

## THE EFFECT OF DYNAMIC RANGE ON AN OPEN-LOOP POWER CONTROLLED CDMA SYSTEM

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### Abstract

Direct Sequence Code Division Multiple Access (DS-CDMA) techniques have received a great deal of attention for current and future communication systems. One of the major considerations in implementing a DS-CDMA system is the necessity for accurate power control to ensure adequate quality-of-service and capacity. This paper investigates the measurement error of an open-loop power control algorithm under transmit power limitation. The power control error is analysed and numerically computed.

### I. Introduction

As well known, a DS/CDMA system is susceptible to *near-far interference*, which occurs when the base station input includes one or more other CDMA signals that are stronger than the desired signal. The near-far effect can be reduced by adjusting the transmitted power of all mobile users so that the base station gets the same power from the received signal of each transmission. Two types of power control are often considered: closed-loop power control and open-loop power control. In a closed-loop power control, according to the received signal power at a base station, the base station sends a command to a mobile to adjust the transmit power of the mobile. However, in an open-loop power control, a mobile user adjusts its transmit power according to its received power in downlink. In this paper, an adaptive open-loop power control algorithm [1] is adopted. The algorithm produces an estimate of the received power at the mobile by averaging squared outputs of the correlator.

The open-loop power control error usually results from the factors such as the accuracy of power measurement at a mobile, the dynamic ranges of the transmit power of mobiles and the loop delay. However, in [1], only measurement error is concentrated. As we know, for an adaptive algorithm, a larger dynamic range is required for getting a good performance. In this paper, the impact of

dynamic range on open-loop power control error is investigated.

The paper is organized as follows. The downlink system model is given in Section II. In section III, the open-loop power control error with upper bound transmit power limitation is studied. Numerical results are given in Section IV. Conclusions will be given in the last section.

### II. System Model

Neglecting the white noise on the downlink, the waveforms received by each mobile is composed of the desired signal, a number of interfering signals from the base station of the cell of interest and the adjacent-cell base stations.

Assuming that all spreading codes of each cell are orthogonal and that the downlink transmissions of a cell are synchronized, the intracell interference can be ignored because of the same transmission delay. However, the interfering signals from adjacent-cell base stations cannot be assumed to be synchronized with the desired signal because of different transmission delays. This interference becomes significant when a mobile moves towards the boundary of its cell.

In order to study the downlink adjacent-cell interference, two layers of cells are considered (see Fig.1). Each user is assumed to be located independently of all the other users and uniformly distributed over the area of the cell. The location of a reference user in the first cell (the cell of interest) is  $(r_{11}, \theta_{11})$ , where  $r_{11}$  and  $\theta_{11}$  stand for the distance and angle of the mobile from its base station. The distance between the base station of the  $i$ th adjacent cell and the reference mobile of the first cell is given by

$$r_{i1} = \sqrt{d_{i1}^2 + r_{11}^2 - 2d_{i1}r_{11}\cos\theta_{i1}}, \quad i = 2, 3, \dots, 19 \quad (1)$$

where  $d_{i1}$  is the distance between the first base station and the  $i$ th base station, and

$$d_{ii} = \begin{cases} \sqrt{3}R, & i = 2, 3, \dots, 7 \\ 2\sqrt{3}R, & i = 8, 10, 12, 14, 16, 18 \\ 3R, & i = 9, 11, 13, 15, 17, 19 \end{cases} \quad (2)$$

where  $R$  stands for the radius of the hexagonal cell. In (1),  $\theta_{ii}$  is the angle between  $d_{ii}$  and  $r_{ii}$ . The relationship between  $\theta_{ii}$  and  $\theta_{1i}$  for various values of  $i$  is given by

$$\cos(\theta_{ii}) = \begin{cases} \cos[\theta_{1i} + (i+2)\pi/3], & 2 \leq i \leq 7 \\ \cos(\theta_{1i} + i\pi/6), & 8 \leq i \leq 19 \end{cases} \quad (3)$$

