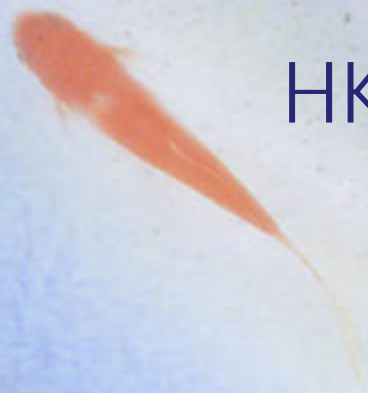# HK Institutional Repository and Open Access Action Principles

Tony Ferguson

HKU University Librarian

18 May 2007 Draft

How scholars communicate with each other

The Internet has changed everything about scholarly communication

The nature of publishing has and is changing

Print Publishing

Graphic: www.mikeberta.us/blog/
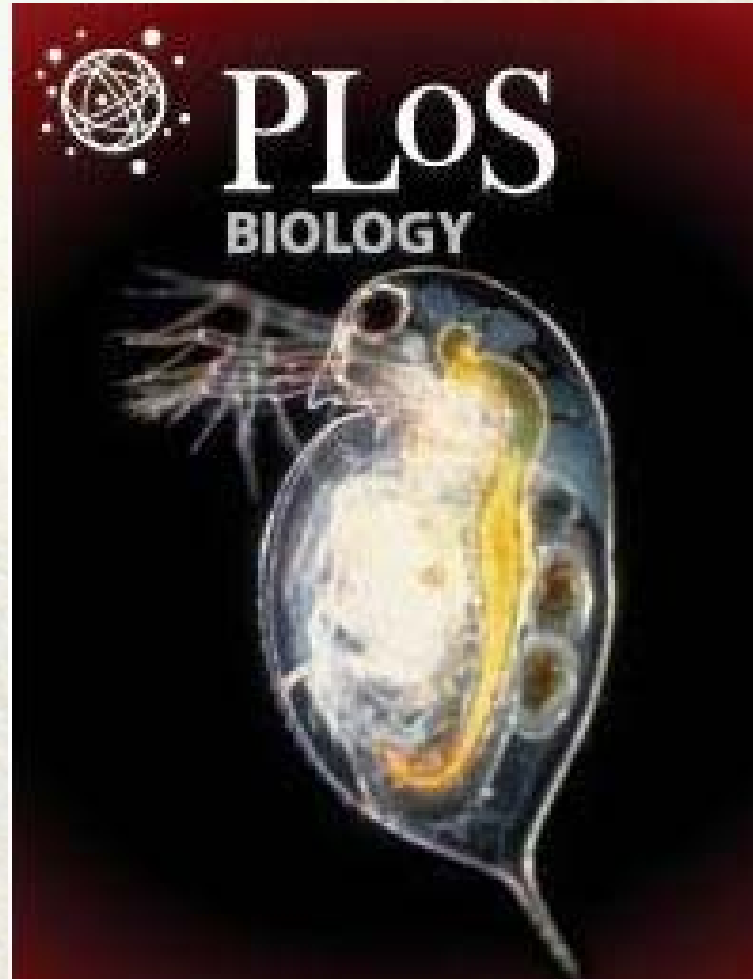
Web Publishing

Graphic: www.web-publishing.org/

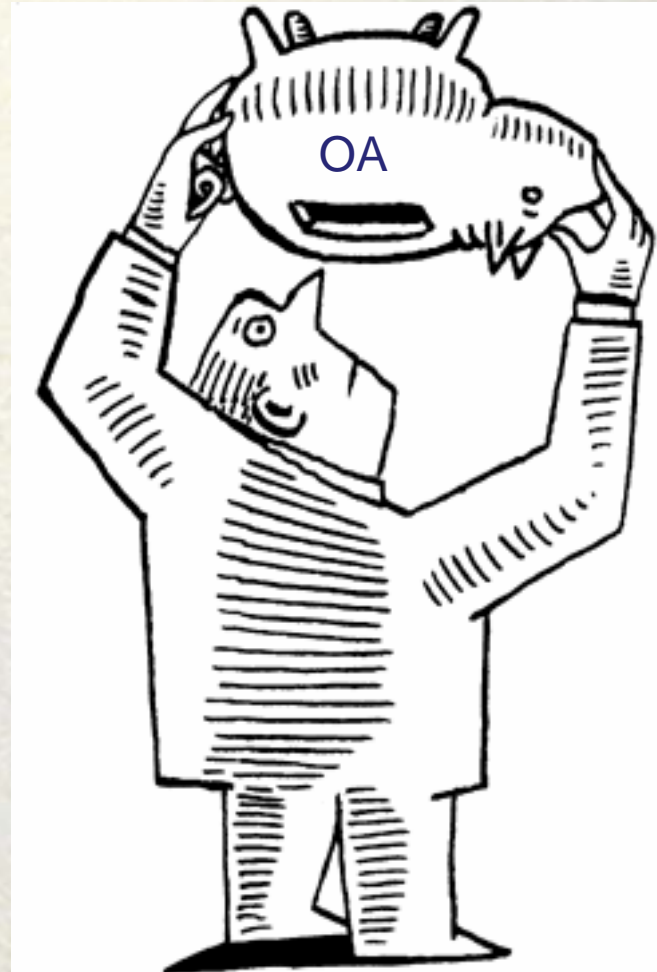# We value Open Access Publishing and Institutional Repositories

