

# Multi-scale flume investigation of the influence of cylindrical baffles on the mobility of landslide debris

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24 **Abstract**

25 Debris flows travel downslope at high speed and often cause damage to the infrastructure of  
26 societies around the world. With increasing extreme rainfall events and urbanization in  
27 mountainous regions, effective structural countermeasures are in increasing demand. Over  
28 recent years, engineers have proposed the installation of an array of cylindrical columns, called  
29 baffles, to reduce the velocity of debris flows in catchments. However, existing design methods  
30 are highly empirical in nature, so it is unclear whether they are adequate or over designed, and  
31 appropriate specifications and arrangement of cylindrical baffles have still not been suggested.  
32 Moreover, previous experimental studies have predominantly modeled debris flows as dry  
33 granular flows at a laboratory scale. In this study, to investigate the effect of cylindrical baffles  
34 on the dynamic characteristics of debris flow, a series of small-scale flume tests was conducted  
35 using a flume equipped with devices to measure the flow interaction between baffles and the  
36 dynamic loads of debris flow. In addition, to investigate the scale effect of debris flows and  
37 cylindrical baffles on flow characteristics, large-scale tests were also performed according to  
38 different numbers of rows of baffles for similar baffle configurations confirmed by small-scale  
39 tests. Using the small- and large-scale test results, this study analyzed the energy dissipation  
40 and dynamic impact characteristics according to the height and number of rows of baffles. The  
41 analysis results showed that the use of baffles increased the energy dissipation of debris flows,  
42 and an additional row of baffles produced greater effects on the energy dissipation in the debris  
43 flows. Based on the test results, the average dynamic pressure coefficient for cylindrical baffles  
44 was 0.31.

45 *Keywords: Debris flow, Cylindrical baffle, Baffle height, Number of rows, Velocity, Dynamic  
46 load, Energy dissipation, Dynamic pressure coefficient*

47 **1. Introduction**

48 Climate change has increased the frequency of extreme rainfall events, which has been  
49 reported to trigger more debris flows (Ren 2014; Stoffel et al. 2014). At the same time,  
50 urbanization in undeveloped mountainous areas is exposing humans to increased risk (Cui et  
51 al. 2019). If continuous development proceeds in mountainous regions, cost effective and  
52 robust structural countermeasures to mitigate the increasing threat posed by debris flows will  
53 be needed. Recently, among the variety of structural countermeasures available, there is also  
54 an increasing demand for compact and easy-to-install solutions that blend in well with the  
55 natural environment. In particular, baffles present a viable alternative to bulky and visually  
56 intrusive reinforced concrete barriers. An array of cylindrical or rectangular baffles is often  
57 constructed in the flowing path of debris flows, with the aim of perturbing the flow pattern of  
58 a debris flow to dissipate its flow kinetic energy. Fig. 1 shows cylindrical debris flow baffles  
59 installed at Lantau Island in Hong Kong, China. Despite the clear advantages of baffles, so far  
60 they have been designed empirically. This means that it is unclear whether their design is  
61 adequate or over designed. More importantly, design engineers have little to no guidance on  
62 what dimensions are required for individual baffles or how to space them relative to each other.  
63 Over recent years, there have been many experimental studies for modelling dry granular flows  
64 or debris flows that impact baffles. In particular, studies on the interaction between dry granular  
65 flows and arrays of mounds (Hákonardóttir 2004) or baffles (Choi 2013; Choi et al. 2014a;  
66 2014b; Ng et al. 2014; Fei et al. 2020) have been carried out using small-scale physical  
67 experiments. These studies revealed several key interaction mechanisms, including dead zone  
68 development (Gray et al. 2003), run-up (Chu et al. 1995; Choi et al. 2015a) and overflow (Choi  
69 et al. 2016). Based on these observed mechanisms, optimal geometries were recommended for  
70 maximizing the energy dissipation of dry granular flows. Jóhannesson and Hákonardóttir (2003)  
71 recommended that the height of the mounds should be two to three times that of the upstream

72 flow depth. The mounds should form steep upstream angles with the slope to enhance energy  
73 dissipation and be positioned closely together to allow the jets to deflect sideways to interact  
74 with each other and further dissipate energy. Finally, the aspect ratio of each mound should be  
75 unity and the slit opening between them should be as small as is deemed affordable. An  
76 optimized row of mounds can provide up to 20% energy dissipation. Hákonardóttir's work also  
77 revealed that successive rows of obstacles should be positioned to intercept overflow from the  
78 preceding row (Hákonardóttir 2004). Choi and Law (2015) recommended an optimum  
79 geometric configuration entailing baffle heights at least 1.5 times the upstream flow depth, with  
80 at least two staggered rows and an optimum row spacing of  $L/s = 3$  (where  $L$  is the spacing  
81 between rows and  $s$  is the slit size between baffles). An optimized baffle configuration provided  
82 up to 50% of energy dissipation. Although dry granular flow within a scaled-down channel  
83 constitutes a highly-repeatable benchmark for investigating flow-structure interaction,  
84 scientific recommendations for designing debris flow baffles cannot be based on such results.  
85 This is partly because the fluid phase (i.e., water) is fundamentally neglected: dry granular flow  
86 cannot be assumed to behave like natural debris flow where the interstitial fluid vitally controls  
87 debris flow mobility (McArdell et al. 2007). Wang et al. (2017a; 2017b) conducted a series of  
88 small-scale experiments to study debris flows impacting an array of baffles. They proposed an  
89 optimum configuration of baffle arrays through the energy reduction of debris flow for various  
90 shapes and spacings of baffles. However, debris flow behavior is highly scale-dependent  
91 (Iverson 2015). More specifically, small-scale representations of debris flows may exhibit  
92 slight disproportionalities in terms of viscous shearing and pore pressures. The significance of  
93 these scale effects on debris flow-baffle interaction have yet to be evaluated. Evidently,  
94 existing recommended configurations from experimental studies are mutually inconsistent.  
95 Some studies were conducted using dry granular flows and some were conducted using two-  
96 phase flows. More importantly, the above studies were conducted at different scales. Thus,

97 despite the large library of work conducted, there still lacks design guidelines rooted in science.  
98 In this study, we adopted a multi-scale approach to reveal the optimum configuration of  
99 baffles. Small-scale flume tests were performed according to the various baffle heights and  
100 numbers of rows of installed baffles in the flume. High speed cameras and digital cameras to  
101 capture the flow interaction with baffles were installed at the top and side of the flume. A load  
102 cell for estimating the impact load of the debris flow was also installed behind the baffle. To  
103 investigate the scale effect of debris flows and cylindrical baffles on flow characteristics, large-  
104 scale tests were also conducted with baffle configurations similar to the small-scale tests. After  
105 the tests, the velocity, impact load, and energy dissipation due to baffle array conditions were  
106 analyzed. In addition, an appropriate dynamic pressure coefficient for the design of cylindrical  
107 baffles was suggested based on the results of small-scale and large-scale tests.

108 **2. Methodology**

109 *2.1. Flume model*

110 Fig. 2 shows a long rectangular flume with an overall length of 5.0 m, a side height of 0.45  
111 m, and a base width of 0.3 m, designed for small-scale tests with baffles. The width of the  
112 flume was determined as a similarity ratio (1/17) to the valley of a watershed as in a real-scale  
113 experiment site in Korea (Jun et al. 2015). The flume, which was made of 10-mm thick acrylic  
114 plate, was reinforced with a stainless-steel frame. As shown in Fig. 2, the flume has a container  
115 for the storage of debris and water mixture at the upper end and a baffle zone for the installation  
116 of cylindrical column structures at the middle. The debris and water are stored at the storage  
117 container located at the uppermost upstream end of the flume and the container has an  
118 automatic spring-loaded system for opening/closing the door, which is secured with an  
119 electromagnetic lock. To capture the flow velocity of the free surface of debris flows and the

120 flow interaction with the baffle array, high-speed cameras (HAU-U2) were installed at the top  
121 and side of the flume. The resolution of the high-speed camera is dependent on the speed: 500  
122 frames per second with  $800 \times 600$  pixels (top view) and 300 frames per second with  $1024 \times 768$   
123 pixels (side view). As shown in Fig. 3, we determined the diameter of the cylindrical baffles  
124 as 30 mm considering the similarity with the real baffles constructed at the real-scale  
125 experiment site (Jun et al. 2015). Baffles with various heights (40 and 80 mm) were  
126 manufactured to study the influence of baffle height on the debris flow behavior. To estimate  
127 the dynamic impact load of debris flows, as shown in Fig. 4, a load cell (Kyowa-LUX-B) was  
128 combined with the baffle horizontally. Fig. 5 shows an image of the small-scale test setup.

129 In this study, a large-scale test was also conducted using the large flume of Hong Kong  
130 University of Science and Technology (HKUST). Fig. 6 shows the test setup of the flume with  
131 a rectangular cross-sectional area. The whole length of the flume was 28.0 m with a base width  
132 of 2.0 m and a side height of 1.0 m to carry out the large-scale physical experiment. The width  
133 of the large-scale flume was about 7.0 times greater than the small-scale flume. The capacity  
134 of the storage container was  $10 \text{ m}^3$  which is about 90 times greater than that of the small-scale  
135 flume. As shown in Fig. 6, the flume consists of three parts: a soil container, a transportation  
136 zone, and a deposition zone. The storage container occupies the first 5.0 m of the flume, which  
137 is inclined at  $30^\circ$ . The second part is 15.0 m in length and is inclined at  $20^\circ$ , which has a similar  
138 inclination of the transportation zone of debris flows that occurred in Korea (Jun et al. 2015).  
139 Then the horizontal deposition zone with a length of 8.0 m is extended to the end of the flume.  
140 The flume was made of reinforced acryl and steel frames were attached at the side of the flume  
141 to prevent deformation and to make the visual observation of the flow possible. The storage  
142 container with a double door system with water-tight sealings around its edges was used to  
143 retain debris material. To measure the upstream and downstream flow velocity during the test  
144 process, several lines were drawn at an interval of 1.0 m in the transverse direction at the bottom

145 of the flume. To capture the flow kinematics of debris flows, the high-speed camera at the top  
146 of the flume and a digital camera at the side of the flume were installed. Moreover, we also  
147 used an unmanned aerial vehicle (UAV) in the air above the flume. The high-speed camera  
148 (model FR-Stream 4Coaxp Norpix) and the digital camera (GoPro) were installed and could  
149 capture images at 560 frames per second with a resolution of  $2336 \times 1728$  pixels at 120 frames  
150 per second with  $1280 \times 720$  pixels. The camera incorporated with the UAV (DJI Phantom 3  
151 Professional), which had a resolution capability of 30 frames with  $1280 \times 720$  pixels, could  
152 capture flow behavior in the air above the flume. Un-instrumented baffles and instrumented  
153 baffles with the impact load measurement of debris flow were made of stainless steel. As shown  
154 in Fig. 7, the non-instrumented baffle (Fig. 7(a)) was 219 mm in diameter, whereas the  
155 instrumented baffle (Fig. 7(b)) was 238 mm in diameter as the load cell was installed inside  
156 the baffle. The size of the cylindrical baffle was determined based on the ratio of the width  
157 between the large- and small-scale flumes (about 7.0 times). Fig. 8 shows an image of the large-  
158 scale test facility used in this study.