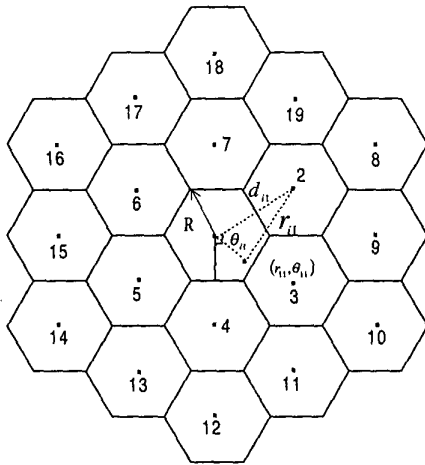


Fig.1 Downlink adjacent cell interference geometry

The channel is modelled as a frequency selective Rayleigh fading channel with lognormally distributed shadowing. The complex lowpass equivalent channel impulse response is given by

$$h(t) = r_{ii}^{-\gamma/2} e^{\zeta_i(t)} \sum_{l=1}^L \alpha_{ii}(t) e^{j\psi_{ii}(t)} \delta(t - IT_c) \quad (4)$$

where  $r_{ii}^{-\gamma}$  represents the propagation path loss from the  $i$ th base station to the reference user and  $\gamma$  may take values between two and four.  $e^{\zeta_i(t)}$  represents the log-normal shadowing with random variable  $\zeta_i(t)$ , taking zero mean and a typical variance of 8dB.  $L$  is the number of resolvable paths, each spaced  $T_c$  apart.  $\alpha_{ii}(t)$  are Rayleigh distributed fading and the phases  $\psi_{ii}(t)$  are uniformly distributed in  $[0, 2\pi)$ . The mobile receiver considered is an equal gain combing Rake receiver. Assuming that there are  $K$  active users for each cell, the received signal at mobile user1 (reference user) can be written as

$$r(t|_{r_{ii}, \theta_{ii}}) = \sum_{l=1}^L \sum_{i=1}^{19} e^{\zeta_i(t)} \alpha_{ii} \sum_{k=1}^K \sqrt{2P_R \xi_{ik} r_{ii}^{-\gamma}} b_{ik}(t - IT_c - \tau_i)$$

$$\cdot c_{ik}(t - IT_c - \tau_i) \exp[j(2\pi f_0 t - \phi_i + \psi_{ii})] \quad (5)$$

where  $P_R$  is the transmitted power of the base station when the reference user is located at a cell vertex ( $r_{ii} = R$ ), and  $\xi_{ik}$  ( $0 < \xi_{ik} \leq 1$ ) is the downlink power adjustment factor (or power control function) and  $\xi_{ii} = 1$  when  $r_{ii} = R$ .  $c_{ik}(t)$  and  $b_{ik}(t)$  represent the spreading sequence with chip duration  $T_c$  and the binary data sequence with duration  $T$  of user  $k$  of cell  $i$ , respectively.  $\tau_i$  and  $\phi_i$  are the corresponding time delay and phase, respectively. Note that  $\tau_i$  and  $\phi_i$  are the same for all users of cell  $i$ , because of the synchronous downlink transmission.  $f_0$  is the CDMA carrier frequency on downlink.

Assuming  $\tau_i = \phi_i = 0$  for the first cell, the decision variable, is given by

$$Z(T|_{r_{ii}, \theta_{ii}}) = \sum_{l=1}^L \frac{1}{T} \int_{T_c}^{T+T_c} r(t|_{r_{ii}, \theta_{ii}}) \cdot 2c_{11}(t - IT_c) \cos(2\pi f_0 t + \psi_{ii}) dt \quad (6)$$

where  $2\cos(2\pi f_0 t + \psi_{ii})$  and  $c_{11}(t - IT_c)$  are the recover carrier and the spreading sequence of the  $l$ th path of the reference user. Since high frequency terms are removed by the lowpass filter following the mixer of the demodulator, the above expression reduces to

$$Z(T|_{r_{ii}, \theta_{ii}}) \approx \sqrt{2P_R \xi_{ii} r_{ii}^{-\gamma}} e^{\zeta_i(T)} b_{11}(T) \sum_{l=1}^L \alpha_{ii} + I(T) \quad (7)$$

