2002; Anisman and Matheson 2005; Borsini 2012; Slattery and Cryan 2014). However, it is clear that these limitations can be overcome if methods are adopted that acknowledge address the issues relating to the variability experienced by researchers.

The aim of this text is to provide a critical review, according to an established framework (Grant and Booth 2009), of CMS studies in rats, including the consideration of rarely reviewed reports on vegetative (somatic) parameters, and to discuss the possible origins of its conceptual and methodological flaws. We also discuss ways of overcoming these limitations by proposing modifications to the model. Utilizing World of Science (WoS) search engine, we conducted a comprehensive search of articles focusing on selected aspects of the CMS paradigm. Briefly, the criteria set for the literature search were based on the number of citations for each work, which was adjusted for publication year to capture more recent studies that are generating interest in the field (*for search details, see further sections*). While this approach can be expected to introduce some biases—for example by the exclusion of important, but poorly cited work—it has enabled the critical appraisal of the mainstream literature that underpins the field and has allowed the limitations of the most commonly employed rat CMS methodologies to be addressed.

**Table 1** Stressor, behavioral tests, and depressive-like changes reported in CMS studies

Rat Strain	Stress period (weeks)	Stressors		Housing condition	Physiological	Changes	Author
		Light dark cycle	Social				
Sprague-Dawley	4	OI	/	WB (overnight)	Cs (1 hr); Sw (1 hr); Sc (5 min); Ho (42°C, 5 min); TP (1 min)	FST (↑ immobility time); OFT (↓ time in center); EPM (↓ open arm entries)	Hao et al. 2019
Wistar	9	II (10-14 hrs)	IG (10-14 hrs)	WB (10-14 hrs)	Ct (10-14 hrs); F/W D (10-14 hrs); SI (10-14 hrs)	SCT (↓ sucrose consumption)	Czéh et al. 2018
Wistar	5	OI	/	WB (24 hrs)	Cs (2 hrs); Ct (24 hrs); F/W D (24 hrs); Sc (5 min); Res (2 hrs); ES (0.5 mA, 0.5 sec)	SPT (↓ sucrose preference); FST (↑ immobility time, ↓ swimming time)	Fan et al. 2018
Sprague-Dawley	4	/	CH (24 hrs)	Soc (24 hrs)	Cr/s (1 hr); Ct (24 hrs); Sc (5 min); Co (1 hr); Res (1 hr)	SPT (↓ sucrose preference); FST (↑ immobility time)	Li et al. 2018
Sprague-Dawley	3	ReLD (24 hrs)	/	/	F/W D (24 hrs); No (1500 Hz, 92 dB, 1 hr); Res (1 hr); TP (1 min)	SPT (↓ sucrose preference); OFT (↓ rearing frequency)	Lu et al. 2018
Sprague-Dawley	4	OI	/	WB (24 hrs)	Ct (7 hrs); F/W D (24 hrs); Sw (5 min); Sc (5 min); Res (2 hr); TP (1 min)	MWM (↑ escape latency)	Shen et al. 2018
Sprague-Dawley	3	OI	/	WB (12 hrs)	Cs (10 min); Ct (12 hrs); F/W D (12 hrs); No (10 min); Res (10 min); TP (10 min)	SPT (↓ sucrose preference); FST (↑ immobility time); OFT (↓ crossing, grooming and rearing frequency)	Song et al. 2018
Sprague-Dawley	12	ReLD	/	/	Cs (15 min); F/W D (24 hrs); SI (12 hrs); Sc (5 min); Ho (40°C, 5 min); No (radio, 12 hrs); Res (2 hrs)	FST (↑ immobility time); OFT (↓ rearing frequency, ↓ total distance travelled); EPM (↓ time spent in open arms)	Wang et al. 2018
Sprague-Dawley	3	LO (3 hrs); OI (ReLD (24 hrs))	CH (24 hrs)	WB (24 hrs)	Ct (24 hrs); F/W D (24 hrs); SI (12 hrs); Sc (5 min); Co (1 hr); Res (4 hrs); TP (1 min)	SPT (↓ sucrose preference); FST (↑ immobility time); OFT (↓ total distance, ↓ central activity, ↓ rearing frequency); EPM (↑ closed arm entries, ↓ open arm entries)	Zhang et al. 2018
Sprague-Dawley	3/7/28 days	LO; OI;	CH; IH	WB	Cr; Ct; FD; WD; SI; Od; Co (1 hr); Swim; Res (1 hr)	SPT (↓ sucrose preference); SCT (↓ sucrose consumption); NOR (↓ novel object exploration)	Franklin et al. 2018
Sprague-Dawley	5	OI	/	WB (14 hrs)	Ct (3 hrs); F/W D; SI (1 hr); No (radio, 4 hrs)	SPT (↓ sucrose preference); FST (↑ immobility time); SB (↓ social exploration)	Kreisel et al. 2014
Sprague-Dawley	3	LO (3 hrs); OI	CH; IH; IG	/	Cr/s; Ct; Od; F/W D; SI (overnight); Co	SPT (↓ sucrose preference); NSFT (↑ latency to feed)	Li et al. 2011
Sprague-Dawley	5	LO (3 hrs); OI	CH (overnight); IH (overnight)	WB (overnight)	Cr (1 hr); Ct (overnight); Od (overnight); F/W D; SI (overnight); Sc (10 min); Co (1 hr)	SPT (↓ sucrose preference); AAT (↑ number of escape failures, ↑ latency to escape)	Banasr et al. 2010
Rat Strain	Stress period (weeks)	Stressors	Social	Housing condition	Physiological	Changes	Author
Wistar	6	Light dark cycle OI; ReLD (48 hrs)	/	WB (8 hrs); SoC (1 hr)	Ct (3 hrs); F/W D	SPT (↓ sucrose preference); NSFT (↑ latency to feed); FST (↑ immobility time)	Bessa et al. 2009
Sprague-Dawley	5	LO (3 hrs); OI	IH (overnight)	/	Cr (1 hr); Ct (overnight); Od (overnight); FD; WD; SI (overnight); Sc (10 min); Co (1 hr)	SPT (↓ sucrose preference); NSFT (↑ latency to feed); FST (↑ immobility time); AAT (↑ number of escape failures)	Banasr and Duman 2008
Sprague-Dawley	2	/	CH (24 hr); IG (1 hr)/	/	Cs (1 hr); Sw (15 min); Sc (10 min); Res (30 min); TP (10 min); ES (15 min, 1.5 mA, 15 sec on, 150 sec off)	EPM (↓ open arms entries); Attentional set-shifting test (↑ trials to reach criteria)	Bondi et al. 2008
Sprague-Dawley	3	LO (3 hrs); OI	CH; IH (3 hrs/overnight)	WB	Cr (1 hr); Ct (overnight); Od (overnight); SI (overnight); Co; Res (1 hr)	SPT (↓ sucrose preference)	Koo and Duman 2008
Sprague-Dawley	8, 15, or 35 days	LO (3 hrs); OI	CH (overnight); IH (overnight)	WB (overnight)	Cr (1 hr); Ct (overnight); Od (overnight); F/W D; SI (overnight); Sc (10 min); Co (1 hr)	SPT (↓ sucrose preference); ↑ plasma corticosterone	Banasr et al. 2007
Wistar	10	ReLD; II (8-12 hrs)	CH (8-12 hrs)	SoC (8-12 hrs)	Ct (8-12 hrs); F/W D (8-12 hrs); SI (8-12 hrs); No (white, 8-12 hrs)	SCT (↓ sucrose consumption)	Bortolotto et al. 2007

**Table 1** (continued)

Rat Strain	Stress period (weeks)	Stressors		Housing condition	Physiological	Changes	Author
		Light dark cycle	Social				
Sprague-Dawley; Wistar	24 days	II (10-14 hrs)	IG (10-14 hrs)	WB (10-14 hrs)	Ct (10-14 hrs); F/W D (10-14 hrs); SI (10-14 hrs)	SCT (↓ sucrose consumption)	Lucas et al. 2007
Sprague-Dawley	2	/	CH (24 hrs); IH (24 hrs)	/	Cs (1 hr); Sw (15 min); Sc (10 min); Res (30 min); TP (10 min); ES (10-15 min, 1.5 mA, 15 ees on, 150 sec off)	SPT (↓ sucrose preference)	Lu et al. 2006
Wistar	4	II (10-14 hrs)	IG (10-14 hrs)	WB (10-14 hrs)	Ct (10-14 hrs); F/W D (10-14 hrs); SI (10-14 hrs)	SCT (↓ sucrose consumption)	Jayatissa et al. 2006
Wistar	2	/	CH (overnight); IH (overnight)	/	Cs (1 hr); Sw (15 min); Sc (5 min); Co (1 hr); Res (30 min); Hy (30 min)	↓ weight gain; ↑ adrenal cortical responses to ACTH	Ulrich-Lai et al. 2006
Long Evans	3	/	IH (18 hrs)	/	Cr (3 hrs); F/W D (18 hrs); SI (30 min); Forced swim (5 min); No (white, 30 min); Res (30 min)	MWM (↑ escape latency, ↓ reversal learning)	Hill et al. 2005
Wistar	6	II (10-14 hrs)	IG (10-14 hrs)	WB (10-14 hrs)	Ct (10-14 hrs); F/W D (10-14 hrs); SI (10-14 hrs)	SCT (↓ sucrose consumption); FST (no change in immobility time); OFT (↓ moving duration, ↓ rearing duration); ↓ weight gain	Dalla et al. 2005
Rat Strain	Stress period (weeks)	Stressors	Social	Housing condition	Physiological	Changes	Author
Sprague-Dawley; Wistar	7	Light dark cycle	Social	Housing condition	Physiological	SPT (↓ sucrose preference); SCT (↓ sucrose consumption); ↓ weight gain	Bekris et al. 2005
Wistar	3	/	CH (overnight); IH (overnight)	/	Cs (1 hr); Sw (30 min); Sc (5 min); Co (1 hr); Res (1 hr)	↓ weight gain; ↑ plasma corticosterone	Heine et al. 2004
Wistar	9	II (10-14 hrs)	IG (10-14 hrs)	WB (10-14 hrs)	Ct (10-14 hrs); F/W D (10-14 hrs); SI (10-14 hrs)	SCT (↓ sucrose consumption)	Papp et al. 2003
Lister Hooded	9	OI	IG (24 hrs)	WB (17 hrs)	Ct (7 or 8 hrs); F/W D (19 hrs); FD (23 hrs); WD (7 or 20 hrs); Res (18 or 21 hrs)	SCT (↓ sucrose consumption); EPM (no change in open/closed arm entries); SB (↓ aggression, ↑ submission to the intruder, ↓ social interaction)	Daquila et al. 1994
Wistar	7 or 8	II (10-14 hrs)	IG (10-14 hrs)	WB (10-14 hrs)	Ct (10-14 hrs); F/W D (10-14 hrs); SI (10-14 hrs)	SCT (↓ sucrose consumption)	Papp and Moryl 1994
Lister Hooded	4	OI	CH	WB (17 hrs)	Cr (7/17 hrs); F/W D; No (white, 85 dB, 3 hrs); SI (7/9/17 hrs)	SCT (↓ sucrose consumption); PCT (↓ preference for reward-associated place)	Papp et al. 1991

