

# Exploring genetic associations with ceRNA regulation in the human genome

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## ABSTRACT

Competing endogenous RNAs (ceRNAs) are RNA molecules that sequester shared microRNAs (miRNAs) thereby affecting the expression of other targets of the miRNAs. Whether genetic variants in ceRNA can affect its biological function and disease development is still an open question. Here we identified a large number of genetic variants that are associated with ceRNA's function using Geuvadis RNA-seq data for 462 individuals from the 1000 Genomes Project. We call these loci *competing endogenous RNA expression quantitative trait loci* or 'cerQTL', and found that a large number of them were unexplored in conventional eQTL mapping. We identified many cerQTLs that have undergone recent positive selection in different human populations, and showed that single nucleotide polymorphisms in gene 3'UTRs at the miRNA seed binding regions can simultaneously regulate gene expression changes in both *cis* and *trans* by the ceRNA mechanism. We also discovered that cerQTLs are significantly enriched in traits/diseases associated variants reported from genome-wide association studies in the miRNA binding sites, suggesting that disease sus-

ceptibilities could be attributed to ceRNA regulation. Further *in vitro* functional experiments demonstrated that a cerQTL rs11540855 can regulate ceRNA function. These results provide a comprehensive catalog of functional non-coding regulatory variants that may be responsible for ceRNA crosstalk at the post-transcriptional level.

## INTRODUCTION

RNA molecules can operate as competing endogenous RNAs (ceRNAs), which titrate away some of the active miRNAs and thereby indirectly regulate the expression of other transcripts targeted by the same set of miRNAs. Many classes of RNAs may act as ceRNAs, including mRNAs, pseudogene transcripts, long non-coding RNAs and circular RNAs (1), and play critical roles in cellular metabolism and disease development (2). Genetic alteration of ceRNA sequences has been associated with disease progression, which illustrates the potential importance of ceRNA to human health (3,4).

The ceRNA regulatory network is determined by miRNA response elements (MREs), which are the target sites of miRNAs. Genetic variants that perturb MREs can therefore change the dynamic equilibrium of all ceRNAs and miRNAs within the network (2,5,6). One example is the

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single nucleotide polymorphism (SNP) rs17228616, which disrupts the interaction between miR-608 and the mRNA product of the gene AChE. As a result, miR-608 released from the interaction is able to suppress other targets such as CDC42 and IL6 (7). Despite the fact that numerous examples of genetic variants that affect ceRNAs have been reported (8), no study to our knowledge has been conducted to systematically identify such variants at the genome-wide scale.

Recent advances in sequencing technologies have made it possible to identify genetic variants with molecular phenotypes, which are known as molecular quantitative trait loci (QTLs) (9). Previous studies have reported variants that affect miRNA gene expression (miRNA-eQTL) (9–13) which in turn affect the expression of coding genes whose 3' untranslated region are targeted by the miRNA (3'UTR-eQTLs) (14,15). Here we use genotypes and RNA-seq data from the 1000 Genomes Project (16) and the Geuvadis Project (12) to better understand how genetic polymorphisms shape human ceRNA regulation. Our study is the first systematic investigation of genetic variants that are associated with ceRNA crosstalk.

## MATERIALS AND METHODS

### Expression data and genotype data

We used Geuvadis RNA sequencing data and small RNA sequencing data of 462 unrelated human lymphoblastoid cell line samples from the CEPH (CEU), Finns (FIN), British (GBR), Toscani (TSI) and Yoruba (YRI) populations in the 1000 Genomes Project (12,16).

### Construct variant-miRNA-ceD-ceT unit

We extracted human 3'UTR sequences using the GENCODE (17) V12 annotation, which was also used by Geuvadis for RNA-seq quantification, and obtained 714 Geuvadis-quantified miRNA sequences from miRBase (18). We mapped Geuvadis biallelic genotypes to 3'UTR sequences to construct reference and alternative miRNA targets, and used TargetScan 6.2 (19) and ViennaRNA Package (20) to predict miRNA–target relationships. We then selected those ‘variant-miRNA–target’ units that show altered binding affinity between reference and alternative alleles. The miRNA targets that meet the above criteria are termed as putative ceRNA driver genes (ceDs), which were predicted to have differential binding affinity under reference and alternative alleles. For each selected variant-miRNA-ceD unit, we further searched for candidate ceRNA target genes (ceTs) under the control of the same miRNA as the ceD (on either reference or alternative 3'UTR sequence) according to TargetScan predictions. Finally, we tested for association between genetic variants and their respective miRNA-ceD-ceT (details in Supplementary Methods).

### Multivariate multiple regression and confounding factors

We sought to investigate whether a given variant regulates the expression of a ceD and a ceT in a reciprocal pattern

due to the ceRNA competition. For each variant-miRNA-ceD-ceT unit, we considered gene expression, measured as the sum of all transcript RPKMs of the ceD ( $Y_d$ ) and the ceT ( $Y_t$ ), as two dependent variables and normalized to follow approximately a standard normal distribution. As predictors we include the individual's genotype ( $G$ ) and the following factors which might influence the response variables: the PEER (21) residual of miRNA expression ( $M_r$ ), the 10 PEER factors of ceD expression ( $PF_d$ ), the 10 PEER factors of ceT expression ( $PF_t$ ) and the first three principal components of individual genotype ( $PC$ ). The multivariate multiple regression on the (ceD, ceT) pair is modeled as:

$$(Y_d, Y_t) = G + M_r + PF_d + PF_t + PC + \epsilon,$$

where we simultaneously observed two responses,  $Y_d$  and  $Y_t$ , and the same set of predictors on each sample unit. The error vector  $\epsilon = (\epsilon_1, \epsilon_2)'$  is assumed to follow a multivariate Gaussian distribution with expectation zero and an unknown covariance matrix (i.e. allowing for correlations and different variances for the two responses).

Thus, the variant effect for ceD and ceT can be estimated by the corresponding coefficients on genotype  $G$  ( $\beta_d$  and  $\beta_t$ ), and the significance of the multivariate multiple regression model can be assessed by Pillai's trace test statistics (22). However, in our definition of cerQTLs, we required opposite expression trends between ceD and each of its ceTs accompanying the genotype change (AA = 0, AB = 1 and BB = 2), so we further filtered variants to only keep those with their  $\beta_d$  and  $\beta_t$  values having opposite signs ( $\beta_d \times \beta_t < 0$ ). We finally reported the variant-miRNA-ceD-ceT units with a Benjamini-Hochberg false discovery rate (FDR) < 0.05 (23). To calibrate the effectiveness of model, we generated an empirical null by performing genotype permutation on each investigated locus by randomly switching the genotype among individuals and applying the model to the permuted data (details in Supplementary Methods).

### cerQTL analysis

To be consistent with the Geuvadis RNA-seq quantification, we utilized GENCODE V12 gene annotation to investigate functional properties of ceDs and ceTs. We also used DAVID to find enriched gene annotations and pathways (24). We used SNVrap to annotate genetic variants (25,26), and grouped the genome-wide association study (GWAS) traits according to ontology mapping (human phenotype ontology and disease ontology) of GWASdb (27). We used six statistical measurements from dbPSHP (28), including difference of derived allele frequency (DDAF) (29), fixation Index ( $F_{ST}$ ) (30), Tajima's D (31), integrated haplotype score (iHS) (32), cross-population extended haplotype homozygosity (XPESH) (33) and cross-population composite likelihood ratio (XPCLR) (34), to evaluate signals of positive selection on each detected cerQTL SNP. To intuitively verify and visualize positive selection of the candidate cerQTLs, we applied hierarchical clustering on their derived allele frequencies (DAF) (details in Supplementary Methods).