## 159 2.2. Scaling

160 For small- and large-scale experiments, the Froude number has been generally adopted to  
161 consider the scale effect of debris flows because debris flows are highly scale-dependent  
162 (Iverson, 2015). The Froude number is defined as the ratio of inertial force to the gravitational  
163 force, and is given as follows:

$$Fr = \frac{v}{\sqrt{gh\cos\theta}} \quad (1)$$

164 where  $v$  is the velocity (m/s),  $h$  is the flow depth (m),  $g$  is the gravitational acceleration  
165 ( $\text{m/s}^2$ ), and  $\theta$  is the slope angle ( $^\circ$ ).

166 The approaching Froude number ranged from 0.5 to 7.6 in previous studies (Arattano et al.

167 1997; Choi et al. 2015b; Cui et al. 2015; Hübl et al. 2009; Scheidl et al. 2013; Wang et al.  
168 2018). For debris flows caused by heavy rainfall, however, a Froude number greater than 10  
169 has been adopted in water-dominant debris flows for the simulation of rapid flow movement  
170 (Choi et al. 2017). In this study, to reproduce a debris flow with rapid flow characteristics  
171 caused by a typhoon and heavy rainfall, the approaching Froude number upstream of the baffles  
172 was determined as 8.0.

173 *2.3. Test condition and procedure*

174 In order to reproduce the debris flow behavior in the flume, a prototype flow was simulated  
175 as an ideal two-phase mixture of granular materials. For the small-scale test, the granular  
176 materials were made to be similar to the grain size distribution in the debris hazard site at  
177 Samcheok, Korea where a debris flow occurred in 2019. The debris mixtures were composed  
178 of 25% gravel (5-10 mm in diameter), 25% coarse sand (2-5 mm in diameter), and 50%  
179 medium to fine sand (0.25-2.0 mm in diameter). Fig. 9 shows a comparison of the grain size  
180 distribution for the mixed debris materials and the natural weathered soil collected from the  
181 debris hazard site in Samcheok, Korea. As shown in Fig. 9, two types of materials, gravel and  
182 sand, exhibited a similar grain size distribution. Since silt and clay size materials adhered to  
183 the side walls and made it impossible to analyze the moving particle images, to obtain a clear  
184 observation of the flow dynamics, they were excluded from the debris mixture. Instead, to  
185 reproduce the viscous flow characteristics of debris flows (granular-fluid mixtures), glycerin  
186 was mixed with the debris mixtures and water. The volumetric solid fraction of the debris flow  
187 tested was determined to be 50% and the dynamic viscosity of the debris specimen as about  
188 0.05 pa·s, which was measured by a large vane rheometer test, was achieved. In addition, prior  
189 to the small-scale flume test with various baffle arrays, run-out tests for two types of debris  
190 materials with two rows of baffles was conducted. Dynamic similarity upstream between the

191 reproduced two-phase soil mixture and the natural weathered soil of the debris hazard site was  
192 also confirmed as shown in Fig. 10 where the Froude number was measured at the 0.1 m  
193 upstream before the array of baffles. After the test, to simulate the dynamic similarity ( $Fr =$   
194 8.0) of debris flows approaching upstream of the baffles, a series of preliminary tests under  
195 various flume inclinations with no baffles was conducted to consider the change in the total  
196 discharge of a debris flow as well. From this, we determined the flume inclination (29°) and  
197 the total mass of debris (20 kg) to carry out the small-scale flume test. With these test  
198 conditions, a predetermined Froude number scaling ( $Fr \approx 8.0$ ) could be achieved with an  
199 approaching velocity of 3.3 m/s and a flow depth of 0.02 m.

200 To capture the dynamic loading for the baffle, a high sampling rate of 5 kHz from the load cell  
201 was selected. Fig. 11 shows the array of cylindrical baffles installed in two rows in the small-  
202 scale flume. To measure the dynamic impact load, the bottom of the cylindrical baffle with a  
203 load cell was detached from the flume and they were fixed by a rectangular column which was  
204 firmly fixed to the flume as shown in Fig. 12. The transverse blockage ratio, defined as the  
205 ratio of baffle width along the transverse direction to the flume width, was determined to be  
206 40%, as proposed by Watanabe et al. (1980) and Ikeya and Uehara (1980). Once the baffles  
207 were installed, as shown in Fig. 2, high-speed cameras were installed at 0.1 m (upstream  
208 direction) and 1.4 m (downstream direction) upstream from the first row of baffles and  
209 downstream from the last row of baffles. During the flow process, the estimation of frontal  
210 velocity in all tests was based on captured image profiles using the high-speed cameras  
211 installed on side of the flume. Because the high-speed camera can capture images at 300 frames  
212 per second with a resolution of  $1280 \times 768$  pixels, an accurate velocity estimation is possible  
213 through front flow movement and particle tracking in the images taken by the high-speed  
214 cameras. A digital camera was also installed at the side of the baffle array to observe the overall  
215 behavior of the debris flow dynamics along the flume at 240 frames per second with a

resolution of 1920×1080 pixels. After all the cameras were installed, the granular-fluid mixtures were poured into the storage container at the top of the flume. At this stage, to prevent sedimentation of the prepared debris in the storage container, the mixtures were continuously stirred by an electric hand mixer. Then, the debris was discharged with the opening of the trap door. The initial bulk density and the initial volume in the storage container was 1,925 kg/m<sup>3</sup> and 0.015 m<sup>3</sup>, respectively. After the test, the velocity and flow depth upstream and downstream were estimated by the captured image profiles through the high-speed camera. The estimated velocity based on the high-speed camera was verified by particle image velocimetry (PIV) analysis, and the velocity estimated by both methods was similar. The impact load was also estimated by the recorded loading data of a load cell.

In order to investigate the flow characteristics for an increased magnitude of debris flow and baffle arrays, large-scale flume tests were performed under the similar test conditions with the small-scale flume test. Because it is difficult to conduct a large number of tests at a large scale, the test conditions were determined based on the results of the small-scale flume test. In the large-scale flume tests, the height of the baffles was fixed as 304 mm and two and four rows of baffles were investigated. Considering the similarity ratio to the small-scale test (1/7), the height of baffles in the large-scale test corresponded to the case of the small-scale flume with 40 mm height baffles. Fig. 13 shows the array of cylindrical baffles in two rows in the large-scale flume. The cylindrical baffles were installed at the large flume, and the baffle at the center of each row had a load cell to measure the dynamic impact load with a sampling rate of 2 kHz. The transverse blockage ratio was about 44%, which was similar to the small-scale test. A high-speed camera and digital cameras were installed at the top and side of the flume to measure the velocity and flow depth. Subsequently, a two-phase debris flow was reproduced by mixing gravel, sand, clay, and water in the storage container. In this study, a representative debris mixture of East Asia was selected, and it had similar ranges with the debris mixtures of the

241 debris hazard site in Korea (Ng et al. 2019). The debris mixture was composed of 36% gravel  
242 (20 mm in diameter), 61% sand (0.25-0.5 mm in diameter), and 3% silt (< 63  $\mu\text{m}$  in diameter).  
243 The initial bulk density and the initial volume in the storage container were about 2,000  $\text{kg/m}^3$   
244 and 3.0  $\text{m}^3$ , respectively. The volumetric solid fraction of reproduced debris flow was 55%.  
245 The initial bulk density and the initial volumetric solid fraction of debris flow for each test was  
246 similar to each other. When the debris preparation and the camera installation were complete,  
247 the debris mixture was released from the storage container by opening of the trap door. During  
248 the test, the flow interactions upstream and downstream of the baffles were captured by the  
249 high-speed cameras and digital camera and the impact load was measured by the load cell. The  
250 test conditions are summarized in Table 1.

251 **3. Small-scale test**

252 *3.1. Flow kinematics and velocity reduction*

253 The kinematics of the flow flowing through the baffle arrays can be categorized as initial  
254 inflow, run-up, and overflow after impact. Fig. 14 shows a comparison between the observed  
255 kinematics captured by the high-speed camera and the analysis by particle image velocimetry  
256 (PIV) for test SH40\_R2 from the side of the small-scale flume. In Fig. 14 (a) the flow front  
257 entered into the first row of baffles along the downslope direction. After the front flow  
258 impacted the first row of baffles, the run-up of the debris flow occurred almost perpendicular  
259 to the bottom of the flume while the rest of the debris flow was concentrated among the first  
260 row of baffles and the discharged front flow reached the second row of baffles. Here, the flow  
261 depth approaching the baffles increased as the effect of jet flow increased (Fig. 14 (b)). As the  
262 flow impacted the second row of baffles, the run-up occurred sequentially and the flow height  
263 around the second row of baffles was higher than the baffle height (Fig. 14 (c)). The image

analysis revealed that the flow became dispersed as the front flow started to interact with the baffle. Subsequently, there was a flow velocity reduction due to consecutive impacts after the second row of baffles. As the inflow from upstream gradually increased, the upstream flow depth reached a maximum height, and the flow jets between the baffles of the first row consecutively continued to be deflected by the staggered arrays of the second row of baffles. Subsequently, overflow over the baffle arrays began to be observed (Fig. 14 (d)). Here, the flow depth increased up to 2.5 times than the baffle height of the second row. Fig. 15 shows the side view of the flow interacting with various numbers of rows of baffles. Observing the flow depth indicated as a dashed line in Fig. 15, we see that one row of baffles did not have a major effect on the flow impedance because the flow discharged between the baffles was not intercepted (Fig. 15 (a)). However, the increase in the number of rows of baffles from 2 to 4 exhibited an additional impedance of flow within the baffle array (Figs. 15 (b) and (c)) and, accordingly, the flow depth around the baffle arrays significantly increased.

Fig. 16 shows the change of frontal velocity along the transportation zone of the flume with various heights and numbers of rows of baffles. The distance traveled along the transportation zone for each test was normalized with the whole length of the flume ( $L_w = 4.0$  m, including the length of the storage container). The frontal velocity was estimated from upstream ( $L/L_w = 0.36$ ) to downstream ( $L/L_w = 0.86$ ) of the baffle array. In the baffle zone, the frontal velocity was estimated sequentially at intervals of 0.2 m, which was the same distance as the spacing of baffle rows. For the estimation of frontal velocity in all tests, high speed images from the side view of the flume were used because the frontal velocity estimation based on the free surface above the flow was difficult due to the run-up and overflow of debris flows. All tests exhibited an increase in frontal velocity after being released from the storage container, and the velocity of the approaching flow reached about 3.3 m/s before entering the baffle arrays. Meanwhile, the frontal velocity after passing through the array of baffles showed a distinct

change for each type of baffle configuration. For the case with no baffles (SH0), a continuous and gradual increase in frontal velocity was observed because of the absence of the interference of baffles. One row of baffles (SH40\_R1 and SH80\_R1) had a minor effect on the frontal velocity because 60% of the flow (40% of blockage ratio) freely passed between the baffles without any interference, as observed in previous researches (Ng et al. 2014). However, with two and four rows of baffles (SH40\_R2, R4 and SH80\_R2, R4), a rapid decrease in velocity was observed until the final row of baffles, even though the decreasing ratio decreased after the second row of baffles. Two rows of baffles (SH40\_R2) exhibited a 22% frontal velocity reduction at  $L/L_w = 0.59$  compared with the case of no baffle, after which the frontal velocity at  $L/L_w = 0.71$  increased by 15%, which was similar to the case without baffles. The addition of baffle rows (SH40\_R4) led to an additional 23% of frontal velocity reduction at  $L/L_w = 0.71$  compared with two rows of baffles (SH40\_R2). Furthermore, installing taller baffles in two rows (SH80\_R2) exhibited a 33% frontal velocity reduction at  $L/L_w = 0.71$  compared with the case with shorter baffles (SH40\_R2). By increasing the number of rows of taller baffles from 2 (SH80\_R2) to 4 (SH80\_R4), the frontal velocity at  $L/L_w = 0.71$  after passing through the array of baffles decreased by up to 41%. Taller baffles produced a higher impedance of flow, but increasing the number of rows provided greater energy dissipation in the baffle arrays. In Fig. 16, an increase in the frontal velocity downstream after passing through the array of baffles was observed for all cases due to a gradual acceleration of debris flow by the transportation process in the remaining part of the flume.