/ = none applied

Thirty-one studies utilizing CMS procedures on male rats reported depressive-like behavioral changes in sucrose test and/or forced swim test, or depressive-like physiological changes such as increased plasma corticosterone level. Recent studies (published since 2018 and with greater than or equal to 25 citations) are indicated by bold font. This table summarizes a variety of employed stress protocols and a battery of behavioral tests. Stressors used are grouped into 4 categories: Light-dark cycle: LO, lights off; OI, overnight illumination; ReLD, reverse light-dark cycle; II, intermittent illumination; Social: CH, crowded housing; IH, isolation housing; IG, intruder grouping; Housing condition: WB, wet bedding; CS, confined space; SoC, soiled cage; FO, foreign object; Physiological: Cr/s, cage rotation or cage shaking; Ct, cage tilt; Od, aversive odor; F/W D, food and/or water deprivation; FD, food deprivation; WD, water deprivation; SI, stroboscopic illumination; Sw, warm swim; Sc, cold swim; Co, cold room; Ho, hot room; No, noise; Res, restraint; Hy, hypoxia; TP, tail pinch; ES, electrical shock. /- None applied. Length of stressor exposure is indicated in brackets where information is available. Employed behavioral tests and reported depressive-like changes: SPT, sucrose preference test; SCT, sucrose consumption test; NSFT, novelty-suppressed feeding test; FST, forced swim test; PCT, place conditioning test; SB, social behaviors; OFT, open field test; MWM, Morris water maze; EPM, elevated plus maze; AAT, active avoidance test; NORT, novel object recognition test; ↑ an increase, ↓ a decrease of a parameter

## Characteristics of stressors used in the CMS model

The first CMS models were considered particularly problematic because of the inconsistency in the induction of the depressive state in animals. The stressors, which varied in nature, reproducibility and duration are presented from thirty-one extended CMS studies in rats (Table 1). We used WoS search engine to find articles featuring search terms “chronic unpredictable stress”, “chronic mild stress”, and “chronic unpredictable mild stress” to identify these studies. The search criteria applied were as follows: (CUM OR CMS OR CUMS) AND (rat) AND (depression). We limited record types to journal articles only, eliminating reviews and conference proceedings. Only articles with citation numbers of >200 and recent papers (2018-present) with >25 citations that reported the stressors used were studied (*for further search and exclusion criteria see Supplementary Table S1, Supplementary Excel File 2*).

In these studies, twenty-seven different stressors were chronically applied in timeframes ranging from 3 days to 10 weeks. In each CMS protocol, between 5 and 14 different stressors were administered to the same cohort. These stressors were applied in an unpredictable fashion, or in some experiments, as a sequence that was repeated weekly. Most of these CMS protocols were based on the original CMS procedure and would not be compliant with the need to achieve consistency/reproducibility between centers or even between consecutive studies in the induction and evaluation of anhedonia (Willner 1992, 2005, 2016, 2017).

Fine tuning of the experimental setup is required to accommodate broad inter-strain and inter-batch variability of animals to various stressors, as well as by variable seasonal and environmental factors (Raab et al. 1986; Nielsen et al. 2000; Kelliher et al. 2000; Alter et al. 2008; Cao et al. 2010; Dalla et al. 2011; Duclot et al. 2011; Franceschelli et al. 2014; Akimoto et al. 2019; Antoniuk et al. 2019; Rao and Androulakis 2020; Armario et al. 1995). For example Armario and co-authors (1995), in five inbred strains of rat, has described important inter-strain differences in the forced swimming behaviour and endocrine responses that could be expected to alter the outcome of CMS studies. Moderation of the duration and nature of the stressors in CMS protocols to alter the severity of the stress have been helpful in the regulation of stress load and can help to overcome some of the intrinsic variability associated with certain inbred and outbred strains (Table 1; *for a review, see: Gambarana et al. 2001; Strekalova et al. 2011; Harro 2019; Demin et al. 2019*). CMS protocol modifications include isolation stress (Domeney and Feldon 1998; Coudereau et al. 1999; Weiss et al. 2000; Von Frijtag et al. 2000), restraint (Klenerova et al. 2003; Qui et al. 2004; Pawluski et al. 2012), social defeat (Meerlo et al. 1999; Von Frijtag et al. 2000; Krishnan et al. 2007; Cline et al. 2015; Riga et al. 2015), exposure to

ultrasonic sounds with negative emotional valence (Morozova et al. 2016; Pavlov et al. 2019; Costa-Nunes et al. 2020), administration of LPS (Couch et al. 2016), and other manipulations (Table 1).

Reduced body weight in the CMS-exposed group can be viewed as a possible marker of sufficient stress load that can lead to the induction of anhedonia in rodents (Vitale et al. 2009; Luo et al. 2008; Strekalova and Steinbusch 2010; Hu et al. 2017). Decreased hedonic responsiveness following CMS is generally not considered to be secondary to loss of body weight (*for a review see: Strekalova et al. 2011; Willner and Belzung 2015; Antoniuk et al. 2019*). This is also supported by the findings of similar body weight changes in cohorts of animals resilient and susceptible to stress-induced anhedonia (Bergstroem et al. 2007; Bisgaard et al. 2007; Jayatissa et al. 2008, 2009, 2010; Akimoto et al. 2019). While decreased body weight cannot be considered as a criterion for induction of a depressive state, many researchers find this feature accompanying depressive-like behavior in CMS paradigms, prompting its use as a criterion for adjusting stress intensity in the CMS protocols. Recent stepwise discriminant analysis of the CMS study on Sprague–Dawley rats strongly supports this view (Hu et al. 2017). Other reports suggest no body weight changes in CMS-exposed rodents displaying anhedonia (*for a review, see Willner 1992*). These controversial findings may derive either from the use of dissimilar methods of assessing hedonic deficit, or other methodological shortcomings (Matthews et al. 1995; Forbes et al. 1996; Nielsen et al. 2000). Independent studies are still needed to address such discrepancies.

It is thought that stress procedures of insufficient intensity and duration may not evoke a depressive-like state, but rather lead to other behavioral alterations, for example increased signs of anxiety and general hyperactivity (*for a review, see: Anisman and Matheson 2005; Strekalova and Steinbusch 2009; Slattery et al. 2012*). Therefore, when certain facets of depression are observed, behavioral effects reported in experiments with CMS should be interpreted with caution (*for a review, see: Cabib 1997; Holmes 2003; Slattery et al. 2007, 2012*).

The choice of stressors used to produce CMS has been extensively discussed in the literature. The unpredictable and uncontrollable features of the chronic stress paradigm were chosen to simulate the mixed nature of the stressors that can contribute to depression, and, as such, the method seeks to fulfill the construct validity requirements of an animal model of depression (*for a review, see: Cryan et al. 2002; McArthur and Borsini 2006; Willner 2016*). With depression models in rodents, stress uncontrollability and unpredictability are the two impediments for the ability of an animals to adapt to stressors (*for a review, see: Anisman and Matheson 2005; Heyman 2007*). Therefore, we propose the following basic principles for proper stressor selection: (i) the use of

ethologically relevant stressors with an emotional component (predation stress, social stressors, ultrasound stress of negative emotional valence), rather than predominantly physical/physiological stressors (restraint stress, foot shock, food and water deprivation); (ii) the omission of stressors that are likely to confound outcomes in behavioral tests (e.g. omission of food and water deprivation; thermal stressors that are known to affect the accuracy of the sucrose test; foot shock stress and repeated swimming are inappropriate when memory and Porsolt swim tests, respectively, are performed); and (iii) the omission of poorly tunable stressors, such as continuous lighting, wet bedding, or social defeat. Several chronic stress models, which adhere to the principles recommended above, have been developed and are worth considering in any experiment planning, e.g. new variants of social stress (Finnell et al. 2018; Nakatake et al. 2020), maternal separation in a combination with other stressors (Rüedi-Bettschen et al. 2006; Diamantopoulou et al. 2018; Houwing et al. 2019), variants of social isolation (Berry et al. 2012; Yang et al. 2016; Stevenson et al. 2019), as well as the ultrasound stress paradigm (Zorkina et al. 2019; Demaestri et al. 2019; Pavlov et al. 2019).

To summarize, the reliability and reproducibility of CMS, as a rodent model of MDD, can be improved by selecting stressors that are more controllable in terms of duration and severity. However, the etiological relevance and methodological compatibility with the behavioral tests used to establish the depressive-like state need to be considered. This approach, however, may often conflict with local animal welfare regulations that explicitly impose restrictions on the spectrum of CMS modifications available for implementation and thus we recommend that investigators build the need for adjustments into their protocols for consideration at ethical review. The failure to induce a hedonic deficit in a cohort of animals is likely to increase overall usage and harm and thus a typical example and a worst case example should always be presented in an application to conduct CMS experiments.

### Sucrose test and possible confounds in behavioral assessment of anhedonia

Several approaches have been adopted to evaluate the ability of a rodent to experience pleasure, including progressive ratio responding, intracranial self-stimulation, conditioned place preference, and intake of palatable food (*for a review, see: Cryan et al. 2002; Hu et al. 2017; Belovicova et al. 2017; Harro 2013, 2019; Antoniuk et al. 2019*). All these techniques have been used in CMS studies to assess rodent sensitivity to a reward. However, in the present work we excluded them from discussion here because they are rarely used, or exhibit extreme variability in the methodology, or because limited number of reports are available for analysis (*for a review, see: Barnes et al. 2014*). The sucrose preference paradigm is most

frequently employed as a method for assessing anhedonia in the CMS models, as rodents have been demonstrated to drink sweetened water avidly. This is a mechanistically undemanding experimental test based on a two-bottle, free-choice paradigm, in which reduced sucrose intake and preference are taken as signs of anhedonia (*for a review, see: Willner 1992, 2016, 2017; Antoniuk et al. 2019*). Unlike other the other methods mentioned, this approach addresses hedonic sensitivity, rather than reward-seeking behavior, and does not depend on learning, anxiety, and locomotion that are frequently altered in the CMS-exposed animals.