## GWAS enrichment

SNPs associated with GWAS traits were collected from GWASdb and NHGRI GWAS Catalog (34), and we obtained all linked SNPs with  $r^2 > 0.8$  in EUR for each GWAS lead SNP and identified cerQTLs overlapping with this expanded list. We used the hyper-geometric test to assess the enrichment of cerQTLs in GWAS signals (details in Supplementary Methods).

## cerQTL experimental validation

We used *in vitro* assays to validate the functional role of a cerQTL unit (rs11540855-miR-4707-3p-*ABHD8*-*AXINI*). First, we independently evaluated whether both ceD (*ABHD8* A-allele or *ABHD8* G-allele) and ceT (*AXINI*) are biological targets of miR-4707-3p using dual luciferase reporter assay (35) and Western blot (details in Supplementary Methods). To investigate changes of ceRNA competition under different alleles, we then engineered the MCF116 breast cancer cells to stably express *ABHD8* A-allele or *ABHD8* G-allele and inspected the *AXINI* protein change upon miR-4707-3p agomir treatment. We further investigated ceRNA expression changes by miR-4707-3p agomir dose-dependent experiments (details in Supplementary Methods).

## RESULTS

### The logic for detecting genetic variants affecting ceRNA regulation

We assume that genetic variants in MREs, such as SNPs and indels, will perturb ceRNA regulation by titrating miRNA availability. Specifically, a variant in a gene's 3'UTR can influence miRNA–target interaction, by creating, erasing, strengthening or weakening an MRE. We refer to genes with altered MREs as ceDs, and the interaction among miRNAs and ceRNAs as miRNA-ceRNA networks. Changes to ceDs can perturb the miRNA-ceRNA network and affect the expression of other ceRNAs. Considering the independent effect of one SNP or indel in a single MRE of a ceD, the variant will mostly affect the associated miRNA and its direct targets (ceTs) although other miRNAs and ceRNAs in the regulatory network can also be indirectly affected. To simplify the investigation of SNPs' effects, we focused on each pair of ceD-ceT for a unique miRNA in this study, representing the minimum miRNA-ceRNA system (2,5,6,36). An SNP or indel that creates or strengthens an MRE will decrease the expression of its own host gene (the ceD), and thus increase the expression of the corresponding ceT, whereas a SNP or indel that erases or weakens an MRE will have the opposite effect (Figure 1A). We therefore termed these variants candidate competing endogenous RNA expression quantitative trait loci (cerQTLs).

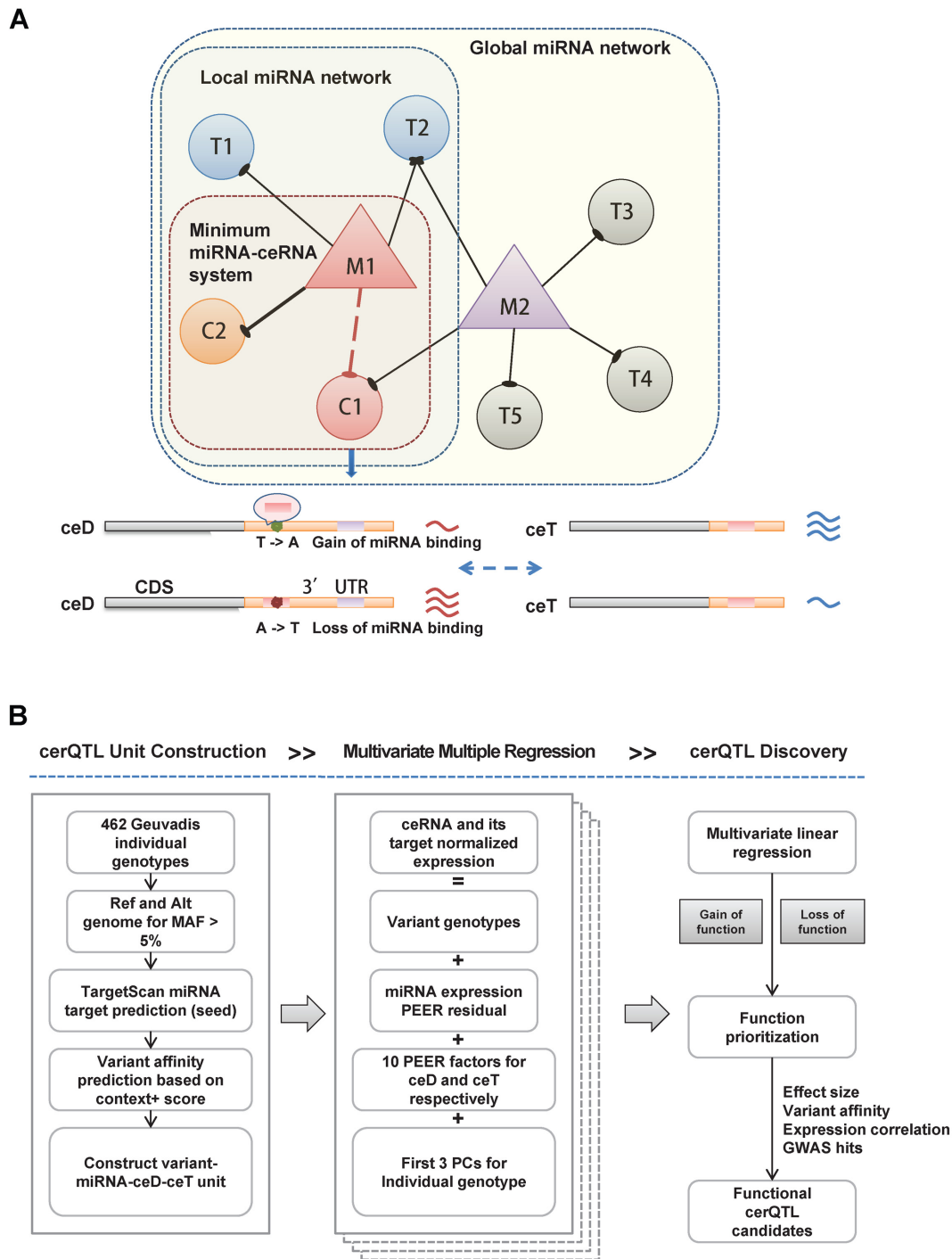
### Genetic effects on ceRNA regulation in human populations

*Summary of the detection pipeline.* Using the genotype dataset of 462 Geuvadis individuals, we first identified genetic variants in the human genome and then examined