### 3.2. Impact load characteristics

Fig. 17 shows a comparison of impact load versus time for various baffle heights. To investigate the overall impact load behavior against debris flow, the impact load was measured in the baffle array with four rows of baffles. During flow process, the starting time of impact

313 at the first row was set to  $t = 2.0$  s, and the total testing time was about 5.0 s. The largest impact  
314 load for each row of baffle is also indicated in the figure (first, second, third, and fourth row).  
315 For short baffles (SH40), comparing the time histories for the peak impact of each baffle, the  
316 peak impact load for the first row of baffles was at  $t = 0.02$  s. The peak impact load at the  
317 second, third, and fourth rows of baffles was at  $t = 0.14$ , 0.22, and 0.34 s, respectively. After  
318 the impact load reached the peak value, it did not remain in a static state but decreased quickly  
319 as the flow discharged downstream. During the impact process, the highest peak impact load  
320 occurred at the second row of baffles, and it was 36% higher than that measured at the first row  
321 of baffles. This was because the jet flow, which discharged from openings of the first row of  
322 baffles, impacted the second row of baffles with increased flow depth. The increased flow  
323 depth induced the increase of impacting area in the second row of baffles. As shown in Fig. 16,  
324 the flow velocity decreased slightly after the first row of baffles and then decreased  
325 significantly after the second row of baffles. Therefore, the effect of increased impacting area  
326 was more dominant than the decreased frontal velocity at the impact against the second row of  
327 baffles. After the second row of baffles, however, the impact load decreased up to an average  
328 of 28% compared with the impact load at the first row of baffles as the flow was continuously  
329 intercepted by the additional staggered rows. For tall baffles (SH80), the peak impact at the  
330 first, second, and third rows of baffles were at  $t = 0.03$ , 0.24, and 0.25 s, respectively, which  
331 was a 37% longer time on average compared with the case with short baffles (SH40). The  
332 impact load at the fourth row of baffles could not be measured due to a problem in the load  
333 cell. The reason for the longer time for the peak impact load in tall baffles was that the tall  
334 baffles had a higher impedance of flow than short baffles. The highest impact load was also  
335 measured at the second row of baffles and it was 55% higher than that measured at the first  
336 row of baffles. The impact load at the third row of baffles, then, began to decrease with the  
337 continuous interception of flow from the second rows of baffles. Furthermore, because of the

338 increased cross-sectional area of the taller baffles, there was a 43% increase in the peak impact  
339 load of second row of baffles compared with shorter baffles as it induced a higher flow  
340 impedance of baffles. However, shorter baffles caused a significant overflow over the second  
341 row of baffles, which generated a lower impact load than that of taller baffles. As already  
342 discussed for Fig. 16, the larger dynamic impact load in taller baffles produced a greater  
343 downstream velocity reduction than in shorter baffles. The frontal flow velocity before the first  
344 and second row of baffles was similar with each other, but the frontal velocity of taller baffles  
345 decreased much before the third row of baffles. Thus, the impact load at the first and second  
346 row of taller baffles were higher than that of the shorter baffles because of higher flow  
347 impedance by taller baffles. Therefore, not only increasing the number of rows of baffles but  
348 also increasing the baffle height can substantially contribute to the dissipation of potential  
349 downstream flow energy.

#### 350 **4. Experimental verification through large-scale test**

##### 351 *4.1. Energy dissipation by baffle arrays*

352 Fig. 18 shows a comparison of downstream velocity change for the small- and large-scale  
353 tests. The upstream and downstream frontal velocities, which was measured at a position with  
354 a similar scaling ratio for small- and large-scale tests, were used to estimate the velocity change  
355 ratio. In the figure, the velocity change ratio, defined as  $((V_{upstream} - V_{downstream}) / V_{upstream}) \times 100$ , higher than 0 and lower than 0 represents the velocity increase and decrease  
356 downstream, respectively. For the small-scale test, the velocity slightly increased downstream  
357 by about 11 to 13% compared to the upstream velocity when there was no baffle or only one  
358 row of baffles. On the other hand, the velocity decreased downstream by about 14% and 33%  
359 for the two and four rows of baffles with a height of 40 mm (SH40\_R2, SH40\_R4),  
360

361 respectively. And the velocity downstream decreased further by about 39% and 65% for the  
362 two and four rows of baffles, respectively, with an increasing baffle height from 40 to 80 mm.  
363 Fig. 19 shows the kinematics of debris flow through two rows of baffles, as captured from the  
364 side of the large flume. The flow front rapidly entered into the upstream of the baffles (Fig. 19  
365 (a)). The flow was consecutively affected by the first and second rows of baffles, and the run-  
366 up was observed behind the first row of baffles. After that, the dispersion of the flow due to  
367 continuous impacts of flow was observed around the array of baffles, and the overflow began  
368 to occur over the baffles (Fig. 19 (b)). As the flow jet was deflected by the staggered arrays of  
369 the second row of baffles, the effect of flow impedance increased, and then the flow depth  
370 increased up to 3.8 times than the baffle height of the installed second row (Fig. 19 (c)). The  
371 mutual interaction between the flow and the baffles for the large-scale test was similar to that  
372 of the small-scale test in Fig. 14.

373 For the large-scale test with two rows of baffles, the downstream velocity decreased by an  
374 average of 34% compared to the upstream velocity. Similar to the small-scale test, increasing  
375 the number of rows of baffles from 2 (L\_R2) to 4 (L\_R4) created a 32% further velocity  
376 reduction downstream. The frontal velocity and velocity change ratio for both upstream and  
377 downstream for all tests are summarized in Table 2. Although the frontal velocity both upstream  
378 and downstream of the large-scale test was about 2.0 times higher than that of the small-scale  
379 test, the tendency of the velocity reduction was similar. It is obvious that increasing the number  
380 of rows of baffles provides greater flow interference passing through the baffle arrays.  
381 Therefore, increasing the number of baffle arrays decreases the downstream discharge, and  
382 consequently the downstream flow energy is reduced.

383 The energy loss for various baffle arrays can be deduced by the law of conservation of energy  
384 (Choi et al. 2014a; Wang et al. 2017b; Kim 2021). Based on the difference in velocity, flow  
385 depth, and potential head upstream ( $h_u + v_u^2/2g + z_u$ ) and downstream ( $h_d + v_d^2/2g + z_d$ ),

386 the energy loss ( $E_u - E_d = \Delta E$ ) during the flow process for each test can be estimated. Here,  
387  $E_u$  is the upstream flow energy;  $E_d$  is the downstream flow energy; and  $\Delta E$  is the energy  
388 difference between the upstream and downstream baffle arrays. For simplicity, the flow was  
389 assumed to be incompressible so that the flow density did not change significantly during the  
390 test. Fig. 20 shows the comparison of the energy loss ratio ( $\Delta E/E_a$ ) between upstream and  
391 downstream of the small-scale test and the large-scale test. The energy loss ( $\Delta E$ ) for each test  
392 was normalized by the approaching flow energy ( $E_a$ ) right before the baffles. The results  
393 revealed that the use of baffle arrays increased the energy loss for both the small-scale and the  
394 large-scale tests. For the small-scale test, the energy loss for the cases with two rows of baffles  
395 increased by 35% as the baffle height increased from 40 to 80 mm. Taller baffles contributed  
396 to an increase of energy loss due to a higher impedance compared to shorter baffles. Moreover,  
397 additional numbers of rows of baffles can create greater energy loss of the baffle arrays.  
398 Increasing the number of rows of baffles from 2 rows (SH40\_R2 and SH80\_R2) to 4 rows  
399 (SH40\_R4 and SH80\_R4) provided an additional 21% of energy loss on average. However, an  
400 insufficient number of rows of baffles showed only a minor effect on the energy loss regardless  
401 of the baffle height. One row of baffles (SH40\_R1 and SH80\_R1) exhibited low energy loss  
402 similar to the case with no baffles (SH0). This was because the jet flow between baffles  
403 discharged without any interference. To induce an effective dissipation of flow energy, two or  
404 more rows of baffle arrays are needed regardless of baffle height. Likewise, increasing the  
405 number of rows of baffles in the large-scale test produced an additional energy loss of 20%.  
406 Fig. 21 shows a comparison of the energy loss for various baffle configurations for the small-  
407 scale test (ST) and the large-scale test (LT). In addition, in order to verify the energy loss in  
408 cylindrical baffles, the results of the energy loss for granular flows with various types of baffles  
409 from previous studies (Choi et al., 2014a; Kim, 2021) were added to the figure, and the trend  
410 lines for each test are plotted for reference. The solid line and the dashed line indicate the trend

411 line for a granular flow and a two phase flow, respectively. The energy losses for the granular  
412 flow showed a linearly increasing trend as the number of rows of baffles increased regardless  
413 of baffle shape. In contrast, the energy loss for debris flows exhibited an abrupt increase when  
414 the number of rows of baffles increased from one to two both for small- and large-scale tests.  
415 Thus, the effect of an additional row of baffles is crucial, especially for two phase flows such  
416 as debris flows. Additional numbers of rows further perturbed the flow pattern and led to  
417 greater deflection of energy dissipation within the baffle arrays, and consequently, additional  
418 rows of baffles promoted the deflection of flow discharge, which led to more effective energy  
419 dissipation.

420 *4.2. Normalized impact load*

421 Fig. 22 shows the comparison of impact load versus time for four rows of baffles in the large-  
422 scale test. The impact load for the third row of baffles was not included in the figure because  
423 the impact load was not measured due to a problem with the load cell during the test process.  
424 The total testing time was 12.0 s. As the debris flow was impeded by baffles, a sharp increase  
425 of impact load was observed. After the initial impact of the debris flow, the flow reached the  
426 highest peak impact load. The peak loads of the first, second, and fourth rows of baffles were  
427 at  $t = 1.75, 2.15$ , and  $3.60$  s. Then, the impact load gradually decreased as the flow discharged  
428 downstream, and the impact process did not reach a static state until the end of the flow. In the  
429 baffle arrays, the impact load was sequentially recorded in the order of the first, second, and  
430 fourth row of baffles. The time histories for the impact load of the baffles were similar to the  
431 results of the small-scale test.