Initially, the sucrose test was developed as a sensitive assay to measure reward sensitivity in mice (Levine 1967; Stockton and Whitney 1974; Harriman 1976) and then used in rat pre-clinical paradigms, including the CMS model (Katz 1981; Willner et al. 1987; Krimm et al. 1987; Pucilowski et al. 1993). Compared to mice, rats have been reported to display lower variability in the sucrose test (*for a review, see: Strelakova and Steinbusch 2009, 2010; Scheggi et al. 2018*). However, the sucrose test protocols employed in the literature vary greatly in test duration, sucrose concentration, and mean data in control groups (Table 2).

Of the 31 papers meeting the search criteria (*see previous section*) that used the sucrose test, twenty-five of the CMS studies employed different methodologies and the test outcomes (Table 2). Studies often employed water deprivation ranged from 4 to 24 hrs, prior to testing, and twelve of the studies used periods of longer than 20 hrs, which might be considered a serious design flaw (Jensen et al. 2013). For examples of the variability reported in these tests, Banasr et al. (2007, 2008) and Koo and Duman (2008) (Wang, 2008 #55) reported 33–35% sucrose preference in stressed animals and 70–75% sucrose preference in control animals, whereas Song et al. (2018), Banasr et al. (2010), and Bessa et al. (2009) demonstrated 69–75% sucrose preference in stressed animals and 75–100% sucrose preference in control animals. It is of note that a drop in sucrose preference below the chance level (50%) in two-bottle paradigms is likely to mirror the avoidance response of rodents towards sucrose rather than lowered reward sensitivity, and, thus, is likely to be indicative of potential artifacts in the experimental design. Based on our evaluation of these studies, a standardized experimental protocol and the criteria for measuring anhedonia in the rat CMS model that are adjusted for the strain used and for the operating requirements of a specific lab would be useful. This has also been highlighted in recent reviews following a survey of the users of the CMS model (Willner 2016, 2017).

Variabilities in sucrose test results in rodents can be explained by substantial inter-strain and inter-batch differences in experimental animals, as well as the high sensitivity of drinking behavior and sucrose intake to internal and external conditions (*for a review see: Koprdoва et al. 2016; Antoniuk et al. 2019*). Factors that may impact on the outcome of these

test include: (i) effects of stressors present during the sucrose test or the lasting action of previously applied stressors on consummatory behavior (Shaham et al. 1993; Kant and Baumann 1993; Schoenecker et al. 2000); (ii) sugar concentration (Stockton and Whitney 1974; Harriman 1976); (iii) diet and water deprivation (Muscat and Willner 1992; Hatcher et al. 1997; Jensen et al. 2013), (iv) neophobia (Krimm et al. 1987; Strekalova 2021); (v) social status of rodents (Raab et al. 1986; Strekalova et al. 2004; Tonissar et al. 2006); (vi) sensitization to reward experiences during repeated or prolonged exposure to palatable solutions (Harriman 1976; Strekalova and Steinbusch 2009, 2010; Strekalova 2021); (vii) fluctuations in calorie and water intake due to differences in body mass and altered equilibrium of sympathetic/parasympathetic regulation (Tonissar et al. 2006); (viii) strain and inter-individual variabilities in liquid and sucrose intake (Kant and Baumann 1993; Coudereau et al. 1999; Nielsen 2000; Brennan et al. 2001; Glendinning and Gresack 2002; Tonissar et al. 2006; Pucilowski et al. 1993); (ix) circadian rhythms (Stephan and Zucker 1972; Kant and Baumann 1993; Daquila et al. 1994; Strekalova and Steinbusch 2010; Strekalova 2021); and (x) inter-batch variability (Nielsen et al. 2000; Jakovcevski et al. 2008; Robinson 2009; Theilmann et al. 2016; Kõiv et al. 2019).

In a number of CMS protocols, food and water deprivation prior to the sucrose preference test was shown to suppress sucrose intake and preference in naïve rats (Matthews et al. 1995; Forbes et al. 1996; Harris et al. 1997). Furthermore, a limiting point within the sucrose test is the natural inter-individual variability in circadian patterns of liquid intake. Notably, as in individual laboratory rodents, liquid intake peaks during different parts of the day (Kant and Baumann 1993; Strekalova et al. 2011, 2021). The evaluation of sucrose solution intake during a 1–3 hrs-long sucrose test may, therefore, introduce a systematic error into the results. Altered lighting conditions may affect not only rodent circadian rhythmicity, but could serve as an additional source of variability in the sucrose consumption behavior (Jensen et al. 2013). Additionally, reiterative sucrose tests, either weekly (e.g. over 4–6 weeks) or in prolonged sessions of 24–72 h, as well as the use of sucrose concentrations over 2%, were found to induce a ‘ceiling effect’ on sucrose solution intake, which can greatly affect test sensitivity (Strekalova et al. 2006; Slattery and Cryan 2017).

Other critical limiting aspects of the sucrose test in rodents are physical factors, such as bottle leakage, which can substantially affect results and may occur due to high home cage activity induced by CMS. Uncontrolled fluctuations in room temperature can generate significant shifts in innate drinking behavior and bottle leakage. For instance, when tap water with an average temperature of 17°C is used as solvent for sucrose, the difference between the water temperature and the laboratory room temperature (22°C in average) peaks at 5°C. This

margin is sufficient to cause a gradual increase of air pressure in the bottle as the solutions warm up during the test, pushing liquid out of the bottle and resulting in significant error in drinking behavior evaluation (Strekalova 2008; Strekalova and Steinbusch 2009, 2010). In addition, poorly controlled air temperature can affect humidity and the drinking behavior of rats. This factor is even more marked when stress and control groups are housed in separate rooms with slightly different temperatures, which should not occur in the experimental design. Another important parameter that is often neglected in sucrose test methodology is control over scents that contaminate sugar. For example, storing sugar in the vicinity of chemicals or in a plastic bag can lead to absorption of undesirable flavors and cause taste aversion in animals, leading to reduced sucrose intake and preference (Strekalova 2008).

While the reduction of a preference for and intake of highly palatable substances is sensitive to antidepressants, an analysis of the literature suggests that there is considerable variability of these measures in rodents exposed to CMS (for a review see: Holmes 2003; Belovicova et al. 2017; Scheggi et al. 2018; Antoniuk et al. 2019). Indeed, in a review by Willner it was noted that ‘it is certainly the case that sucrose intake is more variable, and therefore less accurate, in mice than in rats’ (2017) and some evidence-based guidelines do exist for increasing the accuracy of the test in rat studies, which suggests that animals ought to be screened before CMS to exclude those (typically around 20%) with very low, very high, or very variable sucrose intake (Papp 2012).

Despite sucrose preference being an established tool to stratify hedonic behavior, a recent qualitative and quantitative analysis of 153 studies that used the CMS paradigm and sucrose preference test has demonstrated a large heterogeneity in the responses of individual animals (Antoniuk et al. 2019). While this analysis has not revealed the differences in validity of sucrose intake and preference in manifesting anhedonia in the CMS-exposed rodents, one should consider that under-reported negative results may compromise the analysis of the literature. At the same time, recent studies showed that, similar to mice, rats display individual patterns of liquid intake at different times of the day (Tonissar et al. 2006), which, in the Wistar strain, was also shown to be present in both genders (Strekalova 2021). Moreover, sucrose intake in laboratory rats can be confounded by the novelty factor, and equally, by repetitive access to the sucrose solution (Strekalova 2021). Therefore, while methodological studies directly comparing the accuracy of the two parameters have not been published, it can be hypothesized that the use of sucrose preference as a measure, instead of sucrose intake, might help reduce a variability in the outcome from the sucrose test. The highest reliability of this test in rats can be achieved by selecting optimal



**Table 2** Measured parameters of sucrose test reported in CMS studies

Rat strain	Stress period (weeks)	Sucrose concentration (%)	Adaptation to sucrose (days)	Food and water deprivation prior test (hrs)	Test duration (hrs)	Mean of sucrose intake (g)		Mean of sucrose preference (%)		Author
						Stress group	No stress control	Stress group	No stress control	
Wistar	9	1.5	35	14	N.A.	5	14	N.A.	N.A.	Czéh et al. 2018
Wistar	5	1	2	24	3	N.A.	N.A.	50	N.A.	Fan et al. 2018
Sprague-Dawley	4	1	2	4	1	N.A.	N.A.	55	N.A.	Li et al. 2018
Sprague-Dawley	3	1	4	24	1	N.A.	N.A.	40	N.A.	Lu et al. 2018
Sprague-Dawley	3	1	N.A.	12	12	N.A.	N.A.	70	N.A.	Song et al. 2018
Sprague-Dawley	3	1	N.A.	N.A.	24	N.A.	N.A.	55	80	Zhang et al. 2018
Sprague-Dawley	3/7/28 days	1	2	4	1	N.A.	N.A.	66	N.A.	Franklin et al. 2018
Sprague-Dawley	5	2	N.A.	N.A.	3	N.A.	N.A.	67	N.A.	Kreisel et al. 2014
Sprague-Dawley	3	1	2	4	1	N.A.	N.A.	50	N.A.	Li et al. 2011
Sprague-Dawley	35 days	1	2	4	1	N.A.	N.A.	69	N.A.	Banasr et al. 2010
Wistar	6	1	7	18	1	N.A.	N.A.	75	90	Bessa et al. 2009
Sprague-Dawley	35 days	1	2	4	1	N.A.	N.A.	33	N.A.	Banasr and Duman 2008
Sprague-Dawley	3	1	2	4	1	N.A.	N.A.	35	N.A.	Koo and Duman. 2008
Sprague-Dawley	8, 15, or 35 days	1	2	4	1	N.A.	N.A.	33	N.A.	Banasr et al. 2007
Rat strain	Stress period (weeks)	Sucrose concentration (%)	Adaptation to sucrose (days)	Food and water deprivation prior test (hrs)	Test duration (hrs)	Mean of sucrose intake (g)		Mean of sucrose preference (%)		Author
						Stress group	No stress control	Stress group	No stress control	
Wistar	10	1	N.A.	15	1	7	12	N.A.	N.A.	Bortolato et al. 2007
Sprague-Dawley; Wistar	24 days	1.5	35	18	1	7	15	N.A.	N.A.	Lucas et al. 2007

Table 2 (continued)

Rat strain	Stress period (weeks)	Sucrose concentration (%)	Adaptation to sucrose (days)	Food and water deprivation prior test (hrs)	Test duration (hrs)	Mean of sucrose intake (g)		Mean of sucrose preference (%)		Author	
						Stress group	No stress control	Stress group	Baseline No stress control		
Sprague-Dawley	2	1	N.A.	2.5	1	N.A.	N.A.	60	N.A.	80	Lu et al. 2006
Wistar	4	1.5	35	18	1	7.5	16	N.A.	N.A.	N.A.	Jayatissa et al. 2006
Wistar	6	1	28	14	1	5	10	N.A.	N.A.	N.A.	Dalla et al. 2005
Wistar	7	1	7	23	1	2	5	45	66	66	Bekris et al. 2005
Sprague-Dawley	7	1	7	23	1	2.8	3.2	40	78	75	Bekris et al. 2005
Wistar	9	1	10 1-hr sessions	14	1	7.3	14	N.A.	N.A.	N.A.	Papp et al. 2003
Lister Hooded	9	1	2	19	1	6	10	N.A.	N.A.	N.A.	Daquila et al. 1994
Wistar	7 or 8	1	5 1-hr sessions	14	1	7	13.5	N.A.	N.A.	N.A.	Papp and Moryl, 1994
Lister Hooded	4	0.7	N.A.	20	1	6	8	N.A.	N.A.	N.A.	Papp et al. 1991