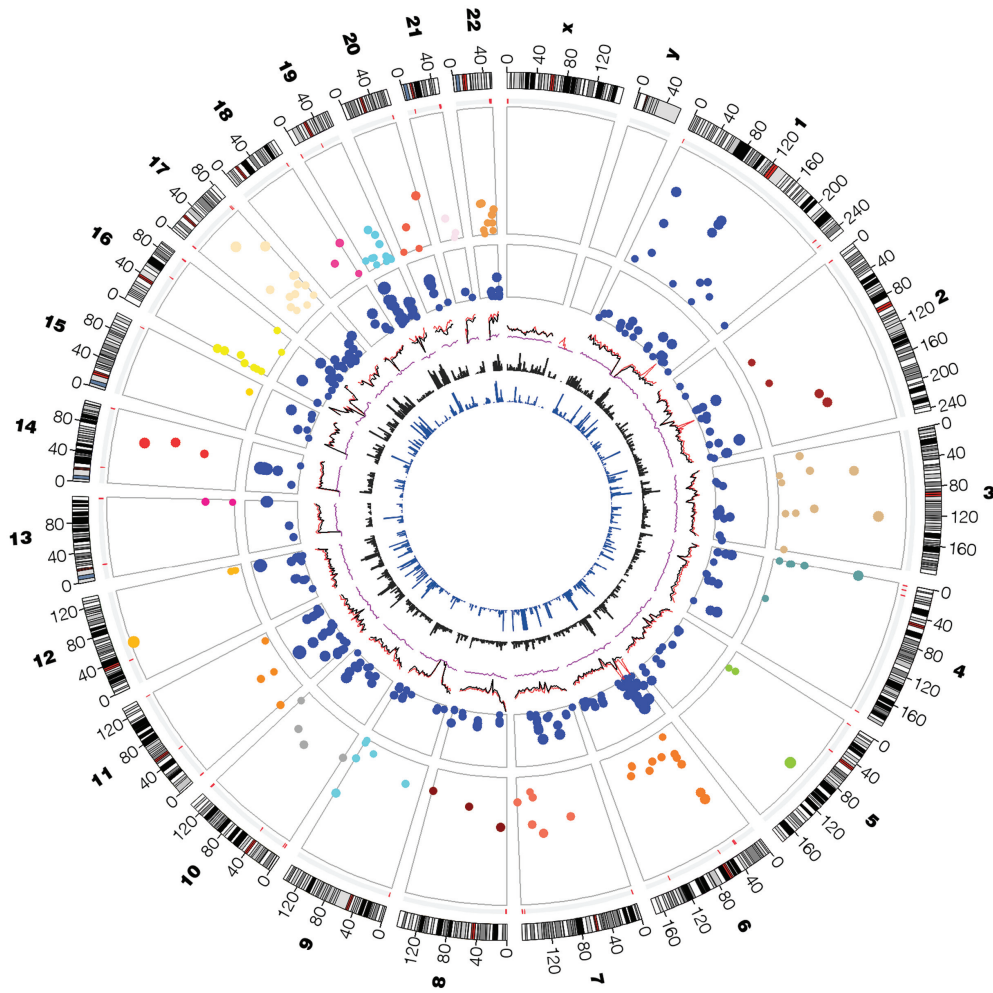
the impact of these variants on miRNA binding according to TargetScan predictions (19). We identified 3544 candidate genetic variants (including 3263 SNPs and 281 indels) in seed binding sites of 2753 miRNA putative target genes (putative ceDs). These loci showed differential binding affinity to 439 miRNAs (out of 714 profiled by Geuvadis) between the reference and alternative alleles (see Supplementary Methods). For each putative ceD, we identified other genes (ceTs) that are targeted by the same miRNA and constructed a minimum variant-associated miRNA-ceRNA unit which we call variant-miRNA-ceD-ceT. To measure the genotype effect on ceRNA regulation, we applied a multivariate regression model to jointly model the genetic contribution on variability of ceRNA expression and considered several factors, such as miRNA concentration among individuals, covariates of RNA-seq quantification and population stratification (see Supplementary Methods and Figure 1B on whole detection pipeline). This multivariate regression model can simultaneously test for both ceD and ceT expressions and take advantage of the potentially correlated nature between ceD and ceT. At FDR 5%, we obtained the coefficients of ceD ( $\beta_d$ ) and ceT ( $\beta_t$ ) for the significant pairs which estimate the genotype effect on ceD and ceT, respectively. To capture the underlying miRNA sponge effect explained by the genotype change, we further filtered out units with  $\beta_d \times \beta_t > 0$  and only retained those showing opposite signs of association between genetic variants and gene expression of the two ceRNAs (details in Supplementary Methods).

*Genome-wide detection of cerQTLs in different populations.* We applied our model and filtering strategy to five Geuvadis populations and detected genome-wide cerQTLs. We found 47 (YRI, 89 individuals), 66 (TSI, 93 individuals), 67 (CEU, 91 individuals), 97 (FIN, 95 individuals) and 106 (GBR, 94 individuals) significant cerQTLs at 5% FDR, respectively (Supplementary Table S1). To improve the detection power, we merged four European subpopulations (EUR, 373 individuals) and detected 387 significant cerQTLs and 1875 variant-miRNA-ceD-ceT units (Supplementary Table S2 and Figure 2). To assess whether the signals in our model differ significantly from the permuted null, we also applied our model to the EUR population with randomly permuted genotypes among individuals. The permutation result in the Q-Q plot shows little signal for these loci, indicating the effectiveness of model (Supplementary Figure S1). In the 387 cerQTLs associated with the EUR population, 344 are SNPs (out of 3263) and 43 are indels (out of 281) (Figure 3A), which suggests that indels are more likely to be cerQTLs than SNPs by accounting for all tested variants in each category (Chi-square test  $P = 0.04$ ).

cerQTLs are not only directly associated with their ceDs in *cis*, but also synchronously associated with their corresponding ceTs in *trans* through their common miRNA regulator. We found only six cerQTLs that overlap with the Geuvadis fine-mapped ('the best') *cis*-eQTL result from the EUR population, implying that most of these 'best' *cis*-eQTLs cannot be explained by ceRNA regulation. However, when we considered the Geuvadis 'all mapped' *cis*-eQTLs, the overlap with cerQTLs is significantly improved (43%, Figure 3B). This suggests that cerQTLs could ex-



**Figure 1.** The schematic diagram of cerQTL detection for genetic variants affecting ceRNA regulation. **(A)** The model will investigate the variants effect of each ceD-ceT pair in local and unique miRNA-centered regulatory network (minimum miRNA-ceRNA system). If a mutation creates a gain-of-function MRE in the 3'UTR of an mRNA (C1) targeted specifically by a miRNA (M1), C1 will be treated as a ceD and biologically participates in local network centered on M1. The original targets (including C2, T1 and T2) of M1 will naturally become ceRNA targets (ceTs) of C1. Alternatively, if a loss-of-function mutation erases an MRE of C1 which originally targeted by M1, then C1 will be released from M1-centered regulatory network. Therefore, both scenarios can initiate the perturbation of ceRNA regulation by mutation-driven redistribution of associated miRNA and ceRNAs. Ideally, assuming the concentration of miRNA is relatively stable among investigated individuals, one would observe reciprocal and coordinated expression trend between C1 and each of its ceTs (such as C2) under additive genetic effect. M: miRNA; T: target; C: investigated ceRNA; ceD: ceRNA driver; ceT: ceRNA target. **(B)** The pipeline first constructs variant-miRNA-ceD-ceT unit according to Geuvadis individual genotypes and TargetScan prediction. For each variant-miRNA-ceD-ceT unit, we test the genotype effect of ceRNA regulation using a multivariate multiple linear regression with two responses (ceD and ceT) by incorporating several confounding factors. Also, different function evidences area applied to prioritize the detected cerQTLs.



**Figure 2.** Circos plot of all detected cerQTLs. Features or glyphs are displayed from the outer to the inner, include the number of chromosome, the chromosome ideograms, copy number variation hotspots (red region), Manhattan plot for cerQTLs with  $-\log_{10}(P\text{-value})$ , Manhattan plot for GWAS TASs in miRNA binding site predicted by TargetScan, genome variant density (red: dbSNP, black: 1000 Genomes, purple: HapMap 3), OMIM gene distribution and disease-susceptible region distribution.