432 Fig. 23 shows the comparison of the peak impact load for four rows of baffles in the small-  
433 scale test (ST) and the large-scale test (LT). The impact load for each row of baffles was  
434 normalized with the impact load measured at the first row of baffles ( $L_{1st}$ ). The results showed

435 that the overall trend of the impact load exhibited a gradual reduction as the flow passed  
436 through the baffles. The highest peak impact load, however, was measured at the second row  
437 of baffles in the small-scale test while, in the large-scale test, it was measured at the first row  
438 of baffles. The peak impact load for the small-scale test at the second row of baffles was due  
439 to an increased jet flow after the first row of baffles (Fig. 14 (b) and (c)), and this impact load  
440 became higher as the baffle height increased. But, the peak impact load for the large-scale test  
441 occurred at the first row of baffles. Because of a higher depth of approaching flow upstream, a  
442 highest peak impact load occurred at the first row of baffles. Moreover, the jet flow after the  
443 first row of baffles had a higher flow depth than the baffle height, but the flow depth caused a  
444 significant overflow over the second row of baffles, which produced a lower impact load.  
445 Nevertheless, the impact load continuously decreased to the final row of baffles, and the  
446 reduction ratio of the impact load for the final row of baffles for both the small- and large-scale  
447 test was on average of 50% compared with the first row of baffles. Since the dynamic pressure  
448 is proportional to the density and the square of the flow velocity, the velocity reduction  
449 contributed most to the decrease of the impact load. Accordingly, the consecutive velocity  
450 reduction caused by the increased number of rows of baffles will be effective not only in energy  
451 dissipation but also in lessening the impact load.

#### 452 *4.3. Dynamic pressure coefficient*

453 The debris flow impact load is one of the important parameters for designing hazard  
454 mitigation measures (Song et al. 2019), and is simultaneously the key aspect to guarantee the  
455 efficiency of baffle structure against debris flow. In current engineering practice, the dynamic  
456 impact load against debris flow countermeasures is estimated using the dynamic pressure  
457 coefficient. Generally, a dynamic pressure coefficient ( $\alpha$ ) higher than 1.0 has been  
458 recommended for the design of debris flow-resisting structures (Song et al. 2019; Kwan 2012).

459 For closed-type rigid barriers and flexible barriers,  $\alpha = 2.5$  (Kwan 2012) and  $\alpha = 2.0$  (Kwan  
460 and Cheung 2012) are used, respectively. In this study, dynamic pressure coefficients for  
461 cylindrical baffles were estimated based on the highest peak impact load, the frontal velocity,  
462 and the flow depth, which was measured at the first row of baffles for the small-scale test and  
463 the large-scale test. Eq. (2) represents the hydrodynamic approach model to estimate the impact  
464 load of debris flows. In this model, the flow is assumed to be incompressible so that the flow  
465 density does not change without reduction of the total mass because it is usually difficult to  
466 obtain an accurate flow density during the flow impact.

$$F = PA = \alpha \rho v^2 h w \quad (2)$$

467 where  $\alpha$  is the dynamic pressure coefficient,  $\rho$  is the bulk density of flow ( $\text{kg}/\text{m}^3$ ),  $v$  is the  
468 flow velocity ( $\text{m}/\text{s}$ ),  $h$  is the flow depth ( $\text{m}$ ), and  $w$  is the flow width ( $\text{m}$ ). Table 3 summarizes  
469 the Froude number, peak impact load, and dynamic pressure coefficient estimated from the  
470 small- and large-scale tests. To compare the dynamic flow characteristics for both the two tests,  
471 the Froude number and dynamic pressure coefficient of the small- and large-scale tests were  
472 estimated using the peak impact load for the first row of baffles and the frontal velocity  
473 approaching the upstream of baffle captured at the top of the flume. Although the highest peak  
474 impact load occurred at the second row of baffles, the flow parameters behind the first row of  
475 baffles were used to estimate the dynamic pressure coefficient. This was because the flow after  
476 passing through first row of baffles forms a jet flow, and then the density may be changed  
477 during the interaction between the flow and the baffles. This effect can lead to the uncertainty  
478 in term of dynamic pressure coefficient for design of baffles. By increasing the magnitude and  
479 size of the debris flow and baffles with the flume, the peak impact load of the large-scale test  
480 was 34 times higher than the impact load of the small-scale test, whereas the deduced dynamic

481 pressure coefficients for the two tests were similar. Based on these tests, an average value of  
482 dynamic pressure coefficient was 0.31.

483 The deduced dynamic pressure coefficient ( $\alpha$ ) for the experimental tests were within a  
484 narrow range, and thus a comparison of values in a wide range can serve to bear additional  
485 insight on the relevance of the test results. Fig. 24 shows the relationships between the  
486 empirical dynamic pressure coefficient and the Froude number ( $N_{Fr}$ ) from previous research,  
487 including this study, and the values were calculated by the dynamic impact at the front of  
488 baffles without the static impact by the flow. The estimated values were from the experimental  
489 or field monitoring results of previous studies (Proske et al. 2011; Hübl and Holzinger 2003;  
490 Zhang and Yuan 1985; Cui et al. 2015; Ng et al. 2016; Hu et al. 2020; Cho et al. 2020). In this  
491 figure, the empirical dynamic pressure coefficient exhibited substantial changes depending on  
492 the flow characteristics described as the Froude number. The dynamic pressure coefficient of  
493 cylindrical baffles in the study was consistent with previous research. In comparison to that  
494 discussed for energy loss and impact load characteristic (Figs. 19 and 22), the small-scale test  
495 and the large-scale test were different in the scale of debris flow and baffles, but the energy  
496 loss and impact load characteristics for the two tests showed a similar tendency. The results of  
497 the small-scale test can be used to determine further appropriate specifications and  
498 arrangements for baffle design. However, in an actual watershed, because of gravel and  
499 boulders with large size and high rigidity, we must keep in mind that the actual debris flow  
500 could have a higher impact load. Therefore, we need additional study for impact load  
501 considering the interaction between flows with large boulders and the structure.

502 **5. Conclusions**

503 In this study, to examine the energy dissipation and dynamic impact load of debris flow on  
504 cylindrical baffles, small-scale flume tests were conducted according to various baffle

505 configurations. Moreover, to verify the experiment results for the scale effect of debris flow  
506 and cylindrical baffles on flow characteristics, large-scale tests were carried out as well. The  
507 results confirmed that the use of baffles reduced the frontal velocity and flow energy of debris  
508 flows, and it was effective in impeding flow mobility. Furthermore, increasing the number of  
509 rows of baffles increased the flow impedance due to the sequential cross-sectional obstruction  
510 in the flume and provided greater downstream energy loss. However, one row of baffles did  
511 not have a major effect on the energy dissipation. By increasing the scale of debris flow and  
512 baffles in the flume, the velocity and the impact load in the large-scale test were higher than  
513 the small-scale test, but the overall trend for both the energy loss and the impact load  
514 characteristics were similar. Based on the test results, the average dynamic pressure coefficient  
515 for cylindrical baffles was 0.31. For field application of baffles, however, additional study will  
516 be needed to investigate the effect of impact load with flow interaction including large boulders  
517 on the structure.

## 518 **Acknowledgement**

519 Funding: This research was supported by Basic Science Research Program through the  
520 National Research Foundation of Korea (NRF) funded by the Ministry of Education  
521 (2021R1A6A1A03044326).

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638

639 Table 1 The test conditions.

Baffle condition		Baffle height ( $H$ )	Number of rows ( $R$ )	Spacing between successive rows ( $L$ )	Blockage ratio ( $B$ )	Amount of soil	Designation			
Small-scale test	Without baffles	-	—	—	—	20 kg	SH0			
	With baffles	40 mm	1	-	40%		SH40_R1			
			2	SH40_R2						
			4	SH40_R4						
		80 mm	1	200 mm			SH80_R1			
			2				SH80_R2			
			4				SH80_R4			
			2				L_R2			
Large-scale test	With baffles	304 mm	4	1.5 m	44%	11,484 kg	L_R4			

640

641 Table 2 Comparison of flow velocity for all tests.

Test ID		Upstream, $v$ (m/s)	Downstream, $v$ (m/s)	Ratio
Small-scale test	SH0	3.30	3.73	+13%
	SH40_R1		3.65	+11%
	SH40_R2		2.85	-14%
	SH40_R4		2.20	-33%
	SH80_R1		3.70	+12%
	SH80_R2		1.92	-39%
	SH80_R4		1.14	-65%
Large-scale test	L_R2	6.66	4.42	-34%
	L_R4		2.99	-55%

642 Ratio: velocity increase [+], velocity decrease [-]

643 Table 3 Comparison of dynamic flow characteristics for each flume test.

Test ID		Density (kg/m <sup>3</sup> )	Froude number ( $N_{Fr}$ )	Peak impact load (N)	Dynamic pressure coefficient ( $\alpha$ )
Small-scale test	SH40_R4	1,925	8.58	7.82	0.33
	SH80_R4		8.91	9.78	0.39
Large-scale test	L_R4	2,000	7.14	300.00	0.21

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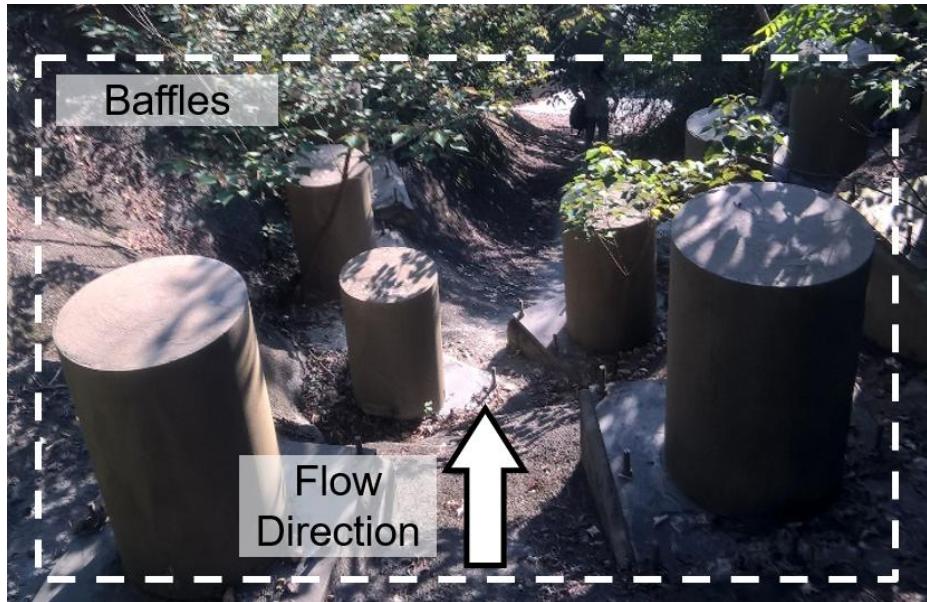


Fig. 1. Debris flow baffles installed at Lantau Island in Hong Kong, China.