Reviewed twenty-five studies utilizing CMS procedures on male rats employed various modifications of 1-h sucrose consumption test, where concentrations of sucrose solution, duration of water deprivation period and measured parameters were variables. The results demonstrated a broad range of changes across compared studies in stressed and non-stressed groups

Modifications of 1-h sucrose preference test protocols were reported in twenty-five studies utilizing CMS procedures on male rats. Observed variety of experimental modifications regarding concentration of sucrose solution, duration of a deprivation period and other parameters might result in broad range of values in stress vs. non-stressed groups across studies. Abbreviation: N.A. - Information is not available. Recent studies (published since 2018 and with greater than or equal to 25 citations) are indicated by bold font

test parameters, such as concentration of sucrose solution, frequency/duration of the test, and time of testing with respect to the light cycle (Papp 2012; Willner 2017). Mathematical analysis reveals that there is greater variability in sucrose intake compared to sucrose preference (see [Supplementary file](#)), which is supported by studies in rats and mice (Strekalova and Steinbusch 2009, 2010; Strekalova 2021). Although rats showed lower circadian variability in sucrose preference than in sucrose intake, the latter parameter was reported to be less variable at an individual level (Tonissaaar et al. 2006).

Finally, it is worthwhile to pointing out that in some studies, e.g. Henningsen et al. (2013), there have been unexpected increases in sucrose consumption and preference in the stressed rats. Similar findings have been reported in other studies at least at some points in the induction process of anhedonia (Matthews et al. 1995; Strekalova et al. 2004, 2006). These effects are interpreted as manifestation of stress-induced diabetes mellitus (Schoenecker et al. 2000) and hyper-compensatory ‘pro-hedonic’ response to stress (Willner 2005, 2016). They might also be associated with hypersecretion of corticotropin-releasing factor and vasopressin in hypothalamus and hypophysis (Cole and Koob 1994; Gizowski et al. 2016), which provokes behavioral invigoration, stronger consumption response, and stress-induced thirst (Strekalova et al. 2004, 2006). Thus, for these reasons, it is suggested that employing the sucrose preference parameter in addition to sucrose intake measure may, potentially, reduce possible distortions in the evaluation of the hedonic status of CMS rats.

Here, we have presented specific features of drinking behavior in rats, and some flaws in experimental design that can confound sucrose preference test results. Based on the issues discussed, the artifacts in the sucrose test in rats may be alleviated by: (i) the evaluation of the hedonic state in the CMS model based on sucrose preference as the primary measure as previously suggested (Weiss 1997; Ferreira et al. 2018); (ii) refinement of the test duration to within the limits of 5–12 h, instead of 1–2 h or 28–48 h; (iii) restriction of repetition of the test sessions on the same animal and elongation of inter-test time windows to avoid the “ceiling effect”; (iv) the use of sucrose solutions with concentrations of 1% or less (Papp 2012); (v) synchronizing the testing time with the dark (active) phase of an animal’s light cycle (Tonissaaar et al. 2006); (vi) elimination of potential physical artifacts by ensuring similar temperatures of the drinking solutions and of air in the holding rooms (e.g. by filling the drinking bottles in advance and keeping them in the same room where the testing takes place for a few hours, storing sucrose and washing bottles in scent-controlled conditions (Strekalova and Steinbusch 2010), and (vii) mitigation of effects of the preceding stressors, such as food and water deprivation 12–24 h before the test.

## Other behavioral endpoints and challenges in measuring CMS-induced behaviors in rats

The majority of reports using the CMS paradigm in rats demonstrate ‘classic’ depressive-like changes beyond anhedonic behavior that mimic other human symptoms of depression, such as helplessness and psychomotor inhibition (Fig. 1). Table 1 summarizes the information on the changes in these behaviors, including those evaluated in Porsolt forced swim test and the open field test.

The forced swim test has been widely employed as an assessment of ‘despair’ and helplessness in small rodents, which, with some limitations (*for a review see*: Gambarana et al. 2001, Cryan et al. 2005; Bogdanova et al. 2013; Yankelevitch-Yahav et al. 2015; de Kloet and Molendijk 2016; Demin et al. 2019; Ferreira et al. 2018), manifest as a prolongation of passive floating behavior (immobility) and reduced latency to stop swimming and start floating (Cryan et al. 2005).

We used WoS search engine to find articles featuring search terms “chronic unpredictable stress”, “chronic mild stress”, “chronic unpredictable mild stress”, and “forced swim test.” The search criteria applied were as follows: (CUM OR CMS OR CUMS) AND (rat) AND (depression) AND (FST). We limited record types to journal articles only, eliminating reviews and conference proceedings. Only articles with citation numbers of >80 were studied. We also included articles from the first section of this review (Table 1) that reported FST as a measure of CMS-induced depressive phenotype in rats (*for further search and exclusion criteria see Supplementary TableS2, Supplementary Excell File2*). In order to avoid any misleading semantic cues in the literature search, we used the commonly accepted term “despair behavior” to indicate “immobility” / “floating behavior” (Unal and Canbeyli 2019). However, we accept that the use of ‘despair behavior’ might be considered by some to be problematic because of its anthropomorphic connotations and we also accept that a degree of caution is warranted when using this term (Commons et al. 2017; Molendijk and de Kloet 2019).

Table 3 summarizes the behavioral parameters, including duration of floating and floating latency, reported in twenty-one CMS experiments. Notably, this comparison reveals substantial variability in the reported means of the duration of floating behavior: from 29 s (Song et al. 2018) to 480 s (Chad et al. 2010) in stressed groups and from 5 s (Song et al. 2018) to 160 s (Silva et al. 2008) in control animals. The use of antidepressants was shown to reduce the duration of floating in CMS groups (Harro and Kiive 2011). The latency of floating was suggested to enhance the sensitivity of detecting depressive-like changes in rodents (Castagne et al. 2009; Porsolt et al. 2001; Strekalova et al. 2016; Markova et al. 2017; Ferreira et al. 2018). The measurement of helplessness in the forced swim test can be affected by various

factors (for a review see: Bogdanova et al. 2013; Yankelevitch-Yahav et al. 2015), e.g. stress-induced hyperactivity (Igarashi and Takesha 1995; Hata et al. 1999; Kelliher et al. 2000; Strekalova et al. 2005; Schweizer et al. 2009), and a non-specific increase in impulsive behavior and locomotion that can be exposed in chronically stressed rodents by behavioral analysis (*see below*). Future CMS research would benefit from methodological studies addressing possible rodent physiology-driven errors in the measurement of immobility behavior in CMS rats.

The second most frequently used assay for examining CMS-induced behavioral changes in rats is the open field test. Even though the majority of studies report reduction in both vertical and horizontal movements in CMS rats (Table 1), which suggests the presence of psychomotor inhibition as observed in MDD, these and other locomotor changes in laboratory rodents can be observed in stressed rats with no signs of hedonic deficit (*for a review see*: Willner 2005; Slattery and Cryan 2014; Belovicova et al. 2017; Harro 2019) and therefore cannot be directly attributed to clinically relevant depressive-like changes. Some studies report unaltered open field behavior or increases in locomotion and grooming activity (Igarashi and Takesha 1995), suggesting behavioral invigoration of CMS-exposed rats that contradicts the concept of psychomotor inhibition as a classic feature of a depressive-like state (Ellenbroek and Youn 2016). It has to be pointed out that either positive or negative alterations in general locomotion of CMS-exposed rodents are not readily extrapolated to human-specific symptoms of depression and often do not correlate with major molecular and key behavioral features of this disease (Schweizer et al. 2009; Strekalova et al. 2011; Hu et al. 2017). As discussed above, signs of anhedonia, as measured by different methods, constitute a major facet of depression as proposed by Hamilton back in 1967. However, the abundant literature (erroneously) refers to the changes in the open field behavior—either decreases or increases—as ‘depression-like’ changes following original publications of Katz (1981, 1982). As such, for the sake of improving validity and methodology of evaluating open field activities in CMS-exposed rats, further experimental refinement of this model is needed. This is particularly important in the context of the reported hyperactivity induced by chronic stress in rats (Spasojevic et al. 2016; Zhan et al. 2019; *see also below*).

While increased anxiety is considered a comorbid condition rather than a clinical sign of depression, the assessment of a CMS-induced behavioral phenotype typically employs a battery of tests that concomitantly seek to measure anxiety-like changes (Table 1). Besides central activity in the open field, anxiety-like changes in CMS-exposed rats have also been measured in the elevated plus/O-maze, dark/light box, novelty suppressed feeding/drinking test, and others. The majority of studies demonstrate signs of elevated anxiety in stressed rats, while some report lack of such changes or

‘anomalous’ pro-anxiolytic effects of CMS, as reviewed in earlier work by Willner (2005). Most anxiety tests are locomotion-based, and the open field is a good example to demonstrate that test-specific behavior is influenced by both anxiety and locomotion (Ohl et al. 2001; Landgraf 2003; Neumann et al. 2011). In many studies, however, no effort was made to discern the two parameters, thus complicating interpretation of the results. However, there is clearly value in assessing anxiety and locomotion activity in the open field in conjunction with the Porsolt forced swim test to associate or dissociate the behaviors in these two tests to gain further insight into what motivational, cognitive, and emotional deficits are present.

Apart from evaluating anhedonia, helplessness, locomotor activity, and anxiety, CMS studies have utilized numerous models to study other behavioral changes that are characteristic of depressive-like state, as in learning and memory, as well as social and sexual features and consumption behavior. These assays reveal both decreases and increases in learning scores, intake of water and diet, and changes in escape behavior (*for a review, see*: Soblosky and Thurmond 1986; Willner 2005, 2016, 2017; Gambarana et al. 2001; Hu et al. 2017; Belovicova et al. 2017). Thus, the CMS experience, typically, has a profound effect on a number of behavioural characteristics, though there is considerable variation between reports.