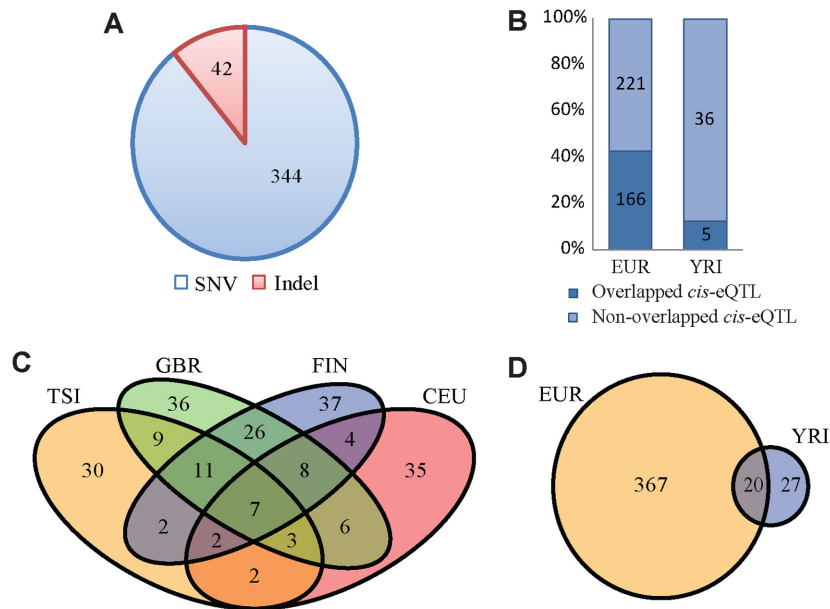
plain many functional associations in the linked region of each Geuvadis ‘best’ *cis*-eQTL. Additionally, the cerQTL analysis has identified many additional gene expression-associated signals that were missed by conventional eQTL analyses.

**Positive selection on ceRNA regulation.** By simply overlapping the cerQTLs in different subpopulations, we can find many loci in common or specific to each subpopulation (Figure 3C and D). Similar patterns can also be observed for related miRNAs, ceDs and ceTs of cerQTLs as well (Supplementary Figure S2). Interestingly, the number of detected cerQTLs is different across subpopulations despite their similar sample sizes and expected statistical power. The number of detected cerQTLs is correlated with the subpopulation’s distance from Africa, which suggests that ceRNA evolution may play a role in adaptation to new environments following migration (Supplementary Figure S3). To investigate whether cerQTLs are putative targets of recent positive selections, we screened cerQTLs using six statistical measures (including DDAF,  $F_{ST}$ , Tajima’s D, iHS,

XPEHH, XPCLR) for each subpopulation. We found 46 cerQTLs with positive selection signals for at least one of the measures according to their corresponding empirical thresholds (Supplementary Tables S3 and 4). To visualize loci with putatively positive selection, we clustered these 46 genetic variants according to their DAF in 5 investigated subpopulations. The clustering clearly recapitulates the population relationship and shows distinct patterns on individual locus (Supplementary Figure S4A). For example, one YRI-specific cerQTL rs1050286 ( $P = 8.11E-6$ ) shows very different DAF in African (DAF of YRI: 0.89) and European populations (DAF of TSI: 0.44; CEU: 0.47; GBR: 0.54; FIN: 0.53) (Supplementary Figures S4B, C and 5).

### Putative causality of cerQTLs

**Properties of cerQTLs.** Functional interpretation of cerQTLs is pivotal to our understanding of their underlying biological mechanisms and phenotype causality. Coefficients of ceD and ceT in our regression model can reflect the degree of gene expression perturbation under



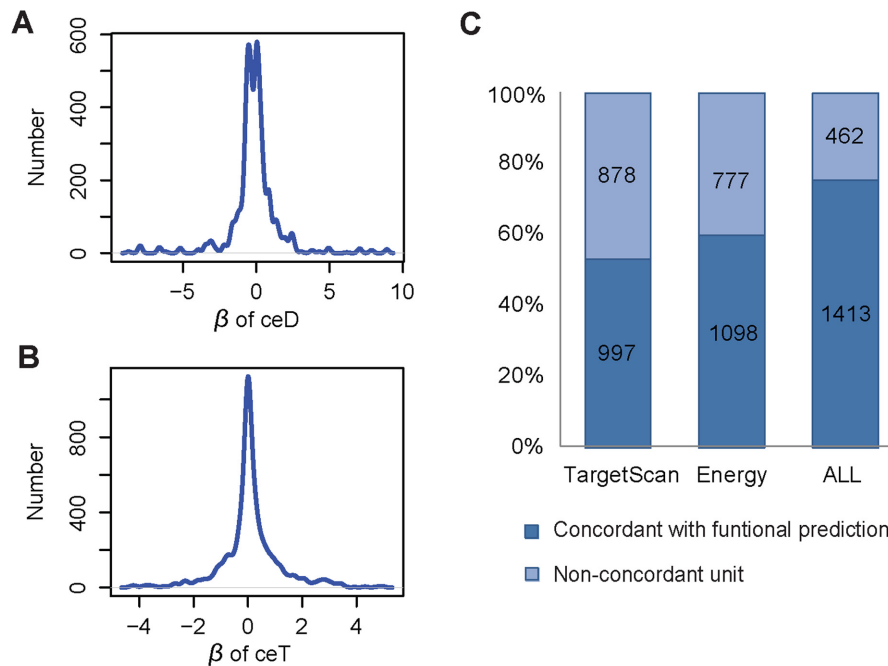
**Figure 3.** Genome-wide detection of cerQTLs. (A) The proportion of cerQTLs for SNP and indel. (B) The overlapping of cerQTLs in Geuvadis *cis*-eQTLs. (C) Venn diagram of cerQTLs in European populations. (D) Venn diagram of cerQTL between European and African populations.

different genotypes. Using the 387 EUR cerQTLs, we found that majority of  $\beta_d$  and  $\beta_t$  are small ( $<1$ ) in the 1875 significant variant-miRNA-ceD-ceT units (Figure 4A and B), which indicates a moderate effect of these genetic variants on target gene expression and ceRNA regulation. To investigate whether the 298 cerQTL-associated ceDs and 1459 ceTs are engaged in important biological processes, we performed DAVID gene-annotation enrichment analysis of these two gene sets (24). We found that ceDs and ceTs were enriched in many transcriptional regulation and cell signaling processes (Supplementary Figures S6 and 7).

A variant's effect on miRNA-target interaction can be assessed by functional prediction algorithms that have been developed to estimate the change of binding affinity among different variant alleles (37). To evaluate whether the direction of association ( $\beta_d$ ) is concordant with computational prediction on ceD through variant effect in *cis*, we calculated two scores, the  $\Delta$ context+ score and  $\Delta\Delta\Delta G$ , using TargetScan and an energy-based method (38) for the 387 cerQTLs in the EUR population. Intuitively,  $\Delta$ context+ score estimates the difference between alternative and reference alleles in binding affinity to the miRNA and  $\Delta\Delta\Delta G$  reflects the difference between the two alleles in combined interaction energy with the miRNA. Overall, 53 and 59% of cerQTLs have  $\beta_d$  in a consistent direction with  $\Delta$ context+ score and  $\Delta\Delta\Delta G$  in functional prediction, respectively, with a union of 75% (Figure 4C). These results suggest that many detected cerQTLs can be validated by functional predictions in their ceD locus.