Graphic: www.ojhas.org/issue8/oapillars.gif

We value OA but

We can understand publisher apprehensions

OA

Publishers

Graphic:
http://www.biomedcentral.com/openaccess/inquiry/myths/images/cost.gif

We Believe OA Can Help our Scholars' Work Gain Greater Recognition

Judith Economos. Graphic: http://users.ecs.soton.ac.uk/~harnad/
Temp/publish-or-perish.ppt#280,24,Slide 24

We Also Believe OA Can Help Our Institutions Receive Greater Recognition
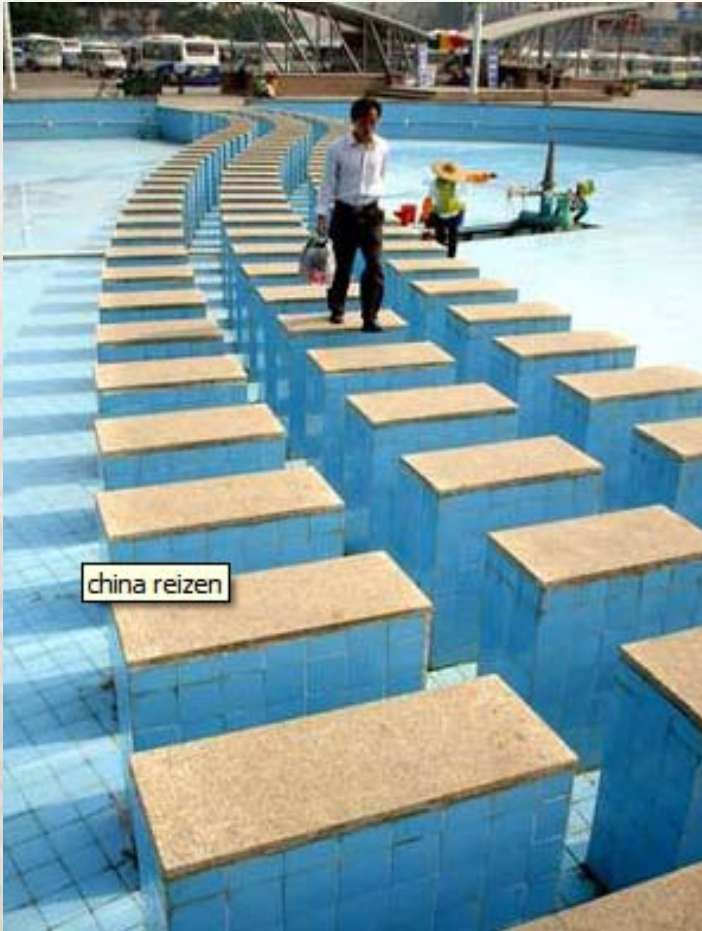
Judith Economos

The purpose of our conference has been

to consider how to promote open access and the use of institutional repositories in Hong Kong.

Graphic: www.e-architect.co.uk/.../jpgs/hong_kong_81.jpg

To accomplish these purposes we now pose

china reizen

goals and
policy
changes

Graphic: http://www.hansrossel.com/travel-information/china-hong-kong/hong-kong.htm

Goals

1. Insure HK intellectual contributions are widely and readily available to HK and world communities.
2. Use institutional repositories to preserve these contributions.
3. Retain scholarly communication elements that add value, e.g., peer review.
4. Work with stakeholders to assure sustainability.
5. Insure author rights are protected/recognized.

Policy Changes/Needs

1.   Scholars receiving Government or institutional funding required to
    - publish in a peer reviewed (PR) OA publication, <u>OR</u>
    - publish in a commercial/societal hybrid publication which provides OA access for a fee, <u>OR</u>
    - request publisher's permission to deposit a copy in an IR and do so or show attempt was made.
2.   Government and institutional funding can be used to pay open access fees.
3.   OA publications will be given full consideration in tenure/promotion exercises.
4.   Institutions will provide IR submission opportunities.
5.   IR's will promote HK author rights and help researchers know how to provide attribution.

Important Details

- Authors choosing the Open Access journal or hybrid option should request immediate permission to post a final copy of the edited version in their university's repository, or another repository of their choice.

- The edited version to be posted should be sent by the publisher to the author.

- The permission to post their article should include all the rights referred to in the first goal stated above.

- Scholars denied publisher permission to deposit their research in an institutional repository, can still have their work published by that publisher but must report such to their funding/granting agency, e.g., "The Hong Kong Addendum to Publication agreement form was submitted to the publisher but request was denied."

- If the publisher allows a different kind of access, that should be reported as well, e.g., permission was granted to deposit a copy of the manuscript I originally submitted, or, permission was given to deposit a copy after a six month embargo, etc

Next Steps

- Communicate these goals and recommendations to all stake holders.

- Establish a working group to follow up with RGC and all 8 institutions to encourage implementation.

- Ask all agencies to implement changes within six months.