While the majority of reports report that CMS induces ‘classic’ depressive features in the behavior of rats, a substantial number of studies reveal inconsistencies in development of these changes (*for a review, see*: Weiss and Simson 1986; Cabib 1997; Harris et al. 1997; Nestler et al. 2002; Anisman and Matheson 2005; Slattery and Cryan 2014; Harro 2019; Demin et al. 2019). This phenomenon could be partly due to the limited accuracy of behavioral tests, inter-individual variability of stress response, and the development of general hyperlocomotion that were previously described in murine CMS models (Strekalova et al. 2005; Schweizer et al. 2009; Boulle et al. 2014), which are discussed further below.

### Hyperlocomotion as a source of artefacts in the CMS paradigm

In many studies that have employed chronic stress to induce depression there are reports of atypical changes in behavior (*for a review see*: Willner 2005; Strekalova and Steinbusch 2009; Ferreira et al. 2018), which appear to contradict well-established behavioral profiles of locomotor inhibition, signs of despair, and elevated anxiety-like changes, classical symptoms of depression in humans (Kessler and Bromet 2013; Pacchiarotti et al. 2020) and stress in rodents (Ohl et al. 2001; Neumann et al. 2011). For instance, Wistar rats exposed to CMS were shown to linger in anxiety-related areas of the elevated plus maze and the dark/light box, suggesting incongruous ‘anxiolytic-like’ changes (Spasojevic et al. 2016; Zhan

**Table 3** Measured parameters of FST reported in CMS studies

Rat strain	Stress period (weeks)	Immobility time (sec)		Latency to immobility (sec)		Swimming time (sec)		Climbing time (sec)		Duration of Pre-test (min)	Duration of test (min)	Author					
		Stress group	No stress control	Stress group	No stress control	Stress group	No stress control	Stress group	No stress control								
Sprague-Dawley	4	161	119	/	/	85	120	56	63	15	5	Hao et al. 2019					
Wistar	5	230	100	/	/	40	100	/	/	15	5	Fan et al. 2018					
Sprague-Dawley	4	105	20	/	/	/	/	/	/	15	5	Li et al. 2018					
Sprague-Dawley	3	29	5	/	/	/	/	/	/	15	6	Song et al. 2018					
Sprague-Dawley	12	220	130	/	/	55	150	/	/	/	5	Wang et al. 2018					
Sprague-Dawley	3	125	100	/	/	/	/	/	/	15	5	Zhang et al. 2018					
Sprague-Dawley	3	120	20	/	/	175	100	/	/	15	5	Yue et al. 2017					
Sprague-Dawley	5	170	45	/	/	/	/	/	/	15	5	Liu et al. 2015					
Sprague-Dawley	5	165	135	50	70	/	/	/	/	/	5	Kreisel et al. 2014					
Sprague-Dawley	4	140	65	/	/	/	/	/	/	15	5	Chang and Grace 2014					
Sprague-Dawley	3	180	/	/	/	30	/	/	/	/	10	Greene et al. 2009*					
Wistar	8	204	72	/	/	/	/	/	/	15	5	Karson et al. 2013					
Sprague-Dawley	3	175	115	/	/	85	100	40	85	15	5	Garza et al. 2012**					
Sprague-Dawley	3	236	92	/	/	/	/	/	/	15	5	Bai et al. 2012					
<b>Rat strain</b>	<b>Stress period (weeks)</b>	<b>Immobility time (sec)</b>	<b>No group stress control</b>	<b>Stress group</b>	<b>No stress control</b>	<b>Latency to immobility (sec)</b>	<b>Stress group</b>	<b>No stress control</b>	<b>Swimming time (sec)</b>	<b>Stress group</b>	<b>No stress control</b>	<b>Climbing time (sec)</b>	<b>Stress group</b>	<b>No stress control</b>	<b>Duration of Pre-test (min)</b>	<b>Duration of test (min)</b>	<b>Author</b>
Sprague-Dawley	4	400	/	/	/	/	/	/	390	/	/	90	/	/	15	5	Larsen et al. 2010*
Sprague-Dawley	4	480	/	/	/	/	/	/	/	/	/	/	/	/	15	5	Chad et al. 2010*
Wistar	6	125	75	/	/	/	/	/	/	10	5	/	/	10	5	5	Bessa et al. 2009
Wistar	6	125	75	/	/	/	/	/	/	10	5	/	/	10	5	5	Bessa et al. 2009
Sprague-Dawley	5	160	100	/	/	/	/	/	/	15	5	/	/	15	5	5	Banasr and Duman 2008
Wistar	3	110.5	51.6	/	/	/	/	/	/	15	5	27.9	98.0	15	5	5	Yang et al. 2016
Sprague-Dawley	3	60	25	/	/	/	/	/	/	/	5	/	/	/	5	5	Luo et al. 2008
Wistar	2	250	160	30	70	/	/	/	/	10	5	/	/	10	5	5	Silva et al. 2008

Table 3 (continued)

Rat strain	Stress period (weeks)	Immobility time (sec)		Latency to immobility (sec)		Swimming time (sec)		Climbing time (sec)		Duration of Pre-test (min)	Duration of test (min)	Author
		Stress group	No stress control	Stress group	No stress control	Stress group	No stress control	Stress group	No stress control			
Sprague-Dawley	3	115	70	/	/	/	/	/	/	/	5	Dalla et al. 2005
Wistar	6	75	85	/	/	170	170	/	/	15	5	

Analysis of twenty-four studies with CMS model revealed a diversity of protocols and a variety of measured read-outs in the employed forced swim test, as well as variable outcome in both stressed and non-stressed groups of rats. Recent studies (published since 2018 and with greater than or equal to 25 citations) are indicated by bold font./ - Not applicable.\* - did not include a no-stress control group, \*\* - used mean counts (dominant behavior of each 5-s interval)

et al. 2019). Similarly, CMS-exposed rats were reported to show ‘anomalous’ increase in struggling and decrease of floating in the Porsolt forced swim test (Koprdoва et al. 2016; Wainwright et al. 2016). Importantly, both anti-depressant drugs and psychomotor stimulants are known to alter immobility behavior of stressed animals in the Porsolt forced swimming test, suggesting the invocation of a generalised invigoration and hyperactivity induced under these conditions (*for a review see*: Cryan et al. 2005; Bogdanova et al. 2013).

In addition to the ‘anomalous’ behavioral effects of CMS in mice (Strekalova et al. 2005), it has also been reported that rats exposed to CMS can display non-specific hyperlocomotion and general behavioral invigoration as a response to the slightly stressful procedure of behavioral testing (Igarashi and Takesha 1995; Chaouloff et al. 1995; Bertoglio and Carobrez 2002). Bright light, cold water, novelty, handling, and other factors were found to trigger hyperactivity in chronically stressed, but not in naïve small rodents, often confound all behavioral measurements (Willner 2005; Strekalova and Steinbusch 2010; Ferreira et al. 2018). Indeed, systematic studies with variable illumination conditions revealed differential locomotory, exploratory, and anxiety-related behavioral changes in male Wistar rats studied under lighting intensities that ranged from 44 lux to 600 lux (Bertoglio and Carobrez 2002) and in Sprague-Dawley rats, which were exposed to lighting with intensity ranging from 75 lux to 500 lux (Weiss et al. 2000). The role of lighting in rat floating/swimming behavior was demonstrated in the forced swim test; moreover, excessive escape-oriented behavior in CMS rats in this test was found to be reversible by antidepressants (Kelliher et al. 2000). In a translational context, this phenomenon has been considered as a manifestation of agitated depression, a clinical form of depressive disorder (Pacchiarotti et al. 2020; Sampogna et al. 2020). Other testing conditions during behavioral analyses can also trigger hyperlocomotion in CMS rodents. For example, Igarashi and Takesha (1995) showed that not only light brightness, but also handling experience can prevent excessive ambulation and defecations of CMS-exposed rats in the open field test.

Strain and sex differences in locomotor responses of CMS-exposed rats can, additionally, account for inconsistencies in classical features of stress-induced anxiety-like and despair behaviors, as suggested by a number of studies (Chaouloff et al. 1995; Weiss et al. 2000; Dalla et al. 2011; Franceschelli et al. 2014; Martis et al. 2018) and reviewed in recent literature (Willner et al. 2016, 2017; Harro 2019; Antoniuk et al. 2019). It has been proposed that a specific type of stress can play a role in behavioral invigoration effects; for instance, isolation stress in Wistar rats was shown to induce locomotion after encountering unknown objects in Sprague-Dawley rats (Domeney and Feldon 1998; Weiss et al. 2000).

Collectively, having some control over the impact of the testing environment on rodent stress can, potentially, be of

great help in increasing the reliability and reproducibility of behavioral studies of CMS-exposed rats. Environmental stress accompanying behavioral analyses of stressed rats can be reduced by switching to ‘mild’ testing conditions, such as: low illumination intensity of behavioral apparatuses, ambient temperature, and low depths of water in the swimming tank in the Porsolt test; larger sizes of swimming tanks in this test; frequent handling; limited height of testing elevated apparatuses used for the assessment of anxiety-like behavior; exposure to novelty; limited number of tests applied in each animal per day; and other factors. As for mice (Strekalova et al. 2011), the additional tuning of behavioral protocols for rats to reduce extraneous stress may aid in avoiding serious artifacts in the analysis of CMS-induced features.

### Vegetative (somatic) effects of CMS

Vegetative (somatic) symptoms and associated changes in sleep and metabolic regulation are of great clinical importance, as they can be predictive of either characteristic or abnormal psychiatric trajectories, including worsening life quality and shortening life span (for a review see: Airaksinen 1999; Baglioni et al. 2011; Baune et al. 2012; Pequignot et al. 2016; Dell’Osso et al. 2014). Remarkably, the CMS paradigm was shown to successfully mimic many of these aspects of depression in rats, which is of paramount importance for understanding their physiology, validation of CMS models, and exploration of new therapies (for a review see: Weiss and Simson 1986; Moreau 2002; Grippo 2009; Strekalova et al. 2009). Table 4 summarizes the analysis of the literature addressing MDD-like changes in rat CMS studies.

To extract CMS studies that employ vegetative function measures, the following query term was applied as an additional filter to the search conducted for Table 1: "AB= (heart rate) OR (electrocardiogram) OR (ECG) OR (blood pressure) OR (core body temperature) OR (rectal temperature) OR (thermoregulat\*) OR (circadian rhythm\*) OR (sleep) OR (sympathetic) OR (parasympathetic)". The extracted studies were then manually screened to generate Table 4. In the case where multiple studies published by the same laboratory utilizing the same CMS procedure and vegetative function parameters were returned by the search, only the most comprehensive studies were included in the table. As it is not the purpose of the current review to provide a comprehensive summary of the effects of CMS procedure on each of the vegetative functions in rats, this table is not an exhaustive summary of all studies conducted to date. Only the most relevant studies were included (for further search and exclusion criteria see, *Supplementary Excell File2*).