**Functional prioritization of cerQTLs.** To comprehensively evaluate the association between cerQTLs and ceRNA regulation, we rely not only on the statistical significance, but also on the magnitude of cerQTL function on titrating miRNA availability and ceRNA-dependent gene expres-

sion changes. Several factors have been reported to influence ceRNA effectiveness, including miRNA and ceRNA expression level, the binding affinity of MRE, as well as the positive correlation between ceRNA expression (3,5,39). We attempted to prioritize the functional cerQTLs according to these factors. Since our regression model has already accounted for factors from miRNA expression variation in the cerQTL calling step, we therefore only focused on ceRNA-centered factors in the prioritization. For 1875 significant variant-miRNA-ceD-ceT units, we first calculated and ranked the degree of gene expression change on ceD and ceT in different genotypes, which can be measured by the sum of  $\log|\beta_d|$  and  $\log|\beta_t|$ . We further required consistent direction between  $\beta_d$  and the  $\Delta$ context+ functional prediction score from TargetScan. Finally, we required positive correlation (Pearson's  $r > 0.1$ ) between ceD and ceT in the specific homozygous cerQTL genotypes, when ceD and ceT actively compete for miRNA binding. Based on these criteria, we identified 239 variant-miRNA-ceD-ceT units for 93 unique cerQTLs with sufficient functional evidences (Supplementary Table S5). The top variant rs3208409 creates a miR-940-3p binding site in the 3'UTR of *HLA-DRB1* gene, which competes with *L3MBTL2* for miR-940-3p binding ( $P = 7.94E-27$ ). The large effect of rs3208409 on the gene expression of ceD ( $\beta_d = -115.18$ ) and ceT ( $\beta_t = 1.14$ ), the consistent functional prediction ( $\Delta$ context+ score =  $-0.21$ ), and the high correlation ( $r = 0.38$ ) between ceD and ceT in homozygote individuals provides a strong support for the causality of this cerQTL (Supplementary Figure S8). We further overlapped this prioritized list with GWAS signals and identified 21 phenotype-associated variant-miRNA-ceD-ceT units (Supplementary Table S6). These results combine statistically significant association and functional evidences to support potential cerQTL causality.



**Figure 4.** The functional properties of cerQTLs for EUR population. (A) The distribution of  $\beta_d$ . (B) The distribution of  $\beta_t$ . (C) The direction concordance between association and functional prediction for all cerQTLs.

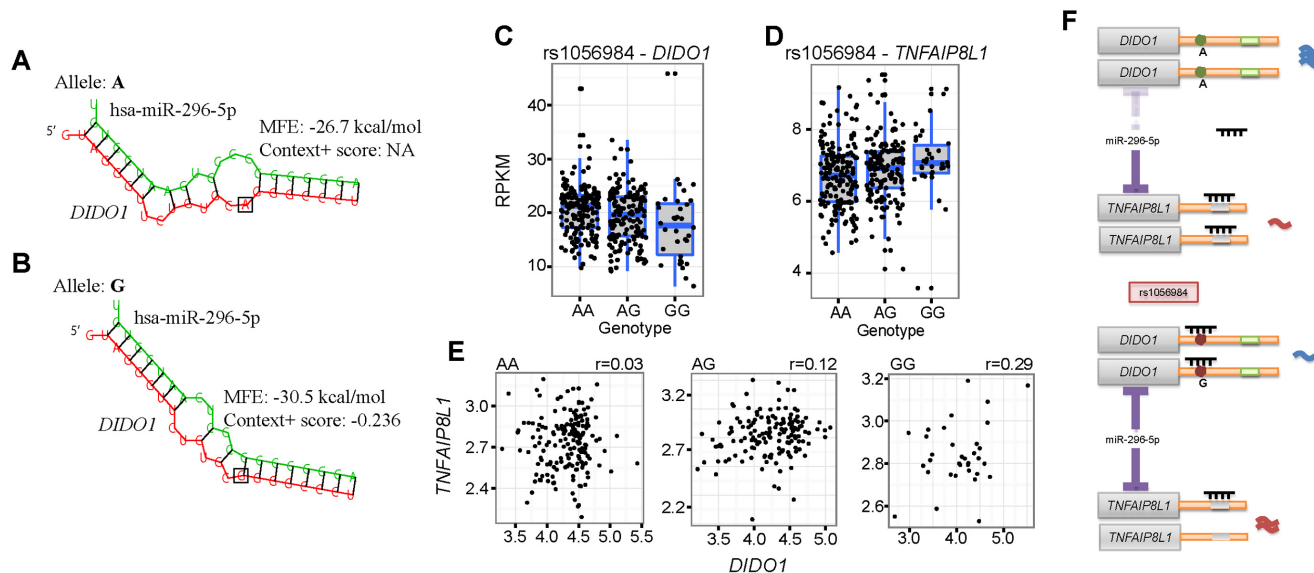
#### Illustration of cerQTL impact on ceRNA gene regulation.

We use an example to illustrate how cerQTLs affect ceRNA regulation. SNP rs1056984 is predicted to affect the seed binding between hsa-miR-296-5p and the 3'UTR of *DIDO1*. TargetScan predicted effective binding for the G allele (7mer-m8, context+ score:  $-0.236$ ), but not for the A allele on this SNP. Furthermore, thermodynamic estimation confirms that allele G has a better binding stability to the miRNA (MFE of A allele:  $-26.7$  kcal/mol; MFE of G allele:  $-30.5$  kcal/mol). The simulated binding pattern also shows that allele G enhances the binding stability by creating a G:C match to position 8 of hsa-miR-296-5p (Figure 5A and B). Our model quantitatively identifies rs1056984 as a cerQTL ( $P = 4.76E-05$ ) that affects the regulation of hsa-miR-296-5p to its targets ENSG00000101191 (*DIDO1*) and ENSG00000185361 (*TNFAIP8L1*). The  $\beta_d$  value of ceD *DIDO1* is  $-1.34$  (Figure 5C), and the  $\beta_t$  value of ceT *TNFAIP8L1* is  $0.20$  (Figure 5D), with the opposite coefficient signs supporting the competing nature of ceRNA regulation. As the genotype of this variant changes from AA to AG to GG, the gradually enhanced sponge effect down-regulates *DIDO1* expression (ceD) and up-regulates *TNFAIP8L1* expression (ceT). When this locus is homozygous GG, we observed a significant positive correlation ( $r = 0.29$ ,  $P = 0.01$ ) between *DIDO1* and *TNFAIP8L1*, further supporting the interaction between ceD and ceT through competition for hsa-miR-296-5p (Figure 5E).

Previous studies on gene functions suggest the importance of *DIDO1*, *TNFAIP8L1* and miR-296-5p in regulating cancer development. miR-296 has been characterized as 'angiomiR,' which can regulate angiogenesis (40). It is reported to have a specific role in promoting tumor angiogenesis by targeting *HGS* mRNA, resulting in the

overexpression of VEGF receptors in angiogenic endothelial cells (41). MiR-296 may also contribute to carcinogenesis by dysregulating p53 (42). In this scenario, *DIDO1* gene is a tyrosine-phosphorylated putative transcription factor, previously thought to induce apoptosis and mitotic division (43,44) and might be a tumor suppressor gene (45). In contrast, many publications reported *TNFAIP8L1* to be an antiapoptotic molecule and oncogene in developing many cancers (10,46–48). Here, we predicted that cerQTL rs1056984 affect the ceRNA regulation by balancing the expression of the pro-apoptotic *DIDO1* and the antiapoptotic *TNFAIP8L1* under different genotypes. Specifically, efficient miRNA competition occurs in the G allele of rs1056984, while the A allele has a protective effect in maintaining tumor suppressor *DIDO1* expression and inhibiting oncogenic *TNFAIP8L1* expression by shifting miR-296-5p binding from *DIDO1* to *TNFAIP8L1* (Figure 5F). Although there is no diseases/traits associated evidences for rs1056984 at the current stage, the cerQTL investigation could provide a disease-causal indication underlying this locus. Also, we found that African population has lower A allele frequency of rs1056984 (DAF of YRI is 0.28, DAF of CEU is 0.65) in the 1000 Genomes project (Supplementary Figure S9). Further calculation on  $F_{ST}$  (0.24) between CEU and YRI indicates that positive selection may drive the evolution of this locus.