648

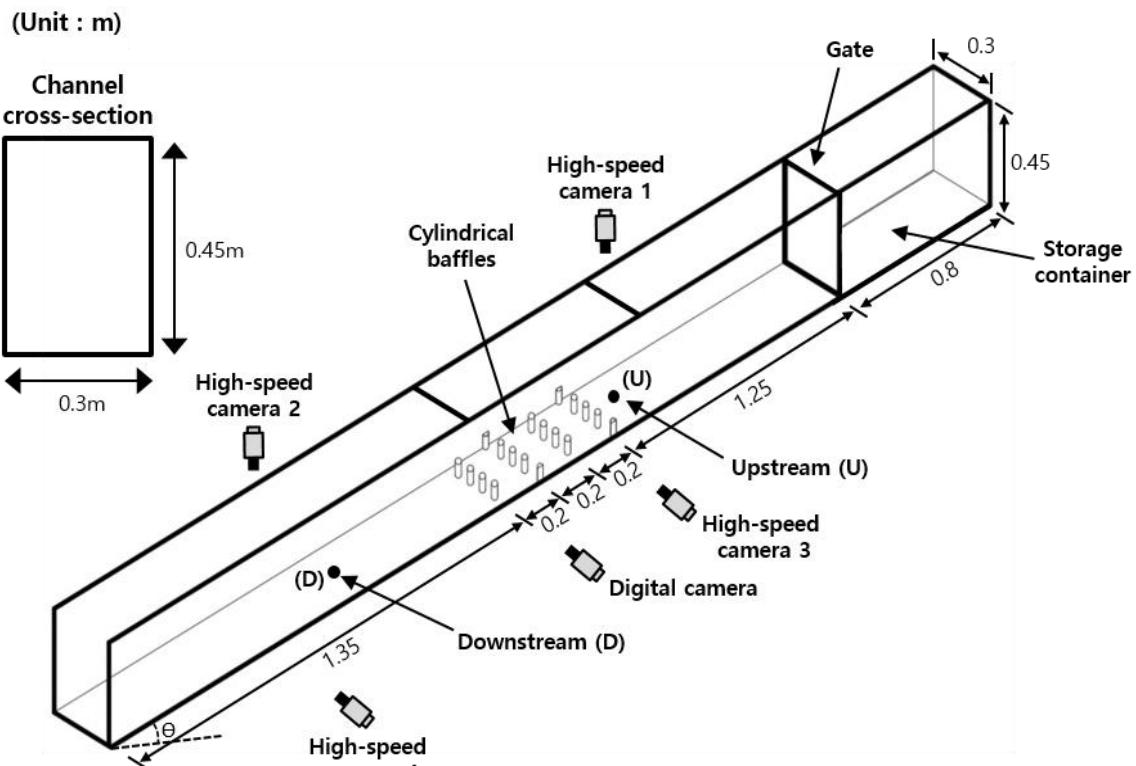
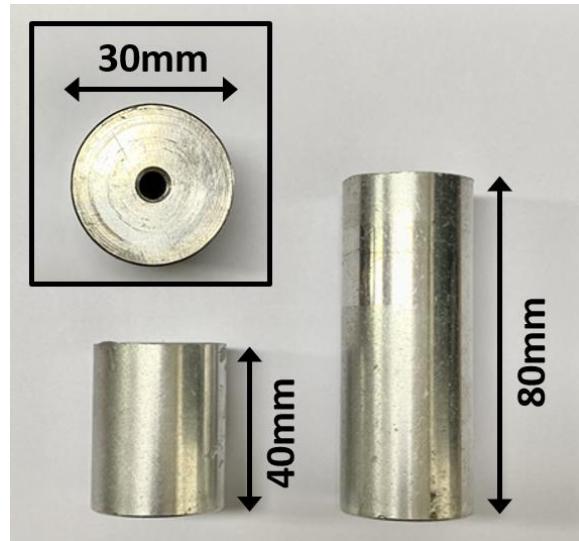


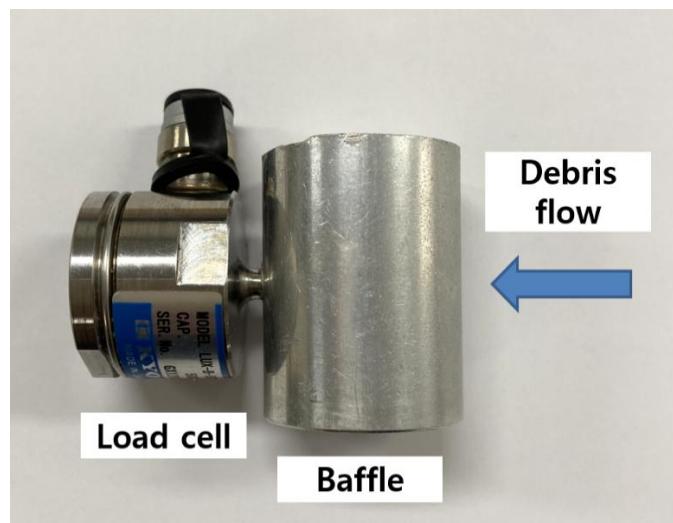
Fig. 2. Schematic diagram of the small-scale flume model.



652

653 Fig. 3. Dimensions of the cylindrical baffles used in the experiment.

654



655

656 Fig. 4. Cylindrical baffle with a load cell.

657



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Fig. 5. Small-scale test setup.

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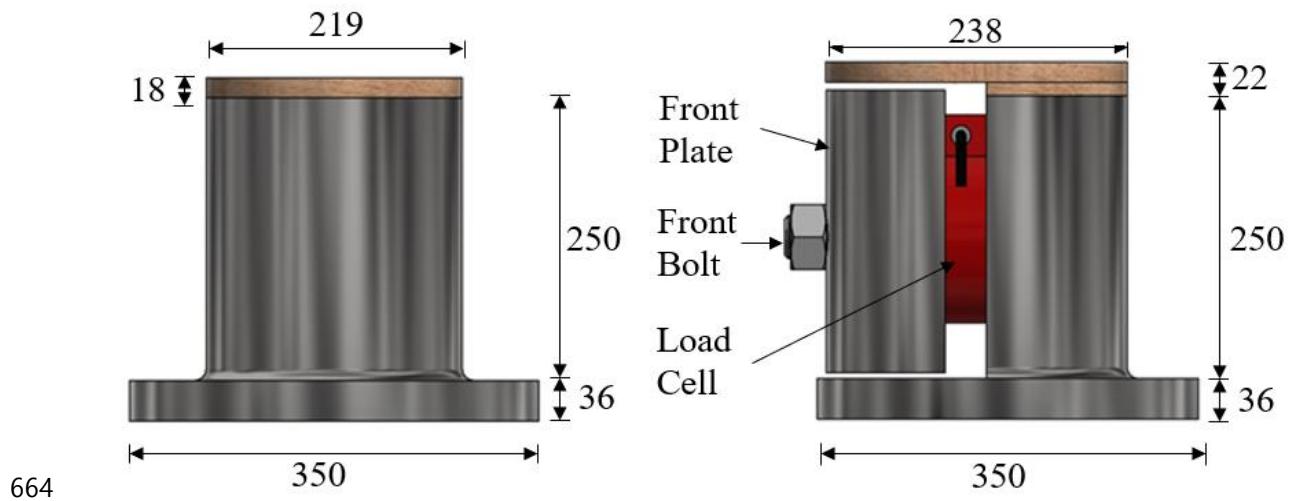


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Fig. 6. Plan view of a large-scale flume model (Kadoorie centre, Hong Kong, China).

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664  
665 Fig. 7. Installation of cylindrical baffles in the large-scale test device: (a) un-instrumented  
666 baffle; (b) instrumented baffle.

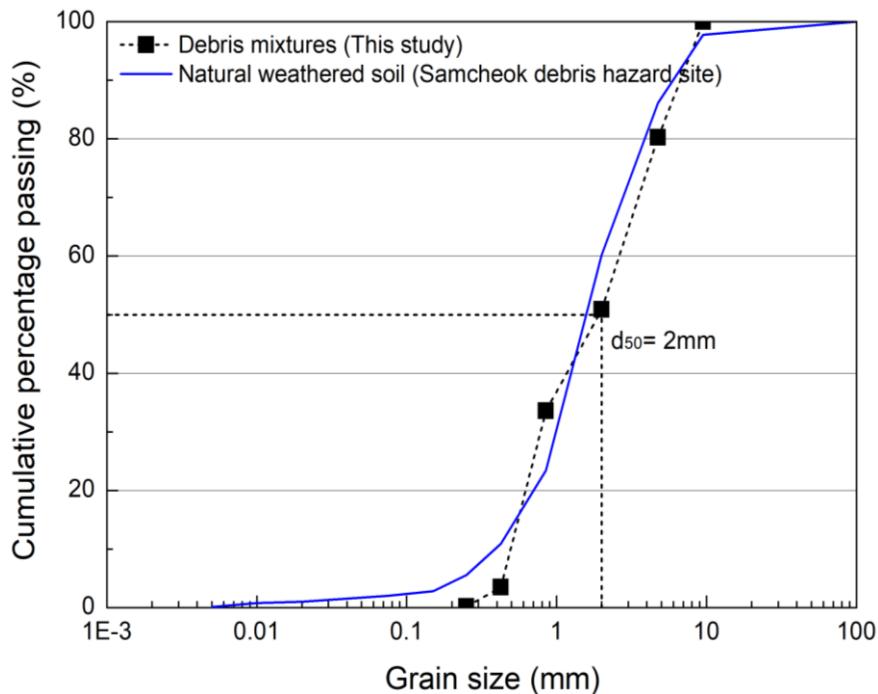
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669 Fig. 8. Large-scale test facility (Kadoorie centre, Hong Kong, China).

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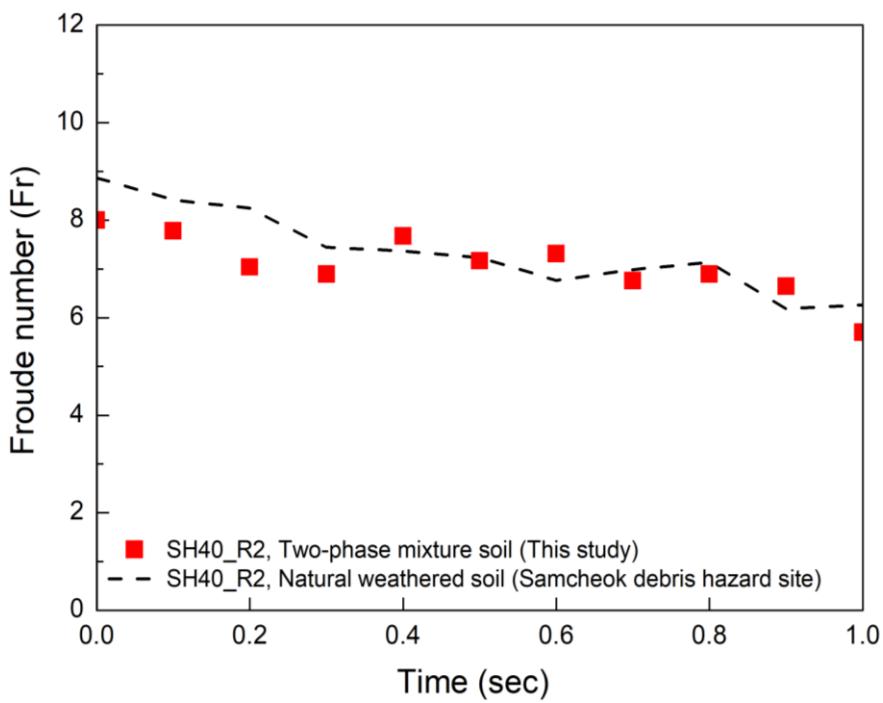


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Fig. 9. Grain size distribution.