One of the well-established somatic effects of exposure to CMS is the shortening of latency and elongation to the rapid eye movement (REM) sleep phase. This is a well-known

feature that can precede actual depressive episodes, and can persist during remission (Baglioni et al. 2011). Decreased slow-wave sleep duration, diminished sleep continuity, and multiple changes in sleep architecture are also characteristic for MDD (Wang et al. 2015a, b; Zhang et al. 2017). These features have been recapitulated in a number of CMS rat models. For example, decreased latency of REM was shown in Wistar rats, which was reversed by the administration of antidepressants (Cheeta et al. 1997). Gronli et al. (2004, 2012) reported an increase in REM duration and sleep fragmentation, shortened slow-wave sleep duration, and an increased number of wake episodes, all of which have been corroborated by other studies in CMS-rodents (Guesdon et al. 2006; Cline et al. 2015).

With reference to sleep dysregulation, depression is characterized by aberrant circadian rhythmicity (Nechita et al. 2015). It was found that CMS procedures can mimic circadian rhythm disturbances that occur during depression as manifested by altered patterns of melatonin and corticosterone secretion, rectal temperature rhythmicity, and general activity (Gorka et al. 1996; Avery et al. 1999; Ushijima et al. 2006; Couch et al. 2013). The most common findings are a flattening of normal circadian fluctuations of core body temperature, secretion of glucocorticoids and melatonin (Ushijima et al. 2006; Pechlivanova et al. 2010; Christiansen et al. 2016), which are strongly associated with depression in clinical reports (Branchey et al. 1982; Chen et al. 2018; Høifødt et al. 2019). Circadian rhythmicity aberrations often coincide with the onset of depressive syndrome in humans (Klenerova et al. 2003; Kolasa et al. 2014; Jia et al. 2019) and are closely related to a dysregulated balance of sympathetic/ parasympathetic tone (Baune et al. 2012).

Elevated sympathetic regulation during depression is a well-studied clinical feature that is considered to be the principal cause of the high comorbidity observed between depression and cardiovascular diseases (Baune et al. 2012; Péquignot et al. 2016). Elevated sympathetic tone results in tachycardia, arrhythmia, elevated sensitivity of arteries to catecholamines, and altered baroreflex response. These changes were recapitulated in rat CMS studies, which also revealed prolongation of local field potential duration in both cardiac tissue and thoracic T1–5 spinal cord nerves, pathologic changes in the myocardium (Hu et al. 2011; Liu et al. 2018), prolonged Q-to-T wave intervals (Park et al. 2017; Liu et al. 2018) and other changes (Bouzinova et al. 2012; Frey et al. 2014). Of note, the aforementioned changes can be reversed by the administration of antidepressant drugs (Crestani et al. 2011).

The attenuation of baroreflex was shown during depression and is known to result in increased risk of ventricular fibrillation (Billman et al. 1982; Airaksinen 1999), possibly owing to a reduction in parasympathetic activity and simultaneous increase in sympathetic activity in patients with MDD (Watkins

**Table 4** CMS-induced vegetative (somatic) effects

Somatic functions investigated	Rat strain	Stress period (weeks)	Stressors		Housing condition	Physiological	Depressive-like changes		Corroborating behavioural tests	Author
			Light-dark cycle	Social			Depressive-like changes	Corroborating behavioural tests		
EEG	Wistar	3	RELD (24 hrs)	/	/	Ct (7 hrs); FD (24 hrs); WD (24 hrs); Cw (5 min); TP (1 min); ES (1 mA, 10 sec/shock, 10 times w/t 10 sec intervals)	Thalamic and cortical EEG (↓ power at theta and delta bands, ↓ thalamus → cortex information flow)	SCT, MWM	Quan et al. 2011	
Heart Functions	Sprague-Dawley	2/4	/	/	WB (18 or 24 hrs); New cage (18 or 24 hrs)	Ct (18 or 24 hrs); FD (18 or 24 hrs); WD (18 or 24 hrs); Sw (10 or 15 min); Res (2 or 3 hrs)	↑ body temperature; ↓ heart rate variability; ↑ sympathovagal balance (LF/HP); ↑ QT interval	SPT	Park et al. 2017	
	Wistar	8	II (10-14 hrs)	CH (10-14 hrs)	SoC (10-14 hrs)	Ct (10-14 hrs); F/W D 9/10-14 hrs; SI (10-14 hrs)	↑ heart rate; ↔ blood pressure	SCT, OFT	Matchkov et al. 2015	
	Sprague-Dawley	4	OI	CH (16 or 20 hrs)	WB (16 hrs)	Ct (7 hrs); WD (17 hrs); SI (4 or 6 hrs); No (white, 3 or 4 hrs);	↑ heart rate; ↓ heart rate variability; ↔ baroreceptor reflex control of heart rate; ↓ lumbar sympathetic nerve activity in response to baroreceptor unloading	SPT	Grippo et al. 2012	
	Sprague-Dawley	4	/	IG	/	Ct (6 hrs); Od; FW (24 hrs); SI (5 hrs)	↔ heart rate; ↑ mean arterial blood pressure; ↑ pressor and tachycardic responses to air jet stress	/	Cudnoch-Jedrzejska et al. 2010	
	Sprague-Dawley	4	OI	CH (7 or 48 hrs)	WB (12 hrs)	Ct (7 hrs); WD (18 hrs); SI (4 or 6 hrs); No (white, 5 hrs);	↑ heart rate; ↓ heart rate variability; ↑ vulnerability to ventricular arrhythmias	SPT	Grippo et al. 2003	
	Sprague-Dawley	4	OI	CH (20 or 70 hrs)	WB (17 hrs)	Ct (4 hrs); FD (20 hrs); WD (20 hrs); SI (2 or 3 hrs); No (white, 3 hrs)	↓ heart rate variability; ↑ bradycardia following β-adrenergic receptor blockade; ↑ tachycardia following cholinergic receptor blockade; ↑ pressor and tachycardic responses to stress	SPT	Grippo et al. 2002	
Thermo-regulation	Wistar	3.5	II (10-14 hrs)	CH (10-14 hrs)	WB (10-14 hrs)	Ct (10-14 hrs); F/W D (10-14 hrs); SI (10-14 hrs)	↓ nocturnal core body temperature	SCT	Christiansen et al. 2016	
	Sprague-Dawley	4	LO (36 hrs); OI (36 hrs)	/	WB (19 hrs)	Ct (15 and 5 hrs); FD (24, 17, 8 and 4 hrs); WD (17, 8 and 4 hrs);	Diminished circadian fluctuation in rectal temperature	SCT, SPT	Ushijima et al. 2006	
Sleep	Wistar	8 days	Unspecified (10 5-min episodes)	/	/	Ct (every 12 hrs); No (85 dB, radio)	↓ slow-wave sleep duration; ↔ REM sleep duration	/	Guesdon et al. 2006	
	Sprague-Dawley	4	OI (36 hrs)	IG (2 hrs)	WB (21 hrs); New cage (21 hrs)		↑ REM sleep, waking and slow-wave sleep duration; ↑	SCT	Gronli et al. 2004	



**Table 4** (continued)

Somatic functions investigated	Rat strain	Stress period (weeks)	Stressors		Housing condition	Physiological	Depressive-like changes	Corroborating behavioural tests	Author
			Light-dark cycle	Social					
	Lister Hooded	5	OI (18 hrs)	/	CS (18 hrs); SoC (18 hrs)	Ct (3 hrs); FD (4 hrs or overnight); WD (4 hrs or overnight) Ct (6 or 24 hrs); F/W D (18 hrs); WD (6 hrs); SI (16.5 hrs) F/W D (overnight); WD (overnight)	REM and waking episodes; ↔ latency to enter REM sleep ↓latency to enter REM sleep; ↑ REM sleep; ↑ sleep stage fragmentation ↓latency to enter REM sleep; ↑ REM sleep; ↑ number of REM episodes; ↔ delta power of slow-wave sleep	/	Cheeta et al. 1997
	Wistar	33 days	OI	CH (overnight)	SoC (overnight)		↓latency to enter REM sleep; ↑ REM sleep; ↑ number of REM episodes; ↔ delta power of slow-wave sleep	Ventral tegmentum self-stimulation	Moreau et al. 1995

Analysis of thirteen CMS studies addressing vegetative (somatic) depressive-like changes in rats demonstrates a diversity of stress protocols used and variable outcomes in terms of the occurrence of changes and their nature. Majority of studies with CMS demonstrate that this model recapitulated the key features of vegetative (somatic) changes associated with MDD (see the text). Stressors acronymed as in the Table 1. /- Not applied. Abbreviations: REM sleep rapid eye movement sleep, SWS slow wave sleep

and Grossman 1999; Pitzalis et al. 2001). CMS studies have reported reduced baroreflex sensitivity, elevated blood pressure, and increased arterial sensitivity to noradrenaline in stressed rats, further supporting validity of this model in mimicking somatic consequences of human depression (for a review see: Grippo et al. 2009).

Considered together, these findings substantiate the validity of the CMS model as the changes to the autonomic nervous system mimic those seen in humans with MDD. Given the need for increasing consistency in the reporting of CMS-induced behavioral changes, and the technical advancements in monitoring equipment that have become less costly, the use of objective measures of vegetative (somatic) functions and sleep ought to be considered as an attractive outcome measure in any CMS study. Studies addressing such aspects of CMS may also benefit from the stratification of animals that are ‘resilient’ or ‘susceptible’ to the CMS procedure, since stress without depressive-like changes can affect a variety of assessed parameters. Taking into account the intriguing data on sex differences following CMS on vegetative regulation (Baker et al. 2006; Franceschelli et al. 2014; Santangeli et al. 2016), exploring gender-related effects could also reveal more useful data to guide future research.

### Stratification of CMS animals into ‘resilient’ and ‘susceptible’ phenotypes

Numerous studies, which have social defeat stress, predation stress, chronic social instability stress, administration of glucocorticoids, have reported marked interindividual variability in the response of an animal to stress that is suggestive of the presence of a susceptible AND resilient phenotype (Stekalova 1995; Taliáz et al. 2011; Steimer and Driscoll 2005; Jackovevsky et al. 2008; Duclot et al. 2011; Theilmann et al. 2016; Scherholz et al. 2020; Rao and Androulakis 2020; Labaka et al. 2021). For example, in rats, chronic exposure to social defeat immediately elevates intracranial self-stimulation, which can be used to assess of reward threshold as a measure of anhedonia. The thresholds are found to remain elevated in a subset of susceptible rats, but the thresholds in resilient rats are only acutely elevated during the initial period of social defeat are then, subsequently, unaffected despite ongoing stress exposure. Thus stratification according to susceptible vs. resilient is found to be useful in other paradigms (Der-Avakian et al. 2014).