*cerQTLs explain GWAS traits and diseases associated signals in miRNA binding sites.* To investigate whether cerQTL-affected gene expression changes contribute to human phenotypes, we connected cerQTLs in the EUR population to GWAS trait/disease-associated SNPs and found 8 of 387 cerQTLs to overlap with GWAS lead SNPs (Table 1).



**Figure 5.** The genetic effect of rs1056984 in ceRNA regulation for EUR population. (A) Hybridization pattern between miR-296-5p and binding site of *DIDO1* on derived allele A. (B) Hybridization pattern between miR-296-5p and binding site of *DIDO1* on ancestral allele G. (C) Boxplot of gene expression of *DIDO1* on different genotype. (D) Boxplot of gene expression of *TNFAIP8L1* on different genotypes. (E) The gene expression correlation of *DIDO1* and *TNFAIP8L1* under different genotypes. (F) Schematic diagram for rs1056984 affecting ceRNA competition under different alleles, it impacts the expression of tumor suppressor and oncogene in a reciprocal and coordinate manner. MFE: minimum free energy.

The top lead SNP is rs7294, which is located in the 3'UTR region of *VKORC1* and associated with warfarin maintenance dose in anticoagulant therapy (49–51) (Supplementary Figure S10A–D).

Since GWAS lead SNPs may not be causal, we further scanned SNPs in high LD ( $r^2 > 0.8$ ) of the lead SNPs. We found 15.7% of 344 cerQTLs (SNPs only and not indels) to be associated with 145 GWAS hits (Supplementary Table S7), a significant enrichment of cerQTLs in GWAS hits compared with background SNPs for both the miRNA seed binding site ( $P = 7.54E-28$ , hypergeometric test) and differential miRNA binding signals ( $P = 3.30E-4$ , hypergeometric test) (Supplementary Table S8). Most of these 145 GWAS leading SNPs are located in introns or intergenic regions with poorly annotated functions and they are mostly related to autoimmune diseases and blood cell traits (Supplementary Table S9). Our analysis suggests that the causal SNPs for these leading SNPs might arise from cerQTLs which underly disease/trait-associated SNPs within GWAS LD proxies.

### Functional effect of cerQTLs in ceRNA regulatory network

The altered expression of individual genes might affect the expression of many other genes in the ceRNA regulatory network by the miRNA sponge mechanism (52,53). We merged the 1875 significant variant-miRNA-ceD-ceT units our model identified and constructed the global ceRNA regulatory network under the control of 387 independent cerQTLs in the EUR population (Supplementary Figure S11). We also generated the network for 21 phenotype-associated variant-miRNA-ceD-ceT units (Supplementary Figure S12). The majority of ceDs can be associated with more than one ceT by a single genetic effect. For exam-

ple, rs11540855 on ceD *ABHD8* may influence the expression of two ceTs *AXIN1* and *RPRM* through competing for binding to miR-4707-3p (Figure 6A) and the expression of *ABHD8* and its two ceTs are positively correlated under the active genotype GG (Figure 6B–D). Interestingly, the cerQTL rs11540855 is located in the 3'UTR of *ABHD8* and has been recently reported to have the highest association with breast cancer risk (GWAS  $P = 1.65E-09$ ) (54,55). In addition, *AXIN1* and *RPRM* were recently reported as tumor suppressors in breast cancer development (56), and miR-4707-3p is highly expressed in breast cancer (57). These observations suggest that cerQTL rs11540855 might affect breast cancer risk by regulating tumor suppressors *AXIN1* and *RPRM* through the ceRNA pathway. In addition to the aforementioned regulatory relationship, one ceD can also be regulated by multiple miRNAs and a single miRNA can regulate multiple ceDs and ceTs through different cerQTLs. These interactions highlight the complexity of genetic effect on ceRNA regulatory network.

### In vitro cerQTL functional validation

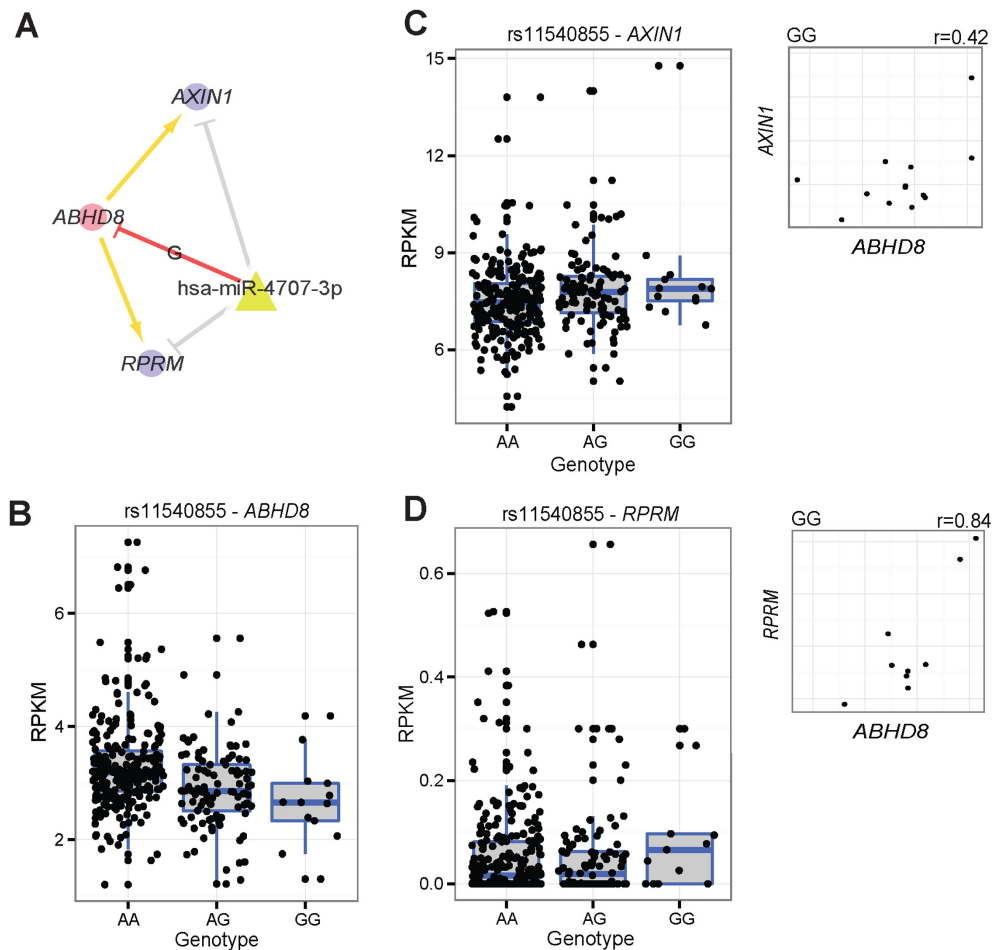
To further illustrate cerQTL effect in the minimum miRNA-ceRNA system, we experimentally validated one breast cancer-associated cerQTL unit (rs11540855-miR-4707-3p-*ABHD8*-*AXIN1*) (Figure 7A) in the breast cancer cell line MCF116. We first attempted to independently validate whether both ceD and ceT were biological targets of miR-4707-3p, which was the premise of ceRNAs competition. Using dual luciferase reporter assay, we observed significantly reduced luciferase activity and mRNA expression level upon miR-4707-3p agomir treatment in the G-allele but not the A-allele in the 3'UTR of *ABHD8*. This indicates that miR-4707-3p preferably binds to the 3'UTR of *ABHD8*