The first term on the right hand side of (7) is the desired component. The second term on the right hand side of (7) is the adjacent-cell interference, and is expressed as

$$\begin{aligned} I(T) &= \sum_{l=1}^L \sum_{i=2}^{19} e^{\zeta_i} \alpha_{ii} \sum_{k=1}^K \sqrt{2P_R \xi_{ik} r_{ii}^{-\gamma}} \sum_{n=1}^L \frac{1}{T} \int_{nT_c + \tau_i}^{T+nT_c + \tau_i} b_{ik}(t - IT_c - \tau_i) \\ &\quad \cdot c_{ik}(t - IT_c - \tau_i) c_{11}(t - nT_c - \tau_i) \cos(-\phi_i + \psi_{ii} - \psi_{1i} + \phi_i) dt \\ &= \sum_{l=1}^L \sum_{i=2}^{19} e^{\zeta_i} \alpha_{ii} \sum_{k=1}^K \sqrt{2P_R \xi_{ik} r_{ii}^{-\gamma}} \sum_{n=1}^L \cos(-\phi_i + \psi_{ii} - \psi_{1i} + \phi_i) \cdot I_{i,1}(T) \end{aligned} \quad (8)$$

where

$$I_{i,1}(T) = \frac{1}{T} \int_{nT_c + \tau_i}^{T+nT_c + \tau_i} b_{ik}(t - IT_c - \tau_i) c_{ik}(t - IT_c - \tau_i) c_{11}(t - nT_c - \tau_i) dt \quad (9)$$

When  $K$  is large,  $I_{i,1}(t)$  can be approximated by a Gaussian random variable with the variance of  $\text{var}[I_{i,1}(t)] = 2/(3N)$ .

Then, the variance of  $I(t)$  is given by

$$\sigma_i^2 = \frac{2KP_R}{3N} L^2 e^{2\sigma_i^2} \sum_{i=2}^{19} r_{ii}^{-\gamma} E[\xi_{ik}] \quad (10)$$

where  $E[\xi_{ik}]$  stands for the mean of downlink power adjustment factor. Following the optimum downlink power allocation scheme [2],  $\xi_{ii}$  is given by

$$\xi_{11} \approx \frac{\sum_{i=2}^{19} (r_{i1}/r_{11})^{-\gamma}}{\sum_{i=2}^{19} (r_{i1}/R)^{-\gamma}} \Big|_{(r_{11}=R, \theta_{11}=\pi/6)} \quad (11)$$

Assuming that the position of each user is uniformly distributed in a cell, i.e.,

$$f(r_{11}, \theta_{11}) = \frac{1}{\pi R^2}, \quad 0 \leq r_{11} \leq R, \quad 0 \leq \theta_{11} \leq 2\pi, \quad (12)$$

the expectation of  $\xi_{11}$  is obtained by double integration of (11),

$$E[\xi_{11}] = E[\xi_{11}] = \frac{1}{\pi R^2} \int_0^R \int_0^{2\pi} \xi_{11} r_{11} dr_{11} d\theta_{11} = 0.3189. \quad (13)$$

### III. Power Control Error

Similar to [1], this paper employs adaptive open-loop power control algorithm. In this algorithm, the received signal power at the mobile is estimated by averaging the output square of a Rake receiver. The estimate at time  $MT$  is given by averaging the square of (7),

$$\begin{aligned} \hat{S}^2(M) &= \frac{1}{M} \sum_{m=1}^M Z^2(\tau) \\ &= \frac{2P_R \xi_{11} r_{11}^{-\gamma}}{M} \sum_{m=1}^M \left( e^{2\zeta_1(m)} \sum_{l_1=1}^L \sum_{l_2=1}^L \alpha_{l_1}(m) \alpha_{l_2}(m) \right) \\ &\quad + \frac{2\sqrt{2P_R \xi_{11} r_{11}^{-\gamma}}}{M} \sum_{m=1}^M e^{\zeta_1(m)} b_{11}(m) \sum_{l=1}^L \alpha_{l1}(m) \cdot I(m) \\ &\quad + \frac{1}{M} \sum_{m=1}^M I^2(m). \end{aligned} \quad (14)$$

The mobile transmitter uses this estimate to adjust its transmit power, which is inversely proportional to the estimated power, i.e.  $P_t = 1/(C \cdot \hat{S}^2(M))$ , where  $C$  is a weighted constant.