As it was recently outlined by Willner, some variability in the CMS model could be considered a strength as long as the results are reproducible (Willner et al. 2016, 2017). Indeed, clinical practice points to a large variability in the vulnerability and resistance to mood disorders including depression among individuals with a clinical history of stress (Lesch and Mössner 2006; Feder et al. 2009). Within the CMS model, categorizing animals as individually ‘susceptible’ or ‘resilient’

to the development of stress-induced depressive-like state was first proposed for mice (Strekalova et al. 2004) and subsequently has found application in other stress models (Krishnan et al. 2007; Schmidt et al. 2010), including the CMS model in rats (Jayatissa et al. 2008; Tonissaar et al. 2006; Delgado et al. 2011; Taliaz et al. 2011; Herrera-Pérez et al. 2012; Sun et al. 2017; Raya et al. 2018; Tang et al. 2019; Zurawek et al. 2017, 2019). As the development of depressive-like syndrome is recorded in 50–70% of stressed animals, the ‘resilient’ group can serve as internal control for the isolated effects of stress (Strekalova et al. 2004, 2011).

This new approach to CMS design, in comparison to the original model, has helped resolve an obvious conceptual drawback of this depression paradigm, where all changes found in chronically stressed group are naturally attributed to the depressive-like (anhedonic) state. Yet, distress *per se* does not correspond to depressive state, but instead can be associated with a number of physiological alterations, which are not necessarily related to depressive syndrome (Bergström et al. 2007; Bisgaard et al. 2007; Jayatissa et al. 2006, 2008, 2009; Henningsen et al. 2009; Sterlemann et al. 2010; Cao et al. 2010; Delgado et al. 2011; Kolasa et al. 2014; Wang et al. 2015a, b; Palmfeldt et al. 2016; Zurawek et al. 2017, 2019; Martis et al. 2018; Tang et al. 2019). As such, while the original CMS paradigm was subject to this flaw, the proposed stratification of CMS animals based on their vulnerability to stress, theoretically, transforms the issue into an informative feature.

This refined modification of the CMS model is clearly advantageous to addressing the mechanisms of the resilience to stress-induced depression, which, in resilient individuals, might involve circuits and pathways of the stress response that are distinct from those of susceptible individuals (Delgado et al. 2011; Strekalova et al. 2011; Palmfeldt et al. 2016). Studies of the last decade using a comparison of ‘resilient’ versus ‘susceptible’ cohorts of rats elucidated a large portion of neurobiological basis for these distinct profiles of response to the CMS. Among numerous findings, individual vulnerability to stress-induced anhedonic state in rats was found to correlate specifically with aberrant expression of SERT-related miRNA regulatory mechanisms in the mesocortical circuit (Zurawek et al. 2017), compromised brain expression of somatostatin and prolactin receptors (Faron-Górecka et al. 2016), elevated secretion of CRH and Urocortin 2 (Kolasa et al. 2014), altered hippocampal expression of 5-HT1A receptor and its epigenetic regulation (Zurawek et al. 2019; Gorinski et al. 2019), and glucocorticoid and cannabinoid receptors (McLaughlin et al. 2013; Sun et al. 2017).

Other features ‘resilient’ versus ‘susceptible’ cohorts include changes in response to psychostimulants, dopamine agonists, brain expression of dopamine D2 receptor, turnover and binding ability of beta-adrenergic receptor (Willner 2005; Cao et al. 2010), deviant neuroanatomical features and

interactions between the hippocampus and prefrontal cortex (Delgado et al. 2011; Bessa et al. 2013; Kafetzopoulos et al. 2018). There are also general proteomic changes in the hippocampal region (Bisgaard et al. 2007), including alterations in mitochondrial and metabolic processes (Tang et al. 2019), reduced brain expression of BDNF, vascular endothelial factor, and other neuroplasticity markers (Bergström et al. 2007; Jayatissa et al. 2008, 2009, 2010; Taliaz et al. 2011; Sun et al. 2017), as well as increased expression of immediate early genes in the medial prefrontal cortex (Palmfeldt et al. 2016). Together, these data suggest that subgroups of individuals resistant to induction of depressive phenotype in experimental paradigms of depression can be employed as an internal control to improve simulation of depressive states in animal models. Additionally, differentiating between resilient and susceptible animals of inbred laboratory lines allows for exploring epigenetic and post-translational mechanisms of stress resilience, which was not feasible with the original CMS protocol by R. Katz and P. Willner. Studies employing this method have revealed new important biomarkers of depression and potential therapeutic targets that can aid in the development of personalized therapeutic regimes (Mill and Petronis 2007; Alter et al. 2008; Feder et al. 2009; Demin et al. 2019).

Remarkably, while the issue of the inter-individual variability in response to CMS is now well-established in the literature, and that the advantages of taking this inherent variability into account within the experimental design is well documented, our literature analysis suggests that most researchers are reluctant to adopt measures that make allowances for this variability (Tables 1, 2, 3, 4). This may contribute to the inconsistent validity and reproducibility that has been reported in the field of CMS depression studies. Moreover, a search in WoS using the basic criteria applied for Table 1, with 2 additional search terms “susceptible” and “resilient”, resulted in 17 publications, and, from this list, less than a half employed behavioral methods beyond the sucrose test (Table 5). The categorizing criteria and the percentage of rats classified as either susceptible or resilient varied greatly across these studies; in the most cases, post-CMS changes in sucrose consumption, relative to a baseline level, were used as criteria to stratify the groups. All the studies reported marked differences between CMS-susceptible vs. resilient cohorts in terms of the changes in their sucrose drinking behaviour before and after CMS exposure. A diversity of molecular parameters was investigated; four studies adopted high-throughput metabolomics or proteomics approaches and reported distinctive profiles among susceptible, resilient and control cohorts (Akimoto et al. 2019; Henningsen et al. 2012; Zhang et al. 2021; Palmfeldt et al. 2016). Other studies focused on more specific mechanisms including HPA axis activity (Christiansen et al. 2012), GABAergic neurotransmission (Czéh et al.

**Table 5** Stratification of CMS-rats to ‘resilient’ and ‘susceptible’ phenotypes upon anhedonic features in the sucrose test

Rat strain	Stress period (weeks)	Stratification criteria and percentage of CMS subgroups		Behavioural differences between susceptible and resilient subgroups	Other differences between susceptible and resilient subgroups	Author
		Susceptible	Resilient			
Wistar/ST	4	<65% sucrose preference on day 29 (50%)	<10% change in sucrose preference from baseline (44.4%)	Susceptible rats displayed ↓ rearing in OFT than resilient rats but the difference was not statistically significant. Both susceptible and resilient rats displayed ↓ number of line crossings and ↑ grooming time in OFT than control rats.	↓ Weight gain in both susceptible and resilient rats compared to control rats. Susceptible and resilient rats differed from control rats and from each other in the hippocampal metabolite profiles. 12 metabolites were measured, among which N-acetylaspartate ↑ in the hippocampus in both resilient and susceptible groups compared to the control group, and the differences were more prominent in the susceptible group. Aspartate, acetate and GABA ↓ in the hippocampus in both resilient and susceptible groups. No change in hippocampal BDNF level in any CMS subgroups compared to control group.	Akimoto et al. 2019
Wistar	8	CMS rats were categorized based on their averaged sucrose index (avSI, averaged ratio between weekly sucrose intake and baseline sucrose consumption) into 3 subgroups: resilient (avSI=1.01 ± 0.06, 20%), intermediate (avSI=0.71 ± 0.03, 55%), and susceptible (avSI=0.56 ± 0.03, 25%).		None conducted.	All CMS rats were heterogeneous in their diurnal corticosterone secretion rhythm regardless of subgroups. Susceptible rats had higher corticosterone secretion and less efficient HPA axis negative feedback than resilient rats during the course of CMS but the difference diminished by the end of CMS procedure.	Christiansen et al. 2012
Wistar	9	>30% ↓ in sucrose consumption from baseline	No decrease (or even sometimes increase) in sucrose consumption from baseline.	Susceptible rats failed to learn in the object-place paired--associate task over 30 days indicated by no change in the maximum number of consecutive correct trials, whereas control rats displayed gradual improvement. Resilient rats were not tested.	Susceptible rats had ↓ medial prefrontal cortex (mPFC) GABAergic input, GABA release, GABAB receptor mediated inhibition than resilient and control rats. Susceptible rats had ↓ number of palvalbumin positive cells in the infralimbic cortex than resilient and control rats. Susceptible rats had ↓ number of cholecystokinin positive cells in the cingulate gyrus than resilient and control rats. Resilient rats had ↑ number of neuropeptide Y positive cells in all mPFC subregions than susceptible and control rats. Both susceptible and resilient rats had ↓ number of calretinin positive cells in the IL than control rats.	Czéh et al. 2018
Wistar	8			None conducted.		

Table 5 (continued)

Rat strain	Stress period (weeks)	Stratification criteria and percentage of CMS subgroups		Behavioural differences between susceptible and resilient subgroups	Other differences between susceptible and resilient subgroups	Author
		Susceptible	Resilient			
		Significant ↓ in sucrose consumption compared with control and resilient rats	No difference in sucrose consumption compared with control rats		Susceptible rats had ↓ diffusion kurtosis and ↑ axial diffusion in the caudate putamen and ↑ radial diffusion in the amygdala than resilient and control rats. Susceptible rats had higher caudate putamen-to-whole brain volume ratio than resilient and control rats.	Delgado y Palacios et al. 2014
Wistar	4	>30% ↓ in sucrose consumption from baseline	<10% ↓ in sucrose consumption from baseline	None conducted.	Susceptible rats had ↑ expression of c-Fos in the amygdala, medial habenula, and IL than resilient and control rats. Resilient rats had ↓ expression of c-Fos in lateral and ventral orbital cortices than susceptible and control rats. Both susceptible and resilient rats had ↓ expression of c-Fos in magnocellular ventral lateral geniculate nucleus.	Febbraro et al. 2017
Wistar	8	>30% ↓ in sucrose consumption from baseline (43%)	<10% ↓ in sucrose consumption from baseline (23%)	None conducted.	Susceptible rats and resilient rats exhibited distinct hippocampal proteomic profiles.	Henningesen et al. 2012
Wistar	4 or 8	>40% ↓ in sucrose consumption from baseline	No change in sucrose consumption from baseline.	None conducted.	↓ Total cell number and ↓ BrdU+ cells in the granular cell layer of ventral hippocampus was comparable in resilient rats and susceptible rats.	Jayatissa et al. 2009
Sprague–Dawley	3	>25% ↓ in sucrose consumption from baseline (78.8%)	<10% ↓ in sucrose consumption from baseline (21.2%)	Susceptible rats had ↓ travel distance and ↓ traveling speed in OFT than resilient and control rats.	Susceptible rats had ↓ weight gain than resilient and control rats. Susceptible rats had ↓ hippocampal EphA4 protein and ↑ ephrinA3 protein levels than resilient and control rats.	Li et al. 2014
Long Evans	9	>30% ↓ in sucrose consumption from baseline (41%)	<10% ↓ in sucrose consumption from baseline (20%)	Susceptible rats displayed impaired task acquisition in Different Paired- Associates Learning task compared to resilient and control rats. Resilient rats displayed ↑ impulsivity-like behaviours in Different Paired-Associates Learning task compared to susceptible and control rats.	No other significant difference reported.	Martis et al. 2018
Wistar	8	>40% ↓ in sucrose consumption from baseline (50%)	<10% ↓ in sucrose consumption from baseline (50%)	None conducted.	Susceptible rats had ↓ GABA release probability and spontaneous GABAergic activity in hippocampal granule cells than resilient and control rats. No change in the number of parvalbumin-positive interneurons or the kinetics of	Nieto-Gonzalez et al. 2015