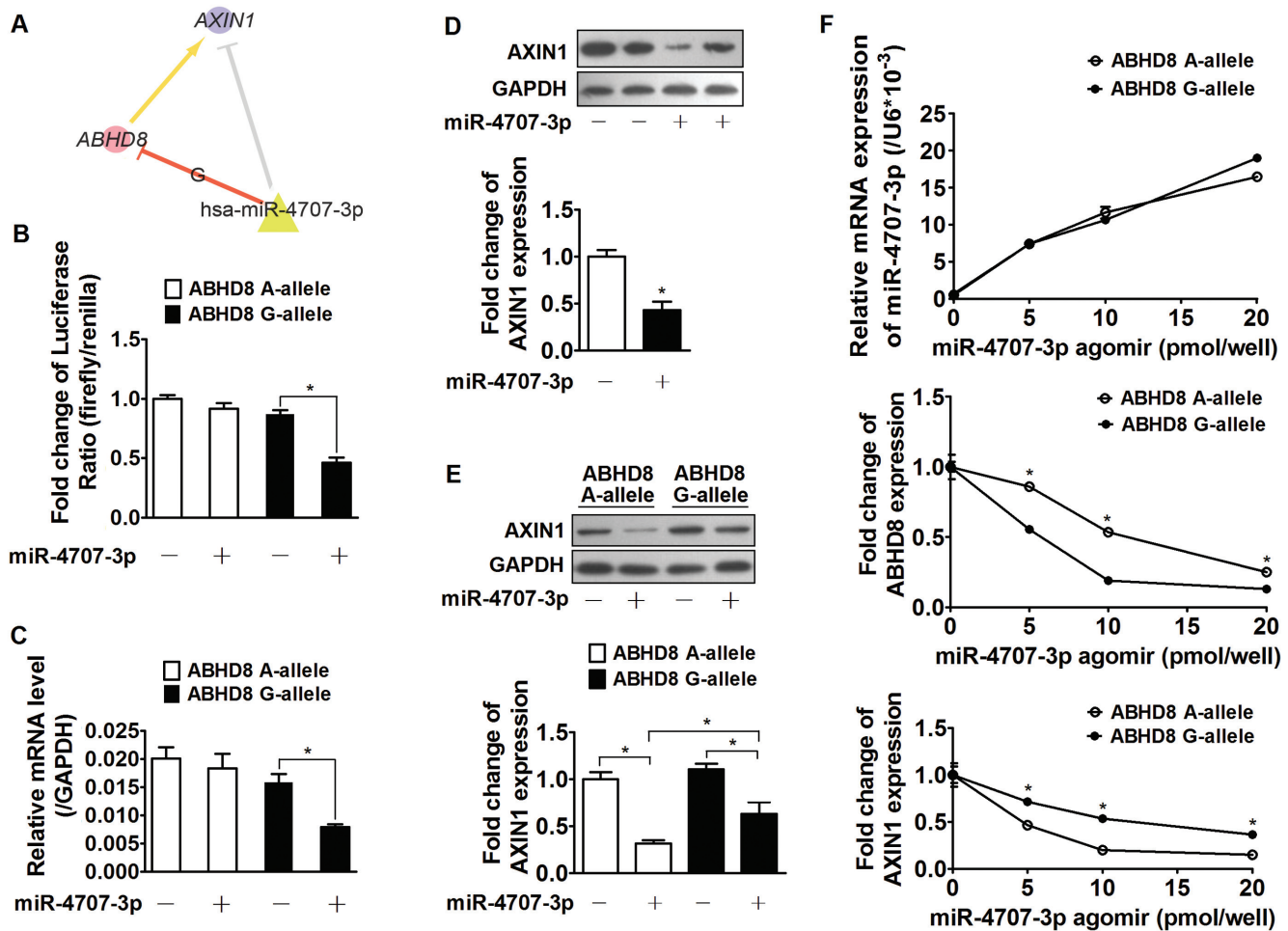
**Table 1.** The ceQTLs that overlap with GWAS leading TAs

Chr	Pos	SNP	Ref	Alt	ceQTL P-value <sup>a</sup>	ceD	ceTs	miRNA	Effect <sup>b</sup>	GWAS P-value <sup>c</sup>	GWAS traits
16	31102321	rs7294	C	T	1.37E-06	<i>VKORC1</i>	<i>EIF2B5, RPTOR, LRRFIP1</i>	miR-147a	Gain	1.40E-45	Warfarin and acenocoumarol maintenance dosage (68)
11	18388128	rs4596	G	C	1.15E-05	<i>GTF2H1</i>	<i>TSTD2, TMOD1, TOBI, PPARGC1A, KLF5</i>	miR-642a-5p	Loss	2.17E-35	Amyloid A Levels (69), Colorectal cancer (70)
12	56863770	rs2657880	G	C	1.87E-51	<i>SPRYD4</i>	<i>XKR6, AC004985.2</i>	miR-3157-5p	Loss	2.28E-31	Serum metabolite levels (71)
10	100176869	rs701801	C	T	4.70E-13	<i>HPS1</i>	<i>TRIOBP, KLHL30</i>	miR-491-5p	Loss	1.34E-25	Serum metabolism (72)
19	10397238	rs281437	C	T	0.00019	<i>ICAM1</i>	<i>C16orf54, FBXO41, SH2D4A</i>	miR-3667-5p	Loss	3.00E-10	Soluble intercellular adhesion molecule 1 level (73)
16	67708897	rs12449157	A	G	7.69E-06	<i>GFOD2</i>	<i>COASY, LMAN2L, VPS9D1</i>	miR-4792-5p	Gain	2.00E-07	Coronary artery disease (74)
17	37921742	rs907091	C	T	9.50E-05	<i>IKZF3</i>	<i>RGL3, DDX11</i>	miR-330-5p	Gain	3.38E-07	Esophageal cancer (75)
8	11643915	rs804292	G	A	0.00024	<i>NEIL2</i>	<i>ZNF583</i>	miR-143-3p	Loss	2.00E-06	Alcohol/nicotine dependence (76)

<sup>a</sup>the best ceQTL P-value among all significant variant-miRNA-ceD-ceT units.  
<sup>b</sup>the predicted function effect of alternative allele for miRNA-target interaction.  
<sup>c</sup>the best GWAS P-value among all mapped GWAS traits.