According to the above power control scheme, the transmitted power in a CDMA system can be adjusted to any desired value. However, in practice, the transmitter power is limited with an upper bound. If  $P_{max}$  is the maximum transmitted power, the transmitted power is revised to

$$P_t = \min\left\{ \frac{1}{(C \cdot \hat{S}^2(M))}, P_{max} \right\}. \quad (15)$$

Neglecting the loop delay, the desired signal component after despreading at the base station at the time  $MT$ , is given by

$$\begin{aligned} Z_1(M) &= \sqrt{2P_R r_{11}^{-\gamma}} e^{\zeta_1(M)} \sum_{l=1}^L \alpha'_{l1}(M) \beta'_{l1}(M) \\ &= \sqrt{2P_{err}} \sum_{l=1}^L \alpha'_{l1}(M) \beta'_{l1}(M) \end{aligned} \quad (16)$$

where  $\alpha'_{l1}(M)$ ,  $e^{\zeta_1(M)}$  and  $\beta'_{l1}(M)$  mean the Rayleigh fading, lognormal distributed shadowing and data bit, respectively, in the uplink. Notice that the shadowing terms on both up and down links are the same, but the fading terms on the two links are different.  $P_{err}$  is the power control error, defined as

$$\begin{aligned} P_{err} &= \sqrt{\min\left\{ \frac{1}{(C \cdot \hat{S}^2(M))}, P_{max} \right\} r_{11}^{-\gamma} e^{2\zeta_1(M)}} \\ &= \sqrt{\min\left\{ r_{11}^{-\gamma} e^{2\zeta_1(M)} / (C \hat{S}^2(M)), P_{max} r_{11}^{-\gamma} e^{2\zeta_1(M)} \right\}}. \end{aligned} \quad (17)$$

When there is no upper bound transmit power limitation, it is assumed the received power control error has a log-normal distribution, i.e.  $r_{11}^{-\gamma/2} e^{\zeta_1(M)} / \sqrt{C \hat{S}^2(M)} = e^y$ . The mean and standard derivation of  $y$  can be derived as [1], i.e.

$$\bar{y} = \frac{1}{2} \ln(\sqrt{V}/CU^2) \quad (18)$$

and

$$\sigma_y = \frac{1}{2} \sqrt{\ln(V/U^2)}. \quad (19)$$

By choosing  $C$  appropriately, i.e.  $C = \sqrt{V}/U^2$ , the mean of  $y$  can be set to zero ( $\bar{y} = 0$ ), corresponding to an unbiased estimate in absence of transmit power limitation. In (18) and (19),  $U$  and  $V$  is given by

$$\begin{aligned} U &= \frac{2LP_R \xi_{11}}{M} \sum_{m=1}^M \exp(4\sigma_{\zeta_1}^2 - 4C_{\zeta_1}((m-M)T)) \\ &\quad + \frac{1}{r_{11}^{-\gamma}} e^{2\sigma_{\zeta_1}^2} \sigma_t^2 \end{aligned} \quad (20)$$

and

$$\begin{aligned} V &= \frac{4P_R^2 \xi_{11}^2}{M^2} \sum_{m_1=1}^M \sum_{m_2=1}^M \{L[1 + \rho^2(m_1 - m_2)] + L^2 - L\} \exp\{2\sigma_{\zeta_1}^2 \\ &\quad + 4C_{\zeta_1}[(m_1 - m_2)T] - 8C_{\zeta_1}[(m_1 - M)T] - 8C_{\zeta_1}[(m_2 - M)T]\} \\ &\quad + \frac{8LP_R \xi_{11} r_{11}^{-\gamma}}{M^2} \sigma_t^2 \sum_{m=1}^M \exp\{0\sigma_{\zeta_1}^2 - 8C_{\zeta_1}[(m - M)T]\} \\ &\quad + \frac{4LP_R \xi_{11} r_{11}^{-\gamma}}{M} \sigma_t^2 \sum_{m=1}^M \exp\{0\sigma_{\zeta_1}^2 - 8C_{\zeta_1}[(m - M)T]\} \\ &\quad + \left(1 + \frac{2}{M}\right) r_{11}^{2\gamma} \sigma_t^4 e^{8\sigma_{\zeta_1}^2} \end{aligned} \quad (21)$$

where  $C_{\zeta_1}(\tau)$  is the autocovariance function of  $\zeta_1(t)$ , given by [3]

$$\begin{aligned} C_{\zeta_1}(\tau) &= E[\zeta_1(t)\zeta_1(t+\tau)] - E^2[\zeta_1(t)] \\ &= \sigma_{\zeta_1}^2 e^{-v|\tau|^D} \end{aligned} \quad (22)$$

where  $v$  is a mobile speed,  $D$  represents the correlation distance and has been measured as hundreds of meters for conventional terrestrial cells, and tens of meters for

terrestrial microcells. In (21),  $\rho(\tau)$  is the normalized autocovariance function of the Rayleigh process, given by [4]

$$\rho(\tau) = J_0(2\pi f_d |\tau|) \quad (23)$$

where  $f_d$  is a maximum Doppler frequency or fading rate, given by  $f_d = v/\lambda$ , where  $\lambda$  is a carrier wavelength.  $J_0(x)$  is a Bessel function of the first kind of zeroth order.