**Table 5** (continued)

Rat strain	Stress period (weeks)	Stratification criteria and percentage of CMS subgroups		Behavioural differences between susceptible and resilient subgroups	Other differences between susceptible and resilient subgroups	Author
		Susceptible	Resilient			
Wistar	8	>30% ↓ in sucrose consumption from baseline (55%)	<10% ↓ in sucrose consumption from baseline (24%)	None conducted.	miniature inhibitory postsynaptic currents in any CMS subgroups compared to control group. Susceptible rats and resilient rats exhibited distinct PFC synaptosome proteomic profiles. Susceptible rats had ↓ PFC synaptosome GFAP protein expression than resilient and control rats.	Palmfeldt et al. 2016
Fischer-344	10 days	Significant ↓ in sucrose consumption compared with control rats (34.8%)	No difference in sucrose consumption compared with control rats (65.2%)	None conducted.	Post-CMS overnight food and water deprivation ↓ sucrose preference and sucrose intake in both resilient and susceptible rats, with the effects being more prominent in susceptibles rats. Post-CMS overnight food and water deprivation ↑ IL-1β protein levels in the hippocampus of both resilient and susceptible rats, and in the hypothalamus of susceptible rats only. The adrenal weight and plasma epinephrine level of both resilient and susceptible rats similarly ↑ compared to control rats, while the plasma corticosterone level was not significantly changed.	Remus et al. 2015
Sprague–Dawley	8	>30% ↓ in sucrose consumption from baseline (33%)	<10% ↓ in sucrose consumption from baseline (20%)	Susceptible rats spent ↓ time in the center and performed ↓ line crossings in OFT than resilient and control rats.	Susceptible rats had ↑ hippocampal mGluR5 mRNA and protein levels, and ↑ hippocampal glucocorticoid receptor protein level than resilient and control rats.	Sun et al. 2017
Wistar	3	>25% ↓ in sucrose consumption from baseline (53.8%)	<10% ↓ in sucrose consumption from baseline (46.2%)	None conducted.	↓ Weight gain in both susceptible and resilient rats compared to control rats. No change in mPFC or hippocampal BDNF protein levels in either subgroup compared to control rats.	Theilmann et al. 2020
Sprague–Dawley	10/20/30 days	Rats with the lowest 30% of the sucrose preference of all CMS rats after 20 days of stress.	Rats with the highest 30% of the sucrose preference of all CMS rats after 20 days of stress.	Susceptible rats displayed ↑ immobility time in FST, and ↑ latency to feed in NSFT than resilient and control rats.	Susceptible rats had ↓ density of perineuronal net in the prelimbic cortex than resilient and control rats.	Yu et al. 2020
Wistar	8	>30% ↓ in sucrose consumption from baseline	No significant difference in sucrose consumption from baseline.	Susceptible rats displayed ↑ immobility time in FST than resilient and control rats. Susceptible rats displayed ↓ number of rearing and ↓ number of line crossings in	276 proteins were found to be differentially expressed between resilient, susceptible and control groups. Bioinformatics analysis revealed that the biological processes of these differential	Zhang et al. 2021

Table 5 (continued)

Rat strain	Stress period (weeks)	Stratification criteria and percentage of CMS subgroups		Behavioural differences between susceptible and resilient subgroups	Other differences between susceptible and resilient subgroups	Author
		Susceptible	Resilient			
Wistar Han	2	>20% ↓ in sucrose consumption from baseline (70%)	No decrease (or even sometimes increase) in sucrose consumption from baseline. (30%)	OFT than resilient and control rats.  None conducted.	proteins were related to mitochondrion organization, protein localization, coenzyme metabolic process, cerebral cortex tangential migration, vesicle-mediated transport.  Expression levels of miR-18a-5p, miR-34a-5p, miR-135a-5p, miR-195-5p, miR-320-3p, miR-674-3p, and miR-872-5p ↑ in the VTA, and ↓ in the mPFC in all CMS rats compared to control rats. Resilient rats had higher VTA expression of miR-195-5p, miR-320-3p and miR872-5p, and lower mPFC expression of miR-320-3p and miR872-5p than susceptible rats. ↓ SERT protein in VTA in all CMS rats and more pronounced in resilient rats compared to susceptible rats.	Zurawek et al. 2017

Seventeen studies utilizing CMS procedures on male rats described a stratification of stressed animals to "susceptible" and "resilient" upon signs of anhedonia in the sucrose test (with an exception of one study that additionally defined an "intermediate" group). This Table summarizes diverse criteria of susceptibility / resilience to anhedonic behaviour in various strains of rats exposed to CMS of variable duration. The criteria of stratification and the percentage of animals assigned to the subgroups of "susceptible" or "resilient" individual rats greatly vary across the studies. All studies have reported marketable differences between CMS-"susceptible" and "resilient" cohorts in depressive-like features, even more often changes in both subgroups are distinct from non-stressed control rats. Remarkably, only seven out of seventeen publications, have addressed behavioural parameters

2018; Nieto-Gonzalez et al. 2015), c-Fos activation (Febbraro et al. 2017), neurogenesis (Jayatissa et al. 2009), and microRNA expression (Zurawek et al. 2017). Most studies compared control, susceptible and resilient groups in all pairwise combinations. 10 studies reported molecular features that distinguish the susceptible group from the resilient and control groups (Christiansen et al. 2012; Czéh et al. 2018; Delgado y Palacios et al. 2014; Febbraro et al. 2017; Li et al. 2014; Nieto-Gonzalez et al. 2015; Remus et al. 2015; Sun et al. 2017; Yu et al. 2020; Zurawek et al. 2017). 3 studies also identified molecular signatures that distinguish the resilient group from the susceptible and control groups (Czéh et al. 2018; Febbraro et al. 2017; Zurawek et al. 2017). These stratification studies, although scarce in number, provide evidence that stress susceptibility and resilience are likely to be underpinned by distinctive molecular mechanisms. Thus, further studies are required to explore the molecular basis of susceptibility and resilience, which would further help to justify the use of stratification principles in CMS studies.

Overall, further attempts to develop modifications of the CMS paradigm by adjusting the stratification principle to the ongoing research would be beneficial. It is becoming increasingly evident that categorizing laboratory animals as 'resilient' and 'susceptible' promises more accurate and organized identification of new targets and biomarkers for pharmacotherapy of depression, and more effective assessment of new antidepressant treatments.

## Conclusions and future perspectives

There is a growing body of evidence that the CMS paradigm can be generally regarded as a valid animal model of a depressive-like phenotype, and that chronic stress methods offer advantages in translational studies of depression pathophysiology and research for new antidepressant therapies. We believe that, based on our review of the available literature, a necessity exists to refine the methods of applying stress and evaluating behavior. Foremost among these amendments

should be the stratification of animals into ‘resilient’ and ‘susceptible’ with regards to depressive-like changes induced by the CMS protocol. This approach is commonly applied in gene and protein expression profiling studies, but not in those of basic model parameters, e.g. of vegetative (somatic) features of CMS. Meanwhile, recent studies showed the role of vegetative symptoms in suicidality among depression patients, suggesting the importance of studying this underexplored aspect of depression. Additionally, this approach expands the horizons of pre-clinical studies aimed at differentiating between the therapeutic effects of antidepressants for depression symptoms and other concomitant neuropsychiatric changes, e.g. elevated anxiety. Variations of sensitivity to antidepressant treatments in ‘resilient’ and ‘susceptible’ stressed rats can greatly aid in pharmacological characterization and differentiation of new drug candidates in future research.

It is important to emphasize the fact that the behavioral assessment of face, construct, and predictive validity of CMS is reliable when the sucrose test for assessing anhedonia is performed accurately. In a previous review (Antoniuk et al. 2019), the authors have summarized the basic principles for ensuring better sucrose test precision, and maintaining reliability of this key test within the frame of CMS studies.

The present analysis of the literature suggests that there is plenty of scope for improving the reliability and reproducibility of the CMS model in rats by employing appropriate experimental testing conditions. As for mice, CMS can cause occasionally reported ‘anomalous’ behavioral profiles in rats, distorting the manifestation of classical depressive-like features of helplessness, elevated anxiety, and locomotor inhibition. As such, more systematic studies focusing on the nature of above-discussed general invigoration effects of CMS on rat behavior might prove fruitful in the development of more accurate protocols of behavioral testing of helplessness, anxiety, memory, and general activity in CMS-exposed rats.

Generally, as any single animal model using small rodents is of limited value in simulating mental disorders, only the implementation of several principally distinct paradigms can improve our insight into the neurobiology of MDD by elucidating the research object from different angles. In this context, the CMS model, which mimics a key depressive feature, anhedonia, is indispensable in pre-clinical depression research. The CMS paradigm, despite its limitations, has been successfully used in drug development and a constellation of interdisciplinary research to obtain insight into the neurobiology of depression. Its potential to increase our understanding of the underlying mechanisms of MDD, is likely greatly improved by the adoption of the refinements that have been identified over the intervening years since the model was first introduced.

**Supplementary Information** The online version contains supplementary material available at <https://doi.org/10.1007/s00213-021-05982-w>.

**Acknowledgements** This work was funded by the Hong Kong Research Grant Council (RGC-ECS Grant 27104616), the University of Hong Kong Seed Fund for Basic Research (201604159006 & 201611159234, to L.W.L.) and the European Union’s Horizon 2020 research and innovation program under grant agreement No 101007642 (PhytoAPP, H2020-MSCA-RISE-2020) to TS and DA, Russian Academy of Sciences, project N 0520-2019-0031 to DK and TS. We thank Dr. Alexander Silchenko from the Institute of Neuroscience and Medicine (INM-7: Brain and Behavior), Jülich Research Center, Jülich, Germany for their kind help with the mathematical analysis of some of the statistical measures discussed and to Mr. Daniel Radford-Smith from the University of Oxford for their critical reading of this review and valuable stylistic suggestions.

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