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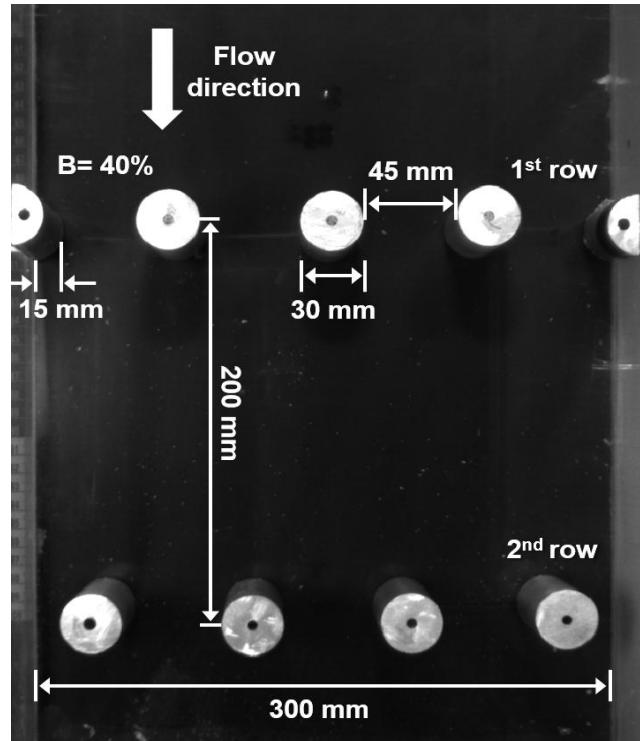
Fig. 10. Comparison of the Froude number for two-phase mixture soil

and natural weathered soil.

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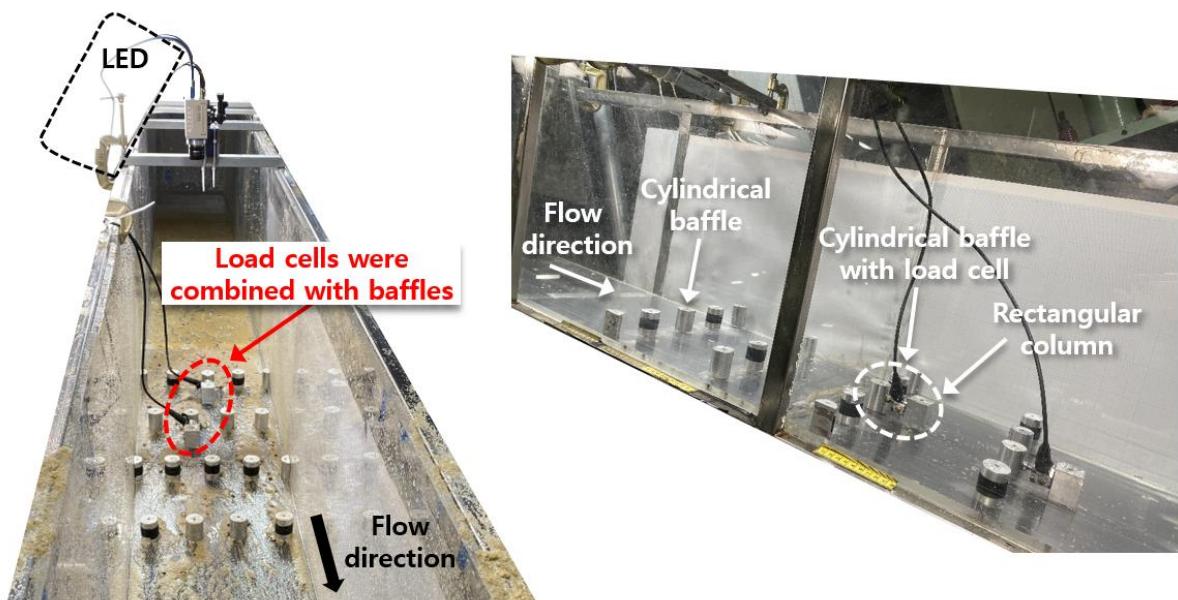
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Fig. 11. Installation of a cylindrical baffle array in the small-scale flume (SH60\_R2).

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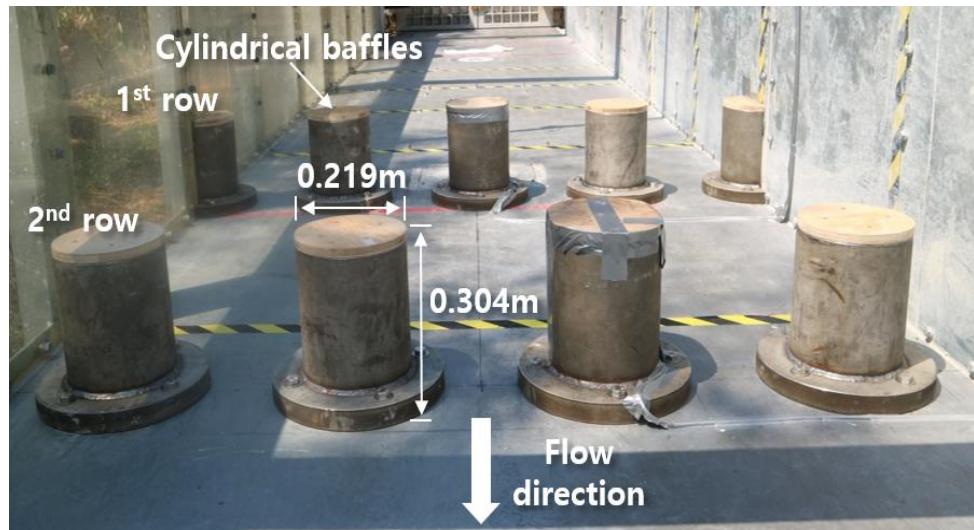


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Fig. 12. Installation of a cylindrical baffle array in the small-scale flume (SH40\_R4).

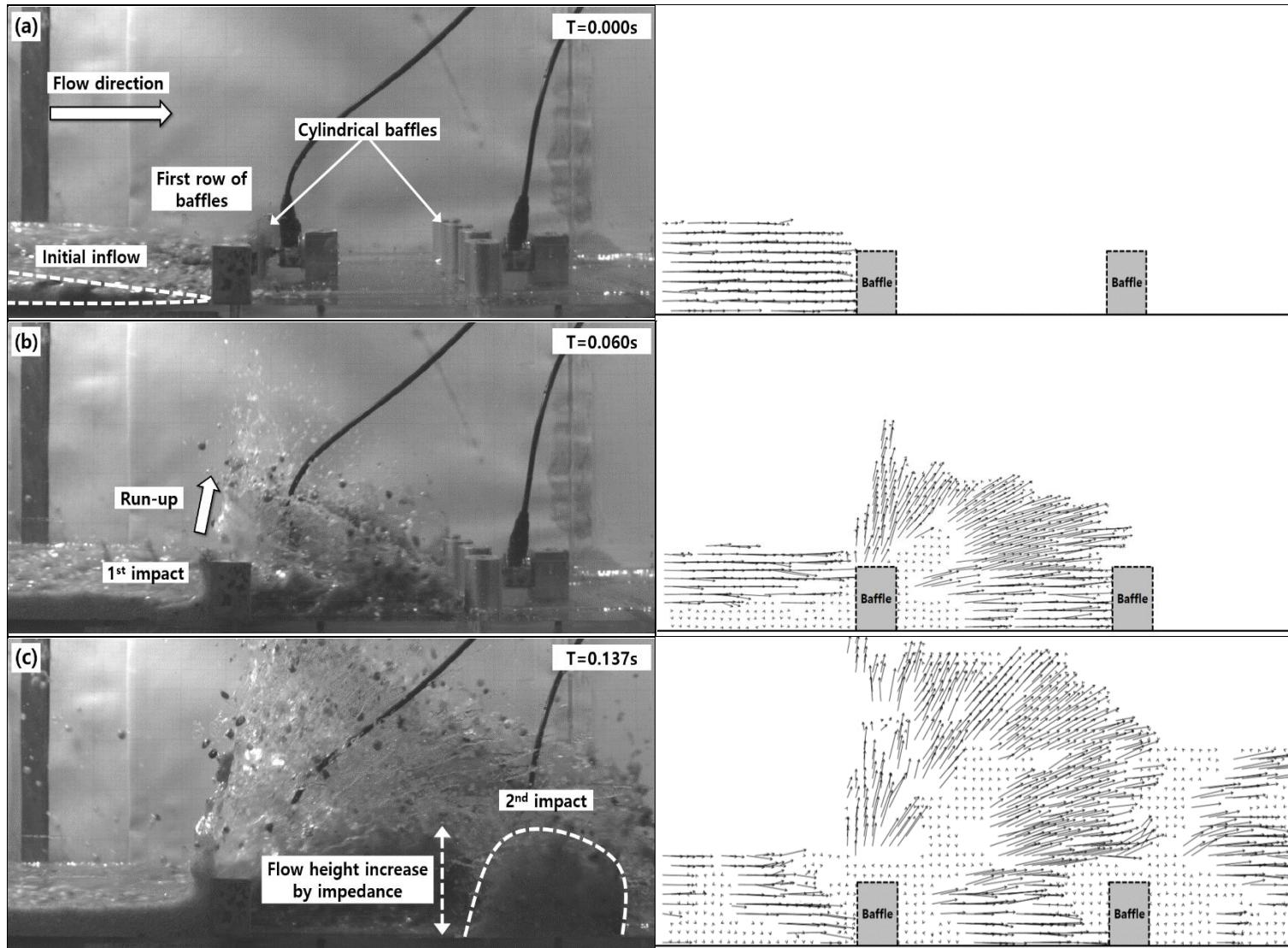
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Fig. 13. Installation of a cylindrical baffle array in the large-scale flume (L\_R2).