**Figure 6.** The genetic effect of rs11540855 in ceRNA regulation for EUR population. (A) Small ceRNA regulatory network driven by rs11540855, circle: ceD and ceTs; triangle: miRNA; suppression line with label: the miRNA-ceD regulation, G for gain-of-function mutation; other suppression lines: the miRNA-ceT regulation; arrow: ceD activate ceTs in gain-of-function situation ( $\beta_d < 0$  and  $\beta_t > 0$ ). (B) Boxplot of gene expression for *ABHD8* on different genotypes of Geuvaiids individuals. (C) Boxplot of gene expression for *AXIN1* and on different genotypes of Geuvaiids individuals and the correlation with *ABHD8* on genotype GG. (D) Boxplot of gene expression for *RPRM* and on different genotypes of Geuvaiids individuals and the correlation with *ABHD8* on genotype GG.



**Figure 7.** *In vitro* experimental validation of rs11540855-miR-4707-3p-*ABHD8*-*AXIN1*. (A) Graphic representation of genetic effect on miR-4707-3p-*ABHD8*-*AXIN1*, circle: ceD and ceT; triangle: miRNA; suppression line with label: the miRNA-ceD regulation, G for gain-of-function mutation; other suppression lines: the miRNA-ceT regulation; arrow: ceD activate ceTs in gain-of-function situation ( $\beta_d < 0$  and  $\beta_t > 0$ ). (B) Fold change of luciferase activity ratio for *ABHD8* A-allele or *ABHD8* G-allele (Firefly luciferase) and the internal control (Renilla luciferase) in response to miR-4707-3p agomir,  $n = 6$  experiments; (C) Fold change of relative *ABHD8* A-allele or *ABHD8* G-allele mRNA expression level (with *GAPDH*) in response to miR-4707-3p agomir,  $n = 6$  experiments; (D) A representative immunoblot and fold change of MCF116 native *AXIN1* expression in response to miR-4707-3p agomir,  $n = 5$  experiments; (E) A representative immunoblot and fold change of *AXIN1* expression in MCF116 cells stably expressing the two *ABHD8* alleles in response to miR-4707-3p agomir,  $n = 5$  experiments; (F) Relative expression level of miR-4707-3p, *ABHD8* and *AXIN1*, as a function of miR-4707-3p agomir dosage (range: 0, 5, 10, 15, 20 pmol/well),  $n = 6$  experiments.

G-allele and negative regulates *ABHD8* protein level (Figure 7B and C). Moreover, miR-4707-3p agomir significantly inhibited the expression of *AXIN1* in MCF116 (Figure 7D). We hence concluded that rs11540855 alters miR-4707-3p-*ABHD8* interaction affinity *in vitro*, and both ceD (*ABHD8* G-allele) and ceT (*AXIN1*) are valid targets of miR-4707-3p.

Given that miR-4707-3p is associated with the 3'UTR of both *ABHD8* and *AXIN1*, we next aimed to evaluate the competitive effect of these two ceRNAs in different allele states of *ABHD8*. By constructing MCF116 cells that stably express *ABHD8* A-allele or *ABHD8* G-allele, we inspected the changes of ceRNA expression with the treatment of miR-4707-3p agomir. MCF116 cells carrying the 3'UTR of *ABHD8* A-allele suppressed the protein level of *AXIN1* more than those carrying the G-allele (Figure 7E), suggesting stronger competition between *ABHD8* G-allele

and miR-4707-3p competing target *AXIN1*. Furthermore, dose-dependent experiments showed that increasing miR-4707-3p treatment suppresses the protein levels of both ceRNA genes. At the same level of miR-4707-3p treatment, *AXIN1* level decreases less in MCF116 cells carrying the *ABHD8* G-allele which binds stronger to miR-4707-3p than in MCF116 cells carrying the *ABHD8* A-allele (Figure 7F). Taken together, these experiments support the genetic effect of ceQTL in the minimum miRNA-ceRNA system and motivate further functional evaluation of ceQTL cancer relevance.

## DISCUSSION

In this study, we integrated the 1000 Genomes genotype and Geuvadis RNA sequencing data to investigate the association between human genetic variation and ceRNA regulation. Using a multivariate multiple regression model,

we identified hundreds of cerQTLs and related ceD-ceTs genome-wide. We found evidence suggesting that recent natural selection has acted on many cerQTLs in different human populations. cerQTLs are significantly enriched in GWAS risk loci and are likely to be important for many biological processes. Furthermore, we prioritized these loci with their associated ceRNAs according to different criteria and evaluated their collective effect on the ceRNA regulatory network. We also experimentally validated a cerQTL by *in vitro* functional assays. Our study provides a novel angle to interpret genetic effect in post-transcriptional gene regulation and highlights the utility of ceRNA gene regulation in explaining disease susceptibility.

Although our regression model already considered many potential confounding factors, such as miRNA expression level, ceRNA expression variability, as well as population genetic structures, there may still be missing factors that impact the performance and statistical power in the cerQTL detection. One potential limitation is that we only treated each pair of ceD and ceT as an independent test unit in the local miRNA-centered regulatory network instead of modeling the whole ceRNA regulatory network. Studies have shown that a small perturbation of ceRNA expression usually shifts the equilibrium of ceRNA regulatory network especially when concentrations of miRNAs and targets are comparable (6). The cascade effect from miRNA redistribution and ceRNA competition at the global level (2,58,59) requires a much more complex mathematical model to accurately describe the full responses of the whole network.

Another limitation of our study is that current computational predictions of miRNA binding sites are far from perfect (60). In our study, we chose to use a strict context+ score threshold from TargetScan predictions and described the result based on this criterion. It is possible that our cerQTLs detection can both miss target sites not predicted by TargetScan and introduce false positives. Alternatively, one could consider the union or intersection of multiple miRNA-target prediction algorithms such as TargetScan, PITA (38), miRanda (61), etc. However, union might introduce many false positives and intersection might introduce false negatives and drastically reduce the number of valid variant-miRNA-ceD-ceT units (from 1875 to 331, Supplementary Table S10). Our current criteria may provide a reasonably reliable reference for further functional investigation. Future experiments combining CLIP-seq and Degradome-Seq could better capture global miRNA target interactions and improve our cerQTL inference.

Using genetic and transcriptomic data from different populations, we found many population-specific cerQTLs and identified loci that are putative targets of recent positive selections. These results represent a useful supplement to studies of recent natural selection of human miRNA targets (56,62,63) and significantly extend functional categories for positively selected loci (64). Besides, GWAS signals enrichment of our detected cerQTLs implies putative disease-causal mechanisms driven by ceRNA gene regulation, and we can connect cerQTLs to many human complex diseases and phenotypes. Importantly, we have experimentally validated a breast cancer-associated SNP rs11540855 that can regulate the competition of two ceRNAs for miR-4707-3p availability *in vitro*, including a tumor suppressor

gene *AXINI*. This may highlight that cerQTL detection could be an important addition to our current understanding of disease pathogenesis and trait development.

Since many genes contain multiple binding sites for the same miRNA, single MRE perturbations might be expected to have small effects on ceRNA expression and the downstream miRNA regulatory network. However, although many QTLs individually exert relatively small effects, in combination they might contribute substantially to complex traits (65). Theoretical simulations and quantitative experiments have demonstrated that perturbations on individual miRNA binding site can indeed affect the entire ceRNA regulatory network (2,36,66,67). Although our *in vitro* functional evaluation demonstrates the SNP effect on ceRNA competition in the minimum miRNA-ceRNA system, it still could not characterize the entire miRNA-ceRNA network. In summary, our cerQTL analyses on human populations suggest that DNA polymorphisms affecting ceRNA regulation might be a common phenomenon and likely contribute substantially to complex traits.

## SUPPLEMENTARY DATA

Supplementary Data are available at NAR Online.

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