We defined the mean and standard derivation of  $e^y$  as the mean and standard derivation of power control error under the case there is no upper bound transmit power limitation. They are given by

$$E[e^y] = e^{\sigma_y^2/2} \quad (24)$$

and

$$\sigma_{e^y} = e^{2\sigma_y^2} - e^{\sigma_y^2}. \quad (25)$$

Because  $e^y$  is log-normal distributed, from [5], the pdf of  $e^{2y}$  is given by

$$f_{e^{2y}}(x) = \frac{1}{2\sqrt{2\pi}\sigma_y x} e^{-\frac{(\ln \sqrt{x})^2}{2\sigma_y^2}} \quad (26)$$

The pdf of  $P_{max} r_{11}^{-\gamma} e^{2\zeta_1(L)}$  is given by

$$f_{P_{max} r_{11}^{-\gamma} e^{2\zeta_1(L)}}(x) = \frac{1}{2\sqrt{2\pi}\sigma_{\zeta_1} x} e^{-\frac{(\ln(\sqrt{x/P_{max} r_{11}^{-\gamma}}))^2}{2\sigma_{\zeta_1}^2}} \quad (27)$$

From equation (17), the pdf of  $P_{err}^2$  can be derived as

$$f_{P_{err}^2}(x) = \int_{-\infty}^{\infty} f_{P_{err}^2 | P_{max} r_{11}^{-\gamma} e^{2\zeta_1(L)}}(x) f_{P_{max} r_{11}^{-\gamma} e^{2\zeta_1(L)}}(y) dy \quad (28)$$

where

$$f_{P_{err}^2 | P_{max} r_{11}^{-\gamma} e^{2\zeta_1(L)}}(x) = \begin{cases} f_{e^{2y}}(x) & x < P_{max} r_{11}^{-\gamma} e^{2\zeta_1(L)} \\ \delta(P_{max} r_{11}^{-\gamma} e^{2\zeta_1(L)}) \int_{P_{max} r_{11}^{-\gamma} e^{2\zeta_1(L)}}^{\infty} f_{e^{2y}}(x) dx & x \geq P_{max} r_{11}^{-\gamma} e^{2\zeta_1(L)} \end{cases} \quad (29)$$

So

$$\begin{aligned} f_{P_{err}^2}(x) &= \int_{-\infty}^{\infty} [f_{e^{2y}}(x) \mathcal{U}(y-x) \\ &\quad + \delta(x-y) \int_y^{\infty} f_{e^{2y}}(x) dx] f_{P_{max} r_{11}^{-\gamma} e^{2\zeta_1(L)}}(y) dy \\ &= f_{e^{2y}}(x) \int_{-\infty}^{\infty} \mathcal{U}(y-x) f_{P_{max} r_{11}^{-\gamma} e^{2\zeta_1(L)}}(y) dy \\ &\quad + \int_{-\infty}^{\infty} \delta(x-y) \int_y^{\infty} f_{e^{2y}}(x) dx f_{P_{max} r_{11}^{-\gamma} e^{2\zeta_1(L)}}(y) dy \\ &= f_{e^{2y}}(x) \int_x^{\infty} f_{P_{max} r_{11}^{-\gamma} e^{2\zeta_1(L)}}(y) dy \\ &\quad + f_{P_{max} r_{11}^{-\gamma} e^{2\zeta_1(L)}}(x) \int_x^{\infty} f_{e^{2y}}(y) dy. \end{aligned} \quad (30)$$

Then, the pdf of  $P_{err}$  can be given by

$$f_{P_{err}}(x) = 2x f_{P_{err}^2}(x^2) \mathcal{U}(x). \quad (31)$$

#### IV. Numerical Results

The numerical results are shown in the following. Unless noted otherwise, the following parameters are assumed: the active users of one cell  $K = 20$ , the standard derivation of shadowing  $\sigma_\zeta = 8$  dB, the path loss exponent  $\gamma = 4$ , the information data rate  $10\text{Kb/s}$ , the speed of a mobile user  $v = 100\text{km/h}$ , the carrier frequency  $f_0 = 2\text{GHz}$  (Doppler frequency shift  $f_d = v/\lambda = 185\text{Hz}$ ), the period for power estimation  $L = 60$  (or 60 bits), and the shadowing correlation distance  $D = 45\text{m}$ .