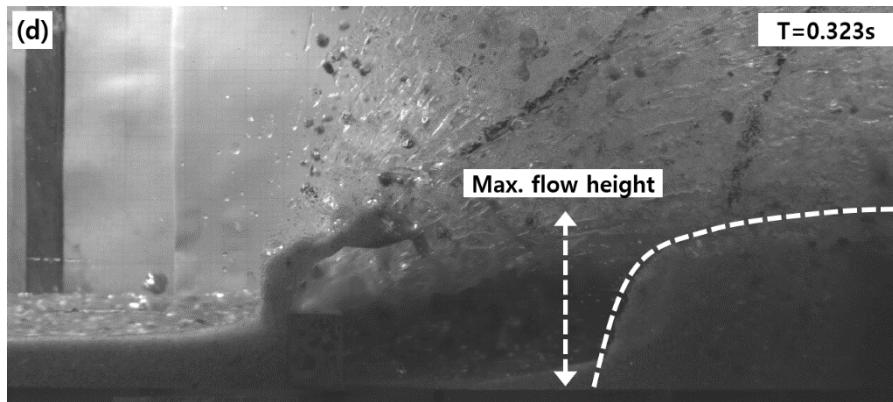
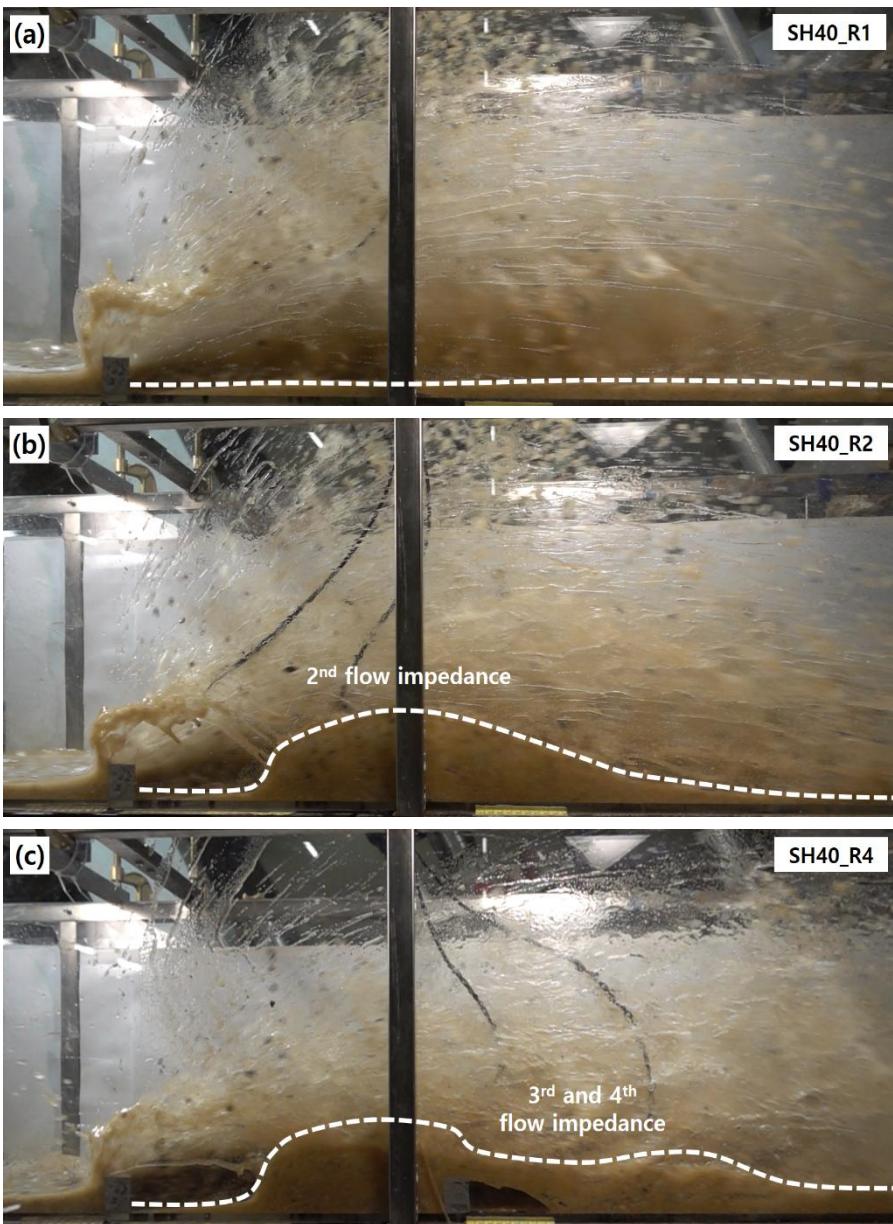
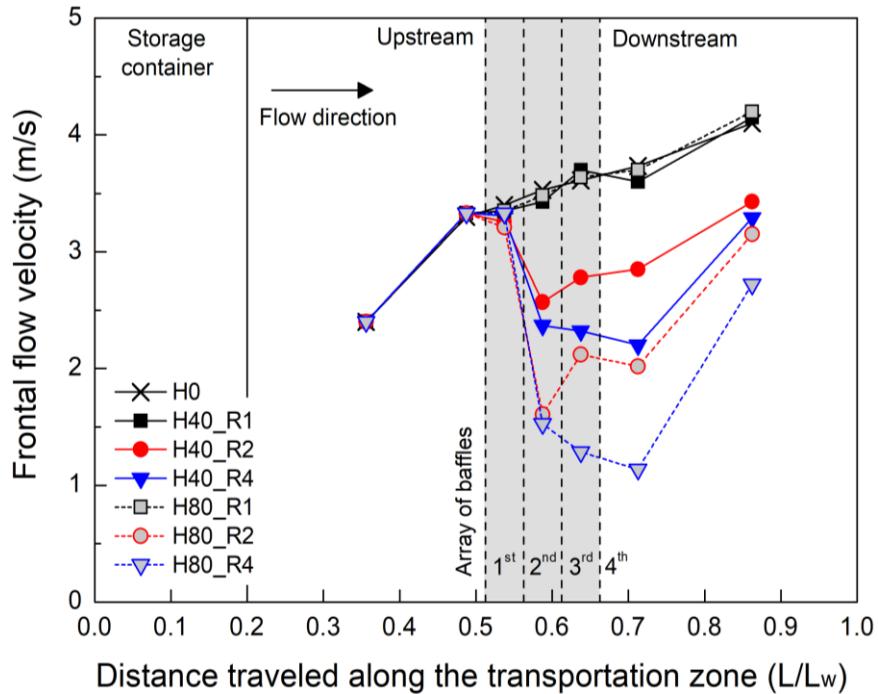


Fig. 14. Side-view flow kinematics of baffle array interaction in small-scale test (SH40\_R2).



695 Fig. 15. Effect of baffle array interaction for various numbers of rows in small-scale test: (a)  
696 one row (SH40\_R1); (b) two rows (SH40\_R2); (c) four rows (SH40\_R4).

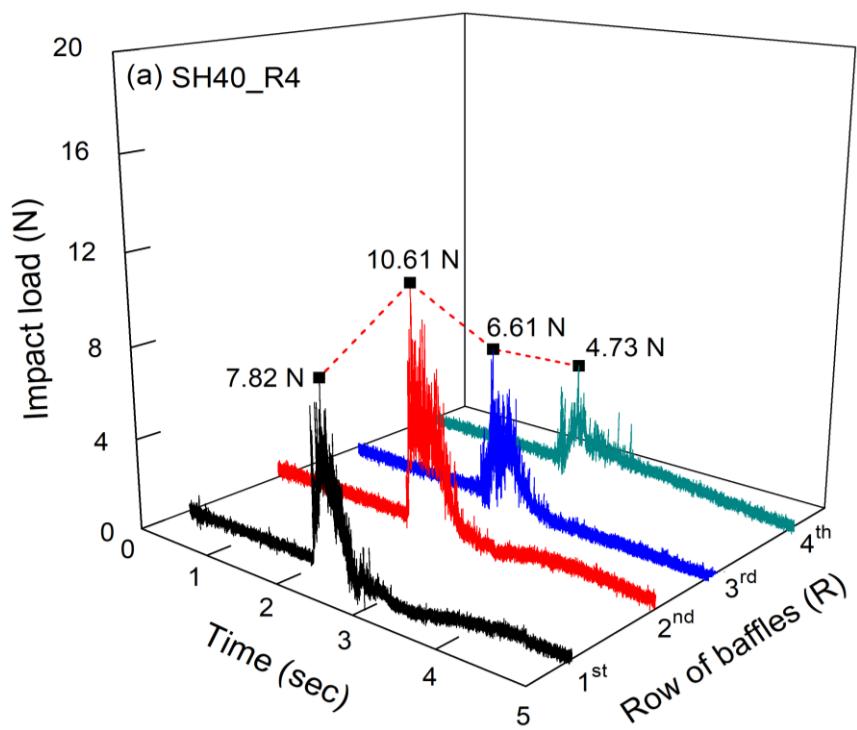
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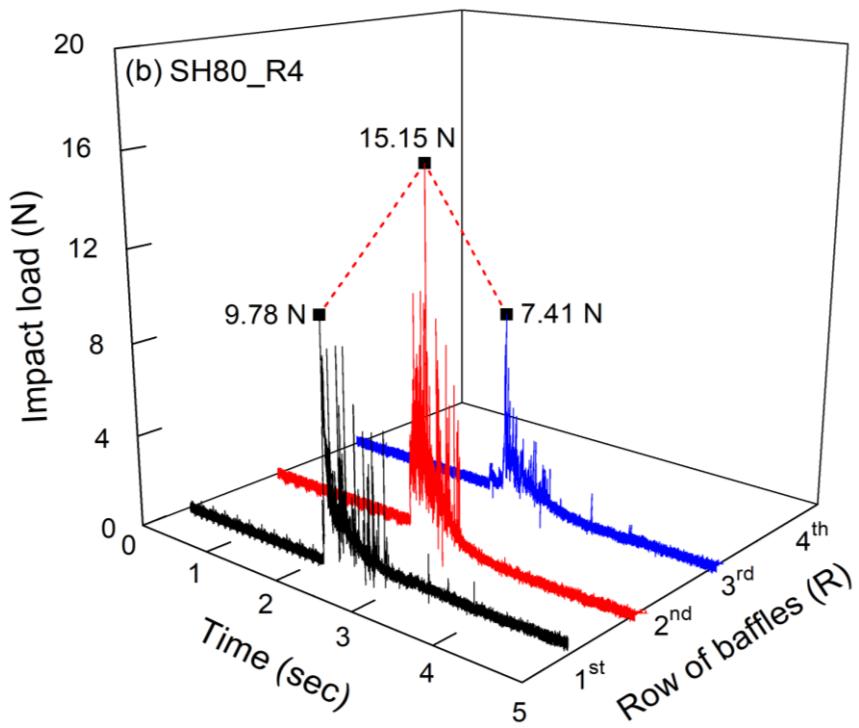
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699 Fig. 16. Frontal velocity along the flume for various baffle configurations.

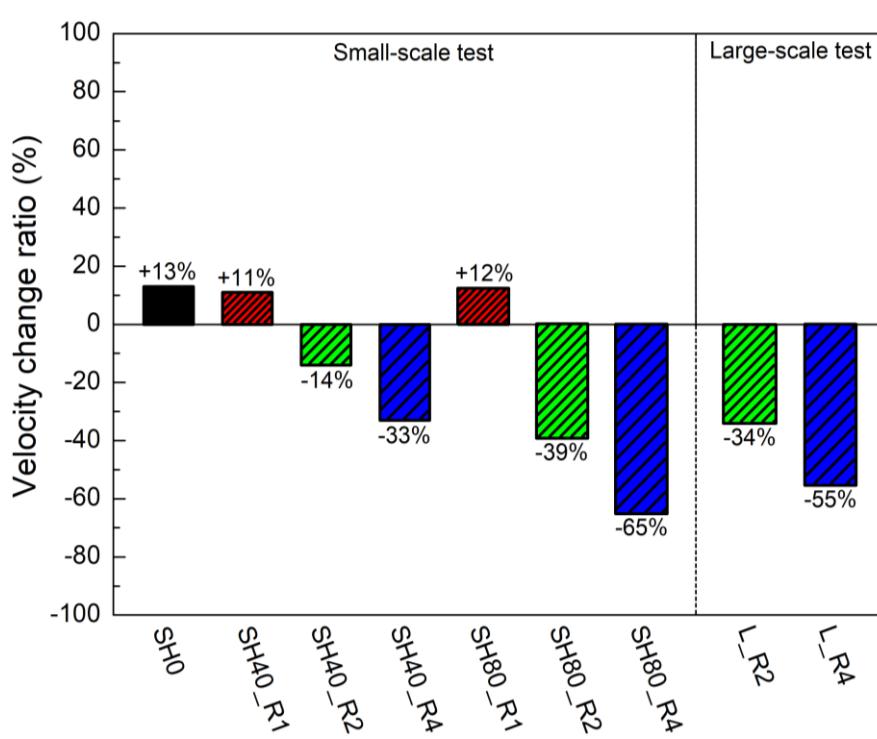
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 703 Fig. 17. Impact load with time: (a) 40 mm baffle height (SH40\_R4); (b) 80 mm baffle  
 704 height (SH80\_R4).  
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 707 Fig. 18. Velocity reduction for various baffle configurations: (a) small-scale test; (b) large-  
 708 scale test.  
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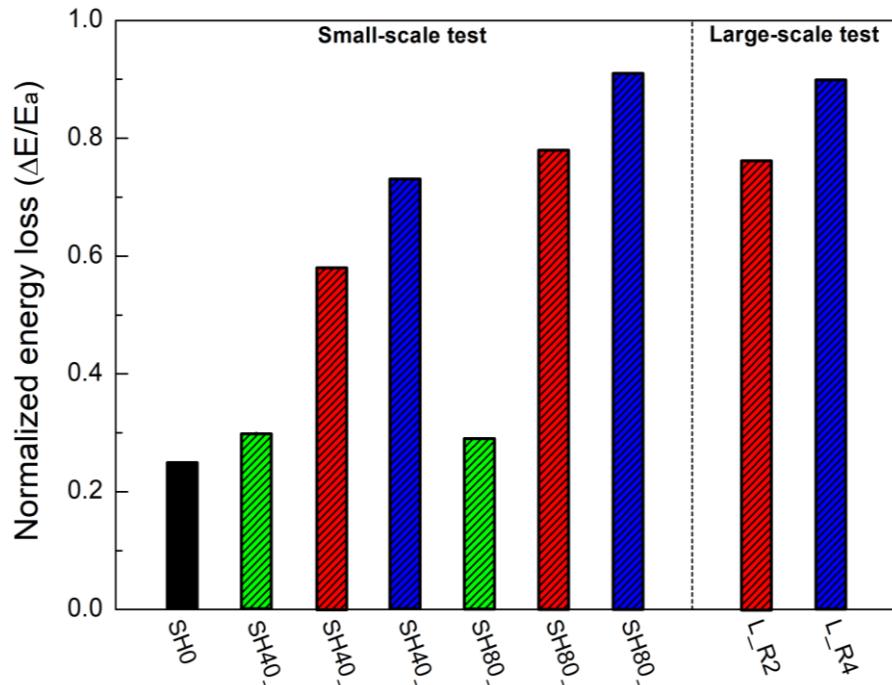


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713 Fig. 19. Side-view flow kinematics of baffle array interaction in large-scale test (L\_R2)

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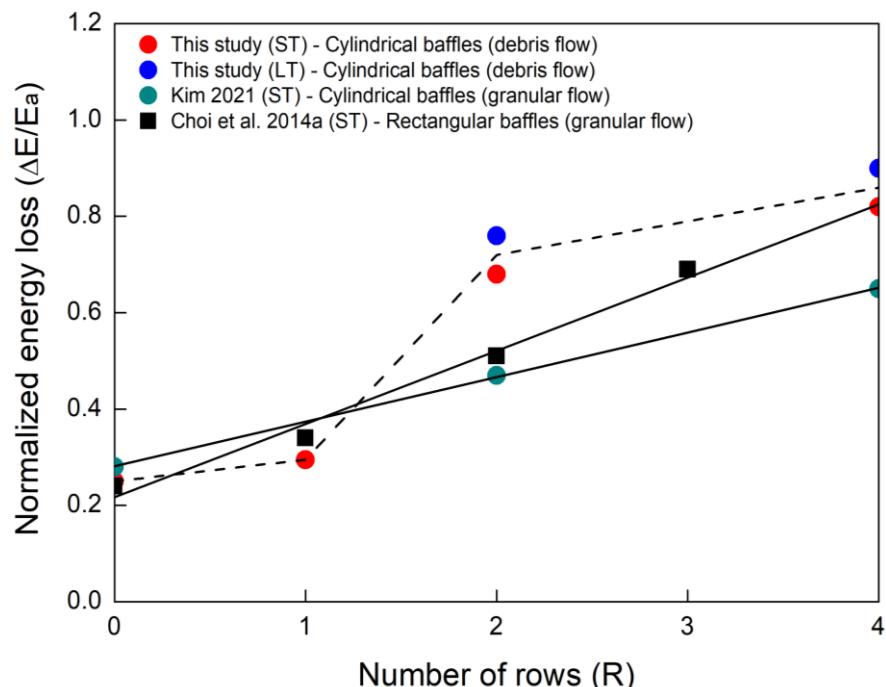


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Fig. 20. Normalized frontal flow energy loss: (a) small-scale test; (b) large-scale test.

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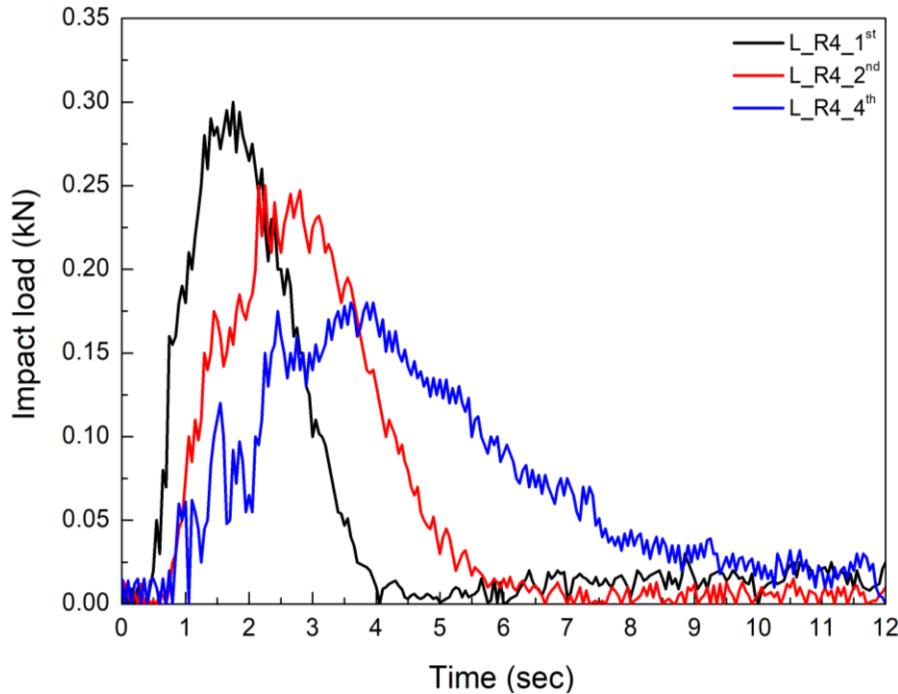


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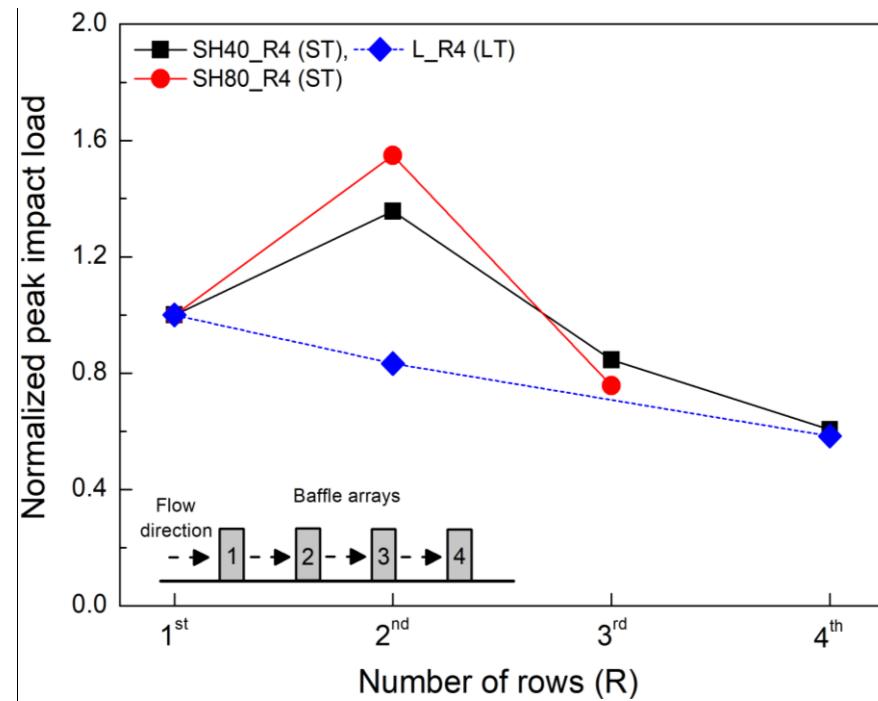
Fig. 21. Normalized energy loss with the number of rows of baffles



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Fig. 22. Impact load with time.

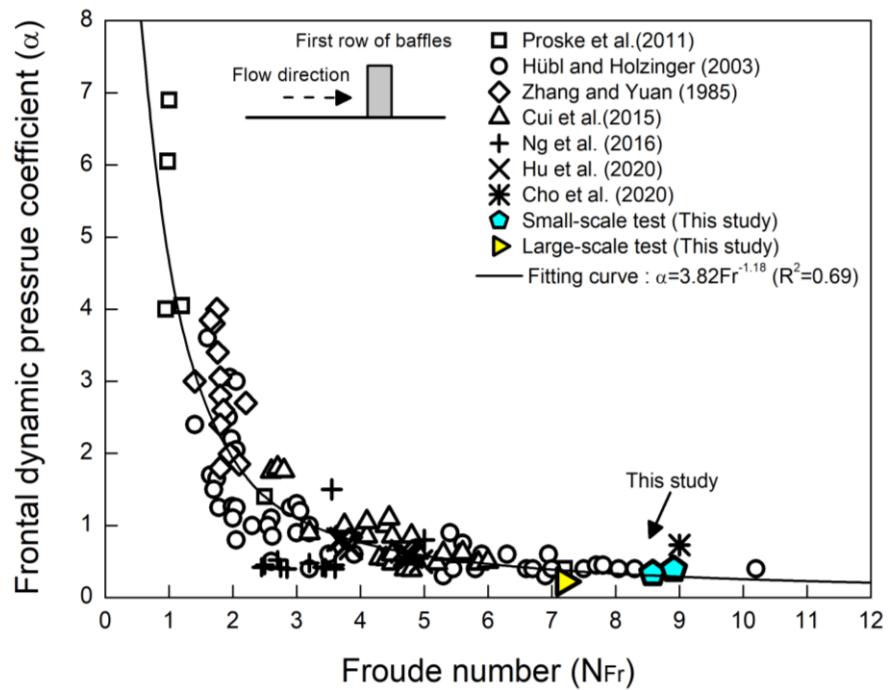


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Fig. 23. Variation of peak load in a baffle array.

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Fig. 24. Relationship between Froude number and empirical coefficients.

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