Fig. 2 and Fig. 3 shows the variation of the mean and standard derivation of power-control-error as a function of  $r_{11}/R$  for the Rake taps  $L=1$  and  $L=2$ , respectively. Two power limitation cases,  $P_{max}/P_R = 5\text{dB}$  and  $P_{max}/P_R = 2\text{dB}$ , are considered in the figures. At the left part of the figures, the mobile users are near the base station, their transmit powers to the base station are small and the affect from the power limitation can be neglected. In this case, the power control error is just from the measurement error. The receiver with two taps ( $L=2$ ) will has small power control error  $\sigma_y$ , than that with one tap ( $L=1$ ). From (24) and (25), it's mean and standard derivation will smaller than that of one tap ( $L=1$ ). This is consistent with that in figures. However, when a mobile user approach the cell corner, it will be affected by the power limitation. The mean and standard derivation of the power control error will decrease when a mobile user approach the cell corner or the power limitation  $P_{max}$  is lower. In this case, we can't conclude that the system performance will be better. From the figure, it is found that changing of mean is more significantly than the changing of standard derivation. This decrease will let the base station received signal level lower than the desired level and reduce the system capacity. The figures also shown that the changing of mean for  $L=2$  is slowly than that for  $L=1$ . So the system with Rake receiver will suffer less affect from power limitation than that without Rake receiver.

Fig. 4 shows the system BER with the variation of  $P_{max}$  when  $L=1$ . It is shown from the figure that when  $P_{max}/P_R < 10\text{dB}$ , the BER will be affected significantly.

**V. Conclusions**

The impact of dynamic range on an open-loop power controlled CDMA system has been studied. It is concluded that the existence of power limitation will significantly affect the power control error and the system BER.

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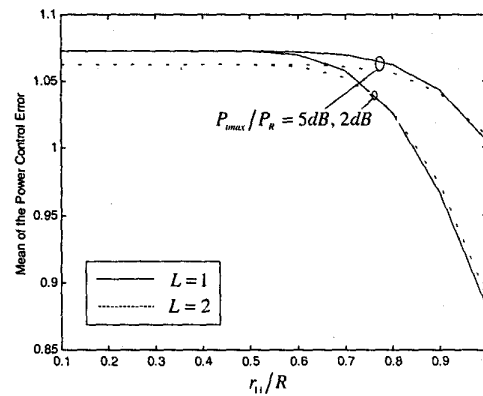


Fig. 2. Mean of the power control error as a function of  $r_{11}/R$

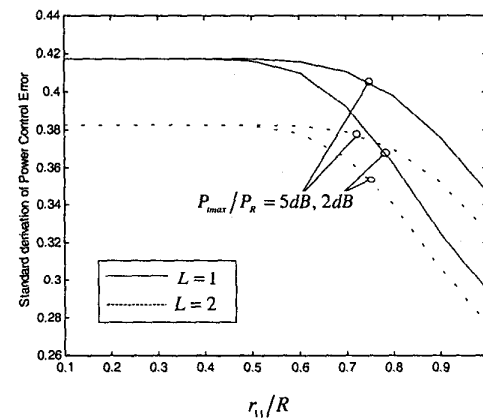


Fig. 3. Standard deviation of power control error as a function of  $r_{11}/R$

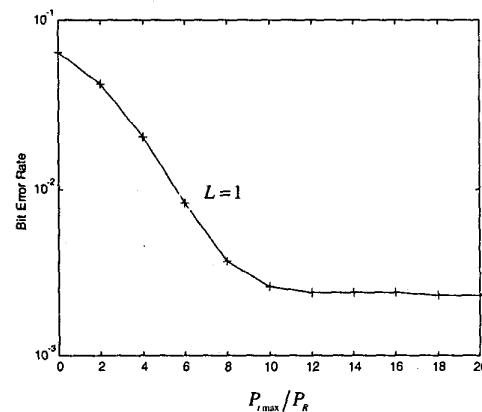


Fig. 4. The BER performance versus  $P_{max}/P_R$